

Seattle District

Central Heat Plant Application of Low Emissions

Malmstrom AFB, Montana

Construction Solicitation and Specifications



Open to both Large and Small Business

MALMSTROM AFB, MONTANA Pre Proposal Conference and Site Visit:

- A one-time pre proposal conference and site visit for offerors is scheduled for 10:00 A.M. local time on **April 15, 2004**. Offerors desiring to attend should arrive no later than 9:00 A.M., local time, at the main gate of Malmstrom AFB, Montana.
- If you plan to attend the site visit you <u>must</u> contact Carolyn Brown at (406) 771-0092 or email <u>carolyn.r.brown@usace.army.mil</u> on or before April 12, 2004 and provide her with the first name, middle initial, last name, social security number, and date of birth for all attendees. You must provide your driver's license number and state of issuance for yourself and any attendees. Also, you will need to provide the insurance number and company name for any vehicle driven onto Malmstrom AFB. To enter the installation, you must present a valid drivers license and state your destination on base (Corps of Engineers construction site visit provide project name and solicitation number). The site visit will be in Building 770 at 7218 Goddard on Malmstrom AFB.
- DIRECTIONS TO MALMSTROM AFB: From the airport take I-15 north, to the 10th Ave south exit, following the signs directing traffic to Malmstrom AFB. Turn left on northwest bypass, at light turn right on 2nd Ave North. When entering Malmstrom AFB, park on the west side of the Gate Security building, not in the visitor parking bt. Sign in at the Visitor Center. After getting a pass, enter the installation on Goddard. Just past the first light, building 770 is the first building on the right. Park close to the Corps of Engineers flag. Enter and check in with Carolyn Brown then proceed to Suite 19.
- OFFERORS ARE URGED and expected to inspect the site where construction is to be performed
 and to satisfy themselves as to all general and local conditions which may affect the cost of
 performance of the contract, to the extent, such information is reasonably obtainable. In no event,
 will a failure to inspect the site constitute grounds for withdrawal of a bid after opening or for a
 claim after award of the contract.

FOR INQUIRIES, CONTACT THE FOLLOWING INDIVIDUALS Monday through Friday between the hours of 8:00 a.m. and 3:30 p.m.:

TECHNICAL MATTERS: EMAIL ALL QUESTIONS CONCERNING TECHNICAL SPECIFICATIONS TO THE FOLLOWING ADDRESS: For Technical Matters, questions must be submitted via the internet on the DRChecks bidder inquiry system. Registration and password are required and may be obtained at

http://65.204.17.188/projnet/home/version1/index.cfm?RESETAGENCY=USACE (click on Bidder Inquiry, fill out the form provided and click 'continue'). Upon receipt of password, login at http site, click on Bidder Inquiry, select "NWS Seattle District" and click 'continue' Select project: click on 'continue', select "Bidder Inquiry": and 'continue'. Enter your questions and click "Submit Inquiry".

BIDDING DOCUMENTS: Register for solicitations at the Internet site:

http://www.nws.usace.army.mil/ct/

PLANHOLDER'S LISTS: Lists may also be obtained from the same site

ADMINISTRATIVE MATTERS:

Sherrye Schmahl (206) 764-6588 FAX: (206)764-6817 sherrye.l.schmahl@usace.army.mil

All individuals are at the following mailing and street addresses: (Mail) Seattle District Corps of Engineers, P.O. Box 3755, Seattle, WA 98124-3755(Street) 4735 E. Marginal Way S., Seattle, WA 98134-2385



TABLE OF CONTENTS

CAUTION TO OFFERORS

SECTION TITLE

SF1442 - Pages 00010-1 thru 00010-5 (00010-3 is reserved for use at a later time) & Subcontracting Plan, Pages 00010-7 thru 00010-15

00100	Instructions, Conditions and Notice to Offerors

O0110 Proposal Submission and Evaluations

O0600 Representations and Certifications and other Statements of Offerors, and Pre-Award Information

00700 Contract Clauses

00800 Special Clauses, which include the following:

- a) Special Clauses Pages 00800-1 thru 00800-14
- b) Davis-Bacon General Wage Decision No. MT20030005

01000 Technical Specifications

01001 thru 16415A

RETURN THE FOLLOWING WITH YOUR PROPOSAL:

SF1442 - Pages 00010-1 thru 00010-5 (00010-3 is reserved for use at a later time)

Section 00600 - Representations and Certifications and Pre-Award Information

20% Bid Bond

** BONDS – Matter of All Seasons Construction, Inc. GAO Decision B-291166.2 Bid Bonds must be accompanied by a Power of Attorney containing an original signature from the surety, which must be affixed to the Power of Attorney after the Power of Attorney has been generated. Computer generated and signed Power's of Attorney will only be accepted if accompanied by an original certification from a current officer of the surety attesting to its authenticity and continuing validity.

^{*}Additionally, if you are a large business you will be required to submit a "Small Business and Small Disadvantaged Business Subcontracting Plan," with your proposal.



!!!CAUTION TO OFFERORS!!!

- 1. **TELEPHONES:** Limited telephone service is provided in the lobby. Only two public telephones may be used by offerors for completing offers.
- 2. **BUSINESS HOURS:** For the Seattle District Corps of Engineers are from 7:30 A.M. to 4:00 P.M., Monday through Friday.
- 3. **AVAILABILITY OF FUNDS:** Funds are not presently available for this acquisition. No contract award will be made until appropriated funds are made available from which payment for contract purposes can be made.

BEFORE SIGNING AND MAILING THIS OFFER, PLEASE TAKE NOTE OF THE FOLLOWING, AS FAILURE TO PERFORM ANY ONE OF THESE ACTIONS MAY CAUSE YOUR OFFER TO BE REJECTED

- 4. **AMENDMENTS:** Have you acknowledged receipt of <u>ALL</u> amendments? If in doubt as to the number of amendments issued, please contact the Plans Room representative listed on the Information Page.
- 5. AMENDED PAGES: If any of the amendments furnished amended pages, the amended pages must be used in submitting your offer.
- 6.. **MISTAKE IN OFFER:** Have you reviewed your offer price for possible errors in calculation or work left out?
- 7. **TELEGRAPHIC MODIFICATIONS:** The Seattle District does not have the capability of receiving commercial telegrams directly. Offerors who wish to modify their offer by telegram are urged to ensure that telegrams are submitted within enough time to arrive at the opening office prior to the time specified for receipt of proposals. Any doubt as to time should be resolved in favor of **EXTRA TIME.** Transmission by Fax to this office is **NOT ACCEPTABLE**.
- 8. **OFFER ACCEPTANCE PERIOD:** The minimum offer acceptance period is specified in block 13D of SF1442-1, Solicitation, Offer and Award. Please ensure that you allow at least the stated number of calendar days for the Government to accept your offer.
- 9. **RFP RESULTS:** A Request for Proposal is a negotiated procurement. As such, offer results are not available on the web. Participants will be notified via letter as to the status of their offer.
- 10. **CENTRAL CONTRACTOR REGISTRATION:** Per DFARS Clause 252.204-7004, REQUIRED CENTRAL CONTRACTOR REGISTRATION, in Section 00700, registration is required prior to award of any contract from a Solicitation issued after May 31, 1998. No Contract Award will be made to an unregistered contractor. Internet access allows contractors to register by completing an electronic on-line registration application from CCR homepage at http://www.ccr.gov/. For further assistance in completing your on-line registration, contact the nearest Procurement Technical Assistance Center (PTAC) near you. A list of the nearest PTAC is located at: http://www.rcacwv.com/ptac.htm.
- 11. **HUBZONE CERTIFICATION**: Per FAR Clause 52.219-4, NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999) in Section 00700. A HUBZone small business concern, as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration Reference: https://el.sba.gov:90000/prodhubzone/hubzone/approval.st.



	1. SOLICITATION NUMBER		2. TYPE OF SC	LICITATION	3. DATE ISSUED	PAGE OF PAGES
SOLICITATION, OFFER, AND AWARD	SOLICITATION, OFFER, AND AWARD W192DW-04-R-001					
(Construction, Alteration, or Repair)	W 192D W -04-N-00	1 /	SEALED B	BID (IFB)		1
, , ,			X NEGOTIA	TED (RFP)		
IMPORTANT - The "offer" section on the rever						
4. CONTRACT NUMBER	5. REQUISITION/PURCHASE R	EQUEST I	NUMBER	6. PROJECT N	IUMBER	
7. ISSUED BY CODE	W912DW	8. ADD	RESS OFFER TO			
Seattle District, Corps of Engineers		_1	ttle District,	Corps of Eng	ineers	
ATTN: CENWS-CT-CB-MU			Box 3755		CENWS-CT-CB-M	U
PO Box 3755		Sea	ttle, WA 981	24-3755		
Seattle, WA 98124-3755						
		HA	ND CARRY:		District Corps of En	ngineers
					cting Division ast Marginal Way S	outh
					WA 98134-2329	outii
■ A. NAME			T TELEBRONE	•	le area code) (NO COLLE	CT CALLSI
9. FOR INFORMATION CALL Sherrye L. S	Schmahl		B. TELEFHONE	NOIVIBEN IIIICIUU	206-764-6588	CT CALLS)
		TATION	<u> </u>			
NOTE: In sealed bid solicitations "offer" and "						
10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE				-		
Furnish all labor, materials and equipment						ch., Malmstrom AFB,
Montana in accordance with the attached of	Contract Clauses, Special	Clauses	s, Technical S	pecifications	and Drawings.	
	10					_
11. The Contractor shall begin performance wi	thin 10 calendar	days a	nd complete it		calendar	days after
award, notice to proceed. This perf	formance period is 🔀 mar	ndatory,	negotia	able. <i>(See</i>	* Paragraph SC-1, (.)
12A. THE CONTRACTOR MUST FURNISH ANY REQUIRE	D PERFORMANCE PAYMENT BOI	NDS?			12B. CALENDAR DAY	S
(If "YES," indicate within how many calendar days a	fter award in Item 12B.)					10
X YES NO						
13. ADDITIONAL SOLICITATION REQUIREMENTS:						
A Scaled offers in original and	copies to perform the w	ork roa	uirod ara dua s	at the place o	agaified in Itam 9	2:00 p.m. (hour)
A. Sealed offers in original and local time 06 May 2004	<u> </u>	•			-	
, , , , , , , , , , , , , , , , , , ,	- '				licly opened at that	
containing offers shall be marked to show	the offeror's name and add	ress, the	e solicitation n	number, and th	ne date and time off	ers are due.
B. An offer guarantee X is, is not	required.					
C. All offers are subject to the (1) work require	rements, and (2) other prov	visions a	ind clauses inc	corporated in t	he solicitation in ful	I text or by
D. Offers providing less than be rejected.	calendar days for Govern	ment ac	ceptance after	r the date offe	ers are due will not	be considered and

		OFFER (Mu.	st be fully	completed	by offeror)				
14. NAME AND ADDRESS	OF OFFEROR (Include ZIP Code)			15. TELEPHO	NE NUMBER (Include area cod Fax	le) : No.:		
				16. REMITTA	NCE ADDRESS	6 (Include only if	different than Iter	n 14)	
Tax ID No: eMail:	DUNS No) :							
CODE	FACILITY CODE								
accepted by the G	to perform the work require overnment in writing within nent stated in 13D. Failure	ca	alendar da	ys after the	date offers	are due. (Inse	ert any numbel	r equal or g	
AMOUNTS	See Page 00010-5								
18. The offeror agrees	to furnish any required perf	ormance and	payment b	oonds.					
	(The offerer coknowledge	19. ACKNOV					nd data of sool	- I	
	(The offeror acknowledge	s receipt of ar	пенитет	s to the son	Citation - gr	ve number an	u date of each	<i>''</i>	<u> </u>
AMENDMENT NO.									
DATE									
20A. NAME AND TITLE OF	PERSON AUTHORIZED TO SIGN (OFFER (Type or p	rint)	20B. SIGNAT	ÜRE	•		20C. OFFER D	DATE
		AWARD (T	o be comp	pleted by Go	vernment)				
22. AMOUNT		23	3. ACCOUNT	TING AND APPI	ROPRIATION D	DATA			
24 SUBMIT INVOICES	TO ADDRESS SHOWN IN	ITEM		25. OTHER T	HAN FULL AN	D OPEN COMPE	TITION PURSUAN	т то	
	s otherwise specified)		26	10 U.S.	C. 2304(c) ()	41 U.S.C. 2	253(c) ()
26. ADMINISTERED BY USACE - Seattle I Northwest Area Of PO Box 92146 Tillicum, WA 984	ffice	·		US Arm CEFC-A 5722 Int	•	Engineers F	inance Center		
	CONTRACTIN	IG OFFICER W	ILL COMP	PLETE ITEM	28 OR 29 A	AS APPLICAB	LE		
document and return agrees to furnish and identified on this forn stated in this contrac contract shall be gove and (c) the clauses, incorporated by refere	n and any continuation sheet. The rights and obligation or and obligation of the contract average in or attached to this contract in or attached to this contract in or attached to this contract.	issuing office. m all work received for the colors of the parward, (b) the sons, and spentification.	.) Contraction cifications cifications cifications	offer on to talward cor solicitation scontractua	nis solicitat summates and your	ion is hereby the contract, offer, and	t required to si accepted as which consist (b) this conti	to the item ts of (a) the	s listed. This e Government
30A. NAME AND TITLE OF (Type or print)	CONTRACTOR OR PERSON AUTH	HORIZED TO SIG	N	31A. NAME (OF CONTRACT	TING OFFICER (7	ype or print)		_
30B. SIGNATURE		30C. DATE		31B. UNITED	STATES OF A	AMERICA		31C. AWAR	RD DATE

THE CONTRACTOR IS A CORPORATION OR PARTNERSHIP, THE APPLICABLE PORTION OF THE FORM LISTED BELOW MUST BE COMPLETED. IN THE ALTERNATIVE, OTHER EVIDENCE MUST BE SUBMITTED TO SUBSTANTIATE THE AUTHORITY OF THE PERSON SIGNING THE CONTRACT. IF A CORPORATION, THE SAME OFFICER SHALL NOT EXECUTE BOTH THE CONTRACT AND THE CERTIFICATE.

r and on behalf of said corporation by a orate powers. (CORPORATE (Secretary) SEAL BIND PARTNERSHIP Tes and Social Security Numbers of all pas authority actually to bind the partners dividually has full authority to enter into a	E _) partners are listed ship pursuant to its
res and Social Security Numbers of all pas as authority actually to bind the partners	ship pursuant to its
ship with the United States of America, of	except as follows: n of authority by
ocial Security Numbers of all Partners)	
SNATURE SOCIAL SECI	URITY NO.
	
_	GNATURE SOCIAL SEC



BID SCHEDULE

Item No.	Description of Item Base Items	Quantity	<u>Unit</u>	Unit <u>Price</u>	Amount
0001	All work for the Application of Low Emissions Technology to Coal-Fired CHP in accordance with the drawings & specifications but not including the work indicated under items 0002 through 0009.	1	JOB	LS	\$
0002	All work for As-Built Drawings as Specified in Section 01702 from Preparation to Approval.	1	JOB	LS	\$25,000
0003	All Work for O&M Manuals as Specified in Section 01701 from Preparation to Final Approval.	1	JOB	LS	\$20,000
0004	All Work for Form 1354 Checklist and Equipment in Place List as Specified in Section 01704 & 01705 from Preparation to Final Approval.	1	JOB	LS	\$12,000
0005	All work for the installation of Induced Draft Fan Variable Frequency Drives and Motor Replacement. (HTHW Generators No. 1&3)	1	JOB	LS	\$
0006	All work for the Plant Air System Modifications.	1	JOB	LS	\$
0007	All work for the Instrument Air System Modification.	1	JOB	LS	\$
		Total E	Base Items	5	\$

Item No.	Description of Item Optional Items	Quantity		Unit <u>Price</u>	Amount
8000	Provide Load Simulator System	1	Job	LS	\$
0009	All Work for Dustless Unloader (Pug Mill) Replacement	1	Job	LS	\$
	Total	Total Optio Base & Optio			\$ \$

The dollar amounts established in Items No. 0002, 0003 and 0004 shall not be revised by bidders.

⁻⁻ End of Document --



DEPARTMENT OF THE ARMY

SEATTLE DISTRICT, CORPS OF ENGINEERS P.O. BOX 3755 SEATTLE, WASHINGTON 98124-3755

Contracting Division

SUBJECT: W912DW-04-R-0017 Central Heat Plant Application of Low Emissions,

Malmstrom AFB, Montana

NOTICE TO LARGE BUSINESS FIRMS: (RFP)

Your attention is directed to the contract clauses entitled "Utilization of Small Business Concerns (Oct 2000) (52.219-8) and "Small Business Subcontracting Plan" (Jan 2002) (52.219-9, Alt II), which are included in this solicitation. If you are a large business, and your offer is \$1,000,000 or more you are required to submit a subcontracting plan with your proposal. Award will not be made under this solicitation without a subcontracting plan approved by the Contracting Officer.

As described in the FEDBIZOPS notification, we consider the following goals reasonable and achievable during the performance of the contract resulting from this solicitation. However, final goals will be negotiated prior to contract award. The Subcontracting Plan will then become a material part of your contract.

- a. <u>70%</u> of planned subcontracting dollars can be placed with all small business concerns.
- b. <u>10%</u> of planned subcontracting dollars can be placed with those small business concerns owned and controlled by socially and economically disadvantaged individuals or Historically Black Colleges and Universities or Minority Institutions. NOTE: b. is a subset of a.
- c. <u>10</u>% of planned subcontracting dollars for small women-owned businesses. NOTE: c. is a subset of a. Also, the women-owned business <u>may</u> meet the definition of a small disadvantaged business. If so, c. will also be a subset of d. (Count firm in all applicable areas.)
- d. <u>3%</u> of planned subcontracting dollars may be placed with HUBZone small business concerns. NOTE: d. is a subset of a. Note: A HUBZone firm may also SDB, womenowned and/or veteran-owned. Count firm in all applicable areas).
- e. <u>3%</u> of planned subcontracting dollars for veteran-owned small business. NOTE: e. is a subset of a. Go to http://www.sba.gov/VETS/ for questions concerning the Veterans Business Development program.
- f. 3% of planned subcontracting dollars may be placed with service-disabled veteranowned small business. NOTE: f. is a subset of a. and e.

Goals included in any proposed plan submitted by you should be at least equal to the ones we are recommending. If lesser goals are proposed, you will have to explain how those goals and your plan represent your best efforts to comply with the policy outlined in the contract clauses.

There are a number of equally important aspects of the plan. You should familiarize yourself with the requirements set forth in the contract clauses relating to the subcontracting plan <u>before</u> submitting a proposal.

Your plan will be reviewed and scored in accordance with AFARS Appendix D to ensure it clearly represents your firm's ability to carry out the terms and conditions set forth in the contract clauses. A Subcontracting Plan with a score of less than 70 may not be accepted. It is recommended that you use the enclosed example as a guide to assist you in developing your own subcontracting plan/program. The example is intended to assist you in developing your own subcontracting plan/program. Delete the instructions shown in parenthesis or your plan for subcontracting to small business will not be approved. If discussions during the evaluation of your subcontracting program raises doubts as to your intentions or ability to comply with FAR clause 52.219-9 it could result in your ineligibility for award.

Your plan must address how you will maximize subcontracting opportunities with the small business communities to be found within the project location. Demonstrated outreach efforts through conference attendance, use of the Central Contractor Registration (CCR) Dynamic Small Business Search, corporate support of your Small Business Program Liaison Officer and Small Business Program must be addressed in your subcontracting plan.

Your Small Business Program Managers' attendance at DOD Regional Council Meetings for Small Business Education and Advocacy will be a contract requirement. **DOD Policy Guidance:** In accordance with the Small Business Act, it is the policy of the federal government to aid, assist, and counsel small business to ensure that a fair share of contracts are awarded to small business. Consistent with this, it is the policy of DOD to sponsor regional councils as one significant way to aid, assist, and counsel large business through education and advocacy *of its members who are charged with the responsibility of fulfilling this federal policy*. Therefore, be advised that the individual listed in paragraph 7 of the example will be required to attend these regional council meetings and that attendance must be addressed in your subcontracting plan. Your plan must be submitted with your price proposal.

Should you have any questions or need assistance in DEVELOPING YOUR SUBCONTRACTING PLAN please call the undersigned at (206) 764-3203. If you need TECHNICAL ASSISTANCE call Sherrye Schmahl at (206) 764-6588.

Sincerely,

Susan K. Sherrell
Acting Deputy for Small Business

Enclosure

NOTE: This is an example plan. You may use this example as a guide in developing your own Small Business Program. Delete all the instructions (parenthesis), including this message, or your plan will be returned.

SMALL BUSINESS SUBCONTRACTING PLAN

	DATE:
CONTRACTOR:	
ADDRESS:	
PHONE NO:	
PROJECT TITLE:	
SOLICITATION NO:	
1. In accordance with the contract clauses at 52.219 submits the following Subcontracting Plan for Sma Business Concerns.	
2. Corresponding dollar values for percentages cite	ed in para. 3 for the base period only:
a. Total contract amount is \$	I (to all types of businesses): I to small business concerns (including 2d, 2e I to small disadvantaged business concerns:
\$	to HUBZone small business: \$
<u>-</u>	ed to veteran-owned small business concerns
3. The following percentage goals (expressed in test subcontracting dollars) are applicable to the contractions of the contraction of the con	
a. Small Business Concerns (2c div. subcontracting dollars under this contract will go to concerns including 3c through 3e.	ided by 2b):% of total planned o subcontractors who are small business

b. Small Disadvantaged Business Concerns (2g divided by 2b):% of total planned subcontracting dollars under this contract will go to subcontractors who are small disadvantaged individuals. (NOTE: SDB firms must be certified by SBA and meet the definition under clause 52.219-8(c)(3)).
c. Small Woman-Owned Business Concerns (2h divided by 2b):% of total planned subcontracting dollars under this contract will go to subcontractors who are small woman-owned businesses
d. Small HUBZone Business Concerns (2f divided by 2b):% of total planned subcontracting dollars under this contract will go to subcontractors who are HUBZone small business contractors. (SEE the definition in contract clause 52.219-8(c) or use the internet: http://www.sba.gov/hubzone/ for further information.)
e. Veteran-owned small business concerns (2d divided by 2b):% of total planned subcontracting dollars under this contract will go to subcontractors who are veteran-owned small business.
f. Service-disabled veteran-owned small business concerns (2e divided by 2b):
4. The principal items or areas we will subcontract under this contract are:
a. Of the items or areas stated in 4; the following are planned to be subcontracted to Small Businesses (LIST THE NAME AND RESPONSIBILITY OF FIRM):
b. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Small Disadvantaged Businesses (LIST THE NAME AND RESPONSIBILITY OF FIRM):
c. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Small Women-Owned Businesses (LIST THE NAME AND RESPONSIBILITY OF FIRM):
d. Of the items or areas stated in 4.a; the following are planned to be subcontracted to HUBZone small business concerns (LIST THE NAME AND RESPONSIBILITY OF FIRM):
e. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Veteran-owned Small Business concerns (LIST THE NAME AND RESPONSIBILITY OF FIRM):

f. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Service-disabled veteran-owned small business concerns (LIST THE NAME

AND RESPONSIBILITY OF FIRM):

NOTE: SEE LAST PAGE IF THIS SOLICITATION HAS OPTION YEARS OR PERIODS (DELETE THIS STATEMENT FROM YOUR PLAN)

- 5. Provide a description of the method your firm used to develop the subcontracting goals in paragraph 3:
- 6. Indirect costs were () were not () used in establishing subcontracting goals. **If indirect costs are included in your goals, furnish a description of the method used to determine the proportionate share of indirect costs to be incurred with (i) small business concerns (ii) small disadvantaged business concerns (iii) women-owned small business concerns (iv) HUBZone small business concerns (v) Veteran-owned small business concerns and (vi) Service-disabled veteran-owned concerns **
- 7. The following individual will administer (name of contractor) Subcontracting Program: (NOTE TO OFFERORS: The individual named here will be expected to perform and manage your plan and contract clause 52.219-9). Site Construction project managers may not be acceptable as your small business advocate that manages your Corporate Small Business Program).

Name:	Job Title:_	
Address and Telephone Number:		

This individual's specific duties with regard to the conduct of our firm's Subcontracting Plan will include, but will not be limited to, the following:

- a. Developing and maintaining bidders lists of small business, HUBZone small business, small disadvantaged business and women-owned small business concerns using sources such as the CCR Dynamic Small Business Search (http://www.ccr.gov/), State Offices of Minority and Women-owned Business Enterprises, Business Development Agency, US Department of Commerce, Local Minority Business Development Centers, Economic Development Centers, and National Center for American Indian Enterprise Development.
- b. Assuring the inclusion of small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns in all solicitations for products or services which they are capable of providing; and ensuring that all solicitations are structured to permit the maximum possible participation by small business concerns, small disadvantaged business concerns, women-owned small business concerns and service-disabled veteran-owned small business concerns.
- c. Establishing and maintaining records of all solicitations and subcontract awards to ensure that the members of the firm who review bidders proposals documents their reasons for selecting or not selecting a bid submitted by a small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small

business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns.

- d. Preparing and submitting the Subcontracting Report for Individual Contracts (SF 294) and the Summary Subcontract Report (SF 295) in accordance with instructions provided, and coordinating and preparing for all compliance reviews by Federal agencies.
- e. Attendance at DOD sponsored training programs in order to develop guidance and training to firm personnel on the policy of the federal government to aid, assist, and counsel small business under this and other government contracts.
- f. Conducting or arranging for all other activities necessary to further the intent and attainment of the goals in the Plan to include motivational training of the firm's purchasing personnel, attendance at workshops, seminars and trade fairs conducted by or on behalf of small business concerns, small disadvantaged business concerns, women-owned small business concerns and service-disabled veteran-owned small business concerns.
- 8. The following steps will be taken to ensure that small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns receive notice of and have an equitable opportunity to compete for intended awards of subcontracts and/or purchase orders for the products and/or services describe in paragraph 4 above:
- a. Sources will be requested through the CCR Dynamic Small Business Search, business development organizations, minority and small business trade associations and at small, minority, veteran small business and women-owned small business procurement conferences; sources will be contacted; and bidding materials will be provided to all responding parties expressing an interest.
- b. Internally, motivational training will be conducted to guide and encourage purchasing personnel; source lists and guides to small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns will be maintained and utilized by purchasing personnel while soliciting subcontracts and purchase orders; activities will be monitored to ensure sufficient time is allowed for interested bidders to prepare their proposals and to evaluate continuing compliance with the Subcontracting Plan.
- 9. [Name of contractor] agrees that the clause entitled "Utilization of Small Business Concerns" (Oct 2000) will be included in all subcontracts that offer further subcontracting opportunities. All subcontractors, except small business concerns, who receive subcontracts in excess of \$500,000 (\$1,000,000 in the case of construction) will be required to adopt a subcontracting plan that complies with the requirements of this clause. Such plans will be reviewed to assure that all minimum requirements of an acceptable subcontracting plan have been satisfied.

- 10. (Name of contractor) agrees to submit such periodic reports and cooperate in any studies or surveys as may be required by the Contracting agency or Small Business Administration in order to determine the extent of compliance by the offeror with the subcontracting plan and with the clause entitled "Utilization of Small Business Concerns" contained in the contract.
- 11. (Name of Contractor) agrees to maintain at least the following types of records to document compliance with the Subcontracting Plan:
- a. The names of all organizations, agencies, and associations contacted for small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns along with records of attendance at conferences, seminars and trade fairs where additional sources were developed.
- b. Source lists, guides, and other data identifying small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns.
- c. Records on all subcontract solicitations resulting in an award of more than \$100,000 on a contract-by-contract basis, indicating (1) whether small business concerns were solicited, and if not, why not; (2) whether veteran-owned small business concerns were solicited, and if not, why not; (3) whether service-disable veteran-owned small business concerns were solicited, and if not, why not; (4) whether HUBZone small business were solicited, and if not, why not; and (6) whether small disadvantaged business concerns were solicited, and if not, why not; and (7) reasons for the failure of solicited small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns to receive a subcontract award.
- d. Records of all subcontract award data to include subcontractor's name and address, to be kept on a contract-by-contract basis.
- e. Minutes of internal motivational and training meetings held for the guidance and encouragement of purchasing personnel, and records of all monitoring activities performed for compliance evaluation.
- f. Copies of SF 294 and SF 295 showing date and place of filing and copies of all other reports or results of reviews conducted by the contracting agency or other interested agencies of the Federal government to monitor our compliance with this Subcontracting Plan.
- 12. (Name of Contractor) will submit a SF 295, Summary Subcontract Report, on Corps of Engineers projects only. The SF 295 shall be completed and distributed in accordance with the Corps of Engineers Supplemental Instructions. (Name of Contractor) will not report Corps of Engineers projects through any other Agency unless authorized by the Contracting Officer.

13. In closing, (Name of contractor) states that it will be the policy of (Name of contractor) to afford every practicable opportunity for small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns to participate in contracts awarded to (Name of contractor) by the Federal Government, to ensure that equitable opportunity is provided small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns to compete for award of subcontracts and purchase orders, and to diligently pursue the achievement of our goals of participation by small business concerns, small disadvantaged business concerns, women-owned small business concerns, HUBZone small business concerns, veteran-owned small business concerns and service-disabled veteran-owned small business concerns in the dollars available for subcontract/purchase order awards under this contract.

BY:		
_		DATE:
	Signature and Title of CEO	
	Company Name	

NOTE: If this solicitation has options (or option periods) , the plan must contain separate goals for *each* option or option period (year). EXAMPLE:

1. Ontional Way	<u>Dollars</u>	<u>Percentage</u>
1. Optional Yr total:	\$	
2. Total to be subcontracted to all types of businesses:	\$	
a. Subcontracted to Small Business (including b, c, d, e, and f below):	\$	
b. Subcontracted to Small Disadvantaged Businesses:	\$	·
c. Subcontracted to Women-Owned Small Businesses:	\$	
d. Subcontracted to HUBZone concerns	\$	
e. Subcontracted to Veteran-owned Small Business:	\$	
f. Subcontracted to Service-disabled Veteran-owned Small Business	\$	
1. Optional Yr total:	\$	
2. Total to be subcontracted to all types of businesses:	\$. <u></u>
a. Subcontracted to Small Business (including b, c, d, e, and f below):	\$	· -
b. Subcontracted to Small Disadvantaged Businesses:	\$	
c. Subcontracted to Women-Owned Small Businesses:	\$	
d. Subcontracted to HUBZone concerns	\$	
e. Subcontracted to Veteran-owned Small Business:	\$.
f. Subcontracted to Service-disabled Veteran-owned Small Business	\$	



Section 00100 - Bidding Schedule/Instructions to Bidders

52.204-6	Data Universal Numbering System (DUNS) Number	OCT 2003
52.214-34	Submission Of Offers In The English Language	APR 1991
52.214-35	Submission Of Offers In U.S. Currency	APR 1991
52.214-4022	Basis of Award	DEC 1999
52.214-5000	Apparent Clerical Mistakes	MAY 1999
52.215-1	Instructions to Offerors Competitive Acquisition	JAN 2004
52.216-1	Type Of Contract	APR 1984
52.217-5	Evaluation Of Options	JUL 1990
52.219-24	Small Disadvantaged Business Participation ProgramTargets	OCT 2000
52.228-1	Bid Guarantee	SEP 1996
52.228-4001	Information Regarding Performance and Payment Bonds (FAR	FEB 2001
	28.102)	
52.228-4003	Individual Sureties	DEC 1999
52.232-13	Notice Of Progress Payments	APR 1984
52.233-2	Service Of Protest	AUG 1996
52.236-28	Preparation of Proposals Construction	OCT 1997
52.236-4902	Magnitude of Construction	DEC 1999

CLAUSES INCORPORATED BY FULL TEXT

52.204-6 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (OCT 2003)

- (a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" or "DUNS+4" followed by the DUNS number or "DUNS+4" that identifies the offeror's name and address exactly as stated in the offer. The DUNS number is a nine-digit number assigned by Dun and Bradstreet, Inc. The DUNS+4 is the DUNS number plus a 4-character suffix that may be assigned at the discretion of the offeror to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see Subpart 32.11) for the same parent concern.
- (b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.
- (1) An offeror may obtain a DUNS number--
- (i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at http://www.dnb.com; or
- (ii) If located outside the United States, by contacting the local Dun and Bradstreet office.
- (2) The offeror should be prepared to provide the following information:
- (i) Company legal business name.
- (ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.
- (iii) Company physical street address, city, state and Zip Code.

- (iv) Company mailing address, city, state and Zip Code (if separate from physical).
- (v) Company telephone number.
- (vi) Date the company was started.
- (vii) Number of employees at your location.
- (viii) Chief executive officer/key manager.
- (ix) Line of business (industry).
- (x) Company Headquarters name and address (reporting relationship within your entity).

(End of provision)

52.214-34 SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 1991)

Offers submitted in response to this solicitation shall be in the English language. Offers received in other than English shall be rejected.

(End of provision)

52.214-35 SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)

Offers submitted in response to this solicitation shall be in terms of U.S. dollars. Offers received in other than U.S. dollars shall be rejected.

(End of provision)

Basis of Award (52.214-4022)

Notwithstanding any other provision of this invitation, the Government will award all base bid items as a minimum.

52.214-5000 APPARENT CLERICAL MISTAKES (MAR 1995)--EFARS

- (a) For the purpose of initial evaluations of bids, the following will be utilized in the resolving arithmetic discrepancies found on the face of bidding schedule as submitted by the bidder:
 - (1) Obviously misplaced decimal points will be corrected;
 - (2) Discrepancy between unit price and extended price, the unit price will govern;
 - (3) Apparent errors in extension of unit prices will be corrected;
 - (4) Apparent errors in addition of lump-sum and extended prices will be corrected.
- (b) For the purpose of bid evaluation, the government will proceed on the assumption that the bidder intends his bid to be evaluated on basis of the unit prices, the totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.
- (c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low. (End of statement)

52.215-1 INSTRUCTIONS TO OFFERORS--COMPETITIVE ACQUISITION (JAN 2004)

- (a) Definitions. As used in this provision--
- "Discussions" are negotiations that occur after establishment of the competitive range that may, at the Contracting Officer's discretion, result in the offeror being allowed to revise its proposal.
- "In writing or written" means any worded or numbered expression which can be read, reproduced, and later communicated, and includes electronically transmitted and stored information.
- "Proposal modification" is a change made to a proposal before the solicitation's closing date and time, or made in response to an amendment, or made to correct a mistake at any time before award.
- "Proposal revision" is a change to a proposal made after the solicitation closing date, at the request of or as allowed by a Contracting Officer as the result of negotiations.
- "Time", if stated as a number of days, is calculated using calendar days, unless otherwise specified, and will include Saturdays, Sundays, and legal holidays. However, if the last day falls on a Saturday, Sunday, or legal holiday, then the period shall include the next working day.
- (b) Amendments to solicitations. If this solicitation is amended, all terms and conditions that are not amended remain unchanged. Offerors shall acknowledge receipt of any amendment to this solicitation by the date and time specified in the amendment(s).
- (c) Submission, modification, revision, and withdrawal of proposals. (1) Unless other methods (e.g., electronic commerce or facsimile) are permitted in the solicitation, proposals and modifications to proposals shall be submitted in paper media in sealed envelopes or packages (i) addressed to the office specified in the solicitation, and (ii) showing the time and date specified for receipt, the solicitation number, and the name and address of the offeror. Offerors using commercial carriers should ensure that the proposal is marked on the outermost wrapper with the information in paragraphs (c)(1)(i) and (c)(1)(ii) of this provision.
- (2) The first page of the proposal must show--
- (i) The solicitation number;
- (ii) The name, address, and telephone and facsimile numbers of the offeror (and electronic address if available);
- (iii) A statement specifying the extent of agreement with all terms, conditions, and provisions included in the solicitation and agreement to furnish any or all items upon which prices are offered at the price set opposite each item:
- (iv) Names, titles, and telephone and facsimile numbers (and electronic addresses if available) of persons authorized to negotiate on the offeror's behalf with the Government in connection with this solicitation; and
- (v) Name, title, and signature of person authorized to sign the proposal. Proposals signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the issuing office.
- (3) Submission, modification, or revision, of proposals.

- (i) Offerors are responsible for submitting proposals, and any modifications, or revisions, so as to reach the Government office designated in the solicitation by the time specified in the solicitation. If no time is specified in the solicitation, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that proposal or revision is due.
- (ii)(A) Any proposal, modification, or revision received at the Government office designated in the solicitation after the exact time specified for receipt of offers is "late" and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late offer would not unduly delay the acquisition; and-
- (1) If it was transmitted through an electronic commerce method authorized by the solicitation, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of proposals; or
- (2) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of offers and was under the Government's control prior to the time set for receipt of offers; or
- (3) It is the only proposal received.
- (B) However, a late modification of an otherwise successful proposal that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.
- (iii) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the proposal wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.
- (iv) If an emergency or unanticipated event interrupts normal Government processes so that proposals cannot be received at the office designated for receipt of proposals by the exact time specified in the solicitation, and urgent Government requirements preclude amendment of the solicitation, the time specified for receipt of proposals will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.
- (v) Proposals may be withdrawn by written notice received at any time before award. Oral proposals in response to oral solicitations may be withdrawn orally. If the solicitation authorizes facsimile proposals, proposals may be withdrawn via facsimile received at any time before award, subject to the conditions specified in the provision at 52.215-5, Facsimile Proposals. Proposals may be withdrawn in person by an offeror or an authorized representative, if the identity of the person requesting withdrawal is established and the person signs a receipt for the proposal before award.
- (4) Unless otherwise specified in the solicitation, the offeror may propose to provide any item or combination of items.
- (5) Offerors shall submit proposals in response to this solicitation in English, unless otherwise permitted by the solicitation, and in U.S. dollars, unless the provision at FAR 52.225-17, Evaluation of Foreign Currency Offers, is included in the solicitation.
- (6) Offerors may submit modifications to their proposals at any time before the solicitation closing date and time, and may submit modifications in response to an amendment, or to correct a mistake at any time before award.
- (7) Offerors may submit revised proposals only if requested or allowed by the Contracting Officer.
- (8) Proposals may be withdrawn at any time before award. Withdrawals are effective upon receipt of notice by the Contracting Officer.

- (d) Offer expiration date. Proposals in response to this solicitation will be valid for the number of days specified on the solicitation cover sheet (unless a different period is proposed by the offeror).
- (e) Restriction on disclosure and use of data. Offerors that include in their proposals data that they do not want disclosed to the public for any purpose, or used by the Government except for evaluation purposes, shall--
- (1) Mark the title page with the following legend: This proposal includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed—in whole or in part—for any purpose other than to evaluate this proposal. If, however, a contract is awarded to this offeror as a result of—or in connection with— the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are contained in sheets [insert numbers or other identification of sheets]; and
- (2) Mark each sheet of data it wishes to restrict with the following legend: Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this proposal.
- (f) Contract award. (1) The Government intends to award a contract or contracts resulting from this solicitation to the responsible offeror(s) whose proposal(s) represents the best value after evaluation in accordance with the factors and subfactors in the solicitation.
- (2) The Government may reject any or all proposals if such action is in the Government's interest.
- (3) The Government may waive informalities and minor irregularities in proposals received.
- (4) The Government intends to evaluate proposals and award a contract without discussions with offerors (except clarifications as described in FAR 15.306(a)). Therefore, the offeror's initial proposal should contain the offeror's best terms from a cost or price and technical standpoint. The Government reserves the right to conduct discussions if the Contracting Officer later determines them to be necessary. If the Contracting Officer determines that the number of proposals that would otherwise be in the competitive range exceeds the number at which an efficient competition can be conducted, the Contracting Officer may limit the number of proposals in the competitive range to the greatest number that will permit an efficient competition among the most highly rated proposals.
- (5) The Government reserves the right to make an award on any item for a quantity less than the quantity offered, at the unit cost or prices offered, unless the offeror specifies otherwise in the proposal.
- (6) The Government reserves the right to make multiple awards if, after considering the additional administrative costs, it is in the Government's best interest to do so.
- (7) Exchanges with offerors after receipt of a proposal do not constitute a rejection or counteroffer by the Government.
- (8) The Government may determine that a proposal is unacceptable if the prices proposed are materially unbalanced between line items or subline items. Unbalanced pricing exists when, despite an acceptable total evaluated price, the price of one or more contract line items is significantly overstated or understated as indicated by the application of cost or price analysis techniques. A proposal may be rejected if the Contracting Officer determines that the lack of balance poses an unacceptable risk to the Government.
- (9) If a cost realism analysis is performed, cost realism may be considered by the source selection authority in evaluating performance or schedule risk.

- (10) A written award or acceptance of proposal mailed or otherwise furnished to the successful offeror within the time specified in the proposal shall result in a binding contract without further action by either party.
- (11) If a post-award debriefing is given to requesting offerors, the Government shall disclose the following information, if applicable:
- (i) The agency's evaluation of the significant weak or deficient factors in the debriefed offeror's offer.
- (ii) The overall evaluated cost or price and technical rating of the successful and the debriefed offeror and past performance information on the debriefed offeror.
- (iii) The overall ranking of all offerors, when any ranking was developed by the agency during source selection.
- (iv) A summary of the rationale for award.
- (v) For acquisitions of commercial items, the make and model of the item to be delivered by the successful offeror.
- (vi) Reasonable responses to relevant questions posed by the debriefed offeror as to whether source-selection procedures set forth in the solicitation, applicable regulations, and other applicable authorities were followed by the agency.

(End of provision)

52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a **Firm Fixed-Price** contract resulting from this solicitation.

(End of clause)

52.217-5 EVALUATION OF OPTIONS (JUL 1990)

Except when it is determined in accordance with FAR 17.206(b) not to be in the Government's best interests, the Government will evaluate offers for award purposes by adding the total price for all options to the total price for the basic requirement. Evaluation of options will not obligate the Government to exercise the option(s).

(End of provision)

52.219-24 SMALL DISADVANTAGED BUSINESS PARTICIPATION PROGRAM--TARGETS (OCT 2000)

- (a) This solicitation contains a source selection factor or subfactor related to the participation of small disadvantaged business (SDB) concerns in the contract. Credit under that evaluation factor or subfactor is not available to an SDB concern that qualifies for a price evaluation adjustment under the clause at FAR 52.219-23, Notice of Price Evaluation Adjustment for Small Disadvantaged Business Concerns, unless the SDB concern specifically waives the price evaluation adjustment.
- (b) In order to receive credit under the source selection factor or subfactor, the offeror must provide, with its offer, targets, expressed as dollars and percentages of total contract value, for SDB participation in any of the North

American Industry Classification System (NAICS Industry Subsectors as determined by the Department of Commerce. The targets may provide for participation by a prime contractor, joint venture partner, teaming arrangement member, or subcontractor; however, the targets for subcontractors must be listed separately.

(End of provision)

52.228-1 BID GUARANTEE (SEP 1996)

- (a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.
- (b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.-
- (c) The amount of the bid guarantee shall be twenty (20) percent of the bid price or \$3,000,000, whichever is less.
- (d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.-
- (e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

(End of clause)

INFORMATION REGARDING PERFORMANCE AND PAYMENT BONDS (FAR 28.102) (52.228-4001) FEB 2001

Within 10 days after the prescribed forms are presented to the bidder to whom award is made, unless a shorter time is prescribed in the contract, two bonds, namely a performance bond (Standard Form 25) and a payment bond (Standard Form 25A), shall be executed and furnished to the Government, each with good and sufficient surety or sureties acceptable to the Government. The penal sums of such bonds shall be as follows:

- (1) Performance Bond. The penal sum of the performance bond shall equal one hundred percent (100%) of the contract price.
- (2) Payment Bond. The penal sum of the payment bond shall equal one hundred percent (100%) of the contract price.

Any bonds furnished must be furnished by the Contractor to the Government prior to commencement of contract performance.

INDIVIDUAL SURETIES (52.228-4003) DEC 1999

As prescribed in FAR 28.203, individual sureties are acceptable for all types of bonds except position schedule bonds.

One individual surety is adequate support for a bond, provided the unencumbered value of the assets pledged by that individual surety equal or exceed the amount of the bond. An offeror may submit up to three individual sureties for each bond, in which case the pledged assets, when combined, must equal or exceed the penal amount of the bond. Each individual surety must accept both joint and several liability to the extent of the penal amount of the bond.

An individual surety may be accepted only if a security interest in acceptable assets is provided to the Government by the individual surety. THE SECURITY INTEREST SHALL BE FURNISHED WITH THE BOND. Acceptable assets include:

- (a) Cash, or certificates of deposit, or other cash equivalents with a federally insured financial institution;
- (b) United States Government securities at market value.
- (c) Stocks and bonds actively traded on a national U.S. security exchange with certificates issued in the name of the individual surety. (See FAR 28.203-2(b)(3) for list of acceptable exchanges).
- (d) Real property owned in fee simple by the surety without any form of concurrent ownership, escept as provided in FAR 28.203-2(c) (3)(iii), and located within the 50 United States, its territories, or possessions. These assets will be accepted at 100% of the most current tax assessment value (exclusive of encumbrances) or 75% of the properties' unencumbered market value provided a current appraisal is furnished. (See clause entitled "Pledges of Assets").
- (e) Irrevocable letters of credit (ILC) issued by a federally insured financial institution in the name of the contracting agency and which identify the agency and solicitation or contract number for which the ILC is provided.

Unacceptable assets include but are not limited to:

- (a) Notes or accounts receivable;
- (b) Foreign securities;
- (c) Real property as follows:
 - (1) Real property located outside the United States, its territories, or possessions.
 - (2) Real property which is a principal residence of the surety.
- (3) Real property owned concurrently regardless of the form of co-tenancy (including joint tenancy, tenancy by the entirety, and tenancy in common) except where all co-tenants agree to act jointly.
 - (4) Life estates, leasehold estates, or future interests in real property.
 - (d) Personal property other than that listed as acceptable assets above (e.g., jewelry, furs, antiques);
- (e) Stocks and bonds of the individual surety in a controlled, affiliated, or closely held concern of the offeror/contractor:
 - (f) corporate assets (e.g., plant and equipment);
 - (g) Speculative assets (e.g., mineral rights);
 - (h) Letters of credit, except as provided above.

In order for the Contracting Officer to determine the acceptability of individuals proposed as sureties, all bidders/offerors who submit bonds which are executed by individual sureties shall furnish with the bonds:

- (a) SF28, Affidavit of Individual Surety,
- (b) Security interest provided to the Government for all pledged assets (See clause entitled "Pledge of Assets") and
- (c) A current list of all other bonds (including Bid Bonds) on which each individual surety is a surety and bonds for which the individual is requesting to be a surety, together with a statement as to the percent of completion of these bonded jobs. The list will include Contract or Solicitation Numbers, the name, address and telephone number of the contracting office, the type of bond (bid, performance or payment), and the amount of each original obligation. (Note: Performance and Payment bonds must be listed separately.)

Failure to furnish this information may result in non-approval of the surety and a determination of nonresponsibility.

52.232-13 NOTICE OF PROGRESS PAYMENTS (APR 1984)

The need for customary progress payments conforming to the regulations in Subpart 32.5 of the Federal Acquisition

Regulation (FAR) will not be considered as a handicap or adverse factor in the award of the contract. The Progress Payments clause included in this solicitation will be included in any resulting contract, modified or altered if necessary in accordance with subsection 52.232-16 and its Alternate I of the FAR. Even though the clause is included in the contract, the clause shall be inoperative during any time the contractor's accounting system and controls are determined by the Government to be inadequate for segregation and accumulation of contract costs.

(End of clause)

52.233-2 SERVICE OF PROTEST (AUG 1996)

- (a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from Cheryl A. Anderson, Contracting Division, Post Office Box 3755, Seattle, WA 98124-3755.
- (b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

52.236-28 PREPARATION OF PROPOSALS -- CONSTRUCTION (OCT 1997)

- (a) Proposals must be (1) submitted on the forms furnished by the Government or on copies of those forms, and (2) manually signed. The person signing a proposal must initial each erasure or change appearing on any proposal form.
- (b) The proposal form may require offerors to submit proposed prices for one or more items on various bases, including--
- (1) Lump sum price;
- (2) Alternate prices;
- (3) Units of construction; or
- (4) Any combination of paragraphs (b)(1) through (b)(3) of this provision.
- (c) If the solicitation requires submission of a proposal on all items, failure to do so may result in the proposal being rejected without further consideration. If a proposal on all items is not required, offerors should insert the words "no proposal" in the space provided for any item on which no price is submitted.
- (d) Alternate proposals will not be considered unless this solicitation authorizes their submission.

(End of provision)

MAGNITUDE OF CONSTRUCTION (FAR 36.204) (52. 236-4902) DEC 1999

(a) Amount of Construction for this solicitation is in the range of \$1,000,000 to \$5,000,000.



1. <u>INTRODUCTION</u>:

- 1.1 Your firm is invited to submit a proposal in response to Request for Proposals (RFP) No. W912DW-04-R-0017 entitled Central Heat Plant Application of Low Emissions Tech., Malmstrom AFB, Montana. This RFP establishes project requirements and provides procedures, format, and other data to assist offerors in preparing their proposals. It is the intent of the Government to make award based upon initial offers, without further discussions or additional information. A contract will be awarded to the firm submitting the proposal that conforms to the RFP, is considered to provide the most advantageous offer in terms of the evaluation factors, including price, and is determined to be in the best interest of the Government.
- 1.2 This project consists of removing existing burners and controls on two High Temperature Hot Water (HTHW) Generators and furnishing and installing natural gas burners. This will require the contractor to modify and repair existing HTHW generators as well as furnishing and installing: air heater baskets and seals on air heaters, new Variable Frequency Drives (VFD's) on Induced Draft (ID) fans, new ID fan drive motors, Spray Dryer Absorber (SDA) inlet temperature control bypass ductwork, opacity monitor purge air fans, an ash unloader system (possible option item) (including a pug mill, rotary feeder, and knife gate valve), a load simulator and interconnecting piping, a plant air receiver and instrument air receiver, desiccant air dryer, air compressor and interconnecting piping, instrument air supply for modifications requiring instrument air. The contractor will be responsible for demolitions and relocations work required for the above modifications as well as furnishing and placing concrete, steel and electrical work and all controls associated with the above modifications.

2. EVALUATION FACTORS:

- 2.1 Proposals will be evaluated on the basis of two criteria, **TECHNICAL** and **PRICE**. Award will be based upon evaluation of the technical criteria listed below, and price proposals.
- 2.2 **TECHNICAL EVALUATION CRITERIA:** The technical criteria, listed in **descending order of importance**, are as follows:
 - 1. Relevant Experience of the Prime
 - 2. Qualifications of Key Team Members
 - 3. Past Performance of the Prime
 - 4. Project Schedule
 - 5. Temporary Heat Plan
 - 6. Extent of Small Business Participation
- 2.3 **RELATIVE IMPORTANCE DEFINITIONS:** For this evaluation, the following terms will be used to establish the relative importance of the technical criteria:

- **More Important:** The criterion is (two) times more important in value to the Government than another criterion.

2.4 SUMMARY OF ORDER OF IMPORTANCE for Technical Criteria:

- Criterion 1, 2, and 3 are equal to each other and are each more important than criterion 4 and 5.
 - Criterion 4 and 5 are equal to each other and are each more important than criterion 6.
- 2.5 **EVALUATION STANDARDS.** Evaluation criteria will be rated using the following adjectival descriptions.

OUTSTANDING - Information submitted demonstrates offeror's potential to significantly exceed performance or capability standards. The offeror has clearly demonstrated an understanding of all aspects of the requirements to the extent that timely and the highest quality performance are anticipated. Has exceptional strengths that will significantly benefit the Government. The offeror convincingly demonstrated that the RFP requirements have been analyzed, evaluated, and synthesized into approaches, plans, and techniques that, when implemented, should result in outstanding, effective, efficient, and economical performance under the contract. Significantly exceeds most or all solicitation requirements. **VERY HIGH PROBABILITY OF SUCCESS.**

ABOVE AVERAGE - Information submitted demonstrates offeror's potential to exceed performance or capability standards. Has one or more strengths that will benefit the Government. The areas in which the offeror exceeds the requirements are anticipated to result in a high level of efficiency or productivity or quality. The submittal contains excellent features that will likely produce results very beneficial to the Government. Fully meets all RFP requirements and significantly exceeds many of the RFP requirements. Disadvantages are minimal. **HIGH PROBABILITY OF SUCCESS.**

SATISFACTORY (Neutral) - Information submitted demonstrates offeror's potential to meet performance or capability standards. An acceptable solution is provided. Either meets all RFP requirements for the criterion or contains weaknesses in some areas that are offset by strengths in other areas. A rating of "Satisfactory" indicates that, in terms of the specific criterion (or sub-criterion), the offeror has a reasonable probability of success, as there is sufficient confidence that a fully compliant level of performance will be achieved. The proposal demonstrates an adequate understanding of the scope and depth of the RFP requirements. No significant advantages or disadvantages. Equates to neutral. **REASONABLE PROBABILITY OF SUCCESS.**

MARGINAL – The submittal is not adequately responsive or does not address the specific criterion. The offeror's interpretation of the Government's requirements is so superficial, incomplete, vague, incompatible, incomprehensible, or incorrect as to be considered deficient. Proposal does not meet some of the minimum requirements. The assignment of a rating within the bounds of "Marginal" indicates that mandatory corrective action would be

required to prevent significant deficiencies from affecting the overall project. The offeror's plans or approach will likely result in questionable quality of performance, which represents a moderate level of risk to the Government. Low probability of success although the submittal has a reasonable chance of becoming at least acceptable. Significant disadvantages. **LOW PROBABILITY OF SUCCESS.**

UNSATISFACTORY – Fails to meet performance or capability standards. Unacceptable. Requirements can only be met with major changes to the submittal. There is no reasonable expectation that acceptable performance would be achieved. The proposal contains many deficiencies and/or gross omissions; fails to provide a reasonable, logical approach to fulfilling much of the Government's requirements; and/or fails to meet most or all of the minimum requirements. Very significant disadvantages. VERY LOW PROBABILITY OF SUCCESS.

2.6 DEFINITIONS OF STRENGTH, WEAKNESS AND DEFICIENCY:

Strength: A substantive aspect, attribute, or specific item in the proposal that exceeds the solicitation requirements and enhances the probability of successful contract performance.

Weakness: A flaw in the proposal that increases the risk of unsuccessful contract performance (i.e., meets the RFP requirements, but may have an impact on schedule or quality requirements). A *weakness need not be corrected* for a proposal to be considered for award, but *may* affect the offeror's rating.

Deficiency: A material failure of a proposal to meet the Government requirement or a combination of significant weaknesses in a proposal that increases the risk of contract performance at an unacceptable level. A deficiency *must be corrected* for a proposal to be considered for award.

3. <u>PROPOSAL CONTENTS</u>: Proposals shall be submitted in two parts: (a) Technical proposal and (b) Price proposal. Each part shall be submitted in a separate envelope/package, with the type of proposal (i.e., Technical or Price) clearly printed on the outside of the envelope/package. For ease of evaluation, submit the proposal following the same organization and title format as specified in paragraph 4.3.6 SUMMARY OF TECHNICAL PROPOSAL FORMAT (for the technical proposal) and paragraph 5.1 SUMMARY OF PRICE PROPOSAL FORMAT (for the price proposal).

4. TECHNICAL PROPOSAL:

- 4.1 A **COVER LETTER** should be the **first page** of the technical proposal and should include (**do not put this in the price proposal**):
 - (a) Solicitation number.

- (b) Name, address, and telephone and facsimile numbers of the firm signing the SF 1442 (and electronic address).
- (c) Names, titles and telephone and facsimile numbers (and electronic addresses) of persons authorized to negotiate on the firm's behalf with the Government in connection with this solicitation.
 - (d) Name, title, and signature of the person authorized to sign the proposal.
- (e) A statement specifying agreement with all terms, conditions, and provisions included in the solicitation and agreement to furnish any and all items upon which prices are offered at the proposed item prices.
- (f) **FINAL PROPOSAL REVISION:** If required to submit a Final Proposal Revision, the accompanying cover letter must identify all changes made to the firm's initial proposal.
- 4.2 **GENERAL TECHNICAL PROPOSAL REQUIREMENTS:** Offerors submitting proposals for this project should limit submissions to data essential for evaluation of proposals so that a minimum of time and monies will have been expended in preparing information required herein. Elaborate artwork, expensive paper and bindings, and expensive/extensive visual and other presentation aids are unnecessary. However, in order to be effectively and equitably evaluated, the proposals must include information sufficiently detailed to clearly describe the offeror's experience and management capabilities to successfully complete the project. Any deviations from requirements should be clearly noted and justified in the proposal.

4.3 MINIMUM SUBMITTAL REQUIREMENTS FOR TECHNICAL PROPOSAL:

4.3.1 RELEVANT EXPERIENCE OF THE PRIME.

Submittal Requirements: Provide three (3) projects for the prime construction firm demonstrating relevant experience in the \$1,000,000 to \$5,000,000 range, or aggregate value of \$6,000,000 over a 10-year period. "Relevant experience" is defined as experience constructing facilities similar to the project in this solicitation in scope, complexity, and dollar value, such as large boilers, pressure piping systems, and Bailey control systems. Only relevant projects currently in progress (at least 75% complete) or completed within the past ten (10) years shall be submitted. Start with the most recent and relevant projects and work backwards in time. The projects selected should clearly demonstrate the construction capabilities of the Offeror in one or more of the areas described in this paragraph.

Use a format similar to that shown in the table below to present this information.

Project Title & Location
Project Type (e.g., design-build (DB), construction (C))
Dollar Value (design \$; construction \$)
Start & Completion Dates (Month/Year)
Role of Firm(s) (e.g., prime) (address type of work performed and percentage of work, as

applicable)

Brief Description of Project (address how this relates to solicitation project)

Customer Point of Contact (i.e., name, relationship to project, agency/firm affiliation, city, state, current phone no.)

Awards or recognition received (if applicable)

Firms on the proposed team that performed this project

Evaluation Method: This criterion will be evaluated for the quantity and quality of experience demonstrated. The greater the relevance and the more recent the prior project experience, the higher the rating assigned during evaluations. Demonstration of experience in completing projects that had the unique characteristics of the proposed project will be evaluated favorably. Projects involving co-firing (simultaneous natural gas and coal) and stoker applications combustion control installations (Bailey/ABB INFI-90) on boilers of equal or larger size to those in this solicitation that have been in satisfactory operation for two (2) years may be given more consideration.

4.3.2 QUALIFICATIONS OF KEY TEAM MEMBERS

Submittal Requirements: The Offeror shall submit the names and résumés for key construction personnel that will be assigned to this project. In addition, the Offeror shall provide a concise summary of the duties and responsibilities for each of the proposed individuals which clearly indicates separate duties and responsibilities for each of the following positions; Project Superintendent, Project Manager, and Contractor Quality Control System Manager. The proposal must clearly present the separate credentials for each position of each person performing the duties of the position to which they are identified. Resumes must include a maximum of three (3) examples of project experience, and educational qualifications, if applicable. For project experience, provide the same information as described in 4.3.3 below. It is expected that the proposed key team members will be the individuals who perform work under the contract. **The contracting officer must approve substitute personnel.** Resumes should be no more than two (2) pages per individual and submitted in a format similar to the one below. As a minimum, the contractor shall include data on the following personnel:

- **4.2.1. Project Superintendent**: The Project Superintendent shall have no less than 5 years experience as a project superintendent on construction projects of similar scope, size and complexity. The experience must demonstrate construction knowledge and ability to manage technically complex mechanical projects and be consistent with the type of construction provided for in this solicitation.
- **4.2.2. Project Manager:** The Project Manager shall have a baccalaureate degree in a relevant field such as engineering or construction management with a minimum of three (3) projects that demonstrates the ability to construct projects similar in scope, cost and complexity to this contract **or** a person in the construction field with a minimum of 5 years in as a project manager on projects of the same scope, size and complexity of this contract.

4.2.3. CQC System Manager: The CQC (Contractor Quality Control) System Manager shall be a mechanical engineer with a minimum of five (5) years experience in related work or a mechanical engineering technician with ten (10) years of experience and five (5) years of experience in work related to this project. This experience must have occurred within the past ten (10) years.

4.2.4. Resume Format For Key Team Members. Resumes should be no more than two (2) pages per individual and submitted in a format similar to the one below:

RESUME FORMAT

Name and Title

- 1. Proposed Duties/Functions for this project
- 2. Firm Affiliation and Years Affiliated
- 3. Years of Experience performing duties/functions as proposed for this project.
- 4. Education School attended, Degree, Certification, Year, and Specialization
- 5. List Active Registrations (Professional or Technical Licenses/Certifications)
- 6. Describe Specific Qualifications for this project
- 7. List Projects worked on to Include:

Project Title & Location

Scope, Size and Complexity

Duties/Functions

Date of project

8. Demonstrate how each project submitted is relevant to the project to be constructed under this solicitation

Evaluation Method: The more recent, and the greater the extent and relevance, of the team members' qualifications, prior project experience, and active registrations, the higher the rating assigned for this criterion during evaluations. Only one individual for each of the key personnel categories listed above will be evaluated.

4.3.3 PAST PERFORMANCE OF THE PRIME

Submittal Requirements: The Government will utilize performance evaluations contained in the Construction Contract Administration Support System (CCASS) to evaluate this criterion. All performance ratings for the past ten (10) years shall be considered. If an offeror does not have past performance available in CCASS or wishes to augment the CCASS system ratings, the offeror may ask customers to submit the Customer Satisfaction Survey form found at the end of this section.

For each project constructed for Private Industry, provide a completed Customer Satisfaction Survey for each applicable project that is currently under construction (at least 75% complete) or that was completed within the last ten (10) years. No more than five (5) customer satisfaction surveys will be considered for the prime firm for work not listed in the CCASS system. All Customer Satisfaction Surveys must be submitted to the Government from the customer or agency that is providing the information. Further instructions are found on the Customer Satisfaction Survey.

Submit a list of all customers (including current Point of Contact, phone number, and electronic address) who were requested to provide Customer Satisfaction Surveys.

Should offerors want to review the performance evaluation ratings contained in the Corps of Engineers CCASS Database, they may request the information by fax on company letterhead at the following number: (503) 808-4596.

Evaluation Method. The Government will evaluate the relative merits of each offeror's past performance. The Government reserves the right to consider all aspects of an offeror's performance history but will first evaluate the performance of those projects listed in 4.3.1 and 4.3.2. Projects involving co-firing (simultaneous natural gas and coal) and stoker applications combustion control installations (Bailey/ABB INFI-90) on boilers of equal or larger size to those in this solicitation that have been in satisfactory operation for two (2) years may be given more consideration. The Government reserves the right to contact the evaluators on previous Government or Private Sector work to verify the offeror's construction experience. In the case of an offeror without a record of past performance or for whom information on past performance is not available, the offeror **may not be evaluated as favorable or unfavorable** on past performance (See FAR 15.305(a)(2)(iv)).

4.3.4 **PROJECT SCHEDULE**

Submittal Requirements: The contractor shall provide an outline of the plan for construction in the form of milestone scaled (Gantt Chart) summary network diagram and shall graphically indicate sequences proposed to accomplish each milestone work operation and appropriate interdependencies between various milestone events. The chart shall be prepared in different color codes or graphic symbologies to differentiate base and option events. Identify critical elements of construction that could delay the entire project. The chart shall show the starting and completion times of all major events on a linear horizontal time scale beginning with the notice to proceed with the base contract items and indicating calendar days to completion of all options. The offeror must state the total number of calendar days proposed from receipt of initial notice to proceed through completion of construction of all options. Offerors should base their schedule on the information provided in the following Sections of the RFP: Section 00800, SC-1 Commencement, Prosecution and Completion of Work. Limit the activities to those critical to timely overall completion of the project

Evaluation Method: Consideration will be given for a schedule that identifies all critical elements that could delay the entire project. A schedule that is complete, reasonable, and realistic for this project may be given more consideration.

4.3.5 TEMPORARY HEAT PLAN

Submittal Requirements: Contractor shall provide a detailed plan to demonstrate how the firm will provide temporary heat to those buildings identified in Section 1100 of the

specifications whose sole heat source is the Central Heat Plant. Temporary heat shall be provided by "sidewalk" HTHW generators, or steam boilers operating in conjunction with steam to HTHW converters. HTHW shall be provided at a minimum temperature of 350 degrees F, and the number of generators/boilers shall be as required to provide a minimum total output capacity of 55 MMBTUH (55,000,000 BTUH). The fuel source for the temporary generating units shall be natural gas. Natural gas shall be provided by the government, however the contractor shall be responsible for all gas piping connections, as well as all temporary HTHW and steam generator connections to provide HTHW to the district heating system. Electrical power for operating the generators/boilers, and all necessary pumps shall be provided by the government, however all temporary and or permanent electrical connections shall be provided by the contractor.

Evaluation Method: The more complete, reasonable, and realistic the heat plan is for this project, the higher the rating assigned during evaluations.

4.3.6 EXTENT OF SMALL BUSINESS PARTICIPATION

Submittal Requirements: No submittal is required for this criterion. The Government will utilize performance evaluations contained in the CCAS System to evaluate this criterion.

Evaluation Method: Firms will be evaluated for the success and extent of their small business participation in their subcontracting with small and disadvantaged business concerns. Firms will be evaluated based on the ratings received for item entitled "Implementation of Subcontracting Plan" on their past performance evaluations retrieved from the CCAS System. Firms without any evaluations in CCASS, or for which this item was not evaluated (i.e., N/A), will be assigned a neutral rating of satisfactory. Firms that receive a rating below satisfactory for this item in one or more CCASS evaluations will receive a rating of marginal for this criterion.

4.3.6 **SUMMARY OF TECHNICAL PROPOSAL FORMAT:** As a minimum, each copy of the technical proposal should contain the following general format for the volumes specified in the table below. It is preferred that pages be numbered consecutively throughout the technical proposal. However, giving each page a unique identifier within sections is acceptable (i.e., A-1 through A-5, then B-1 through B-5, etc).

Technical Proposal Format (original and 5 copies required)

- Technical Proposal Cover Letter
- Table of Contents. (List all sections of the technical proposal)
- Relevant Experience of the Prime
- Qualifications of Key Team Members
- Past Performance of the Prime
- Project Schedule
- Temporary Heat Plan

5. PRICE PROPOSAL

5.1 SUMMARY OF PRICE PROPOSAL FORMAT:

Price Proposal Format (original and (1) copy required)

- Standard Form 1442 front and back
- Corporate Certificate (use the certificate for joint venture if applicable)
- Pricing Schedule (all pages)
- Section 00600, Representations and Certifications
- Bank and Bonding Points of Contact
- 20% Bid Bond
- Small and Small Disadvantaged Business Subcontracting Plan (large businesses only)
- Joint Venture Information (if applicable)

NOTE: Price proposal and bonds are DUE AT SAME TIME as technical proposals.

- 5.2 The price proposal must be signed by an official authorized to bind the organization. Prices must be provided for all line items on the pricing schedule. Note that the Standard Form 1442, Block 13D, states the minimum number of calendar days after the date offers are due for Government acceptance of the offer. All amendments must be acknowledged on Standard Form 1442 BACK by date and number in Block 19 or by telegram.
- 5.3 Provide the name, point of contact, phone number, and address for bank and bonding company of firm signing the SF 1442.
- 5.4 **Bid Bonds** must be accompanied by a **Power of Attorney containing an original signature from the surety**, which must be affixed to the Power of Attorney after the Power of Attorney has been generated. Computer generated and signed Powers of Attorney will only be accepted if accompanied by an original certification from a current officer of the surety attesting to its authenticity and continuing validity. Performance and payment bonds have the same requirement.
- 5.5 **Small Business Subcontracting. Large businesses are required to submit a subcontracting plan** (See FAR Clause 52.219-9 Alt II, Small Business Subcontracting Plan, Jan 2002) with initial price proposals. Award will not be made under this solicitation without an approved subcontracting plan. See the "Notice to Large Business Firms" located in the front of this solicitation.
- 5.6 **Joint Ventures.** No contract may be awarded to a joint venture that is not registered in the Central Contractor Register (CCR). Joint ventures may register in the following way:
- (a) The firm that will be the recipient of payments should be registered in the CCR and have a DUNS number. This firm is considered in the CCR to be the "mother firm." If no money is to go to any other firm in the joint venture, the mother firm may make the other firm in the joint venture a "child." This child will be assigned the mother firm's CCR number with an additional four (4) numbers attached. Since the child firm is not receiving any payments, they do not need to get a DUNS number. HOWEVER, in order to cover all possibilities, it might be advisable to have each firm registered in the CCR.

- (b) Call the CCR at 1-888-227-2423, choose option "0" to get the mother –child relationship set up. DUN & Bradstreet phone number is 1-800-333-0505.
- (c) If the joint venture has a newly created name, then it must have its own DUNS number and register as such in the CCR.
- 5.6.1 In the cover letter of your proposal, provide the complete names, addresses, and phone and fax numbers of the two firms in the joint venture.
- 5.6.2 Signature requirements: SF 1442, SOLICITATION, OFFER, AND AWARD (pages 00010-1 and 00010-2), Block 20 requires that the name and title of the person authorized to sign the offer for the joint venture be provided.
- 5.6.3 Corporate certificate: Ensure that joint-venture portion is completed by both firms.
- 5.6.4 In the case of a joint venture, the following is required: A contract with joint venturers may involve any combination of individuals, partnerships, or corporations. The contract shall be signed by each participant in the joint venture in the manner prescribed below for each type of participant. When a corporation is participating, the Contracting Officer shall verify that the corporation is authorized to participate in the joint venture.
- (a) Individuals. A contract with an individual shall be signed by that individual. A contract with an individual doing business as a firm shall be signed by that individual, and the signature shall be followed by the individual's types, stamped, or printed name and the words "an individual doing business as" [insert name of firm].
- (b) Partnerships. A contract with a partnership shall be signed in the partnership name. Before signing for the Government, the Contracting Officer shall obtain a list of all partners and ensure that the individual(s) signing for the partnership have authority to bind the partnership.
- (c) Corporations. A contract with a corporation shall be signed in the corporate name, followed by the word "by" and the signature and title of the person authorized to sign. The Contracting Officer shall ensure that the person signing for the corporation has authority to bind the corporation.
- 5.6.5 In addition to the requirements stated above, and to assure a single point of contact for resolution of contractual matters and payments, the Contracting Officer shall obtain a certificate signed by each participant in the joint venture as follows: In the proposal include the following statement:

"The parties hereto expressly understand and agree as follows:

a. (name, title, and company) is the principal representative of the joint venture. As such, all communications regarding the administration of the contract and the performance of the work thereunder may be directed to him or her. In the absence of (same name, title, and

company), (enter name, title, and company of alternate) is the alternate principal representative of the joint venture.

- b. Direction, approvals, required notices, and all other communications from the Government to the joint venture, including transmittal of payments by the Government, shall be directed to (enter name, title, and company of principal), principal representative of the joint venture."
- 5.6.6 The bid bond form, Block "Principal" requires that the name and title of the person authorized to sign for the joint venture be included.
- 5.6.7 After award, the performance and payment bonds, and the insurance certificate(s) provided shall be in the name of the joint venture.
- **6.** <u>MAGNITUDE OF CONSTRUCTION AND SERVICES</u>: The dollar magnitude of the construction portion of this solicitation is between (\$\frac{\psi}{1}\$ million and \$\psi\$ million.)

7. EVALUATION PROCEDURES

- 7.1 **TECHNICAL EVALUATION:** Technical proposals will be evaluated by a Technical Evaluation Team (TET) comprised of representatives of the Corps of Engineers and the Using Agency. Pricing data will not be considered during this evaluation. Criteria for the technical evaluation are set forth elsewhere in the solicitation and will be the sole basis for determining the technical merit of proposals. The TET shall utilize the relative importance definitions and technical merit ratings described earlier in this section of the solicitation to perform their technical evaluation. To be considered for award, proposals must conform to the terms and conditions contained in the RFP. No proposal will be accepted that does not address all criteria specified in this solicitation or which includes stipulations or qualifying conditions unacceptable to the Government.
- 7.2 **PRICE EVALUATION:** Price is of secondary importance to the technical criteria. Pricing will be independently evaluated to determine reasonableness and to aid in the determination of the firm's understanding of the work and ability to perform the contract. Financial capacity and bonding ability will be verified.
- **8. SELECTION AND AWARD:** Subject to provisions contained herein, award of a firm fixed-price contract shall be made to a single firm. The Government will select the best-value offer based on technical merit and price.
- 8.3.1 **BEST VALUE ANALYSIS.** The Government is more concerned with obtaining superior technical features than with making award at the lowest overall cost to the Government. In determining the best value to the Government, the tradeoff process of evaluation will be utilized. The tradeoff process permits tradeoffs among price and technical factors, and allows the Government to consider award to other than the lowest priced offeror or other than the highest technically rated offeror. You are advised that greater consideration will be given to the evaluation of technical proposals rather than price. It is pointed out, however, that should

technical competence between offerors be considered approximately the same, the cost or price could become more important in determining award.

- 8.3.2 **SELECTION AND AWARD WITHOUT DISCUSSIONS:** It is the intent of the Government to make award based upon initial offers, without further discussions or additional information Therefore, initial proposals should be submitted based on the most favorable terms from a price and technical standpoint. Do not assume there will be an opportunity to clarify, discuss or revise proposals. If award is not made on initial offers, a competitive range will be established and discussions conducted as described below.
- 8.3.3 **COMPETITIVE RANGE:** If it is not in the Government's best interest to make award on initial offers, the Contracting Officer will establish a competitive range of one or more offers and conduct discussions with those firms. When determining the competitive range, the Contracting Officer will consider the technical ratings and prices offered.
- 8.3.4 **DISCUSSIONS:** Discussions are usually conducted in writing, but may also be by telephone or in person. Discussions are tailored to each offeror's proposal and are only conducted with offeror(s) in the competitive range. The primary objective of discussions is to maximize the Government's ability to obtain the best value, based on the requirement and the evaluation criteria set forth in this solicitation. If a firm's proposal is eliminated or otherwise removed from consideration for award during discussions, no further revisions to that firm's proposal will be accepted or considered. Discussions will culminate in a request for Final Proposal Revision the date and time of which will be common to all remaining firms.
- 8.3.5 **AFTER DISCUSSIONS:** Revisions to the proposals submitted during discussions, if any, will be evaluated by the TET and, if warranted, an adjustment made to the rating previously assigned. The Contracting Officer will then perform a best value analysis based on the final prices and technical proposals. Selection will be made on the basis of the responsive, responsible firm whose proposal conforms to the RFP and represents the most advantageous offer to the Government, subject to availability of funds.
- 8.3.6 **DEBRIEFINGS:** Upon written request, unsuccessful firms will be debriefed and furnished the basis for the selection decision and contract award in accordance with FAR 15.505 and FAR 15.506.
- 8.3.7 **PROPOSAL EXPENSES AND PRECONTRACT COSTS:** This solicitation does not commit the Government to pay costs incurred in preparation and submission of initial and subsequent proposals or for other costs incurred prior to award of a formal contract.
- 8.3.8 **RELEASE OF INFORMATION:** After receipt of proposals and until contract award, source selection information will not be furnished to any firm.

END OF SECTION 00110

CUSTOMER SATISFACTION SURVEY (PAGE 1 OF 2) -

W912DW-04-R-0017, Central Heat Plant Application of Low Emissions Tech., Malmstrom AFB, Montana

			PROVIDED TO REFE		
Project Title & Locat	tion:				
Project Dollar Value	;				
Year Completed:	F	Project Manager: _			
SECTION 2 TO BE COMPLETED BY THE CUSTOMER REFERENCE AND MAILED, EMAILED, FAXED OR HAND-DELIVERED DIRECTLY TO: U.S. Army Corps of Engineers, Seattle District FAX: (206) 764-6817 Attn: CENWS-CT-CB-CU Attn: Sherrye Schmahl Street Address: P.O. Box 3755 4735 E. Marginal Way S. Seattle, WA 98124-3755 Seattle WA 98134-2329 Forms submitted by other than the customer (i.e., by the offeror), may not be considered. OVERVIEW: The firm shown above has selected you as a customer reference to provide information on the firm's past performance. Your input is important to this firm and responses are required no later than the time and date proposals are due for inclusion in our evaluation. Name of Individual completing survey:					
Firm Name: Phone Number: Relationship to this Project: The chart below depicts ratings to be used to evaluate this contractor's performance.					
O	AA	S	M	U	
Outstanding	Above Average	Satisfactory	Marginal	Unsatisfactory	
Performance met all contract requirements and exceeded expectations. Problems, if any, were negligible, and were resolved in a timely and highly effective manner.	Performance met all contract requirements and exceeded some. There were a few minor problems which the contractor resolved in a timely, effective manner.	Performance met contract requirements. There were some minor problems, and corrective actions taken by the contractor were satisfactory.	Performance did not meet some contractual requirements. There were problems, some of a serious nature, for which corrective action was only marginally effective.	Performance did not meet contractual requirements. There were serious problems, and the contractor's corrective actions were ineffective.	

CUSTOMER SATISFACTION SURVEY (PAGE 2 OF 2)

W912DW-04-R-0017, Central Heat Plant Application of Low Emissions Tech., Malms trom AFB, Montana

In the following blocks, please indicate your overall level of satisfaction with the work performed by the firm shown in Section 1. Reference the chart outlined on page 1 of this survey.

For any marginal or unsatisfactory rating, please provide explanatory narratives in the remarks block. These narratives need not be lengthy; just detailed. If a question is not applicable, circle N/A. If more space is needed, then go to the end of the questionnaire or attach additional pages. Be sure to identify your continued narration with the respect line number, your name and project name.

	Quality of Work		cle the g the o				
A	Quality of Service	О	AA	S	M	U	N/A
В	Quality Control	0	AA	S	M	U	N/A
C.	Adequacy of Submittals/Reporting	0	AA	S	M	U	N/A
D.	Identification/correction of deficient work in a timely manner	0	AA	S	M	U	N/A
E.	Displayed flexibility in responding to your needs	0	AA	S	M	U	N/A
F.	Organizational structure/functional relationships of the team including subcontractors	О	AA	S	M	U	N/A
G.	Response time to your requirements	0	AA	S	M	U	N/A
H.	Extent of participation of small business concerns as subcontractors under this contract	О	AA	S	M	U	N/A
I.	Overall rating for this project	0	AA	S	M	U	N/A
J	How well did the contractor & subcontractors adhere to schedule?	0	AA	S	M	U	N/A
K.	Would you select this contractor again for future projects?	Yes	or No	(cire	cle on	e)	

REMARKS: (Discuss strengths and weaknesses of the firm)

Thank you for completing this form. Your assistance in providing this information is appreciated.

Section 00600 - Representations & Certifications

52.203-2	Certificate Of Independent Price Determination	APR 1985
52.203-11	Certification And Disclosure Regarding Payments To	APR 1991
	Influence Certain Federal Transactions	
52.204-3	Taxpayer Identification	OCT 1998
52.204-5	Women-Owned Business (Other Than Small Business)	MAY 1999
52.209-5	Certification Regarding Debarment, Suspension, Proposed	DEC 2001
	Debarment, And Other Responsibility Matters	
52.215-6	Place of Performance	OCT 1997
52.219-1 Alt I	Small Business Program Representations (Apr 2002) Alternate	APR 2002
	I	
52.219-19	Small Business Concerns Representation For The Small	OCT 2000
	Business Competitiveness Demonstration Program	
52.219-21	Small Business Size Representation For Targeted Industry	MAY 1999
	Categories Under The Small Business Competitiveness	
	Demonstration Program	
52.219-22	Small Disadvantaged Business Status	OCT 1999
52.222-22	Previous Contracts And Compliance Reports	FEB 1999
52.222-38	Compliance With Veterans' Employment Reporting	DEC 2001
	Requirements	
52.223-4	Recovered Material Certification	OCT 1997
52.223-13	Certification of Toxic Chemical Release Reporting	AUG 2003
252.209-7001	Disclosure of Ownership or Control by the Government of a	MAR 1998
	Terrorist Country	
252.209-7002	Disclosure Of Ownership Or Control By A Foreign	SEP 1994
	Government	
252.247-7022	Representation Of Extent Of Transportation Of Supplies By	AUG 1992
	Sea	

CLAUSES INCORPORATED BY FULL TEXT

52.203-2 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)

- (a) The offeror certifies that --
- (1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to –
- (i) Those prices,
- (ii) The intention to submit an offer, or
- (iii) The methods of factors used to calculate the prices offered:
- (2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

- (3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- (b) Each signature on the offer is considered to be a certification by the signatory that the signatory --
- (1) Is the person in the offeror's organization responsible for determining the prices offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) of this provision; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) of this provison ______ (insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);
- (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and
- (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) of this provision.
- (c) If the offeror deletes or modifies subparagraph (a)(2) of this provision, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

(End of clause)

52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)

- (a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this Certification.
- (b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989,--
- (1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;
- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and
- (3) He or she will include the language of this certification in all subcontract awards at any tier and require that all

recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(1) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

52.204-3 TAXPAYER IDENTIFICATION (OCT 1998)

(a) Definitions.

"Common parent," as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Taxpayer Identification Number (TIN)," as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

- (b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.
- (c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d) Taxpayer Identification Number (TIN).
TIN:
TIN has been applied for.
TIN is not required because:
Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;
Offeror is an agency or instrumentality of a foreign government;
Offeror is an agency or instrumentality of the Federal Government.
(e) Type of organization.
Sole proprietorship;
Partnership;

Corporate entity (not tax-exempt);
Corporate entity (tax-exempt);
Government entity (Federal, State, or local);
Foreign government;
International organization per 26 CFR 1.6049-4;
Other
(f) Common parent.
Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this provision.
Name and TIN of common parent:
Name
TIN
(End of provision)
52.204-5 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (MAY 1999) (a) Definition. Women-owned business concern, as used in this provision, means a concern that is at least 51 percent
owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.
(b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations, of this solicitation.] The offeror represents that it () is a women-owned business concern.
(End of provision)
52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (DEC 2001)
(a)(1) The Offeror certifies, to the best of its knowledge and belief, that-
(i) The Offeror and/or any of its Principals -
(A) Are () are not () presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
(B) Have () have not (), within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to

obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust

statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and

- (C) Are () are not () presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.
- (ii) The Offeror has () has not (), within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject to Prosecution Under Section 1001, Title 18. United States Code.

- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of provision)

52.215-6 PLACE OF PERFORMANCE (OCT 1997)

- (a) The offeror or respondent, in the performance of any contract resulting from this solicitation, () intends, () does not intend (check applicable block) to use one or more plants or facilities located at a different address from the address of the offeror or respondent as indicated in this proposal or response to request for information.
- (b) If the offeror or respondent checks "intends" in paragraph (a) of this provision, it shall insert in the following spaces the required information:

Place of Performance(Street Address, City, State,	Name and Address of Owner and Operator of the Plant
County, Zip Code)	or Facility if Other Than Offeror or Respondent

(End of provision)
52.219-1 SMALL BUSINESS PROGRAM REPRESENTATIONS (APR 2002) - ALTERNATE I (APR 2002)
(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is $\underline{\textbf{238220}}$.
(2) The small business size standard is \$12,000,000.
(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.
(b) Representations. (1) The offeror represents as part of its offer that it () is, () is not a small business concern.
(2) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, for general statistical purposes, that it () is, () is not a small disadvantaged business concern as defined in 13 CFR 124.1002.
(3) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it () is, () is not a women-owned small business concern.
(4) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it () is, () is not a veteran-owned small business concern.
(5) (Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.) The offeror represents as part of its offer that it () is, () is not a service-disabled veteran-owned small business concern.
(6) [Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.] The offeror represents, as part of its offer, that
(i) It () is, () is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and
(ii) It () is, () is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. (The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture:) Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.
(7) (Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.) The offeror shall check the category in which its ownership falls:
Black American.
Hispanic American.

_ Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).

Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).
Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka Bhutan, the Maldives Islands, or Nepal).
Individual/concern, other than one of the preceding.
(c) Definitions. As used in this provision
Service-disabled veteran-owned small business concern
(1) Means a small business concern
(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).
"Small business concern," means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.
Veteran-owned small business concern means a small business concern
(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
(2) The management and daily business operations of which are controlled by one or more veterans.
"Women-owned small business concern," means a small business concern
(1) That is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; or
(2) Whose management and daily business operations are controlled by one or more women.
(d) Notice.

(1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be

furnished.

(2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small
disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the
preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other
provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall

- (i) Be punished by imposition of fine, imprisonment, or both;
- (ii) Be subject to administrative remedies, including suspension and debarment; and
- (iii) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

52.219-19 SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (OCT 2000)

(a) Definition.

"Emerging small business" as used in this solicitation, means a small business concern whose size is no greater than 50 percent of the numerical size standard applicable to the North American Industry Classification System (NAICS) code assigned to a contracting opportunity.

- (b) [Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.] The Offeror [] is, [] is not an emerging small business.
- (c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees Avg. Annual Gross Revenues

_____ 50 or fewer _____ \$1 million or less

_____ 51 - 100 _____ \$1,000,001 - \$2 million

_____ 101 - 250 _____ \$2,000,001 - \$3.5 million

_____ 251 - 500 _____ \$3,500,001 - \$5 million

_____ 501 - 750 _____ \$5,000,001 - \$10 million

_____ 751 - 1,000 _____ \$10,000,001 - \$17 million

_____ Over 1,000 _____ Over \$17 million

(End of provision)

52.219-21 SMALL BUSINESS SIZE REPRESENTATION FOR TARGETED INDUSTRY CATEGORIES UNDER THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (MAY 1999)

(Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees Avg. Annual Gross Revenues

at 13 CFR 124.104(c)(2); and

50 or fewer \$1 million or less
51 - 100 \$1,000,001 - \$2 million
101 - 250 \$2,000,001 - \$3.5 million
251 - 500\$3,500,001 - \$5 million
501 - 750 \$5,000,001 - \$10 million
751 - 1,000\$10,000,001 - \$17 million
Over 1,000 Over \$17 million
(End of provision)
52.219-22 SMALL DISADVANTAGED BUSINESS STATUS (OCT 1999)
(a) General. This provision is used to assess an offeror's small disadvantaged business status for the purpose of obtaining a benefit on this solicitation. Status as a small business and status as a small disadvantaged business for general statistical purposes is covered by the provision at FAR 52.219-1, Small Business Program Representation.
(b) Representations.
(1) General. The offeror represents, as part of its offer, that it is a small business under the size standard applicable to this acquisition; and either
(i) It has received certification by the Small Business Administration as a small disadvantaged business concern consistent with 13 CFR 124, Subpart B; and
(A) No material change in disadvantaged ownership and control has occurred since its certification;

(B) Where the concern is owned by one or more disadvantaged individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth

(C) It is identified, on the date of this representation, as a certified small disadvantaged business concern in the

database maintained by the Small Business Administration(PRO0Net); or

(ii) It has submitted a completed application to the Small Business Administration or a Private Certifier to be certified as a small disadvantaged business concern in accordance with 13 CFR 124, Subpart B, and a decision on that application is pending, and that no material change in disadvantaged ownership and control has occurred since its application was submitted.
(2) For Joint Ventures. The offeror represents, as part of its offer, that it is a joint venture that complies with the requirements at 13 CFR 124.1002(f) and that the representation in paragraph (b)(1) of this provision is accurate for the small disadvantaged business concern that is participating in the joint venture. [The offeror shall enter the name of the small disadvantaged business concern that is participating in the joint venture:]
(c) Penalties and Remedies. Anyone who misrepresents any aspects of the disadvantaged status of a concern for the purposes of securing a contract or subcontract shall:
(1) Be punished by imposition of a fine, imprisonment, or both;
(2) Be subject to administrative remedies, including suspension and debarment; and
(3) Be ineligible for participation in programs conducted under the authority of the Small Business Act.
(End of provision)
52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)
The offeror represents that
(a) () It has, () has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;
(b) () It has, () has not, filed all required compliance reports; and
(c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.
(End of provision)
52.222-38 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)
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By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

(End of provision)

52.223-4 RECOVERED MATERIAL CERTIFICATION (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies,

by signing this offer, that the percentage of recovered materials to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.

(End of provision)

52.223-13 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (AUG 2003)

- (a) Executive Order 13148, of April 21, 2000, Greening the Government through Leadership in Environmental Management, requires submission of this certification as a prerequisite for contract award.
- (b) By signing this offer, the offeror certifies that--
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or
- (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: (Check each block that is applicable.)
- () (i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65;
- () (ii) The facility does not have 10 or more full-time employees as specified in section 313.(b)(1)(A) of EPCRA 42 U.S.C. 11023(b)(1)(A);
- () (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- () (iv) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:
- (A) Major group code 10 (except 1011, 1081, and 1094.
- (B) Major group code 12 (except 1241).
- (C) Major group codes 20 through 39.
- (D) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).
- (E) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, et seq.), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or
- () (v) The facility is not located within the United States or its outlying areas.

(End of clause)

252.209-7001 DISCLOSURE OF OWNERSHIP OR CONTROL BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) "Definitions."

As used in this provision --

- (a) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.
- (2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for such acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.
- (3) "Significant interest" means --
- (i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;
- (ii) Holding a management position in the firm, such as a director or officer;
- (iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;
- (iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm: or
- (v) Holding 50 percent or more of the indebtness of a firm.
- (b) "Prohibition on award."

In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary or, in the case of a subsidiary, the firm that owns the subsidiary, unless a waiver is granted by the Secretary of Defense.

(c) "Disclosure."

If the government of a terrorist country has a significant interest in the Offeror or a subsidiary of the Offeror, the Offeror shall disclosure such interest in an attachment to its offer. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include --

- (1) Identification of each government holding a significant interest; and
- (2) A description of the significant interest held by each government.

(End of provision)

252.209-7002 DISCLOSURE OF OWNERSHIP OR CONTROL BY A FOREIGN GOVERNMENT (SEP 1994)

- (a) Definitions. As used in this provision--
- (1) "Entity controlled by a foreign government" means--
- (i) Any domestic or foreign organization or corporation that is effectively owned or controlled by a foreign government; or
- (ii) Any individual acting on behalf of a foreign government.
- (2) "Effectively owned or controlled" means that a foreign government or any entity controlled by a foreign government has the power, either directly or indirectly, whether exercised or exercisable, to control or influence the election or appointment of the Offeror's officers, directors, partners, regents, trustees, or a majority of the Offeror's board of directors by means, e.g., ownership, contract, or operation of law.
- (3) "Foreign government" means any governing body organized and existing under the laws of any country other than the United States and its possessions and trust territories and any agent or instrumentality of that government.
- (4) "Proscribed information" means--
- (i) Top Secret information;
- (ii) Communications Security (COMSEC) information, except classified keys used to operate secure telephone unites (STU IIIs);
- (iii) Restricted Data as defined in the U.S. Atomic Energy Act of 1954, as amended;
- (iv) Special Access Program (SAP) information; or
- (v) Sensitive Compartmental Information (SCI).
- (b) Prohibition on award. No contract under a national security program may be awarded to a company owned by an entity controlled by a foreign government if that company requires access to proscribed information to perform the contract, unless the Secretary of Defense or designee has waived application of 10 U.S.C.2536(a).
- (c) Disclosure.

The Offeror shall disclose any interest a foreign government has in the Offeror when that interest constitutes control by a foreign government as defined in this provision. If the Offeror is a subsidiary, it shall also disclose any reportable interest a foreign government has in any entity that owns or controls the subsidiary, including reportable interest concerning the Offeror's immediate parent, intermediate parents, and the ultimate parent. Use separate paper as needed, and provide the information in the following format:

Offeror's Point of Contact for Questions about Disclosure (Name and Phone Number with Country Code, City Code and Area Code, as applicable)

Name and Address of Offeror

Name and Address of Entity Controlled by a Foreign Government Description of Interest, Ownership Percentage, and Identification of Foreign Government

(End of provision)

252.247-7022 REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992)

(a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term supplies is defined in the
Transportation of Supplies by Sea clause of this solicitation.
(b) Representation. The Offeror represents that it:
(1) Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.
(2) Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

(c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea.

(End of provision)

SUBMIT THE FOLLOWING INFORMATION WITH YOUR OFFER NOTICE TO OFFERORS REGARDING PRE-AWARD INFORMATION

It is requested that the following information be provided with your bid:

1.	Company Name and Address:	
2.	Point of Contact:	
	Name:	Phone: ()
	Alt Phone: ()	Fax: ()
3.		rill now be required for all new contracts. Do you currently receive from this agency? (agency codes 00005524/00006482)
		Yes() NO()
4.	Name of Bank and Branch	
	Personal Banker	
	Telephone Number	
	Fax Number	
5.	Name of Bonding Agent Compa	any
	Agents Name	
	Telephone	

END OF SECTION 00600



Section 00700 - Contract Clauses

52.201-4001	Successor Contracting Officers	DEC 1999
52.201-4001 52.202-1 Alt I	Definitions (Dec 2001)Alternate I	MAY 2001
52.203-3	Gratuities	APR 1984
52.203-5	Covenant Against Contingent Fees	APR 1984
52.203-7	Anti-Kickback Procedures	JUL 1995
52.203-8	Cancellation, Rescission, and Recovery of Funds for Illegal or	
32.203 0	Improper Activity	3711(1))/
52.203-10	Price Or Fee Adjustment For Illegal Or Improper Activity	JAN 1997
52.203-12	Limitation On Payments To Influence Certain Federal	JUN 2003
32.203 12	Transactions	3011 2003
52.204-2 Alt II	Security Requirements (Aug 1996) - Alternate II	APR 1984
52.204-4	Printed or Copied Double-Sided on Recycled Paper	AUG 2000
52.209-6	Protecting the Government's Interest When Subcontracting	JUL 1995
	With Contractors Debarred, Suspended, or Proposed for	
	Debarment	
52.212-4007	Environmental Litigation	NOV 1999
52.215-11	Price Reduction for Defective Cost or Pricing Data	OCT 1997
	Modifications	
52.215-13	Subcontractor Cost or Pricing DataModifications	OCT 1997
52.219-4	Notice of Price Evaluation Preference for HUBZone Small	JAN 1999
	Business Concerns	
52.219-8	Utilization of Small Business Concerns	OCT 2000
52.219-9 Alt II	Small Business Subcontracting Plan (Jan 2002) Alternate II	OCT 2001
52.219-16	Liquidated Damages-Subcontracting Plan	JAN 1999
52.219-25	Small Disadvantaged Business Participation Program	OCT 1999
	Disadvantaged Status and Reporting	
52.222-1	Notice To The Government Of Labor Disputes	FEB 1997
52.222-3	Convict Labor	JUN 2003
52.222-4	Contract Work Hours and Safety Standards Act - Overtime	SEP 2000
	Compensation	
52.222-6	Davis Bacon Act	FEB 1995
52.222-7	Withholding of Funds	FEB 1988
52.222-8	Payrolls and Basic Records	FEB 1988
52.222-9	Apprentices and Trainees	FEB 1988
52.222-10	Compliance with Copeland Act Requirements	FEB 1988
52.222-11	Subcontracts (Labor Standards)	FEB 1988
52.222-12	Contract Termination-Debarment	FEB 1988
52.222-13	Compliance with Davis -Bacon and Related Act Regulations.	FEB 1988
52.222-14	Disputes Concerning Labor Standards	FEB 1988
52.222-15	Certification of Eligibility	FEB 1988
52.222-21	Prohibition Of Segregated Facilities	FEB 1999
52.222-26	Equal Opportunity	APR 2002
52.222-27	Affirmative Action Compliance Requirements for Construction	
52.222-35	Equal Opportunity For Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans	DEC 2001
52.222-36	Affirmative Action For Workers With Disabilities	JUN 1998
52.222-37		DEC 2001
	Of The Vietnam Era, and Other Eligible Veterans	
52.223-3	Hazardous Material Identification And Material Safety Data	JAN 1997
52.223-5	Pollution Prevention and Right-to-Know Information	AUG 2003
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50 002 6	Dense Euro Woulzelooo	MAY 2001
52.223-6	Drug-Free Workplace	
52.223-9	Estimate of Percentage of Recovered Material Content for	AUG 2000
50 000 14	EPA-Designated Products	ALIC 2002
52.223-14	Toxic Chemical Release Reporting	AUG 2003
52.225-9	Buy American ActConstruction Materials	JUN 2003
52.225-10	Notice of Buy American Act RequirementConstruction	MAY 2002
	Materials	
52.225-13	Restrictions on Certain Foreign Purchases	JAN 2004
52.227-1	Authorization and Consent	JUL 1995
52.227-2	Notice And Assistance Regarding Patent And Copyright	AUG 1996
	Infringement	
52.227-4 Alt I	Patent Indemnity-Construction Contracts (Apr 1984) -	APR 1984
	Alternate I	
52.228-2	Additional Bond Security	OCT 1997
52.228-11	Pledges Of Assets	FEB 1992
52.228-12	Prospective Subcontractor Requests for Bonds	OCT 1995
52.228-14	Irrevocable Letter of Credit	DEC 1999
52.228-15	Performance and Payment BondsConstruction	JUL 2000
52.232-5	Payments under Fixed-Price Construction Contracts	SEP 2002
52.232-17	Interest	JUN 1996
52.232-23 Alt I	Assignment of Claims (Jan 1986) - Alternate I	APR 1984
52.232-27	Prompt Payment for Construction Contracts	OCT 2003
52.232-33	Payment by Electronic Funds TransferCentral Contractor	OCT 2003
	Registration	
52.233-1	Disputes	JUL 2002
52.233-3	Protest After Award	AUG 1996
52.236-2	Differing Site Conditions	APR 1984
52.236-3	Site Investigation and Conditions Affecting the Work	APR 1984
52.236-5	Material and Workmanship	APR 1984
52.236-6	Superintendence by the Contractor	APR 1984
52.236-7	Permits and Responsibilities	NOV 1991
52.236-8	Other Contracts	APR 1984
52.236-9	Protection of Existing Vegetation, Structures, Equipment,	APR 1984
	Utilities, and Improvements	
52.236-10	Operations and Storage Areas	APR 1984
52.236-11	Use and Possession Prior to Completion	APR 1984
52.236-12	Cleaning Up	APR 1984
52.236-13	Accident Prevention	NOV 1991
52.236-14	Availability and Use of Utility Services	APR 1984
52.236-15	Schedules for Construction Contracts	APR 1984
52.236-17	Layout of Work	APR 1984
52.236-21	Specifications and Drawings for Construction	FEB 1997
52.236-26	Preconstruction Conference	FEB 1995
52.242-13	Bankruptcy	JUL 1995
52.242-14	Suspension of Work	APR 1984
52.243-4	Changes	AUG 1987
52.246-12	Inspection of Construction	AUG 1996
52.246-21 Alt I	Warranty of Construction (Mar 1994) - Alternate I	APR 1984
52.248-3	Value Engineering-Construction	FEB 2000
52.249-2 Alt I	Termination for Convenience of the Government (Fixed-Price)	SEP 1996
52.2 17 2 / Mt I	(Sep 1996) - Alternate I	DL1 1770
52.249-10	Default (Fixed-Price Construction)	APR 1984
52.253-1	Computer Generated Forms	JAN 1991
3 2.2 33 1	Computer Continued Forms	071111//1

252.201-7000	Contracting Officer's Representative	DEC 1991
252.203-7001	Prohibition On Persons Convicted of Fraud or Other Defense-	
	Contract-Related Felonies	
252.203-7002	Display Of DOD Hotline Poster	DEC 1991
252.204-7000	Disclosure Of Information	DEC 1991
252.204-7003	Control Of Government Personnel Work Product	APR 1992
252.204-7004 Alt A	Required Central Contractor Registration Alternate A	NOV 2003
252.205-7000	Provision Of Information To Cooperative Agreement Holders	
252.209-7000	Acquisition From Subcontractors Subject To On-Site	NOV 1995
	Inspection Under The Intermediate Range Nuclear Forces	
	(INF) Treaty	
252.209-7004	Subcontracting With Firms That Are Owned or Controlled By	MAR 1998
	The Government of a Terrorist Country	
252.215-7000	Pricing Adjustments	DEC 1991
252.219-7003	Small, Small Disadvantaged and Women-Owned Small	APR 1996
	Business Subcontracting Plan (DOD Contracts)	
252.223-7006	Prohibition On Storage And Disposal Of Toxic And	APR 1993
	Hazardous Materials	
252.225-7031	Secondary Arab Boycott Of Israel	APR 2003
252.226-7001	Utilization of Indian Organizations and Indian-Owned	OCT 2003
	Economic Enterprises, and Hawaiian Small Business Concerns	,
252.227-7023	Drawings and Other Data to become Property of Government	MAR 1979
252.227-7033	Rights in Shop Drawings	APR 1966
252.231-7000	Supplemental Cost Principles	DEC 1991
252.236-7000	Modification Proposals -Price Breakdown	DEC 1991
252.242-7000	Postaward Conference	DEC 1991
252.243-7001	Pricing Of Contract Modifications	DEC 1991
252.243-7002	Requests for Equitable Adjustment	MAR 1998
PIL 2003-06	Security Contract Language for all Corps of Engineers'	FEB 2003
	Unclassified Contracts	

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Successor Contracting Officers (52.201-4001)

The Contracting Officer who signed this contract is the primary Contracting Officer for the contract. Neverthless, any Contracting Officer assigned to the Seattle District and acting within his/her authority may take formal action on this contract when a contract action needs to be taken and the primary Contracting Officer is unavailable.

52.202-1 DEFINITIONS (MAY 2001) --ALTERNATE I (MAR 2001)

- (a) Agency head or head of the agency means the Secretary (Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, unless otherwise indicated, including any deputy or assistant chief official of the executive agency.
- (b) Commercial component means any component that is a commercial item.

- (c) Component means any item supplied to the Government as part of an end item or of another component, except that for use in 52.225-9, and 52.225-11 see the definitions in 52.225-9(a) and 52.225-11(a).
- (d) Contracting Officer means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (e) Nondevelopmental item means--
- (1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;
- (2) Any item described in paragraph (f)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or
- (3) Any item of supply being produced that does not meet the requirements of paragraph (f)(1) or (f)(2) solely because the item is not yet in use.
- (f) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (g) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

(End of clause)

52.203-3 GRATUITIES (APR 1984)

- (a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--
- (1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and
- (2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.
- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) of this clause, the Government is entitled--
- (1) To pursue the same remedies as in a breach of the contract; and
- (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

- (a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.
- (b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.
- "Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.
- "Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.
- "Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

(End of clause)

52.203-7 ANTI-KICKBACK PROCEDURES. (JUL 1995)

(a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.

"Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or

subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

- (b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from -
- (1) Providing or attempting to provide or offering to provide any kickback;
- (2) Soliciting, accepting, or attempting to accept any kickback; or
- (3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.
- (c)(1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.
- (2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.
- (3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.
- (4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold, from sums owed a subcontractor under the prime contract, the amount of any kickback. The Contracting Officer may order the monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.
- (5) The Contractor agrees to incorporate the substance of this clause, including this subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the 1996 National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--

- (1) Cancel the solicitation, if the contract has not yet been awarded or issued; or
- (2) Rescind the contract with respect to which--
- (i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27(a) or (b) of the Act for the purpose of either--
- (A) Exchanging the information covered by such subsections for anything of value; or
- (B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or
- (ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsections 27(e)(1) of the Act.
- (b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract.
- (c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

(End of clause)

52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

- (a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27 (a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.
- (b) The price or fee reduction referred to in paragraph (a) of this clause shall be-
- (1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;
- (2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;
- (3) For cost-plus-award-fee contracts--
- (i) The base fee established in the contract at the time of contract award;
- (ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.
- (4) For fixed-price-incentive contracts, the Government may--
- (i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or
- (ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse

impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.

- (5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.
- (c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.
- (d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 2003)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal action," as used in this clause, means any of the following Federal actions:

- (1) The awarding of any Federal contract.
- (2) The making of any Federal grant.
- (3) The making of any Federal loan.
- (4) The entering into of any cooperative agreement.
- (5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

- (1) An individual who is appointed to a position in the Government under Title 5, United States Code, including a position under a temporary appointment.
- (2) A member of the uniformed services, as defined in subsection 101(3), Title 37, United States Code.
- (3) A special Government employee, as defined in section 202, Title 18, United States Code.
- (4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, Title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

State, as used in this clause, means a State of the United States, the District of Columbia, or an outlying area of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

- (b) Prohibitions.
- (1) Section 1352 of Title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

- (3) The prohibitions of the Act do not apply under the following conditions:
- (i) Agency and legislative liaison by own employees.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.
- (B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.
- (C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:
- (1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.
- (2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.
- (D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--
- (1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;
- (2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and
- (3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.
- (E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.
- (ii) Professional and technical services.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--
- (1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.
- (2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

- (B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.
- (C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.
- (D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.
- (E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.
- (c) Disclosure.
- (1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.
- (2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes—
- (i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or
- (ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or
- (iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.
- (3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.
- (4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

- (d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.
- (e) Penalties.
- (1) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.
- (2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.
- (f) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

52.204-2 SECURITY REQUIREMENTS (AUG 1996) - ALTERNATE II (APR 1984)

- (a) This clause applies to the extent that this contract involves access to information classified "Confidential," "Secret," or "Top Secret."
- (b) The Contractor shall comply with (1) the Security Agreement (DD Form 441), including the National Industrial Security Program Operating Manual (DOD 5220.22-M); and (2) any revisions to that manual, notice of which has been furnished to the Contractor.
- (c) If, subsequent to the date of this contract, the security classification or security requirements under this contract are changed by the Government and if the changes cause an increase or decrease in security costs or otherwise affect any other term or condition of this contract, the contract shall be subject to an equitable adjustment as if the changes were directed under the Changes clause of this contract.
- (d) The Contractor agrees to insert terms that conform substantially to the language of this clause, including this paragraph (d) but excluding any reference to the Changes clause of this contract, in all subcontracts under this contract that involve access to classified information.
- (e) The Contractor shall be responsible for furnishing to each employee and for requiring each employee engaged on the work to display such identification as may be approved and directed by the Contracting Officer. All prescribed identification shall immediately be delivered to the Contracting Officer, for cancellation upon the release of any employee. When required by the Contracting Officer, the Contractor shall obtain and submit fingerprints of all persons employed or to be employed on the project.

52.204-4 PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER (AUG 2000)

(a) Definitions. As used in this clause--

"Postconsumer material" means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of "recovered material." For paper and paper products, postconsumer material means "postconsumer fiber" defined by the U.S. Environmental Protection Agency (EPA) as--

- (1) Paper, paperboard, and fibrous materials from retail stores, office buildings, homes, and so forth, after they have passed through their end-usage as a consumer item, including: used corrugated boxes; old newspapers; old magazines; mixed waste paper; tabulating cards; and used cordage; or
- (2) All paper, paperboard, and fibrous materials that enter and are collected from municipal solid waste; but not
- (3) Fiber derived from printers' over-runs, converters' scrap, and over-issue publications.
- "Printed or copied double-sided" means printing or reproducing a document so that information is on both sides of a sheet of paper.
- "Recovered material," for paper and paper products, is defined by EPA in its Comprehensive Procurement Guideline as "recovered fiber" and means the following materials:
- (1) Postconsumer fiber; and
- (2) Manufacturing wastes such as--
- (i) Dry paper and paperboard waste generated after completion of the papermaking process (that is, those manufacturing operations up to and including the cutting and trimming of the paper machine reel into smaller rolls or rough sheets) including: envelope cuttings, bindery trimmings, and other paper and paperboard waste resulting from printing, cutting, forming, and other converting operations; bag, box, and carton manufacturing wastes; and butt rolls, mill wrappers, and rejected unused stock; and
- (ii) Repulped finished paper and paperboard from obsolete inventories of paper and paperboard manufacturers, merchants, wholesalers, dealers, printers, converters, or others.
- (b) In accordance with Section 101 of Executive Order 13101 of September 14, 1998, Greening the Government through Waste Prevention, Recycling, and Federal Acquisition, the Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed or copied double-sided on recycled paper that meet minimum content standards specified in Section 505 of Executive Order 13101, when not using electronic commerce methods to submit information or data to the Government.
- (c) If the Contractor cannot purchase high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white wove envelopes, writing and office paper, book paper, cotton fiber paper, and cover stock meeting the 30 percent postconsumer material standard for use in submitting paper documents to the Government, it should use paper containing no less than 20 percent postconsumer material. This lesser standard should be used only when paper meeting the 30 percent postconsumer material standard is not obtainable at a reasonable price or does not meet reasonable performance standards.

52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)

- (a) The Government suspends or debars Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of the \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.
- (b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to

disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principles, is or is not debarred, suspended, or proposed for debarrent by the Federal Government.

- (c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs). The notice must include the following:
- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

(End of clause)

52.212-4007 ENVIRONMENTAL LITIGATION

- (a) If the performance of all or any part of the work is suspended, delayed, or interrupted due to an order of a court of competent jurisdiction as a result of environmental litigation, as defined below, the Contracting Officer, at the request of the Contractor, shall determine whether the order is due in any part to the acts or omissions of the Contractor or a Subcontractor at any tier not required by the terms of this contract. If it is determined that the order is not due in any part to acts or omissions of the Contractor or a Subcontractor at any tier other than as required by the terms of this contract, such suspension, delay, or interruption shall be considered as if ordered by the Contracting Officer in the administration of this contract under the terms of the "Suspension of Work" clause of this contract. The period of such suspension, delay or interruption shall be considered unreasonable, and an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) as provided in that clause, subject to all the provisions thereof.
- (b) The term "environmental litigation", as used herein, means a lawsuit alleging that the work will have an adverse effect on the environment or that the Government has not duly considered, either substantially or procedurally, the effect of the work on the environment.

52.215-11 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

- (a) This clause shall become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, except that this clause does not apply to any modification if an exception under FAR 15.403-1 applies.
- (b) If any price, including profit or fee, negotiated in connection with any modification under this clause, or any cost reimbursable under this contract, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or

Pricing Data, or (3) any of these parties furnished data of any description that were not accurate, the price or cost shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) of this clause.

- (c) Any reduction in the contract price under paragraph (b) of this clause due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which--
- (1) The actual subcontract; or
- (2) The actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (d)(1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
- (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
- (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
- (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
- (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2)(i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--
- (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
- (B) The Contractor proves that the cost or pricing data were available before the "as of" date specified on its Certificate of Current Cost or Pricing Data, and that the data were not submitted before such date.
- (ii) An offset shall not be allowed if--
- (A) The understated data were known by the Contractor to be understated before the "as of" date specified on its Certificate of Current Cost or Pricing Data; or
- (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the "as of" date specified on its Certificate of Current Cost or Pricing Data.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid—

(1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and

A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data that were incomplete, inaccurate, or noncurrent.

(End of clause)

52.215-13 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS (OCT 1997)

- (a) The requirements of paragraphs (b) and (c) of this clause shall--
- (1) Become operative only for any modification to this contract involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4; and
- (2) Be limited to such modifications.
- (b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modification involving a pricing adjustment expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4, the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1 applies.
- (c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in FAR 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) of this clause were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that exceeds the threshold for submission of cost or pricing data at FAR 15.403-4 on the date of agreement on price or the date of award, whichever is later.

(End of clause)

52.219-4 NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999)

- (a) Definition. HUBZone small business concern, as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.
- (b) Evaluation preference. (1) Offers will be evaluated by adding a factor of 10 percent to the price of all offers, except-
- (i) Offers from HUBZone small business concerns that have not waived the evaluation preference;
- (ii) Otherwise successful offers from small business concerns;
- (iii) Otherwise successful offers of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is exceeded (see 25.402 of the Federal Acquisition Regulation (FAR)); and

- (iv) Otherwise successful offers where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government.
- (2) The factor of 10 percent shall be applied on a line item basis or to any group of items on which award may be made. Other evaluation factors described in the solicitation shall be applied before application of the factor.
- (3) A concern that is both a HUBZone small business concern and a small disadvantaged business concern will receive the benefit of both the HUBZone small business price evaluation preference and the small disadvantaged business price evaluation adjustment (see FAR clause 52.219-23). Each applicable price evaluation preference or adjustment shall be calculated independently against an offeror's base offer.

These individual preference amounts shall be added together to arrive at the total evaluated price for that offer.

- (c) Waiver of evaluation preference. A HUBZone small business concern may elect to waive the evaluation preference, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply if the offeror has waived the evaluation preference.
- ___ Offeror elects to waive the evaluation preference.
- (d) Agreement. A HUBZone small business concern agrees that in the performance of the contract, in the case of a contract for
- (1) Services (except construction), at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern or employees of other HUBZone small business concerns;
- (2) Supplies (other than procurement from a nonmanufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern or other HUBZone small business concerns;
- (3) General construction, at least 15 percent of the cost of the contract performance incurred for personnel will be will be spent on the concern's employees or the employees of other HUBZone small business concerns; or
- (4) Construction by special trade contractors, at least 25 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns.
- (e) A HUBZone joint venture agrees that in the performance of the contract, the applicable percentage specified in paragraph (d) of this clause will be performed by the HUBZone small business participant or participants.
- (f) A HUBZone small business concern nonmanufacturer agrees to furnish in performing this contract only end items manufactured or produced by HUBZone small business manufacturer concerns. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 2000)

(a) It is the policy of the United States that small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its

prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns.

(b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

Definitions. As used in this contract--

HUBZone small business concern means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

Service-disabled veteran-owned small business concern--

- (1) Means a small business concern--
- (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
- (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.
- (2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

Small business concern means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

Small disadvantaged business concern means a small business concern that represents, as part of its offer that-

- (1) It has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, subpart B;
- (2) No material change in disadvantaged ownership and control has occurred since its certification;
- (3) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
- (4) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

Veteran-owned small business concern means a small business concern--

- (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
- (2) The management and daily business operations of which are controlled by one or more veterans.

Women-owned small business concern means a small business concern--

- (1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- (2) Whose management and daily business operations are controlled by one or more women.
- (d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a veteran-owned small business concern, a service-disabled veteran-owned small business concern, a HUBZone small business concern, a small disadvantaged business concern, or a women-owned small business concern.

(End of clause)

52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002)--ALTERNATE II (OCT 2001).

- (a) This clause does not apply to small business concerns.
- (b) Definitions. As used in this clause--

Commercial item means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

Commercial plan means a subcontracting plan (including goals) that covers the offeror's fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

Individual contract plan means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror's planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

Master plan means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

Subcontract means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

- (c) Proposals submitted in response to this solicitation shall include a subcontracting plan that separately addresses subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate a subcontracting plan shall make the offeror ineligible for award of a contract.
- (d) The offeror's subcontracting plan shall include the following:

- (1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.
- (2) A statement of--
- (i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;
- (ii) Total dollars planned to be subcontracted to small business concerns;
- (iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;
- (iv) Total dollars planned to be subcontracted to HUBZone small business concerns;
- (v) Total dollars planned to be subcontracted to small disadvantaged business concerns; and
- (vi) Total dollars planned to be subcontracted to women-owned small business concerns.
- (3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to--
- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) HUBZone small business concerns;
- (iv) Small disadvantaged business concerns; and
- (v) Women-owned small business concerns.
- (4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.
- (5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.
- (6) A statement as to whether or not the offeror in included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—
- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;

- (iii) HUBZone small business concerns;
- (iv) Small disadvantaged business concerns; and
- (v) Women-owned small business concerns.
- (7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.
- (8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, HUBZone small business, small disadvantaged business and women-owned small business concerns have an equitable opportunity to compete for subcontracts.
- (9) Assurances that the offeror will include the clause of this contract entitled ``Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.
- (10) Assurances that the offeror will--
- (i) Cooperate in any studies or surveys as may be required;
- (ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;
- (iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.
- (iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.
- (11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated)
- (i) Source lists (e.g., PRO-Net), guides, and other data that identify small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.
- (ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.
- (iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating--
- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not, why not;
- (C) Whether HUBZone small business concerns were solicited and, if not, why not;

- (D) Whether small disadvantaged business concerns were solicited and, if not, why not;
- (E) Whether women-owned small business concerns were solicited and, if not, why not; and
- (F) If applicable, the reason award was not made to a small business concern.
- (iv) Records of any outreach efforts to contact--
- (A) Trade associations;
- (B) Business development organizations;
- (C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and
- (D) Veterans service organizations.
- (v) Records of internal guidance and encouragement provided to buyers through--
- (A) Workshops, seminars, training, etc.; and
- (B) Monitoring performance to evaluate compliance with the program's requirements.
- (vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.
- (e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:
- (1) Assist small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.
- (2) Provide adequate and timely consideration of the potentialities of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all ``make-or-buy" decisions.
- (3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owner small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.
- (4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owner small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.
- (f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided--

- (1) the master plan has been approved, (2) the offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.
- (g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.
- (h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.
- (i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization Of Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.
- (j) The Contractor shall submit the following reports:
- (1) Standard Form 294, Subcontracting Report for Individual Contracts. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.
- (2) Standard Form 295, Summary Subcontract Report. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector.

52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

- (a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.
- (b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion or, in the case of a commercial plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled "Small Business Subcontracting Plan," the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.

- (c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.
- (d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by the commercial plan.
- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled Disputes, from any final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that the Government may have.

52.219-25 SMALL DISADVANTAGED BUSINESS PARTICIPATION PROGRAM—DISADVANTAGED STATUS AND REPORTING (OCT 1999)

- (a) Disadvantaged status for joint venture partners, team members, and subcontractors. This clause addresses disadvantaged status for joint venture partners, teaming arrangement members, and subcontractors and is applicable if this contract contains small disadvantaged business (SDB) participation targets. The Contractor shall obtain representations of small disadvantaged status from joint venture partners, teaming arrangement members, and subcontractors through use of a provision substantially the same as paragraph (b)(1)(i) of the provision at FAR 52.219-22, Small Disadvantaged Business Status. The Contractor shall confirm that a joint venture partner, team member, or subcontractor representing itself as a small disadvantaged business concern, is identified as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net) or by contacting the SBA's Office of Small Disadvantaged Business Certification and Eligibility.
- (b) Reporting requirement. If this contract contains SDB participation targets, the Contractor shall report on the participation of SDB concerns at contract completion, or as otherwise provided in this contract. Reporting may be on Optional Form 312, Small Disadvantaged Business Participation Report, or in the Contractor's own format providing the same information. This report is required for each contract containing SDB participation targets. If this contract contains an individual Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, reports may be submitted with the final Subcontracting Report for Individual Contracts (Standard Form 294) at the completion of the contract.

(End of clause)

52.222-1 NOTICE TO THE GOVERNMENT OF LABOR DISPUTES (FEB 1997)

If the Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay the timely performance of this contract, the Contractor shall immediately give notice, including all relevant information, to the Contracting Officer.

(End of clause)

52.222-3 CONVICT LABOR (JUN 2003)

- (a) Except as provided in paragraph (b) of this clause, the Contractor shall not employ in the performance of this contract any person undergoing a sentence of imprisonment imposed by any court of a State, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands.
- (b) The Contractor is not prohibited from employing persons--
- (1) On parole or probation to work at paid employment during the term of their sentence;
- (2) Who have been pardoned or who have served their terms; or
- (3) Confined for violation of the laws of any of the States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--
- (i) The worker is paid or is in an approved work training program on a voluntary basis;
- (ii) Representatives of local union central bodies or similar labor union organizations have been consulted;
- (iii) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services;
- (iv) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and
- (v) The Attorney General of the United States has certified that the work-release laws or **regulations** of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

(End of clause)

52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION. (SEP 2000)

- (a) Overtime requirements. No Contractor or subcontractor employing laborers or mechanics (see Federal Acquisition Regulation 22.300) shall require or permit them to work over 40 hours in any workweek unless they are paid at least 1 and 1/2 times the basic rate of pay for each hour worked over 40 hours.
- (b) Violation; liability for unpaid wages; liquidated damages. The responsible Contractor and subcontractor are liable for unpaid wages if they violate the terms in paragraph (a) of this clause. In addition, the Contractor and subcontractor are liable for liquidated damages payable to the Government. The Contracting Officer will assess liquidated damages at the rate of \$10 per affected employee for each calendar day on which the employer required or permitted the employee to work in excess of the standard workweek of 40 hours without paying overtime wages required by the Contract Work Hours and Safety Standards Act.
- (c) Withholding for unpaid wages and liquidated damages. The Contracting Officer will withhold from payments due under the contract sufficient funds required to satisfy any Contractor or subcontractor liabilities for unpaid wages and liquidated damages. If amounts withheld under the contract are insufficient to satisfy Contractor or subcontractor liabilities, the Contracting Officer will withhold payments from other Federal or Federally assisted contracts held by the same Contractor that are subject to the Contract Work Hours and Safety Standards Act.

- (d) Payrolls and basic records.
- (1) The Contractor and its subcontractors shall maintain payrolls and basic payroll records for all laborers and mechanics working on the contract during the contract and shall make them available to the Government until 3 years after contract completion. The records shall contain the name and address of each employee, social security number, labor classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. The records need not duplicate those required for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis -Bacon Act.
- (2) The Contractor and its subcontractors shall allow authorized representatives of the Contracting Officer or the Department of Labor to inspect, copy, or transcribe records maintained under paragraph (d)(1) of this clause. The Contractor or subcontractor also shall allow authorized representatives of the Contracting Officer or Department of Labor to interview employees in the workplace during working hours.
- (e) Subcontracts. The Contractor shall insert the provisions set forth in paragraphs (a) through (d) of this clause in subcontracts exceeding \$100,000 and require subcontractors to include these provisions in any lower tier subcontracts. The Contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the provisions set forth in paragraphs (a) through (d) of this clause.

52.222-6 DAVIS-BACON ACT (FEB 1995)

- (a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis -Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis -Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- (b)(1) The Contracting Officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
- (i) The work to be performed by the classification requested is not performed by a classification in the wage

determination.

- (ii) The classification is utilized in the area by the construction industry.
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (2) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (2) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, That the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis -Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(End of clause)

52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis -Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

- (a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis -Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis -Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis -Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (b)(1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.
- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--
- (i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;
- (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and
- (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.
- (4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(End of clause)

52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

- (a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and

Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(End of clause)

52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(End of clause)

52.222-11 SUBCONTRACTS (LABOR STANDARDS (FEB 1988)

- (a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis -Bacon Act, Contract Work Hours and Safety Standards Act-Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination-Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.
- (b)(1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.
- (ii) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

(End of clause)

52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis -Bacon Act, Contract Work Hours and Safety Standards Act-Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis -Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and

subcontractor as provided in 29 CFR 5.12.

(End of clause)

52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis -Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

(End of clause)

52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(End of clause)

52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

- (a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis -Bacon Act or 29 CFR 5.12(a)(1).
- (b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis -Bacon Act or 29 CFR 5.12(a)(1).
- (3) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(End of clause)

52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)

- (a) Segregated facilities, as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.
- (b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any

location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.

(End of clause)

52.222-26 EQUAL OPPORTUNITY (APR 2002)

- (a) Definition. United States, as used in this clause, means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.
- (b) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with paragraphs (b)(1) through (b)(11) of this clause, except for work performed outside the United States by employees who were not recruited within the United States. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.
- (1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.
- (2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including apprenticeship.
- (3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.

- (8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.
- (9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.
- (10) The Contractor shall include the terms and conditions of subparagraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.
- (11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.
- (c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)

(a) Definitions. "Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee.

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

- (1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- (2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);
- (3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and

- (4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).
- (b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.
- (c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.
- (d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.
- (e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.
- (f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- (g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:
- (1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.
- (2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- (3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall

for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.

- (4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- (5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.
- (6) Disseminate the Contractor's equal employment policy by--
- (i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;
- (ii) Including the policy in any policy manual and in collective bargaining agreements;
- (iii) Publicizing the policy in the company newspaper, annual report, etc.;
- (iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and
- (v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.
- (7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- (8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.
- (9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- (10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.
- (11) Validate all tests and other selection requirements where required under 41 CFR 60-3.
- (12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc.,

opportunities for promotion.

- (13) Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.
- (14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user rest rooms and necessary dressing or sleeping areas shall be provided to assure privacy between the sexes.
- (15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- (16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.
- (h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor-
- (1) Actively participates in the group;
- (2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;
- (3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;
- (4) Makes a good-faith effort to meet its individual goals and timetables; and
- (5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- (i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.
- (j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- (k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.
- (l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.

- (m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.
- (n) The Contractor shall designate a responsible official to--
- (1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out:
- (2) Submit reports as may be required by the Government; and
- (3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

(End of clause)

52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Definitions. As used in this clause--

All employment openings means all positions except executive and top management, those positions that will be filled from within the Contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days duration, and part-time employment.

Executive and top management means any employee--

- (1) Whose primary duty consists of the management of the enterprise in which the individual is employed or of a customarily recognized department or subdivision thereof;
- (2) Who customarily and regularly directs the work of two or more other employees;
- (3) Who has the authority to hire or fire other employees or whose suggestions and recommendations as to the hiring or firing and as to the advancement and promotion or any other change of status of other employees will be given particular weight;
- (4) Who customarily and regularly exercises discretionary powers; and
- (5) Who does not devote more than 20 percent or, in the case of an employee of a retail or service establishment, who does not devote more than 40 percent of total hours of work in the work week to activities that are not directly and closely related to the performance of the work described in paragraphs (1) through (4) of this definition. This

paragraph (5) does not apply in the case of an employee who is in sole charge of an establishment or a physically separated branch establishment, or who owns at least a 20 percent interest in the enterprise in which the individual is employed.

Other eligible veteran means any other veteran who served on active duty during a war or in a campaign or expedition for which a campaign badge has been authorized.

Positions that will be filled from within the Contractor's organization means employment openings for which the Contractor will give no consideration to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings the Contractor proposes to fill from regularly established "recall" lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

Qualified special disabled veteran means a special disabled veteran who satisfies the requisite skill, experience, education, and other job-related requirements of the employment position such veteran holds or desires, and who, with or without reasonable accommodation, can perform the essential functions of such position.

Special disabled veteran means--

- (1) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs for a disability--
- (i) Rated at 30 percent or more; or
- (ii) Rated at 10 or 20 percent in the case of a veteran who has been determined under 38 U.S.C. 3106 to have a serious employment handicap (i.e., a significant impairment of the veteran's ability to prepare for, obtain, or retain employment consistent with the veteran's abilities, aptitudes, and interests); or
- (2) A person who was discharged or released from active duty because of a service-connected disability.

Veteran of the Vietnam era means a person who--

- (1) Served on active duty for a period of more than 180 days and was discharged or released from active duty with other than a dishonorable discharge, if any part of such active duty occurred--
- (i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or
- (ii) Between August 5, 1964, and May 7, 1975, in all other cases; or
- (2) Was discharged or released from active duty for a service-connected disability if any part of the active duty was performed--
- (i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or
- (ii) Between August 5, 1964, and May 7, 1975, in all other cases.
- (b) General. (1) The Contractor shall not discriminate against the individual because the individual is a special disabled veteran, a veteran of the Vietnam era, or other eligible veteran, regarding any position for which the employee or applicant for employment is qualified. The Contractor shall take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans without discrimination based upon their disability or veterans' status in all employment practices such as—
- (i) Recruitment, advertising, and job application procedures;

- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;
- (iii) Rate of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeship, and on-the-job training under 38 U.S.C. 3687, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.
- (2) The Contractor shall comply with the rules, regulations, and relevant orders of the Secretary of Labor issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended (38 U.S.C. 4211 and 4212).
- (c) Listing openings. (1) The Contractor shall immediately list all employment openings that exist at the time of the execution of this contract and those which occur during the performance of this contract, including those not generated by this contract, and including those occurring at an establishment of the Contractor other than the one where the contract is being performed, but excluding those of independently operated corporate affiliates, at an appropriate local public employment service office of the State wherein the opening occurs. Listing employment openings with the U.S. Department of Labor's America's Job Bank shall satisfy the requirement to list jobs with the local employment service office.
- (2) The Contractor shall make the listing of employment openings with the local employment service office at least concurrently with using any other recruitment source or effort and shall involve the normal obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing of employment openings does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.
- (3) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State public employment agency in each State where it has establishments of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State agency, it need not advise the State agency of subsequent contracts. The Contractor may advise the State agency when it is no longer bound by this contract clause.
- (d) Applicability. This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Virgin Islands of the United States, and Wake Island.
- (e) Postings. (1) The Contractor shall post employment notices in conspicuous places that are available to employees and applicants for employment.
- (2) The employment notices shall--

- (i) State the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants who are special disabled veterans, veterans of the Vietnam era, and other eligible veterans; and
- (ii) Be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary of Labor), and provided by or through the Contracting Officer.
- (3) The Contractor shall ensure that applicants or employees who are special disabled veterans are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled veteran, or may lower the posted notice so that it can be read by a person in a wheelchair).
- (4) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement, or other contract understanding, that the Contractor is bound by the terms of the Act and is committed to take affirmative action to employ, and advance in employment, qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans.
- (f) Noncompliance. If the Contractor does not comply with the requirements of this clause, the Government may take appropriate actions under the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.
- (g) Subcontracts. The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor. The Contractor shall act as specified by the Deputy Assistant Secretary of Labor to enforce the terms, including action for noncompliance.

52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

- (a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as—
- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;

- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.
- (2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.
- (b) Postings. (1) The Contractor agrees to post employment notices stating--
- (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
- (ii) The rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.
- (c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

- (a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on--
- (1) The number of disabled veterans and the number of veterans of the Vietnam era in the workforce of the contractor by job category and hiring location; and
- (2) The total number of new employees hired during the period covered by the report, and of that total, the number of disabled veterans, and the number of veterans of the Vietnam era.
- (b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."
- (c) Reports shall be submitted no later than September 30 of each year beginning September 30, 1988.

- (d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date: (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).
- (e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that the information is voluntarily provided; that the information will be kept confidential; that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.
- (f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

52.223-3 HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY DATA (JAN 1997)

- (a) "Hazardous material", as used in this clause, includes any material defined as hazardous under the latest version of Federal Standard No. 313 (including revisions adopted during the term of the contract).
- (b) The offeror must list any hazardous material, as defined in paragraph (a) of this clause, to be delivered under this contract. The hazardous material shall be properly identified and include any applicable identification number, such as National Stock Number or Special Item Number. This information shall also be included on the Material Safety Data Sheet submitted under this contract.

Material	Identification No.
(If none,	
insert "None")	

- (c) This list must be updated during performance of the contract whenever the Contractor determines that any other material to be delivered under this contract is hazardous.
- (d) The apparently successful offeror agrees to submit, for each item as required prior to award, a Material Safety Data Sheet, meeting the requirements of 29 CFR 1910.1200(g) and the latest version of Federal Standard No. 313, for all hazardous material identified in paragraph (b) of this clause. Data shall be submitted in accordance with Federal Standard No. 313, whether or not the apparently successful offeror is the actual manufacturer of these items. Failure to submit the Material Safety Data Sheet prior to award may result in the apparently successful offeror being considered nonresponsible and ineligible for award.

- (e) If, after award, there is a change in the composition of the item(s) or a revision to Federal Standard No. 313, which renders incomplete or inaccurate the data submitted under paragraph (d) of this clause, the Contractor shall promptly notify the Contracting Officer and resubmit the data.
- (f) Neither the requirements of this clause nor any act or failure to act by the Government shall relieve the Contractor of any responsibility or liability for the safety of Government, Contractor, or subcontractor personnel or property.
- (g) Nothing contained in this clause shall relieve the Contractor from complying with applicable Federal, State, and local laws, codes, ordinances, and regulations (including the obtaining of licenses and permits) in connection with hazardous material.
- (h) The Government's rights in data furnished under this contract with respect to hazardous material are as follows:
- (1) To use, duplicate and disclose any data to which this clause is applicable. The purposes of this right are to-
- (i) Apprise personnel of the hazards to which they may be exposed in using, handling, packaging, transporting, or disposing of hazardous materials;
- (ii) Obtain medical treatment for those affected by the material; and
- (iii) Have others use, duplicate, and disclose the data for the Government for these purposes.
- (2) To use, duplicate, and disclose data furnished under this clause, in accordance with subparagraph (h)(1) of this clause, in precedence over any other clause of this contract providing for rights in data.
- (3) The Government is not precluded from using similar or identical data acquired from other sources.

52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (AUG 2003)

(a) Definitions. As used in this clause--

Priority chemical means a chemical identified by the Interagency Environmental Leadership Workgroup or, alternatively, by an agency pursuant to section 503 of Executive Order 13148 of April 21, 2000, Greening the Government through Leadership in Environmental Management.

"Toxic chemical means a chemical or chemical category listed in 40 CFR 372.65."

- (b) Executive Order 13148 requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13101-13109).
- (c) The Contractor shall provide all information needed by the Federal facility to comply with the following:
- (1) The emergency planning reporting requirements of section 302 of EPCRA.
- (2) The emergency notice requirements of section 304 of EPCRA.
- (3) The list of Material Safety Data Sheets, required by section 311 of EPCRA.
- (4) The emergency and hazardous chemical inventory forms of section 312 of EPCRA.

- (5) The toxic chemical release inventory of section 313 of EPCRA, which includes the reduction and recycling information required by section 6607 of PPA.
- (6) The toxic chemical, priority chemical, and hazardous substance release and use reduction goals of sections 502 and 503 of Executive Order 13148.

52.223-6 DRUG-FREE WORKPLACE (MAY 2001)

(a) Definitions. As used in this clause --

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to deter- mine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession, or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

- (b) The Contractor, if other than an individual, shall-- within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--
- (1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;
- (2) Establish an ongoing drug-free awareness program to inform such employees about-
- (i) The dangers of drug abuse in the workplace;
- (ii) The Contractor's policy of maintaining a drug-free workplace;
- (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (3) Provide all employees engaged in performance of the contract with a copy of the statement required by

subparagraph (b)(1) of this clause;

- (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--
- (i) Abide by the terms of the statement; and
- (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.
- (5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;
- (6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:
- (i) Taking appropriate personnel action against such employee, up to and including termination; or
- (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) though (b)(6) of this clause.
- (c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.
- (d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

(End of clause)

52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED PRODUCTS (AUG 2000)

(a) Definitions. As used in this clause--

Postconsumer material means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of "recovered material."

Recovered material means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

(b) The Contractor, on completion of this contract, shall--

- (1) Estimate the percentage of the total recovered material used in contract performance, including, if applicable, the percentage of postconsumer material content; and
- (2) Submit this estimate to <u>Susan K. Sherrell, Contracting Office, U.S. Army Corps of Engineers, Seattle District.</u>
 (End of clause)

52.223-14 TOXIC CHEMICAL RELEASE REPORTING (AUG 2003)

- (a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.
- (b) A Contractor-owned or -operated facility used in the performance of this contract is exempt from the requirement to file an annual Form R if--
- (1) The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65;
- (2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
- (3) The facility does not meet the reporting thresholds of toxic chemicals established under of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- (4) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:
- (i) Major group code 10 (except 1011, 1081, and 1094.
- (ii) Major group code 12 (except 1241).
- (iii) Major group codes 20 through 39.
- (iv) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).
- (v) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, et seq.)), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or
- (5) The facility is not located in the United States or its outlying areas.
- (c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any of its owned or operated facilities used in the performance of this contract is no longer exempt--
- (1) The Contractor shall notify the Contracting Officer; and

- (2) The Contractor, as owner or operator of a facility used in the performance of this contract that is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.
- (d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.
- (e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall--
- (1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and
- (2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

52.225-9 BUY AMERICAN ACT—CONSTRUCTION MATERIALS (JUN 2003)

(a) Definitions. As used in this clause--

Component means an article, material, or supply incorporated directly into a construction material.

Construction material means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

Cost of components means--

- (1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or
- (2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

Domestic construction material means--

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

Foreign construction material means a construction material other than a domestic construction material.

United States means the 50 States, the District of Columbia, and outlying areas.

- (b) Domestic preference. (1) This clause implements the Buy American Act (41 U.S.C. 10a-10d) by providing a preference for domestic construction material. The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.
- (2) This requirement does not apply to the construction material or components listed by the Government as follows: [Contracting Officer to list applicable excepted materials or indicate "none"]
- (3) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(2) of this clause if the Government determines that
- (i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the requirements of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;
- (ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or
- (iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.
- (c) Request for determination of inapplicability of the Buy American Act. (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(3) of this clause shall include adequate information for Government evaluation of the request, including--
- (A) A description of the foreign and domestic construction materials;
- (B) Unit of measure;
- (C) Quantity;
- (D) Price;
- (E) Time of delivery or availability;
- (F) Location of the construction project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(3) of this clause.
- (ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.
- (iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

- (iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.
- (2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(3)(i) of this clause.
- (3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.
- (d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

Construction material description	•	, , , ,
Item 1	 	
Foreign construction material	 	••••
Domestic construction material	 	
Item 2		
Foreign construction material	 	••••
Domestic construction material		

Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).

List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.

Include other applicable supporting information.

(End of clause)

52.225-10 NOTICE OF BUY AMERICAN ACT REQUIREMENT--CONSTRUCTION MATERIALS (MAY 2002)

- (a) Definitions. Construction material, domestic construction material, and foreign construction material, as used in this provision, are defined in the clause of this solicitation entitled "Buy American Act --Construction Materials" (Federal Acquisition Regulation (FAR) clause 52.225-9).
- (b) Requests for determinations of inapplicability. An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of the clause at FAR 52.225-9 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

- (c) Evaluation of offers. (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction material, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(3)(i) of the clause at FAR 52.225-9.
- (2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.
- (d) Alternate offers.
- (1) When an offer includes foreign construction material not listed by the Government in this solicitation in paragraph (b)(2) of the clause at FAR 52.225-9, the offeror also may submit an alternate offer based on use of equivalent domestic construction material.
- (2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of the clause at FAR 52.225-9 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.
- (3) If the Government determines that a particular exception requested in accordance with paragraph (c) of the clause at FAR 52.225-9 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the offeror shall be required to furnish such domestic construction material. An offer based on use of the foreign construction material for which an exception was requested--
- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JAN 2004)

- (a) Except as authorized by the Office of Foreign Assets Control (OFAC) in the Department of the Treasury, the Contractor shall not acquire, for use in the performance of this contract, any supplies or services if any proclamation, Executive order, or statute administered by OFAC, or if OFAC's implementing regulations at 31 CFR chapter V, would prohibit such a transaction by a person subject to the jurisdiction of the United States.
- (b) Except as authorized by OFAC, most transactions involving Cuba, Iran, Libya, and Sudan are prohibited, as are most imports from North Korea, into the United States or its outlying areas. Lists of entities and individuals subject to economic sanctions are included in OFAC's List of Specially Designated Nationals and Blocked Persons at TerList1.html. More information about these restrictions, as well as updates, is available in the OFAC's regulations at 31 CFR chapter V and/or on OFAC's Web site at http://www.treas.gov/ofac.
- (c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.

(End of clause)

52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

- (a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.
- (b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold (however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.)

(End of clause)

52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

- (a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has knowledge.
- (b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.
- (4) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at (FAR) 2.101.to exceed the dollar amount set forth in 13.000 of the Federal Acquisition Regulation (FAR).

(End of clause)

52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)--ALTERNATE I (APR 1984)

(a) Except as otherwise provided, the Contractor agrees to indemnify the Government and its officers, agents, and employees against liability, including costs and expenses, for infringement upon any United States patent (except a patent issued upon an application that is now or may hereafter be withheld from issue pursuant to a Secrecy Order under 35 U.S.C. 181) arising out of performing this contract or out of the use or disposal by or for the account of the

Government of supplies furnished or work performed under this contract.

(b) This patent indemnification shall not apply to the following items: [Contracting Officer specifically identify the item to be excluded.]

(End of clause)

52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

- (a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government.
- (b) Any surety fails to furnish reports on its financial condition as required by the Government;
- (c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or
- (d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting officer has the right to immediately draw on the ILC.

(End of clause)

52.228-11 PLEDGES OF ASSETS (FEB 1992)

- (a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--
- (1) Pledge of assets; and
- (2) Standard Form 28, Affidavit of Individual Surety.
- (b) Pledges of assets from each person acting as an individual surety shall be in the form of--
- (1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;
- (2) A recorded lien on real estate. The offeror will be required to provide--
- (i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);

- (ii) Evidence of the amount due under any encumbrance shown in the evidence of title;
- (iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS. (OCT 1995)

In accordance with Section 806(a)(3) of Pub. L. 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requester.

(End of clause)

52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

- (a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.
- (b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.
- (c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--
- (1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;
- (2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration date that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal at least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:
- (i) For contracts subject to the Miller Act, the later of--
- (A) One year following the expected date of final payment;
- (B) For performance bonds only, until completion of any warranty period; or

- (C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.(ii) For contracts not subject to the Miller Act, the later of--

(B) For performance bonds only, until completion of any warranty period.

(A) 90 days following final payment; or

extended expiration date.

(d) Only federally insured financial institutions rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of less than \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of less than \$25 million in the past year.

(e) The following format shall be used by the issuing financial institution to create an ILC:
[Issuing Financial Institution's Letterhead or Name and Address]
Issue Date
IRREVOCABLE LETTER OF CREDIT NO
Account party's name
Account party's address
For Solicitation No(for reference only)
TO: [U.S. Government agency]
[U.S. Government agency's address]
1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$ This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's] office at [issuing financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on, or any automatically extended expiration date.
2. We hereby undertake to honor your or the transferee's sight draft(s) drawn on the issuing or, if any, the confirmin

3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party

financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically

4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to

(and confirming financial institution, if any) by the same means of delivery.

either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any. 5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of state of confirming financial institution, if any, otherwise state of issuing financial institution]. 6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business. Sincerely, [Issuing financial institution] (f) The following format shall be used by the financial institution to confirm an ILC: [Confirming Financial Institution's Letterhead or Name and Address] Our Letter of Credit Advice Number Beneficiary: [U.S. Government agency] Issuing Financial Institution: Issuing Financial Institution's LC No.: Gentlemen: 1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by ____ [name of issuing financial institution] for drawings of up to United States dollars /U.S.\$ and expiring with our close of business on _____ [the expiration date], or any automatically extended expiration date. 2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at

- 3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.
- 4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:
- (a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this

confirmation extended for any such additional period; or

(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.
5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of [state of confirming financial institution].
6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.
Sincerely,
[Confirming financial institution]
(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:
SIGHT DRAFT
[City, State]
(Date)
[Name and address of financial institution]
Pay to the order of [Beneficiary Agency] the sum of United States \$ This draft is drawn under Irrevocable Letter of Credit No
[Beneficiary Agency]
By:
(End of clause)
52.228-15 PERFORMANCE AND PAYMENT BONDSCONSTRUCTION (JUL 2000)-
(a) Definitions. As used in this clause

Original contract price means the award price of the contract; or, for requirements contracts, the price payable for the estimated total quantity; or, for indefinite-quantity contracts, the price payable for the specified minimum quantity. Original contract price does not include the price of any options, except those options exercised at the time of contract award.

- (b) Amount of required bonds. Unless the resulting contract price is \$100,000 or less, the successful offeror shall furnish performance and payment bonds to the Contracting Officer as follows:
- (1) Performance bonds (Standard Form 25). The penal amount of performance bonds at the time of contract award shall be 100 percent of the original contract price.
- (2) Payment Bonds (Standard Form 25-A). The penal amount of payment bonds at the time of contract award shall be 100 percent of the original contract price.
- (3) Additional bond protection. (i) The Government may require additional performance and payment bond protection if the contract price is increased. The increase in protection generally will equal 100 percent of the increase in contract price.
- (ii) The Government may secure the additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.
- (c) Furnishing executed bonds. The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.
- (d) Surety or other security for bonds. The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register or may be obtained from the U.S. Department of Treasury, Financial Management Service, Surety Bond Branch, 401 14th Street, NW, 2nd Floor, West Wing, Washington, DC 20227.
- (e) Notice of subcontractor waiver of protection (40 U.S.C. 270b(c). Any waiver of the right to sue on the payment bond is void unless it is in writing, signed by the person whose right is waived, and executed after such person has first furnished labor or material for use in the performance of the contract.

52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (SEP 2002)

- (a) Payment of price. The Government shall pay the Contractor the contract price as provided in this contract.
- (b) Progress payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.
- (1) The Contractor's request for progress payments shall include the following substantiation:
- (i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.
- (ii) A listing of the amount included for work performed by each subcontractor under the contract.
- (iii) A listing of the total amount of each subcontract under the contract.
- (iv) A listing of the amounts previously paid to each such subcontractor under the contract.

- (v) Additional supporting data in a form and detail required by the Contracting Officer.
- (2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--
- (i) Consideration is specifically authorized by this contract; and
- (ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.
- (c) Contractor certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.)

I hereby certify, to the best of my knowledge and belief, that--

- (1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;
- (2) All payments due to subcontractors and suppliers from previous payments received under the contract have been made, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;
- (3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and

(4) This certificati	on is not to be cons	trued as final acc	ceptance of a subc	ontractor's perfo	ormance
(Name)					
(Title)					
					

- (d) Refund of unearned amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--
- (1) Notify the Contracting Officer of such performance deficiency; and

(Date)

(2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--

- (i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or
- (ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.
- (e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.
- (f) Title, liability, and reservation of rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--
- (1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or
- (2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.
- (g) Reimbursement for bond premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.
- (h) Final payment. The Government shall pay the amount due the Contractor under this contract after-
- (1) Completion and acceptance of all work;
- (2) Presentation of a properly executed voucher; and
- (3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).
- (i) Limitation because of undefinitized work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefinitized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.
- (j) Interest computation on unearned amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--
- (1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and

(2) Deducted from the next available payment to the Contractor.

(End of clause)

52.232-17 INTEREST (JUNE 1996)

- (a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid. reproduce, prepare derivative works, distribute copies to the public, and (b) Amounts shall be due at the earliest of the following dates:
- (1) The date fixed under this contract.
- (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
- (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.
- (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.
- (c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986) - ALTERNATE I (APR 1984)

- (a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence. Unless otherwise stated in this contract, payments to an assignee of any amounts due or to become due under this contract shall not, to the extent specified in the Act, be subject to reduction or setoff.
- (b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.
- (c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorizes

such action in writing.

(End of clause)

52.232-27 PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (OCT 2003)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

- (a) Invoice payments.-(1) Types of invoice payments. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:
- (i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project.
- (A) The due date for making such payments is 14 days after the designated billing office receives a proper payment request. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date is the 14th day after the date of the Contractor's payment request, provided the designated billing office receives a proper payment request and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, is as specified in the contract or, if not specified, 30 days after approval by the Contracting Officer for release to the Contractor.
- (ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (e.g., each separate building, public work, or other division of the contract for which the price is stated separately in the contract).
- (A) The due date for making such payments is the later of the following two events:
- (1) The 30th day after the designated billing office receives a proper invoice from the Contractor.
- (2) The 30th day after Government acceptance of the work or services completed by the Contractor. For a final invoice when the payment amount is subject to contract settlement actions (e.g., release of claims), acceptance is deemed to occur on the effective date of the contract settlement.
- (B) If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (2) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(xi) of this clause. If the invoice does not comply with these requirements, the designated billing office must return it within 7 days after

receipt, with the reasons why it is not a proper invoice. When computing any interest penalty owed the Contractor, the Government will take into account if the Government notifies the Contractor of an improper invoice in an untimely manner.

- (i) Name and address of the Contractor.
- (ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of mailing or transmission.)
- (iii) Contract number or other authorization for work or services performed (including order number and contract line item number).
- (iv) Description of work or services performed.
- (v) Delivery and payment terms (e.g., discount for prompt payment terms).
- (vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).
- (vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.
- (viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.
- (ix) Taxpayer Identification Number (TIN). The Contractor shall include its TIN on the invoice only if required elsewhere in this contract.
- (x) Electronic funds transfer (EFT) banking information.
- (A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.
- (B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232-38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232-33, Payment by Electronic Funds Transfer--Central Contractor Registration, or 52.232-34, Payment by Electronic Funds Transfer--Other Than Central Contractor Registration), or applicable agency procedures.
- (C) EFT banking information is not required if the Government waived the requirement to pay by EFT.
- (xi) Any other information or documentation required by the contract.
- (3) Interest penalty. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.
- (i) The designated billing office received a proper invoice.

- (ii) The Government processed a receiving report or other Government documentation authorizing payment and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.
- (iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Go vernment and the Contractor.
- (4) Computing penalty amount. The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.
- (i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval is deemed to occur constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. If actual acceptance or approval occurs within the constructive acceptance or approval period, the Government will base the determination of an interest penalty on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.
- (ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes, and any interest that may be payable in accordance with the clause at FAR 52.233-1, Disputes.
- (5) Discounts for prompt payment. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.
- (6) Additional interest penalty. (i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if-
- (A) The Government owes an interest penalty of \$1 or more;
- (B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and
- (C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.
- (ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall--
- (1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;
- (2) Attach a copy of the invoice on which the unpaid late payment interest was due; and
- (3) State that payment of the principal has been received, including the date of receipt.
- (B) If there is no postmark or the postmark is illegible--

- (1) The designated payment office that receives the demand will annotate it with the date of receipt provided the demand is received on or before the 40th day after payment was made; or
- (2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.
- (b) Contract financing payments. If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.
- (c) Subcontract clause requirements. The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:
- (1) Prompt payment for subcontractors. A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.
- (2) Interest for subcontractors. An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause--
- (i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and
- (ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (3) Subcontractor clause flowdown. A clause requiring each subcontractor to use:
- (i) Include a payment clause and an interest penalty clause conforming to the standards set forth in paragraphs (c)(1) and (c)(2) of this clause in each of its subcontracts; and
- (ii) Require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.
- (d) Subcontract clause interpretation. The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that--
- (1) Retainage permitted. Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;
- (2) Withholding permitted. Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and
- (3) Withholding requirements. Permit such withholding without incurring any obligation to pay a late payment penalty if--

- (i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and
- (ii) The Contractor furnishes to the Contracting Officer a copy of any notice issued by a Contractor pursuant to paragraph (d)(3)(i) of this clause.
- (e) Subcontractor withholding procedures. If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall--
- (1) Subcontractor notice. Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;
- (2) Contracting Officer notice. Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to paragraph (e)(1) of this clause;
- (3) Subcontractor progress payment reduction. Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (e)(1) of this clause;
- (4) Subsequent subcontractor payment. Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and--
- (i) Make such payment within--
- (A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i)) of this clause; or
- (B) Seven days after the Contractor recovers such funds from the Government; or
- (ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;
- (5) Notice to Contracting Officer. Notify the Contracting Officer upon--
- (i) Reduction of the amount of any subsequent certified application for payment; or
- (ii) Payment to the subcontractor of any withheld amounts of a progress payment, specifying--
- (A) The amounts withheld under paragraph (e)(1) of this clause; and
- (B) The dates that such withholding began and ended; and
- (6) Interest to Government. Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until--
- (i) The day the identified subcontractor performance deficiency is corrected; or

- (ii) The date that any subsequent payment is reduced under paragraph (e)(5)(i) of this clause.
- (f) Third-party deficiency reports—(1) Withholding from subcontractor. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under paragraph (e)(6) of this clause—
- (i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and
- (ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.
- (2) Subsequent payment or interest charge. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall--
- (i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or
- (ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Dis putesAct of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (g) Written notice of subcontractor withholding. The Contractor shall issue a written notice of any withholding to a subcontractor (with a copy furnished to the Contracting Officer), specifying--
- (1) The amount to be withheld;
- (2) The specific causes for the withholding under the terms of the subcontract; and
- (3) The remedial actions to be taken by the subcontractor in order to receive payment of the amounts withheld.
- (h) Subcontractor payment entitlement. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.
- (i) Prime-subcontractor disputes. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the Government is a party. The Government may not be interpleaded in any judicial or administrative proceeding involving such a dispute.
- (j) Preservation of prime-subcontractor rights. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.
- (k) Non-recourse for prime contractor interest penalty. The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be

construed to be an obligation of the Government for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.

(1) Overpayments. If the Contractor becomes aware of a duplicate contract financing or invoice payment or that the Government has otherwise overpaid on a contract financing or invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

(End of clause)

52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER—CENTRAL CONTRACTOR REGISTRATION (OCT 2003)

- (a) Method of payment. (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term "EFT" refers to the funds transfer and may also include the payment information transfer.
- (2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either-
- (i) Accept payment by check or some other mutually agreeable method of payment; or
- (ii) Request the Government to extend the payment due date until such time as the Government can make payment by EFT (but see paragraph (d) of this clause).
- (b) Contractor's EFT information. The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.
- (c) Mechanisms for EFT payment. The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.
- (d) Suspension of payment. If the Contractor's EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.
- (e) Liability for uncompleted or erroneous transfers. (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for--
- (i) Making a correct payment;
- (ii) Paying any prompt payment penalty due; and
- (iii) Recovering any erroneously directed funds.
- (2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and--

- (i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or
- (ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.
- (f) EFT and prompt payment. A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.
- (g) EFT and assignment of claims. If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register separately in the CCR database and shall be paid by EFT in accordance with the terms of this clause. Notwithstanding any other requirement of this contract, payment to an ultimate recipient other than the Contractor, or a financial institution properly recognized under an assignment of claims pursuant to subpart 32.8, is not permitted. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.
- (h) Liability for change of EFT information by financial agent. The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.
- (i) Payment information. The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.

52.233-1 DISPUTES. (JUL 2002)

- (a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).
- (b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.
- (c) Claim, as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted

within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.

- (2)(i) The contractors shall provide the certification specified in subparagraph (d)(2)(iii) of this clause when submitting any claim -
- (A) Exceeding \$100,000; or
- (B) Regardless of the amount claimed, when using -
- (1) Arbitration conducted pursuant to 5 U.S.C. 575-580; or
- (2) Any other alternative means of dispute resolution (ADR) technique that the agency elects to handle in accordance with the Administrative Dispute Resolution Act (ADRA).
- (ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.
- (iii) The certification shall state as follows: "I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.
- (3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.
- (e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.
- (f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.
- (g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative disput resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the request.
- (h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified, if required); or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.
- (i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

(End of clause)

- (a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--
- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.
- (b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--
- (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
- (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.
- (c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.
- (d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.
- (e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.
- (f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

As prescribed in 36.502, insert the following clause in solicitations and contracts when a fixed-price construction contract or a fixed-price dismantling, demolition, or removal of improvements contract is contemplated and the contract amount is expected to exceed the small purchase limitation. The Contracting Officer may insert the clause in solicitations and contracts when a fixed-price construction or a fixed-price contract for dismantling, demolition, or removal of improvements is contemplated and the contract amount is expected to be within the small purchase limitation.

- (a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of
- (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or
- (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.
- (b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.
- (c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.
- (d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

- (a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to
- (1) conditions bearing upon transportation, disposal, handling, and storage of materials;
- (2) the availability of labor, water, electric power, and roads;
- (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;
- (4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.
- (b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

(End of clause)

52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

- (a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.
- (b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.
- (c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

(End of clause)

52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the worksite a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

(End of clause)

52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(End of clause)

52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

(End of clause)

52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

- (a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.
- (b) The Contractor shall protect from damage all existing improvements and utilities
- (1) at or near the work site, and
- (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

(End of clause)

52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

- (a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.
- (b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.
- (c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor

shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

(End of clause)

52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

- (a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.
- (b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

(End of clause)

52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

(End of clause)

52.236-13 ACCIDENT PREVENTION (NOV 1991)

- (a) The Contractor shall provide and maintain work environments and procedures which will
- (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities;
- (2) avoid interruptions of Government operations and delays in project completion dates; and
- (3) control costs in the performance of this contract.
- (b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall-
- (1) Provide appropriate safety barricades, signs, and signal lights;
- (2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and

- (3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.
- (c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.
- (d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.
- (5) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.

52.236-14 AVAILABILITY AND USE OF UTILITY SERVICES (APR 1984)

- (a) The Government shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.
- (b) The Contractor, at its expense and in a workmanlike manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to measure the amount of each utility used for the purpose of determining charges. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

(End of clause)

52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of

progress payments until the Contractor submits the required schedule.

- (b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.
- (c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

(End of clause)

52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

(End of clause)

52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

- (a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.
- (b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by," or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

- (c) Where "as shown," as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".
- (d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.
- (f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.
- (g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

(End of clause)

52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification

shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

(End of clause)

52.242-14 SUSPENSION OF WORK (APR 1984)

- (a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.
- (b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract. (c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

(End of clause)

52.243-4 CHANGES (AUG 1987)

- (a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes --
- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.
- (b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating
- (1) the date, circumstances, and source of the order and

- (2) that the Contractor regards the order as a change order.
- (c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.
- (d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.
- (e) The Contractor must assert its right to an adjustment under this clause within 30 days after
- (1) receipt of a written change order under paragraph (a) of this clause or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.
- (f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

- (a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.
- (b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.
- (c) Government inspections and tests are for the sole benefit of the Government and do not-
- (1) Relieve the Contractor of responsibility for providing adequate quality control measures;
- (2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
- (3) Constitute or imply acceptance; or
- (4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) of this section.
- (d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.

- (e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.
- (f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.
- (g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the Contractor's right to proceed.
- (h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.
- (i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994) - ALTERNATE I (APR 1984)

- (a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.
- (b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.
- (c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Governmentowned or controlled real or personal property, when that damage is the result of
- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.
- (d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or

replacement.

- (e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.
- (f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--
- (1) Obtain all warranties that would be given in normal commercial practice;
- (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
- (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.
- (h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.
- (i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.
- (j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.
- (k) Defects in design or manufacture of equipment specified by the Government on a "brand name and model" basis, shall not be included in this warranty. In this event, the Contractor shall require any subcontractors, manufacturers, or suppliers thereof to execute their warranties, in writing, directly to the Government.

(End of clause)

52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000)

- (a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) below.
- (b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.
- "Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.

"Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) below).

"Value engineering change proposal (VECP)" means a proposal that--

- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--
- (i) In deliverable end item quantities only; or
- (ii) To the contract type only.
- (c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (7) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:
- (1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.
- (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
- (3) A separate, detailed cost estimate for
- (i) the affected portions of the existing contract requirement and
- (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) below.
- (4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.
- (5) A prediction of any effects the proposed change would have on collateral costs to the agency.
- (6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.
- (7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.

- (d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.
- (e) Government action.
- (1) The Contracting Officer will notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer will notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

If the VECP is not accepted, the Contracting Officer will notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The decision to accept or reject all or part of any VECP is a unilateral decision made solely at the discretion of the Contracting Officer.

- (f) Sharing.
- (1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by
- (i) 45 percent for fixed-price contracts or
- (ii) 75 percent for cost-reimbursement contracts.
- (2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--
- (i) Accept the VECP;
- (ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and
- (iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.
- (g) Collateral savings. If a VECP is accepted, the Contracting Officer will increase the instant contract amount by 20 percent of any projected collateral savings determined to be realized in a typical year of use after subtracting any Government costs not previously offset. However, the Contractor's share of collateral savings will not exceed the contract's firm-fixed-price or estimated cost, at the time the VECP is accepted, or \$100,000, whichever is greater. The Contracting Officer is the sole determiner of the amount of collateral savings.
- (h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) above, the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The

Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

(i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering-- Construction clause of contract , shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations." If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of clause)

52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SEP 1996) - ALTERNATE I (SEP 1996)

- (a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.
- (b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:
- (1) Stop work as specified in the notice.
- (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
- (3) Terminate all subcontracts to the extent they relate to the work terminated.
- (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.
- (5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.
- (6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.
- (7) Complete performance of the work not terminated.

- (8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.
- (9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.
- (c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.
- (d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.
- (e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1-year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.
- (f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid or remaining to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (g) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be modified, and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.
- (g) If the Contractor and Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:
- (1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--
- (i) The cost of this work;
- (ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and

- (iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.
- (2) The reasonable costs of settlement of the work terminated, including-
- (i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;
- (ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and
- (iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.
- (h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.
- (i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.
- (j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal or request for equitable adjustment within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.
- (k) In arriving at the amount due the Contractor under this clause, there shall be deducted-
- (1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;
- (2) Any claim which the Government has against the Contractor under this contract; and
- (3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.
- (1) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.
- (m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.
- (2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

(End of clause)

52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

- (a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.
- (b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if--
- (1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include
- (i) acts of God or of the public enemy,
- (ii) acts of the Government in either its sovereign or contractual capacity,
- (iii) acts of another Contractor in the performance of a contract with the Government,
- (iv) fires,
- (v) floods,
- (vi) epidemics,
- (vii) quarantine restrictions,
- (viii) strikes,
- (ix) freight embargoes,
- (x) unusually severe weather, or delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and
- (2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer),

notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.253-1 COMPUTER GENERATED FORMS (JAN 1991)

- (a) Any data required to be submitted on a Standard or Optional Form prescribed by the Federal Acquisition Regulation (FAR) may be submitted on a computer generated version of the form, provided there is no change to the name, content, or sequence of the data elements on the form, and provided the form carries the Standard or Optional Form number and edition date.
- (b) Unless prohibited by agency regulations, any data required to be submitted on an agency unique form prescribed by an agency supplement to the FAR may be submitted on a computer generated version of the form provided there is no change to the name, content, or sequence of the data elements on the form and provided the form carries the agency form number and edition date.
- (6) If the Contractor submits a computer generated version of a form that is different than the required form, then the rights and obligations of the parties will be determined based on the content of the required form.

(End of clause)

252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

- (a) "Definition. Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.
- (b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES (MAR 1999)

(a) Definitions. As used in this clause—

- (1) "Arising out of a contract with the DoD" means any act in connection with—
- (i) Attempting to obtain;
- (ii) Obtaining, or
- (iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).
- (2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of *nolo contendere*, for which sentence has been imposed.
- (3) "Date of conviction" means the date judgment was entered against the individual.
- (b) Any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--
- (1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;
- (2) On the board of directors of any DoD contractor or first-tier subcontractor;
- (3) As a consultant, agent, or representative for any DoD contractor or first-tier subcontractor; or
- (4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.
- (c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than 5 years from the date of conviction.
- (d) 10 U.S.C. 2408 provides that a defense contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly—
- (1) Employing a person under a prohibition specified in paragraph (b) of this clause; or
- (2) Allowing such a person to serve on the board of directors of the contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as—
- (1) Suspension or debarment;
- (2) Cancellation of the contract at no cost to the Government; or
- (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify—
- (1) The person involved;
- (2) The nature of the conviction and resultant sentence or punishment imposed;

- (3) The reasons for the requested waiver; and
- (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.
- (h) Pursuant to 10 U.S.C. 2408(c), defense contractors and subcontractors may obtain information as to whether a particular person has been convicted of fraud or any other felony arising out of a contract with the DoD by contacting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

(End of clause)

252.203-7002 DISPLAY OF DOD HOTLINE POSTER (DEC 1991)

- (a) The Contractor shall display prominently in common work areas within business segments performing work under Department of Defense (DoD) contracts, DoD Hotline Posters prepared by the DoD Office of the Inspector General.
- (b) DoD Hotline Posters may be obtained from the DoD Inspector General, ATTN: Defense Hotline, 400 Army Navy Drive, Washington, DC 22202-2884.
- (7) The Contractor need not comply with paragraph (a) of this clause if it has established a mechanism, such as a hotline, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports.

(End of clause)

252.204-7000 DISCLOSURE OF INFORMATION (DEC 1991)

- (a) The Contractor shall not release to anyone outside the Contractor's organization any unclassified information, regardless of medium (e.g., film, tape, document), pertaining to any part of this contract or any program related to this contract, unless--
- (1) The Contracting Officer has given prior written approval; or
- (2) The information is otherwise in the public domain before the date of release.
- (b) Requests for approval shall identify the specific information to be released, the medium to be used, and the purpose for the release. The Contractor shall submit its request to the Contracting Officer at least 45 days before the proposed date for release.
- (c) The Contractor agrees to include a similar requirement in each subcontract under this contract. Subcontractors shall submit requests for authorization to release through the prime contractor to the Contracting Officer.

(End of clause)

252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the contractor.

(End of clause)

252.204-7004 REQUIRED CENTRAL CONTRACTOR REGISTRATION ALTERNATE A (NOV 2003)

(a) Definitions. As used in this clause--

"Central Contractor Registration (CCR) database" means the primary Government repository for contractor information required for the conduct of business with the Government.

"Commercial and Government Entity (CAGE) code" means-

- (1) A code assigned by the Defense Logistics Information Service (DLIS) to identify a commercial or Government entity; or
- (2) A code assigned by a member of the North Atlantic Treaty Organization that DLIS records and maintains in the CAGE master file. This type of code is known as an "NCAGE code."

"Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

"Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see Subpart 32.11 of the Federal Acquisition Regulation) for the same parent concern.

"Registered in the CCR database" means that--

- (1) The Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database:
- (2) The Contractor's CAGE code is in the CCR database; and
- (3) The Government has validated all mandatory data fields and has marked the records "Active."
- (b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.
- (2) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" or "DUNS +4" followed by the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.

- (1) An offeror may obtain a DUNS number--
- (i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at http://www.dnb.com; or
- (ii) If located outside the United States, by contacting the local Dun and Bradstreet office.
- (2) The offeror should be prepared to provide the following information:
- (i) Company legal business.
- (ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.
- (iii) Company Physical Street Address, City, State, and Zip Code.
- (iv) Company Mailing Address, City, State and Zip Code (if separate from physical).
- (v) Company Telephone Number.
- (vi) Date the company was started.
- (vii) Number of employees at your location.
- (viii) Chief executive officer/key manager.
- (ix) Line of business (industry).
- (x) Company Headquarters name and address (reporting relationship within your entity).
- (d) If the Offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer will proceed to award to the next otherwise successful registered Offeror.
- (e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.
- (g)(1)(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in Subpart 42.12, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to (A) change the name in the CCR database; (B) comply with the requirements of Subpart 42.12 of the FAR; and (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide with the notification sufficient documentation to support the legally changed name.
- (ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the

contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.

- (2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims (see FAR Subpart 32.8, Assignment of Claims). Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.
- (h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at http://www.ccr.gov or by calling 1-888-227-2423, or 269-961-5757.

(End of clause)

252.205-7000 PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS (DEC 1991)

(a) Definition.

"Cooperative agreement holder" means a State or local government; a private, nonprofit organization; a tribal organization (as defined in section 4(c) of the Indian Self-Determination and Education Assistance Act (Pub. L. 93-268; 25 U.S.C. 450 (c))); or an economic enterprise (as defined in section 3(e) of the Indian Financing Act of 1974 (Pub. L. 93-362; 25 U.S.C. 1452(e))) whether such economic enterprise is organized for profit or nonprofit purposes; which has an agreement with the Defense Logistics Agency to furnish procurement technical assistance to business entities.

- (b) The Contractor shall provide cooperative agreement holders, upon their request, with a list of those appropriate employees or offices responsible for entering into subcontracts under defense contracts. The list shall include the business address, telephone number, and area of responsibility of each employee or office.
- (c) The Contractor need not provide the listing to a particular cooperative agreement holder more frequently than once a year.

(End of clause)

252.209-7000 ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ONSITE INSPECTION UNDER THE INTERMEDIATE-RANGE NUCLEAR FORCES (INF) TREATY (NOV 1995)

- (a) The Contractor shall not deny consideration for a subcontract award under this contract to a potential subcontractor subject to on-site inspection under the INF Treaty, or a similar treaty, solely or in part because of the actual or potential presence of Soviet inspectors at the subcontractor's facility, unless the decision is approved by the Contracting Officer.
- (b) The Contractor shall incorporate this clause, including this paragraph (b), in all solicitations and contracts exceeding the simplified acquisition threshold in part 13 of the Federal Acquisition Regulation, except those for commercial items.

(End of clause)

252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

- (a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or subsidiary of a firm, that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.
- (b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

(End of clause)

252.215-7000 PRICING ADJUSTMENTS (DEC 1991)

The term "pricing adjustment," as used in paragraph (a) of the clauses entitled "Price Reduction for Defective Cost or Pricing Data - Modifications," "Subcontractor Cost or Pricing Data," and "Subcontractor Cost or Pricing Data - Modifications," means the aggregate increases and/or decreases in cost plus applicable profits.

(End of clause)

252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR. 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) *Definitions. Historically black colleges and universities*, as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

Minority institutions, as used in this clause, means institutions meeting the requirements of section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

- (b) Except for company or division-wide commercial items subcontracting plans, the term *small disadvantaged business*, when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions, in addition to small disadvantaged business concerns.
- (c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:

- (1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and
- (2) It meets the requirements of 10 U.S.C. 2323a.
- (d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.
- (e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--
- (f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.
- (g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned small businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

(End of clause)

252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)

(a) "Definitions".

As used in this clause --

- (1) "Storage" means a non-transitory, semi-permanent or permanent holding, placement, or leaving of material. It does not include a temporary accumulation of a limited quantity of a material used in or a waste generated or resulting from authorized activities, such as servicing, maintenance, or repair of Department of Defense (DoD) items, equipment, or facilities.
- (2) "Toxic or hazardous materials" means:
- (i) Materials referred to in section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980 (42 U.S.C. 9601(14)) and materials designated under section 102 of CERCLA (42 U.S.C. 9602) (40 CFR part 302);
- (ii) Materials that are of an explosive, flammable, or pyrotechnic nature; or
- (iii) Materials otherwise identified by the Secretary of Defense as specified in DoD regulations.
- (b) In accordance with 10 U.S.C. 2692, the Contractor is prohibited from storing or disposing of non-DoD-owned toxic or hazardous materials on a DoD installation, except to the extent authorized by a statutory exception to 10 U.S.C. 2692 or as authorized by the Secretary of Defense or his designee.

(End of clause)

- (a) Definitions. As used in this provision--
- (1) Foreign person means any person (including any individual, partnership, corporation, or other form of association) other than a United States person.
- (2) United States person is defined in 50 U.S.C. App. 2415(2) and means-
- (i) Any United States resident or national (other than an individual resident outside the United States who is employed by other than a United States person);
- (ii) Any domestic concern (including any permanent domestic establishment of any foreign concern); and
- (iii) Any foreign subsidiary or affiliate (including any permanent foreign establishment) of any domestic concern that is controlled in fact by such domestic concern.
- (b) Certification. If the offeror is a foreign person, the offeror certifies, by submission of an offer, that it-
- (1) Does not comply with the Secondary Arab Boycott of Israel; and
- (2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. 2407(a) prohibits a United States person from taking.

(End of provision)

252.226-7001 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES, AND HAWAIIAN SMALL BUSINESS CONCERNS (OCT 2003)

(a) Definitions. As used in this clause--

Indian means any person who is a member of any Indian tribe, band, group, pueblo, or community that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any "Native" as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).

Indian organization means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C. chapter 17.

Indian-owned economic enterprise means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership constitutes not less than 51 percent of the enterprise.

Indian tribe means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal Government as eligible for services from BIA in accordance with 25 U.S.C. 1452(c).

Interested party means a contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.

Native Hawaiian small business concern means an entity that is --

- (1) A small business concern as defined in section 3 of the Small Business Act (15 U.S.C. 632) and relevant implementing regulations; and
- (2) Owned and controlled by a Native Hawaiian as defined in 25 U.S.C. 4221(9).
- (b) The Contractor shall use its best efforts to give Indian organizations, Indian-owned economic enterprises, and Native Hawaiian small business concerns the maximum practicable opportunity to participate in the subcontracts it awards, to the fullest extent consistent with efficient performance of the contract.
- (c) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern as to its eligibility, unless an interested party challenges its status or the Contracting Officer has independent reason to question that status.
- (d) In the event of a challenge to the representation of a subcontractor, the Contracting Officer will refer the matter to-
- (1) For matters relating to Indian organizations or Indian-owned economic enterprises: U.S. Department of the Interior, Bureau of Indian Affairs, Attn: Chief, Division of Contracting and Grants Administration, 1849 C Street NW, MS-2626-MIB, Washington, DC 20240-4000. The BIA will determine the eligibility and will notify the Contracting Officer.
- (2) For matters relating to Native Hawaiian small business concerns: Department of Hawaiian Home Lands, PO Box 1879, Honolulu, HI 96805. The Department of Hawaiian Home Lands will determine the eligibility and will notify the Contracting Officer.
- (e) No incentive payment will be made--
- (1) While a challenge is pending; or
- (2) If a subcontractor is determined to be an ineligible participant.
- (f)(1) The Contractor, on its own behalf or on behalf of a subcontractor at any tier, may request an incentive payment in accordance with this clause.
- (2) The incentive amount that may be requested is 5 percent of the estimated cost, target cost, or fixed price included in the subcontract at the time of award to the Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.
- (3) In the case of a subcontract for commercial items, the Contractor may receive an incentive payment only if the subcontracted items are produced or manufactured in whole or in part by an Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.
- (4) The Contractor has the burden of proving the amount claimed and shall assert its request for an incentive payment prior to completion of contract performance.
- (5) The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the estimated cost, target cost, or fixed price included in the subcontract awarded to the Indian organization, Indian-owned economic enterprise, or Native Hawaiian small business concern.
- (6) If the Contractor requests and receives an incentive payment on behalf of a subcontractor, the Contractor is obligated to pay the subcontractor the incentive amount.

(g) The Contractor shall insert the substance of this clause, including this paragraph (g), in all subcontracts exceeding \$500,000 for which further subcontracting opportunities may exist.

(End of clause)

252.227-7023 DRAWINGS AND OTHER DATA TO BECOME PROPERTY OF GOVERNMENT. (MAR 1979)

All designs, drawings, specifications, notes and other works developed in the performance of this contract shall become the sole property of the Government and may be used on any other design or construction without additional compensation to the Contractor. The Government shall be considered the "person for whom the work was prepared" for the purpose of authorship in any copyrightable work under 17 U.S.C. 201(b). With respect thereto, the Contractor agrees not to assert or authorize others to assert any rights nor establish any claim under the design patent or copyright laws. The Contractor for a period of three (3) years after completion of the project agrees to furnish all retained works on the request of the Contracting Officer. Unless otherwise provided in this contract, the Contractor shall have the right to retain copies of all works beyond such period.

(End of clause)

252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

- (a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail (i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with part 31 of the Federal Acquisition Regulation (FAR), allowability shall also be determined in accordance with part 231 of the Defense FAR Supplement, in effect on the date of this contract.

(End of clause)

252.236-7000 MODIFICATION PROPOSALS - PRICE BREAKDOWN. (DEC 1991)

- (a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.
- (b) The price breakdown --
- (1) Must include sufficient detail to permit an analysis of profit, and of all costs for --

(i) Material;
(ii) Labor;
(iii) Equipment;
(iv) Subcontracts; and
(v) Overhead; and
(2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.
(c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.
(d) The Contractor's proposal shall include a justification for any time extension proposed.
252.242-7000 POSTAWARD CONFERENCE (DEC 1991)
The Contractor agrees to attend any postaward conference convened by the contracting activity or contract administration office in accordance with Federal Acquisition Regulation subpart 42.5.
(End of clause)
252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)
When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR part 31 and DFARS part 231, in effect on the date of this contract, apply.
252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)
(a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.
(b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:
I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.
(Official's Name)

(c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including-

(Title)

- (1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation (FAR); and
- (2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.
- (d) The certification requirement in paragraph (b) of this clause does not apply to----
- (1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or
- (2) Final adjustment under an incentive provision of the contract.

Security Contract Language for all Corps of Engineers' Unclassified Contracts (PIL 2003-06, 19 Feb 03)

All Contractor employees (U.S. citizens and Non-U.S. citizens) working under this contract (*to include grants*, *cooperative agreements and task orders*) who require access to Automated Information Systems (AIS), (stand alone computers, network computers/systems, e-mail) shall, at a minimum, be designated into an ADP-III position (non-sensitive) in accordance with DoD 5220-22-R, Industrial Security Regulation. The investigative requirements for an ADP-III position are a favorable National Agency Check (NAC), SF-85P, Public Trust Position. The contractor shall have each applicable employee complete a SF-85P and submit to the Seattle District, U.S. Army, Corps of Engineers, PO Box 3755, Seattle, WA 98124-3755, Security Officer within three (3) working days after award of any contract or task order, and shall be submitted prior to the individual being permitted access to an AIS. Contractors that have a commercial or government entity (CAGE) Code and Facility Security Clearance through the Defense Security Service shall process the NACs and forward visit requests/results of NAC to the Seattle District, U.S. Army, Corps of Engineers, PO Box 3755, Seattle, WA 98124-3755, Security Officer. For those contractors that do not have a CAGE Code or Facility Security Clearance, the Seattle District, U.S. Army, Corps of Engineers, PO Box 3755, Seattle, WA 98124-3755, Security Office will process the investigation in coordination with the Contractor and contract employees.

In accordance with Engineering Regulation, ER 380-1-18, Section 4, foreign nationals who work on Corps of Engineers' contracts or task orders shall be approved by the HQUSACE Foreign Dis closure Officer or higher before beginning work on the contract/task order. This regulation includes subcontractor employees. (NOTE: exceptions to the above requirement include foreign nationals who perform janitorial and/or ground maintenance services.) The contractor shall submit to the Division/District Contract Office, the names of all foreign nationals proposed for performance under this contract/task order, along with documentation to verify that he/she was legally admitted into the United States and has authority to work and/or go to school in the US. Such documentation may include a US passport, Certificate of US citizenship (INS Form N-560 or N-561), Certificate of Naturalization (INS Form N-550 or N-570), foreign passport with I-551 stamp or attached INS Form I-94 indicating employment authorization, Alien Registration Receipt Card with photograph (INS Form I-151 or I-551), Temporary Resident Card (INS Form I-688), Employment Authorization Card (INS Form I-688A), Reentry Permit (INS Form I-327), Refugee Travel Document (INS Form I-571), Employment Authorization Document issued by the INS which contains a photograph (INS Form I-688B).

Classified contracts require the issuance of a DD Form 254 (Department of Defense Contract Security Classification Specification).

(End of Clause)

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SPECIAL CLAUSES

SC-1 COMMENCEMENT, PROSECUTION AND COMPLETION OF WORK

The Contractor shall be required to

- (a) commence work under this contract within <u>five [5]</u> calendar days after the date the Contractor receives the notice to proceed,
- (b) prosecute the work diligently, and
- (c) complete the entire work ready for use not later than dates specified on the Heat Plant Schedule below (see Table 1). The time stated for completion shall include final cleanup of the premises.

Table 1: HEAT PLANT SCHEDULE

	ITEM	REMARK	DATE
1	1 st coal generator available for work (Generator #3)	Gas operation on remaining 2 generators and Bailey System operational	04/15/04
2	2 nd coal generator available for work (Generator #1)	1 generator, operation on gas only Bailey System operational	05/01/04
3	Ash system available		04/15/04
4	Plant summer shutdown	All systems available for work	Actual system operation verification during the heat plant season (1 Nov to 30 Dec)
5	Plant restart gas generator (Generator #2)	1 gas generator Bailey System operational	09/15/04 (Note 1)
6	1 st coal/gas generator available for heating	Gas and/or coal operation All systems operational	11/01/04 (Note 1)
7	2 nd coal/gas generator available for heating	All systems operational	11/01/04 (Note 1)
8	Load Simulator		Start anytime except for water tie-ins

Notes: (1) See Section 01110 – 1.3.

The completion date is based on the assumption that the successful offeror will receive the notice to proceed no later than 31 May 2004.

SC-1.1 OPTION FOR INCREASED QUANTITY

a. The Government may increase the quantity of work awarded by exercising one or more of the Optional Bid Items 0008 and 0009 at any time, or not at all, but no later than sixty [60] calendar days after

receipt by Contractor of notice to proceed. Notice to proceed on work Item(s) added by exercise of the option(s) will be given upon execution of consent of surety.

- b. The parties hereto further agree that any option herein shall be considered to have been exercised at the time the Government deposits written notification to the Contractor in the mails.
- c. The time allowed for completion of any optional items awarded under this contract will be the same as that for the base item(s), and will be measured from the date of receipt of the notice to proceed for the base item(s).

SC-1.2 EXCEPTION TO COMPLETION PERIOD

In case the Contracting Officer determines that completion of the project is not feasible within the completion period(s) stated above, the Contractor shall be responsible for providing means to temporarily heat all the buildings served by the Central Heat Plant CHP (see Section 01110 - 1.3 Table 1) and accomplish such work in the first plant shut down period following the contract completion period and shall complete such work as specified, unless other plant shut down periods are directed or approved by the Contracting Officer.

SC-2. LIQUIDATED DAMAGES - CONSTRUCTION (SEP 2000) (FAR 52.211-12)

- (a) If the Contractor fails to complete the work within the time specified in SC 1.c, or any extension, the Contractor shall pay to the Government as liquidated damages, the sum of $\underline{\$983}$ for each day of delay until the work is completed or accepted.
- (b) If the Government terminates the Contractor's right to proceed, the resulting damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess cost of repurchase under the Termination clause of the CONTRACT CLAUSES.
- SC-3. TIME EXTENSIONS (Sept 2000) (FAR 52.211-13): Time extensions for contract changes will depend upon the extent, if any, by which the changes cause delay in the completion of the various elements of construction. The change order granting the time extension may provide that the Contract completion date will be extended only for those specific elements related to the changed work and that the remaining contract completion dates for all other portions of the work will not be altered. The change order also may provide an equitable readjustment of liquidated damages under the new completion schedule.

SC-5. INSURANCE - WORK ON A GOVERNMENT INSTALLATION (JAN 1997) (FAR 52.228-5)

- (a) The Contractor shall, at its own expense, provide and maintain during the entire performance period of this Contract at least the kinds and minimum amounts of insurance required in the Insurance Liability Schedule or elsewhere in the Contract.
- (b) Before commencing work under this Contract, the Contractor shall certify to the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective:

- (1) for such period as the laws of the State in which this Contract is to be performed prescribe; or
- (2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.
- (c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this Contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the Contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

SC-5.1 REQUIRED INSURANCE IN ACCORDANCE WITH FAR 28.307-2:

(1) Workers' compensation and employer's liability. Contractors are required to comply with applicable Federal and State workers' compensation and occupational disease statutes. If occupational diseases are not compensable under those statutes, they shall be covered under the employer's liability section of the insurance policy, except when Contract operations are so commingled with a Contractor's commercial operation that it would not be practical to require this coverage. Employer's liability coverage of at least \$100,000 shall be required, except in states with exclusive or monopolistic funds that do not permit workers' compensation to be written by private carriers.

(2) General Liability.

- (a) The Contracting Officer shall require bodily injury liability insurance coverage written on the comprehensive form of policy of at least \$500,000 per occurrence.
- (b) Property damage liability insurance shall be required only in special circumstances as determined by the agency.
- (3) <u>Automobile liability</u>. The Contracting Officer shall require automobile liability insurance written on the comprehensive form of policy. The policy shall provide for bodily injury and property damage liability covering the operation of all automobiles used in connection with performing the Contract. Policies covering automobiles operated in the United States shall provide coverage of at least \$200,000 per person and \$500,000 per occurrence for bodily injury and \$20,000 per occurrence for property damage. The amount of liability coverage on other policies shall be commensurate with any legal requirements of the locality and sufficient to meet normal and customary claims.
- (4) <u>Aircraft public and passenger liability</u>. When aircraft are used in connection with performing the Contract, the Contracting Officer shall require aircraft public and passenger liability insurance. Coverage shall be at least \$200,000 per person and \$500,000 per occurrence for bodily injury, other than passenger liability, and \$200,000 per occurrence for property damage. Coverage for passenger liability bodily injury shall be at least \$200,000 multiplied by the number of seats or passengers, whichever is greater.
- (5) <u>Vessel liability</u>. When Contract performance involves use of vessels, the Contracting Officer shall require, as determined by the agency, vessel collision liability and protection and indemnity liability insurance.

(6) <u>Environmental Liability</u> If this contract includes the transport, treatment, storage, or disposal of hazardous material waste the following coverage is required.

The Contractor shall ensure the transporter and disposal facility have liability insurance if effect for claims arising out of the death or bodily injury and property damage from hazardous material/waste transport, treatment, storage and disposal, including vehicle liability and legal defense costs in the amount of \$1,000,000.00 as evidenced by a certificate of insurance for General, Automobile, and Environmental Liability Coverage. Proof of this insurance shall be provided to the Contracting Officer.

- SC-7. PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984) (FAR 52.236-1): The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty five percent (25%) of the total amount of work to be performed under the Contract. The percentage may be reduced by a supplemental agreement to this Contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government.
- SC-8. PHYSICAL DATA (APR 1984) (FAR 52.236-4): Data and information furnished or referred to below is for the Contractor's information. The Government will not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.
- (a) <u>Physical Conditions</u>: The indications of physical conditions on the drawings and in the specifications are the result of site investigations by test holes shown on the drawings.
- (b) <u>Weather Conditions</u>: Each bidder shall be satisfied before submitting his bid as to the hazards likely to arise from weather conditions. Complete weather records and reports may be obtained from any National Weather Service Office.
- (c) <u>Transportation Facilities</u>: Each bidder, before submitting his bid, shall make an investigation of the conditions of existing public and private roads and of clearances, restrictions, bridge load limits, and other limitations affecting transportation and ingress and egress at the jobsite. The unavailability of transportation facilities or limitations thereon shall not become a basis for claims for damages or extension of time for completion of the work.
- (d) Right-of-Way: The right-of-way for the work covered by these specifications will be furnished by the Government, except that the Contractor shall provide right-of-way for ingress and egress across private property where necessary to gain access to the jobsite. The Contractor may use such portions of the land within the right-of-way not otherwise occupied as may be designated by the Contracting Officer. The Contractor shall, without expense to the Government, and at any time during the progress of the work when space is needed within the right-of-way for any other purposes, promptly vacate and clean up any part of the grounds that have been allotted to, or have been in use by, him when directed to do so by the Contracting Officer. The Contractor shall keep the buildings and grounds in use by him at the site of the work in an orderly and sanitary condition. Should the Contractor require additional working space or lands for material yards, job offices, or other purposes, he shall obtain such additional lands or easements at his expense.
- SC-10. LAYOUT OF WORK (APR 1984) (FAR 52.236-17): The Contractor shall lay out its work from Government-established base lines and bench marks indicated on the drawings, and shall be

responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due, or to become due, to the Contractor.

SC-11. RESERVED

SC-12. AIRFIELD SAFETY PRECAUTIONS

- (a) Definitions: As used in this clause --
 - (1) "Landing Areas" means:
- (i) the primary surfaces which are comprised of the surface of the runways, the runway shoulders, and the lateral safety zones (the length of each primary surface is the same as the runway length; the width of each primary surface is 610 meters (2,000 feet), 305 meters (1,000 feet) on each side of the runway centerline; (see footnote at end of clause)).
- (ii) the "clear zone" beyond the ends of each runway, i.e., the extension of the "primary surface" for a distance of 305 meters (1,000 feet) beyond each end of each runway;
- (iii) all taxiways plus the lateral clearance zones along each side for the length of the taxiways (the outer edge of each lateral clearance zone is laterally 76 meters (250 feet) from the far or opposite edge of the taxiway, i.e., a 23 meters (75-foot)-wide taxiway would have a combined width of taxiway and lateral clearance zones of 130 meters (425 feet); and
- (iv) all aircraft parking aprons plus the area 38 meters (125 feet) in width extending beyond each edge all around the aprons.
- (2) "Safety precaution areas" means those portions of approach-departure clearance zones and transitional zones where placement of objects incident to Contract performance might result in vertical projections at or above the approach-departure clearance surface or the transitional surface.
- (i) The "approach-departure clearance surface" is an extension of the primary surface and the clear zone at each end of each runway, for a distance of 15,240 meters (50,000 feet), first along an inclined (glide angle) and then along a horizontal plane, both flaring symmetrically about the runway centerline extended.
- (a) The inclined plane (glide angle) begins in the clear zone 61 meters (200 feet) past the end of the runway (and primary surface) at the same elevation as the end of the runway, and continues upward at a slope of 50:1 (.3048 meter (one foot) vertically for each 15.24 meters (50 feet) horizontally) to an elevation of 152 meters (500 feet) above the established airfield elevation; at that point the plane becomes horizontal, continuing at that same uniform elevation to a point 15,240 meters (50,000 feet) longitudinally from the beginning of the inclined plane (glide angle) and ending there.

- (b) The width of the surface at the beginning of the inclined plane (glide angle) is the same as the width of the clear zone; thence it flares uniformly, reaching the maximum width of 4,877 meters (16,000 feet) at the end.
- (ii) The "approach-departure clearance zone" is the ground area under the approach-departure clearance surface.
- (iii) The "transitional surface" is a sideways extension of all primary surfaces, clear zones, and approach-departure clearance surfaces along inclined planes.
 - (a) The inclined plane in each case begins at the edge of the surface.
- (b) The slope of the inclined plane is 7:1 (.3048 meter (one foot) vertically for each 2.13 meters (7 feet) horizontally), and it continues to the point of intersection with
- (1) Inner horizontal surface (which is the horizontal plane 46 meters (150 feet) above the established airfield elevation) or
- (2) Outer horizontal surface (which is the horizontal plane 152 meters (500 feet) above the established airfield elevation), whichever is applicable.
- (iv) The "transitional zone" is the ground area under the transitional surface. (It adjoins the primary surface, clear zone and approach-departure clearance zone.)

(b) General

- (1) The Contractor shall comply with the requirements of this clause while
- (i) Operating all ground equipment (mobile or station art);
- (ii) Placing all materials; and
- (iii) Performing all work, upon and around all airfields.
- (a) The requirements of this clause are in addition to any other safety requirements of this contract.
 - (c) The Contractor shall--
 - (1) Report to the Contracting Officer before initiating any work;
 - (2) Notify the Contracting Officer of proposed changes to locations and operations;
- (3) Not permit either its equipment or personnel to use any runway for purposes other than aircraft operation without permission of the Contracting Officer, unless the runway is--
 - (i) Closed by order of the Contracting Officer, and

- (ii) Marked as provided in paragraph (d)(2) of this clause;
- (4) Keep all paved surfaces such as runways, taxiways, and hardstands, clean at all times and, specifically, free from small stones which might damage aircraft propellers or jet aircraft;
- (5) Operate mobile equipment according to the safety provisions of this clause, while actually performing work on the airfield. At all other times, the Contractor shall remove all mobile equipment to locations--
 - (i) Approved by the Contracting Officer,
- (ii) At a distance of at least 229 meters (750 feet) from the runway centerline, plus any additional distance; and
 - (iii) Necessary to ensure compliance with the other provisions of this clause; and
- (6) Not open a trench unless material is on hand and ready for placing in the trench. As soon as practicable after material has been placed and work approved, the Contractor shall backfill and compact trenches as required by the contract. Meanwhile, all hazardous conditions shall be marked and lighted in accordance with the other provisions of this clause.
 - (e) Landing Areas

The Contractor shall--

- (1) Place nothing upon the landing areas without the authorization of the Contracting Officer.
- (2) Outline those landing areas hazardous to aircraft, using (unless otherwise authorized by the Contracting Officer) red flags by day, and electric, battery-operated low-intensity red flasher lights by night;
- (3) Obtain, at an airfield where flying is controlled, additional permission from the control tower operator every time before entering any landing area, unless the landing area is marked as hazardous in accordance with paragraph (d)(2) of this clause;
- (4) Identify all vehicles it operates in landing areas by means of a flag on a staff attached to, and flying above, the vehicle. The flag shall be .9144 meters (3 feet) square, and consist of a checkered pattern of international orange and white squares of .3048 meter (1 foot) on each side (except that the flag may vary up to 10 percent from each of these dimensions);
- (5) Mark all other equipment and materials in the landing areas, using the same marking devices as in paragraph (d)(2) of this clause; and
- (6) Perform work so as to leave that portion of the landing area which is available to aircraft free from hazards, holes, piles of material, and projecting shoulders that might damage an airplane tire.
 - (e) Safety Precaution Areas

The Contractor shall--

- (1) Place nothing upon the safety precaution areas without authorization of the Contracting Officer;
- (2) Mark all equipment and materials in safety precaution areas, using (unless otherwise authorized by the Contracting Officer) red flags by day, and electric, battery-operated, low-intensity red flasher lights by night; and
- (3) Provide all objects placed in safety precaution areas with a red light or red lantern at night, if the objects project above the approach-departure clearance surface or above the transitional surface.
- SC-13. IDENTIFICATION OF GOVERNMENT-FURNISHED PROPERTY (APR 1984) (FAR 52.245-3): The Government will furnish to the Contractor the property identified in the schedule to be incorporated or installed into the work or used in performing the contract. The listed property will be furnished to the Contractor at the place designated by the Contracting Officer. The Contractor is required to accept delivery, pay any demurrage or detention charges, and unload and transport the property to the jobsite at its own expense. When the property is delivered, the Contractor shall verify its quantity and condition and acknowledge receipt in writing to the Contracting Officer. The Contractor shall also report in writing to the Contracting Officer within 24 hours of delivery any damage to or shortage of the property as received. All such property shall be installed or incorporated into the work at the expense of the Contractor, unless otherwise indicated in this contract. Delivery site location for Government Furnished Property is
- SC-14. EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE (MAR 1995)-(EFARS 52.231-5000)
- (a) This clause does not apply to terminations. See 52.249-5000, Basis for Settlement of Proposals and FAR Part 49.
- (b) Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series equipment from the contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, Construction Equipment Ownership and Operating Expense Schedule, Region IV. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the contracting officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be used or a rate may be developed using the formula provided in the schedule. For forward pricing, the schedule in effect at the time of negotiations shall apply. For retroactive pricing, the schedule in effect at the time the work was performed shall apply.
- (c) Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements, will be determined using the schedule, except that actual

rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.

- (d) When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or pricing data, or partial/limited data, as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet.
- (e) Copies of EP1110-1-8 "Construction Equipment Ownership and Operating Expense Schedule" Volumes 1 through 12 are available in Portable Document Format (PDF) and can be viewed or downloaded at http://www.usace.army.mil/inet/usace-docs/eng-pamplets/cecw.htm. A CD-ROM containing (Volumes 1-12) is available through either the Superintendent of Documents or Government bookstores. For additional information telephone 202-512-2250, or access on the Internet at http://www.access.gpo.gov/su_docs.

SC-15. PAYMENT FOR MATERIALS DELIVERED OFF-SITE (MAR 1995)-(EFARS 52.232-5000)

- (a) Pursuant to FAR clause 52.232-5, Payments Under Fixed Priced Construction Contracts, materials delivered to the contractor at locations other than the site of the work may be taken into consideration in making payments if included in payment estimates and if all the conditions of the General Provisions are fulfilled. Payment for items delivered to locations other than the work site will be limited to: (1) materials required by the technical provisions; or (2) materials that have been fabricated to the point where they are identifiable to an item of work required under this contract.
- (b) Such payment will be made only after receipt of paid or receipted invoices or invoices with canceled check showing title to the items in the prime contractor and including the value of material and labor incorporated into the item. In addition to petroleum products, payment for materials delivered off-site is limited to the following items: Any other construction material stored offsite may be considered in determining the amount of a progress payment.

SC-18. CONTRACT DRAWINGS AND SPECIFICATIONS (AUG 2000)(DOD FAR SUPP 252.236-7001)

- (a) The Government will provide to the Contractor, without charge, one set of contract drawings and specifications, except publications incorporated into the technical provisions by reference, in electronic media.
 - (b) The Contractor shall--
 - (1) Check all drawings furnished immediately upon receipt;
 - (2) Compare all drawings and verify the figures before laying out the work;
 - (3) Promptly notify the Contracting Officer of any discrepancies;
 - (4) Be responsible for any errors which might have been avoided by complying with this paragraph (b); and

- (5) Reproduce and print contract drawings and specifications as needed.
- (c) In genera⊢
 - (1) Large scale drawings shall govern small scale drawings; and
 - (2) The Contractor shall follow figures marked on drawings in preference to scale measurements.
- (d) Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or that are customarily performed, shall not relieve the Contractor from performing such omitted or misdescribed details of the work. The Contractor shall perform such details as if fully and correctly set forth and described in the drawings and specifications.
- (e) The work shall conform to the specifications and the contract drawings identified in the index of drawings attached at the end of the Special Clauses.
- SC-22. EPA ENERGY STAR: The Government requires that certain equipment be Energy Star compliant. Initially, the sole Energy Star requirement shall be the self certification by the bidder that the specified equipment is Energy Star compliant. Within 3 months of the availability of an EPA sanctioned test for Energy Star compliance, the Contractor shall submit all equipment upgrades and additions for testing and provide proof of compliance to the Government upon completion of testing. Testing shall be at the Contractor's expense.
- SC-23. <u>RECOVERED MATERIALS</u>: The Corps of Engineers encourages all bidders to utilize recovered materials to the maximum extent practicable. The attached APPENDIX R contains procurement guidelines for products containing recovered materials.

00800-10

APPENDIX R

PART 247 - COMPREHENSIVE PROCUREMENT GUIDELINE FOR PRODUCTS CONTAINING RECOVERED MATERIALS

40 CFR Ch. 1 (9-1-99 Edition)

Subpart B-Item Designations

§ 247.10 Paper and paper products.

Paper and paper products, excluding building and construction paper grades.

§ 247.11 Vehicular products.

- (a) Lubricating oils containing re-refined oil, including engine lubricating oils, hydraulic fluids, and gear oils, excluding marine and aviation oils.
- (b) Tires, excluding airplane tire
- (e) Reclaimed engine coolants, excluding coolants used in non-vehicular applications.

247.12 Construction products.

- (a) Building insulation product including the following items:
- (1) Loose-fill insulation, including but not limited to cellulose fiber, mineral fibers (fiberglass and rock vermiculite, and perlite;
- (2) Blanket and batt insulation, including but not limited to mineral fibers (fiberglass and rock wool).
- (3) Board (sheathing, roof decking wall panel) insulation, including but not limited to structural fiberboard and laminated paperboard products perlite composite board, polyurethane, polyisocyanurate, polystyrene, phenolics, and composites; and
- (4) Spray-in-place insulation, including but not limited to foam-in-place polyurethane and polyisocyanurate and spray-on cellulose.
- (b) Structural fiberboard and laminated paperboard products for applications other than building insulation, including building board, sheathing shingle backer, sound deadening board, roof insulating board, insulating wallboard, acoustical and non-acoustical ceiling tile, acoustical and non-acoustical lay-in panels, floor underlayments, and roof overlay (cover board).
- (c) Cement and concrete, including concrete products such as pipe and block, containing coal fly as ground granulated blast furnace (GGBF) slag.
- (d) Carpet made of polyester fiber use in low- and medium-wear applications.
- (e) Floor tiles and patio block containing recovered rubber or plastic.
- (f) Shower and restroom dividers/partitions containing recovered plastic or steel.
- (g) (1) Consolidated latex paint used for covering graffiti; and
- (2) Reprocessed latex paint used for interior and exterior architectural applications such as wallboard, ceilings, and trim; gutter boards; and concrete, stucco, masonry, wood and metal surfaces.

§247.13 Transportation products.

(a) Traffic barricades and traffic cones used in controlling or restricting vehicular traffic.

- (b) Parking stops made from concrete or containing recovered plastic or rubber.
- (c) Channelizers containing recovered plastic or rubber.
- (d) Delineators containing recovered plastic, rubber, or steel.
- (e) Flexible delineators containing recovered plastic.

§ 247.14 Park and recreation products

- (a) Playground surfaces and running tracks containing recovered rubber or plastic.
- (b) Plastic fencing containing recovered plastic for use in controlling snow or sand drifting and as a warning/safety barrier in construction or other applications.

247.15 Landscaping products.

- (a) Hydraulic mulch products containing recovered paper or recovered wood used for hydroseeding and as an over-spray for straw mulch in landscaping, erosion control, and soil reclamation.
- (b) Compost made from yard trimmings, leaves, and/or grass clippings for use in landscaping, seeding of grass or other plants on roadsides and embankments, as a nutritious mulch under trees and shrubs, and in erosion control and soil reclamation.
 - (c) Garden and soaker hoses containing recovered plastic or rubber.
 - (d) Lawn and garden edging containing recovered plastic or rubber.

§ 247.16 Non-paper office product.

- (a) Office recycling containers and office waste receptacles.
- (b) Plastic desktop accessories.
- (c) Toner cartridges.
- (d) Binders.
- (e) Plastic trash bags.
- (f) Printer ribbons.
- (g) Plastic envelopes.

§ 247.17 Miscellaneous products.

Pallets containing recovered wood, plastic, or paperboard.

INDEX OF DRAWINGS

Central Heating Plant Application of Low Emissions Tech., Malmstrom AFB

Drawing file number: N/A_

SHEET	PLATE	TITLE	REVISION	DATE
NUMBER L	NUMBER T1.1	Cover Sheet/Vicinity Map	NUMBER	DATE 05 Mar
	11.1	Cover Since vicinity wap		05 Mar 2004
				4004
2	G1.1	Flow Diagram (1 of 2)		05 Mar
				2004
3	G1.2	Flow Diagram (2 of 2)		05 Mar
				2004
1	M1.1	Plan @ El. 3410'-6"+/- (Operating Floor)		05 Mar
				2004
5	M1.2	Plan @ El. 3438'-6 +/- (Coal Scale Floor)		05 Mar
_	N44 0	Partial Blance @ FL 04041 011 / 0 05001 011 /		2004
6	M1.3	Partial Plans @ El. 3461'-0"+/- & 3503'-0" +/- (Roof & Stack Platform)		05 Mar
_	N4. 4	•		2004
7	M1.4	Partial Plans @ El. 3392'-6"+/-, El. 3410'- 6"+/-, & El. 3432'-6"+/-		05 Mar
O	M2.1	Sections (1 of 3)		2004
8	IVIZ. I	Sections (1 of 3)		05 Mar 2004
9	M2.2	Sections (2 of 3)		2004 05 Mar
,	1412.2	000110113 (2 01 0)		2004
10	M2.3	Sections (3 of 3)		05 Mar
•				2004
11	M3.1	Details (1 of 2)		05 Mar
		` ,		2004
12	M3.2	Details (2 of 2)		05 Mar
				2004
13	S1.1	Platform Framing Plans		05 Mar
				2004
14	S1.2	Platform Framing Plans		05 Mar
				2004
15	S1.3	Load Simulator Foundation Plan & Misc.		05 Mar
		Plans		2004
16	S2.1	Framing Elevations		05 Mar
	00.4	Ocations & Dataile		2004
17	S3.1	Sections & Details		05 Mar
10	S3.2	Sections & Details		2004
18	33.2	Sections & Details		05 Mar
19	E1.1	Electrical Single Line Diagram		2004 05 Mar
レブ	L 11	Licotificat Siligie Lilie Diagraffi		05 Mar 2004

SHEET	PLATE		REVISION	
NUMBER	NUMBER	TITLE	NUMBER	DATE
20	E2.1	Electrical Plan Operating Level		05 Mar
				2004
21	E2.2	Electrical Plan Mezzanine Level		05 Mar
				2004
22	E2.3	Electrical Plan Coal Scale Level		05 Mar
				2004
23	E2.4	Electrical Plan Roof Level		05 Mar
				2004
24	E3.1	Motor Control Center Elevations		05 Mar
				2004
25	E3.2	Control Architecture Diagrams		05 Mar
				2004
26	E3.3	Electrical Schedules		05 Mar
				2004

STANDARD DETAILS BOUND IN THE SPECIFICATIONS

DRAWING	SHEET		DATE
NUMBER	NUMBER	TITLE	

<u>SECTION 01501 - CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS</u>

1 & 2	U.S. Air Force Project Construction Sign	84JUN20	
1	Hard Hat Sign	10SEP90	

END OF SECTION



DESIGN AUTHENTICATION

Central Heating Plant Application of Low Emissions Tech.

Malmstrom AFB, Montana

Signatures affixed below indicate the drawings and specifications included in this solicitation were prepared, reviewed and certified in accordance with Department of Army Engineer Regulation ER 1110-345-100, DESIGN POLICY FOR MILITARY CONSTRUCTION.

Dean M. Schmidt

Chief, Tech. Eng. & Review Section,

Construction Branch

Steven A. Saepoff, P.E

Project Manager

Mark A. Ohlstrom, P.E.

Chief, Design Branch

Howard R. Blood P.E.

Chief, Engineering & Construction Division

This project was designed by the U.S. Army Corps of Engineers, Seattle District. The initials and/or signatures and registration designations of individuals appearing on these project documents are within the scope of their employment as required by ER 1110-1-8152, ENGINEERING AND DESIGN PROFESSIONAL REGISTRATION.



DAVIS-BACON GENERAL WAGE DECISIONS:

1. MT20030005 (Building) – All work inside and within 5 feet (1.5 meters) of the three-
hundred person barracks buildings shall be performed under this wage decision.



WAIS Document Retrieval

GENERAL DECISION: MT20030005 01/23/2004 MT5

Date: January 23, 2004

General Decision Number: MT20030005 01/23/2004

Superseded General Decision Number: MT020005

State: Montana

Construction Type: Building

County: Cascade County in Montana.

BUILDING CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments

up to and including 4 stories)

Modification	Number	Publication	Date
0		06/13/2003	
1		11/07/2003	
2		01/16/2004	
3		01/23/2004	

BRMT0003-001 04/01/2000

	Rates	Fringes
Bricklayer\$	19.50	6.45

CARP0028-006 05/01/2003

	Rates	Fringes
Carpenters: (Including Acoustical Ceiling Installation, Drywall Hanging, Non-Mechanical Batt Insulation, Installation of Gutters, Downspouts, Facia on Metal Buildings, Metal Roofs, and Siding)		
Zone 1\$	16.29	5.83
Millwright Zone 1\$	18.29	5.83

ZONE DEFINITIONS

The hourly rate applicable shal be determined by measuring the road miles over the shortest practical maintained route from the county courthouse in Great Falls to the center of the job (employees living within a 15 mile radius of said project shall be considered Zone 1)

ZONE PAY (Add to Zone 1 basic	nourly rate)	
ZONE 1: 0 to 15 miles; Base ZONE 2: 15 to 30 miles, add \$0 ZONE 3: 30 to 50 miles, add \$1 ZONE 4: Over 50 miles, add \$1	0.75 to Zone 1.00 to Zone .50 to Zone 1	1 rate . rate
ELEC0233-003 12/01/2003		
	Rates	Fringes
Electrician		
* IRON0841-003 07/01/2003		
	Rates	Fringes
Ironworkers: (Structural & Reinforcing; Excluding installation of Gutters, Downspouts, Facia, Metal Roofs, and Siding on metal		
Buildings)	.\$ 19.20	12.51
LAB01334-001 05/01/2000		
	Rates	Fringes
Laborers: (Zone 1)		0
(1) Concrete Saw(2) Power Tool		4.50 4.50
(3) Hod Carrier, Mason Tender (Cement)	\$ 14.60	4.50
ZONE DEFINITIONS FOR LABORERS		
The hourly wage rates applicable determined by measuring the roppractical maintained route from Great Falls to the center of the conternation of the content of t	ad miles over m the County	the shortest
ZONE 1: 0 to 15 miles ZONE 2: 15 to 30 miles, add \$0 ZONE 3: 30 to 50 miles, add \$0 ZONE 4: Over 50 miles, add \$1	0.85 to Zone .25 to Zone 1	1 rate.
PLUM0030-004 09/01/2003	 	
	Rates	Fringes
Pipefitter (Including HVAC work)		10.05
SFMT0669-001 01/01/2004		

Rates	Fringes	
Sprinkler Fitter\$ 25.80	7.15	
SHEE0103-001 07/01/2002		
Rates	Fringes	
Sheet Metal Worker (Including HVAC Duct Work)\$ 22.24	9.33	
SUMT1992-001 08/01/1992		
Rates	Fringes	
Cement Mason\$ 10.71	2.70	
Drywall Finisher Hand\$ 13.16 Machine\$ 14.12	1.98 1.68	
Glazier\$ 13.68		
Insulator (Mechanical)\$ 18.84	2.27	
Laborers: Asphalt Raker\$ 11.32 Fence Erector\$ 10.60 General\$ 10.76 Landscape\$ 10.60	2.88 2.70 2.70 2.70	
Painters: (Excluding Drywall Finishing) Brush\$ 12.52	1.48	
Painters: Roller	1.48 1.69 2.97	
Plasterer 13.75	1.50	
Plumber\$ 18.55	2.77	
Power Equipment Operator Asphalt Paver \$ 14.35 Backhoe \$ 14.57 Bulldozer \$ 14.37 Crane, all sizes \$ 15.85 Forklift \$ 14.69 Front End Loader \$ 14.31 Motor Patrol \$ 14.43 Roller, Compaction \$ 14.03 Roller, Hot Mix \$ 14.57	2.89 2.92 2.99 3.01 3.28 3.39 3.23 3.39 2.89	

Scr	aper\$	13.04	3.44
Roofe	r	\$ 13.15	
Soft	Floor Layer	\$ 17.53	
Tile	Setter	\$ 16.00	2.05
Dum	drivers: p\$ er/Fuel/Oil\$	14.58 14.19	3.02 2.65

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations

Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION



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SECTION 01001

SUPPLEMENTARY REQUIREMENTS

PART 1 GENERAL

1.1 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-01 Preconstruction Submittals

Initial Project Schedule; G

CONSTRUCTION PROGRESS CHARTS AND STATUS REPORTS; G

Contractor's progress schedule; G

Monthly Project Status Report; G

training plan; G

MECHANICAL AND ELECTRICAL LAYOUT DRAWINGS; G

SD-07 Certificates

qualifications of the trainer; G

SD-10 Operations and Maintenance Data

Audio-Video Recordings; G

1.2 CONSTRUCTION SCHEDULING

The Contractor shall prepare and submit an Initial Project Schedule. The scheduling of construction shall be the responsibility of the Contractor. Contractor management personnel shall actively participate in its development. Subcontractors working on the project shall also contribute in developing. The Initial Project Schedule shall be used to measure the progress of the work and aid in evaluating time extensions.

1.2.1 CONSTRUCTION PROGRESS CHARTS AND STATUS REPORTS:

The instructions and information herein supplement the requirements of Paragraph SCHEDULE FOR CONSTRUCTION CONTRACTS IN THE CONTRACT CLAUSES. The proposed Construction Progress Chart shall be prepared on ENG Form 2454. Additional instructions are obtained in INSTRUCTIONS AND INFORMATION FOR CONTRACTORS, a manual furnished to the Contractor by the Contracting Officer. This manual is available for inspection in the Office of the Seattle District, Corps of Engineers 4735 East Marginal Way South, Seattle, Washington.

1.2.2 Minimum principal contract features

The Minimum principal contract features (activities) to be included on ENG Form 2454 shall be those listed in section 01110, Summary of Work, and shallrepresent the work in each of the following divisions:

- (a) Site Work
- (b) Concrete
- (c) Metals
- (d) Finishes
- (e) Special Construction
- (f) Conveying Systems
- (q) Mechanical
- (h) Electrical
- (i) O&M Manuals
- (j) As Builts
- (k) 1354 Data

1.2.3 Bid Amount Distributed Among Features

The Construction Progress Chart shall show the total bid amount distributed among the features shown on the chart. The schedule shall show the percentage of completion at the close of each weekly period. This percentage shall be based on percentage of physical completion of the work. (NOTE: Mobilization and demobilization shall not be listed as a separate payment item unless so noted in the schedule.)

1.2.4 Timeline for Chart

The Construction Progress Chart shall be submitted within 10 calendar days after the date of receipt of notice to proceed.

1.2.5 Monthly Project Status Report

The Contractor shall prepare and submit a monthly project status report. The report shall tell whether the project as a whole is on, ahead of, or behind schedule. If the project is behind schedule, the Contractor shall explain what actions he will take to regain his schedule. The report shall include a description of problem areas, delaying factors and their impact, and an explanation of corrective actions taken or proposed. Any delays caused by the Government shall be identified. Any significant items or events that occurred during the report month shall also be detailed.

1.3 CORRESPONDENCE

All correspondence shall be addressed to the Contracting Officer, shall be serially numbered commencing with Number 1, with no numbers missing or duplicated and shall be forwarded in quintuplicate, as directed by the authorized representative of the Contracting Officer, and shall include an

additional copy forwarded to a separate designated location. All copies provided shall be legible. Enclosures attached or transmitted with the correspondence shall also be furnished with the original and each copy. Each serial letter shall make reference to the contract name, contract number and shall have only one subject.

For submission of Contractor payment requests, See Section 01025, MEASUREMENT AND PAYMENT.

1.4 ADVANCED NOTICE OF CONTRACTOR PERFORMED ACCEPTANCE TESTING

The Contractor shall notify the Contracting Officer a minimum of 10 days prior to performing any acceptance or "buy off" testing. Advance notification is not required for testing performed as part of fabrication or installation.

1.5 CONTRACTOR'S FILES

Contractor shall maintain "Approved (Action Code "A") and "Approved Except as Noted (Action Code "B") shop drawing files in fabrication shops and at project sites for government use.

1.6 AUDIO-VIDEO RECORDINGS

1.6.1 General

The Contractor shall provide all equipment, materials, and trained personnel to visually and audibly record (video tape) all on site operations and maintenance (O&M) training sessions for this contract. The video technician shall be employed by a video production company that has been in business for a minimum of 2 years. The Contractor shall submit the resume of the technician and video production company. Also the Contractor shall submit for approval an agenda or an outline breakdown of the proposed presentation. Video tapes shall be produced in the VHS format. Audio shall be adjusted, filtered or otherwise controlled to ensure that the trainer can be understood at all times. Each system or piece of equipment shall be covered in a single tape or set of tapes which shall be correlated with the O&M manuals provided. Video tapes and their individual storage cases shall be identified with a typewritten label showing the project, equipment or system, and contract number; this same information shall be provided as an introduction on each video tape. When two or more tapes are provided, they shall be submitted as a set in an appropriate storage container.

1.6.2 Submittals

Prior to conducting the training sessions the following shall be submitted for approval:

- 1) A training plan consisting of the agenda or an outline breakdown of the proposed presentation.
- 2) The qualifications of the trainer and the video recording technician.

Two copies of the video taped material shall be submitted to the Contracting Officer within 10 days after completion of video taping the training sessions.

1.7 MECHANICAL AND ELECTRICAL LAYOUT DRAWINGS

The Contractor shall submit, for Contracting Officer's approval, scaled layout drawings, including appropriate elevations and sections, as required, showing the room arrangement the Contractor proposes for all pieces of mechanical and electrical equipment and appurtenances thereto, such as but not limited to: boilers, compressors, hot water tanks, pumps, electrical control panels, ducts and piping. Mechanical and electrical layouts shall be coordinated to eliminate any conflicts of installed equipment. No payments will be made to the Contractor for furnishing or installing equipment until the layout drawings have been approved by the Contracting Officer. Mechanical and electrical equipment layout drawings shall be identified and submitted as specified herein. Equipment rooms shown on the drawings are of adequate size to accommodate equipment of required capacities as available from several manufacturers with sufficient space left for access, servicing, and removal. The use of equipment items with dimensions such as "to crowd the space" will not be permitted.

1.8 PROJECT PHOTOGRAPHS

1.8.1 General

The Contractor shall furnish digital photographs depicting construction as specified herein. The photographs shall be in digital JPEG format, with a resolution of 1024 x 768 pixels or better, size limited to less than 300KB. Photos shall be submitted in a Word document, with a caption under each photo showing date taken, project location, contract title and number, and a brief description of what the photo depicts. The photos shall be submitted on a 133 mm ISO-9660 CD-ROM.

1.8.2 Progress Photographs

Construction progress photographs (35 mm color slides) shall be taken every week during of construction and delivered to the Contracting Officer not later than 4 days after taking the same. It is the intention of the Government to obtain slides whose color, clarity, and composition are such that they can be used for briefings and/or to illustrate articles on the completed project. Slides shall be taken from 10 positions. Location of positions shall be coordinated with or may be selected by the Contracting Officer. Slides shall show the completed project to the best advantage, and shall include overall site photos as well as photos of major features.

1.9 IDENTIFICATION OF EMPLOYEES AND MILITARY REGULATIONS:

- (a) The Contractor shall be responsible for compliance with all regulations and orders of the Commanding Officer of the Military Installation, respecting identification of employees, movements on installation, parking, truck entry, and all other military regulations which may affect the work.
- (b) The work under this Contract is to be performed at an operating Military Installation with consequent restrictions on entry and movement of nonmilitary personnel and equipment.

1.10 PERMITS OBTAINED BY GOVERNMENT AND CONTRACTOR RESPONSIBILITIES

The Government has obtained the following permits/licenses related to the construction of this project.

- a) State Preconstruction Air Quality Permit.
- b) Operating Air Quality Permit.

It will be the responsibility of the Contractor to obtain all other permits/licenses required for this project. See the Contract Clause paragraph entitled PERMITS AND RESPONSIBILITIES.

1.11 PRESERVATION OF HISTORICAL, ARCHEOLOGICAL AND CULTURAL RESOURCES

(1985 JAN OCE)(NOT USED)::

1.12 SPECIAL SAFETY REQUIREMENTS:

All construction activities shall be conducted in strict compliance with the Corps of Engineers Safety and Health Requirements Manual EM 385-1-1, and Occupational Safety and Health Administration regulations, as applicable. The manual is available on http://www.hq.usace.army.mil/soh/em385/current/current38511.htm

- 1.12.1 In addition to Safety and Health Requirements Manual EM 385 1 1, and all applicable OSHA standards, the Contractor shall comply with the requirements listed below. Paragraph numbers refer to EM 385 1 1 or are added thereto.
 - (a) Paragraph 01.D.02, revise as follows:
 - (1) Replace paragraph 01.D.02e with the following: "e. Property damage in excess of \$2,000.00
- (2) Add new paragraph f as follows:
 "An injury resulting in a lost workday, not including the day of injury."
- 1.13 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER (ER 415-1-15 31 OCT 89)

This Paragraph specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the CONTRACT CLAUSE entitled "Default (Fixed Price Construction)". In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

- 1.13.1 The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.
- 1.13.2 The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the Contractor.
- 1.13.3 The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY WORK DAYS BASED ON (5) DAY WORK WEEK

JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
19	15	16	10	4	4	2	2	3	6	13	17

- 1.13.4 Upon acknowledgment of the notice to proceed (NTP) and continuing throughout the contract, the Contractor shall record on the daily QCQ report, the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delays must prevent work on critical activities for 50 percent or more of the Contractor's scheduled work day.
- 1.13.5 The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day of each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph 1.13.3, above, the Contracting Officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the contract clause entitled "Default (Fixed Price Construction)".

1.14 SALVAGE MATERIALS AND EQUIPMENT FOR THE GOVERNMENT

The Contractor shall maintain adequate property control records for all materials or equipment specified to be salvaged. These records may be in accordance with the Contractor's system of property control, if approved by the property administrator. The Contractor shall be responsible for the adequate storage and protection of all salvaged materials and equipment, and shall replace, at no cost to the Government, all salvage materials and equipment which are broken or damaged during salvage operations as the result of his negligence, or while in the Contractor's care. The Contracting Officer will be the point of contact concerning Government salvaged items.

1.15 COMPLIANCE WITH DAVIS-BACON ACT

1.15.1 Contractor POC

Within 14 days after award of the contract, the Contractor shall designate a point of contact (POC) within their organization who will be responsible for the Davis-Bacon Act Labor Program for the Contractor and all subcontractors under this contract as required by the Contract Clauses and FAR 52.222.

1.15.2 Responsibilities

The designated Contractor POC shall be responsible for Davis-Bacon Act Labor Program activities including, but not limited to:

Documentation and record keeping
Submittal and accuracy of certified payrolls
Submittal of required labor forms including requests for additional
classifications and rates, Statements and Acknowledgement, etc.
Posting of the wage determination, approved additional classifications
and rates, labor and EEO posters

Coordination with the Contracting Officer's Labor Program POC

Prior to submittal to the Government, payrolls shall be reviewed for compliance to all applicable labor standards, to include, but not be limited to the following items: correct wage rates, correct overtime classification and pay, misclassification of workers for work actually performed, apprentice to journeyman ratios, and registration of apprentice. Corrective actions shall be taken as necessary to ensure Contractor compliance with applicable contract and FAR clauses.

1.15.3 Certification

The Contractor POC shall provide a signed certification stating the following: "I certify that the submitted items being forwarded have been reviewed in detail and are correct and in strict conformance with the Labor Standards of the contract except as otherwise stated."

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --



SECTION 01005

SITE SPECIFIC SUPPLEMENTARY REQUIREMENTS

PART 1 GENERAL

1.1 COORDINATION AND ACCESS TO SITE

Coordination with using agencies shall be made through the Contracting Officer to assist the Contractor in completing the work with a minimum of interference and inconvenience.

Work hours in the construction area will be restricted to Monday - Thursday: 07:00 am to 5:30 pm and Friday: 07:00 am to 3:30 pm excluding holidays. Work hours other than as specified above is subject to the availability of Heat Plant support personnel and shall be coordinated with and approved by the Contracting Officer.

1.2 FIRE REGULATIONS

Contractor shall comply with base fire regulations and NFPA 241 Building Construction and Demolition requirements. Contractor shall provide adequate fire extinguishers for the construction site and remove them upon acceptance of the facility.

1.3 GENERAL AREA REQUIREMENTS

Security requirements and procedures shall be coordinated with the 341 Security Forces Squadron, Resource Protection (telephone 406-731-4344), Malmstrom AFB. Activities of the Contractor and Contractor's employees and subcontractors and their employees while on the base, will be conducted in accordance with base regulations, including those of the fire marshal, as well as security directives. This includes, but is not limited to, obtaining a Work Clearance Request (AF Form 103) before any digging and giving way to alert vehicles during alerts if located on a marked alert route. Security directives include Antiterrorism Force Protection (paragraph 1.3.4 below) and the GENERAL CONTRACTING ENTRY AUTHORITY LIST (CEAL) attached the end of this Section.

1.3.1 Identification Credentials

All Contractor personnel, except those not under the Contractor's direct control such as concrete trucks and material deliveries, will be required to process in and obtain an Application for Civilian Identification Card (DD Form 1172) from the Corps of Engineers Malmstrom AFB Project Office in Building 1085. The Contractor shall provide the employee with a letter or form, identifying the employee and company name. After completion of the DD Form 1172, proceed to the Pass and ID Section at the Visitor Control Center in Building 192 (working hours - Monday through Friday - 7:30 a.m. to 4:30 p.m.) to obtain a base personnel access pass and vehicle pass. Current vehicle registration and proof of insurance are required for vehicle passes. The Contractor shall notify the Pass and ID Section of all losses of passes, within 48 hours after the loss, by name and address. Employees who have terminated employment or who have been dismissed must surrender their personnel and vehicle passes to the Visitors Control Center through the Contracting Officer. Employees without a personnel or vehicle pass in their possession will be denied access to the base and work areas and may be

subject to detainment until proper identification is made. The passes shall not be worn or displayed off the military base.

1.3.2 Commercial or company vehicles

Commercial or company vehicles will be allowed access to the base provided company emblems are attached to the sides of the vehicles.

1.3.3 Equipment and storage areas:

See specification Section 01501 CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS.

1.3.4 Antiterrorism Force Protection

During the course of this contract, the Base may be under Force Protection Conditions (FPCONS). FPCONS are as follows:

FPCON NORMAL: This condition applies when there is a general threat of possible terrorist activity exists warrants only a routine security posture.

FPCON ALPHA: This condition applies when there is a general threat of possible terrorist

activity against personnel and facilities, the nature and extent of which are unpredictable, and circumstances do not justify full implementation of FPCON BRAVO measures. However, it may be necessary to implement certain measures from higher FPCONS resulting from intelligence received or as a deterrent. The measures in this FPCON must be capable of being maintained indefinitely.

FPCON BRAVO: This condition applies when an increased and more predictable threat of

terrorist activity exists. The measures in this FPCON must be capable of being maintained for weeks without causing undue hardship, affecting operational capability, and aggravating relations with local authorities.

FPCON CHARLIE: This condition applies when an incident occurs or intelligence is

received indicating some form of terrorist action against personnel and facilities is imminent. Implementation of measures in this FPCON for more than a short period probably create hardship and affect the peacetime activities of the unit and its personnel.

FPCON DELTA: This condition applies in the immediate area where a terrorist attack has occurred or when intelligence has been received that terrorist action against a specific location or person is likely. Normally, this FPCON is declared as a localized condition.

If the Contractor should notice anything suspicious during the course of his work, the Contractor should contact the 911 Dispatch Center at 731-3895 as soon as possible. Point of Contact for FPCON conditions/actions is 341st Space Wing AT/FP Section, phone 406-731-4105.

1.4 CONSTRUCTION AND STAGING AREA FENCE

The Contractor shall provide fence around all construction areas, staging areas and storage areas. The fence shall be chain link, 6 ft. high, with construction area signage at each corner and at 100 ft. intervals.

1.5 MOTORIZED EQUIPMENT

1.5.1 Truck Load Limits

Truck load limits on base are restricted to:

- a. 1 April 1 June: 350 pounds per inch width of tire.
- b. All other times: 400 pounds per inch width of tire.

1.5.2 Fire Extinguishers

Motorized equipment shall be equipped with fire extinguishers as follows:

- a. Pickup truck or other light passenger vehicles, one extinguisher per vehicle, rating 5 BC.
- b. All other trucks and heavy motorized equipment, two extinguishers per vehicle, rating 10 BC.

1.6 UTILITY OUTAGES

Contractor shall schedule and coordinate unavoidable utility outages with the Contracting Officer and Base Civil Engineer at least 10 days in advance. Unless indicated otherwise, the Contractor shall give 14 days preliminary notice of future outage. Final notice shall be given 10 calendar days before outage and shall specify date and time of the outage. The 'Utility Outage Notice' shall be completed by the Contractor and submitted to the Contracting Officer for approval. No interruptions shall be made until the approved Notice is returned to the Contractor. Outage durations shall be kept to a minimum. All outages longer than 2 hours shall be after normal duty hours or on a weekend at the Government option. Outages in dormitories or housing units shall be performed during business hours to minimize disruption to occupants. All outages that affect heating system motors or controls during heating season shall require the Contractor to connect backup power during the outage, as requested by the Government.

1.7 UTILITY SERVICE AND COORDINATION

1.7.1 Natural Gas Service

Extension of and/or connection to the existing natural gas distribution system is the responsibility of the Contractor. All natural gas work shall be performed in accordance with the supplier's requirements. Additionally, all natural gas work must be performed as depicted on the drawings, and as required by the contract specifications.

1.7.2 Electrical Service (Not Used)

1.7.3 Telephone Service

The point of contact for Qwest is Mr. Butch Preston, OSP Engineer, (406) 771-2533 and Mr. Mike Kilorn, Cable Maintenance foreman, (406) 771-2585.

1.7.4 CATV Service (Not Used)

1.8 PROTECTION OF GOVERNMENT PROPERTY

In addition to requirements of the CONTRACT CLAUSES, Contractor shall protect all Government property within the buildings in which he is working, except for such property as is required to be demolished. Property which is to be demolished shall be protected until its scheduled demolition time. Protection shall include, but not be limited to, protection from construction generated dust, debris, water, and vibration.

1.9 COORDINATION OF WORK

The Contractor shall arrange its work schedule in such a way to provide for two-way access to the construction area at all times. The Contractor shall be responsible for coordinating lane closures with on-base emergency response personnel (Base Fire Department, Law Enforcement and ambulance services), city and county emergency response personnel, trash collection contractor and school bus operators. The work schedule in writing shall be submitted for Contracting Officer's approval at least 14 days before start of work.

PART 2 (NOT USED)
PART 3 (NOT USED)
-- End of Section --

GENERAL CONSTRUCTION ENTRY AUTHORITY LIST (CEAL)

		MEMORANI EFFECTIVE	DUM FOR 341 SFS E DATE:		
FROM: CONTRACTOR					
COMPANY NAME:					
CONTRACT EXPIRATION:					
1. The personnel list entry onto Malmstrom A contractors do require there are any question	FB to vehi	perform cle searc	official contrac ches in increased	tual du Force	uties. These Protection. If
NAME (Last, First MI)	SEX	SSN (LAST 4)	RESIDENCE ADDRESS	DOB	HEIGHT/WEIGHT/EYE COLOR
LAST NAME ON THIS PAGE					
INFORMATION CONTAINED PUBLIC WITHOUT THE CON ACT PROGRAM APPLIES.	ISENT			3-332,	AIR FORCE PRIVACY
CONTRACTING OFF	ICER			PR	OJECT MANAGER



SECTION 01010

ENVIRONMENTAL PROTECTION

PART 1 GENERAL

1.1 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-11 Closeout Submittals

HAZMART account close out report; G

1.2 PERMITS AND FEES

1.2.1 Payment

No separate payment will be made for work covered under this section. The Contractor shall be responsible for payment of fees associated with environmental permits, application, and/or notices obtained by the Contractor. All costs associated with this section shall be included in the contract price. The Contractor shall be responsible for payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations.

1.2.2 Obtaining Environmental Permits

The Government has obtained the following permits/licenses related to the construction of this project.

- 1) State Preconstruction Air Quality Permit.
- 2) Operating Air Quality Permit.

The Contractor shall be responsible for obtaining and complying with all other environmental permits and commitments required by Federal, State, regional, and local environmental laws and regulations.

1.2.3 Demolition Work

Contractors shall notify the Montana Dept. of Environmental Quality, Permitting & Compliance Division, Air & Waste Management Bureau, P.O. Box 200901, Helena, MT. 59620-0901 for all demolition work where load bearing members are removed.

1.3 AIR QUALITY

1.3.1 Use of Equipment

Equipment operation, activities, or processes performed by the Contractor shall be in accordance with all Federal and State air emission and performance laws and standards.

1.3.2 Burning

Burning of material is not allowed on base by the Contractor.

1.3.3 Particulates

The contractor shall not operate a construction site or demolition project unless reasonable precautions are taken to control emissions of particulate matter. Such emissions of airborne particulate matter shall not exceed 20% opacity as defined in 40 CFR 60 Appendix A.

1.3.4 Sound Intrusions

The Contractor shall keep construction activities under surveillance and control to minimize environment damage by noise. The Contractor shall comply with the provisions of the State of Montana rules.

1.3.5 Ozone Depleting Chemicals (ODCs)

1.3.5.1 Air Force Policy on ODCs

The contractor shall ensure activities performed under this contract are in compliance with the Air Force Policy on ODCs. The contractor shall not purchase, use, nor specify the use of any Class I ODC in the production, design, or maintenance of the end item. Class II ODCs may be used or specified only with the written approval of the Contracting Officer. ODCs are identified and classified in Air Force Instruction (AFI 32-7080).

1.3.5.2 Air Conditioning & Refrigeration Equipment

Any maintenance, repair and demolition work to air conditioning and refrigeration equipment shall require that all CFC (Chlorofluorocarbons) handling standards be met. The contractor shall not furnish any equipment that requires the use of ozone depleting chemicals nor shall he vent or cause to be vented CFC or HCFC (Hydrochlorofluorocarbons) refrigerants or other mixtures containing CFCs to the atmosphere during repair, maintenance or demolition work on the equipment covered by this contract. The contractor shall have available refrigerant recovery or reclaim equipment to perform the work. Personnel who operate refrigerant reclaim or recycling equipment shall possess the necessary state and local certifications for operating the equipment. The contractor shall be responsible for meeting all requirements, permitting, licensing and certification required by state or local ordinance to work on refrigeration systems. Replacement compressors and other replacement equipment used in repairing CFC-containing systems shall be compatible with CFC replacement refrigerants.

1.4 WATER RESOURCES AND STORM WATER DISCHARGE

The Contractor shall monitor construction activities to prevent pollution of surface and ground waters. Toxic or hazardous chemicals shall not be applied to soil or vegetation unless otherwise indicated. The Contractor shall monitor all water areas affected by construction activities. For construction activities immediately adjacent to impaired surface waters, the Contractor shall be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

1.4.1 Discharge of Contaminates

The contractor shall not discharge any contaminated materials into the storm drain system on Base. EPA authorizes the following non-storm water discharges: fire fighting activities; fire hydrant flushing; potable water sources; irrigation drainage; lawn watering; routine building wash-down without detergents; pavement wash-waters where spills/leaks of toxic or hazardous materials have not occurred and where detergents are not used; air conditioning condensate; springs; uncontaminated groundwater; and foundation/footing drains where flows are not contaminated with process materials such as hydrocarbons/solvents.

1.4.2 Sanitary Sewer

The Contractor shall not dump any restricted materials down the sanitary sewer or wastewater disposal system without approval of the Air Force. All discharges to the sewer shall meet Federal, State, and Local regulatory requirements and shall meet the permit requirements limiting MAFB discharges. The base sewer discharge is tested weekly by the City of Great Falls for conformance requirements.

Restricted waste water materials include those that

Create a fire or explosion hazard.

Are toxic or poisonous.

Waters or wastes having a pH lower than 5.5 or higher than 9.0.

Solid or viscous substances that can obstruct the sewer flow.

Interfere with the biological activity of a treatment plant.

Inhibit biological activity by increasing the temperature.

Any fats, wax, grease, or oils in excess of 100 mg/l, total petroleum hydrocarbons in excess of 25 mg/l, noxious or malodorous liquids.

Contain metals in excess of MAFB's industrial permit allowable limits of:

iron-0.03 mg/1
chromium-5.676 mg/1
copper-4.985 mg/1,
cyanide-0.505 mg/1,
zinc-1.019 mg/1,
arsenic-0.462 mg/1,
cadmium-3.551 mg/1,
lead-0.946 mg/1,
mercury-0.028 mg/1,
nickel-4.782 mg/1 or
silver-0.531 mg/1,

Contain phenols or dyes; are radioactive.

Contain over 100 lbs per day of total suspended solids (TSS) or five day biochemical oxygen demand (BOD) or cause the Base waste water discharge to exceed 200 mg/l BOD or 250 mg/l TSS.

1.4.2.1 Ground Water Discharge

For discharge of ground water, the Contractor shall obtain a State or Federal permit specific for pumping and discharging ground water prior to surface discharging.

1.4.3 Storm Water Discharge Permit

1.4.3.1 Construction Activities-Storm water Discharge Permit

MPDES General Permit for Storm Water Discharges Associated with Construction Activity is required for construction activity in which clearing, grading and excavation will result in disturbance of 1 acre or more of land. A completed Notice of Intent (NOI) package must be sent to MDEQ and consists of the following:

- A. A Notice of Intent Form
- B. A Storm Water Pollution Prevention Plan. This plan must meet the basic requirements of the SWPPP provided in Part IV of the General Permit.
- C. Fees
- D. The NOI package shall be routed through the Civil Engineer Squadron Environmental Flight (341 CES/CEV) 10 days prior to construction start date.
- E. The SWPPP must be maintained on the construction site.
- F. During construction the contractor shall perform inspections as outlined in Part III of the General Permit.
- G. After the site has achieved final stabilization the contractor will submit the Notice of Termination.

The contractor should reference the Montana Department of Environmental Quality's Website:

http://www.deq.state.mt.us/wqinfo/mpDES/stormwaterconstruction.asp for Notice of Intent Forms, instructions, SWPPP information, and other guidelines for complying with Montana storm water requirements.

1.5 EROSION, SEDIMENT CONTROLS, AND WETLANDS

1.5.1 Wetlands

The Contractor shall not enter, disturb, destroy, place fill into, or allow discharge of contaminants into any wetlands except as specifically authorized herein. The Contractor shall be responsible for the protection of wetlands on Malmstrom AFB. Authorization to enter specific wetlands identified shall not relieve the Contractor from any obligation to protect other wetlands within, adjacent to, or in the vicinity of the construction

site and associated boundaries. Maps showing locations of wetlands within Malmstrom AFB can be obtained from the $341\ \text{CES/CEV}$.

1.5.2 Erosion and Sediment Control

The Contractor shall be responsible for providing erosion and sediment control measures in accordance with Federal, State, and local laws and regulations. The erosion and sediment controls selected and maintained by the Contractor shall be such that water quality standards are not violated as a result of the Contractor's construction activities. The area of bare soil exposed at any one time by construction operations should be kept to a minimum. The Contractor shall construct or install temporary and permanent erosion and sediment control best management practices (BMPs). BMPs may include, but not be limited to, vegetation cover, stream bank stabilization, slope stabilization, silt fences, construction of terraces, interceptor channels, sediment traps, inlet and outfall protection, diversion channels, and sedimentation basins. The Contractor's best management practices shall also be in accordance with the Base Montana Pollutant Discharge Elimination System (MPDES) Storm Water Pollution Prevention Plan (SWPPP) which may be reviewed at the Malmstrom AFB Environmental Office. Any temporary measures shall be removed after the area has been stabilized.

1.6 TOXICS

The Contractor is responsible for ensuring that no employee is exposed to toxic materials like airborne asbestos, lead from lead base paint or polychlorinated biphenyls (PCB's).

1.6.1 Asbestos and Asbestos Hazards

The Contractor shall conform to all the requirements of 29 CFR 1926.1101, Occupational Exposure to Asbestos. Typical suspect asbestos containing materials include floor and/or ceiling tile, tile mastic, roofing materials and flashing mastics, pipe and boiler insulation, wall coverings, sheet rock joint compound, transite materials, etc.

1.6.1.1 Asbestos Containing Materials

No new asbestos containing materials shall be used or installed at any facilities under the jurisdiction of Malmstrom AFB.

1.6.1.2 Removal of Asbestos Containing Material

The contractor shall notify the Montana Department of Environmental Quality, Permitting & Compliance Division, Air & Waste Management Bureau, Asbestos Control Program, PO Box 200901, Helena, MT. 59620-0901, of all demolition and renovation work where asbestos containing material removal quantities meet minimums specified for notification. (Demolition work is defined as any alteration of a structure where a load bearing beam is removed.) Notification is required for demolition work even though the facility contains no asbestos containing material (40 CFR 61.145(a)(2)).

1.6.2 Paint and Paint Hazards

1.6.2.1 Existing Paint

Existing painted surfaces may contain lead based paint. The Contractor is responsible for ensuring that no employee is exposed to concentrations of lead in excess of the permissible exposure limit (PEL) equal to an eight hour time weighted average of 50 micrograms per cubic meter (:g/m3). The Contractor shall conform to all the requirements of 29 CFR 1926.62 Lead Exposure in Construction. Workers are to wear respirators unless air testing establishes that lower protection factors are sufficient. Engineering and work practice controls may be sufficient to reduce exposure to or below the PEL. If the lead PEL is exceeded all workers shall wear appropriate personal protective equipment. The Contractor shall adhere to all other requirements of 29 CFR 1926.62. The Contractor shall keep a steady spray of water on any demolition work that may cause exposures. Runoff shall be contained on the work site to prevent contamination to any watersheds or the sanitary sewer system. The Contractor shall not contaminate the soil with lead due to excessive use of water. The site shall be limited to access by the public and the Contractor is responsible for non-exposure of the public to any lead concentrations above the PEL.

1.6.2.2 New-Paint Restriction

- a) The Contractor shall not furnish or use any paints or coatings containing mercury or lead for interior or exterior applications.
- b) No oil-based paints or coatings are to be used on base unless the entire liquid material is applied to the intended surface. No oil based paint liquid is to be left for disposal by base personnel nor is any of this material to be improperly disposed of by the contractor.
- c) Use of environmentally safe water base paints and stains is recommended.

1.6.3 Polychlorinated Biphenyls (PCB's)

No PCB's or products containing PCB's shall be installed on Malmstrom AFB.

Turn in all light ballasts or electrical equipment with PCB's to the 341 CES/CEV. Transformers, capacitors, switching gear, etc., often contain PCB's for cooling purposes.

If hermetically sealed equipment, then turn in to 341 CES/CEV assuming it has a PCB concentration greater than the 500-ppm limit.

1.6.3.1 Contractor shall

- 1) Count the number of units for turn in.
- 2) Place units in a 49 CFR 178.500, Subpart L shipping container furnished by him.
- 3) Call 341 CES/CEV (Ph $\times 6163$) three days in advance to schedule contractor delivery.
- 4) Contractor shall deliver to appropriate storage facility.

1.7 HAZARDOUS MATERIALS (HAZMAT)

1.7.1 Matierial Safty Data Sheets (MSDS)

The contractor shall maintain MSDS's for all hazardous materials used on base and the MSDS's shall be on file on site at the construction site office at all times.

1.7.2 HAZMART account close out

Prior to completion of the contract, provide a finalized report of the actual quantities used during the contract, remove excess materials and close out the HAZMART account.

1.7.2.1 HAZMART account close out report

The Contractor shall submit all of the above data as a formal contract submittal.

1.8 EMERGENCY PLANNING AND COMMUNITY RIGHT-TO-KNOW ACT (EPCRA).

For contracts over \$100,000, contractors shall comply with the Toxic Release Inventory reporting provisions of EPCRA Section 313 by filing Form R reports during the life of the contract. Contractors shall provide a list of any extremely hazardous or hazardous substances listed under EPCRA along with maximum inventory and consumption. HAZMART registration fulfills this requirement. Contractors shall provide this information to 341 CES/CEVV and HAZMART. Should the potential contractor not be subject to reporting under EPCRA, he shall certify as such. References: Federal Register, August 10, 1995, Vol. 60, No. 154, p40987-40992 and Federal Register, September 29, 1995, Vol 60, No. 189, p50737-50743.

1.9 STORAGE OF HAZARDOUS MATERIALS

1.9.1 Storage Areas

All hazardous materials used by the contractor on base property shall be stored properly in special areas in accordance with all regulatory and MAFB Fire Department requirements. Storage shall include, but not be limited to:

- A. Keep containers closed when not in use
- B. Label containers with warning labels
- C. Post hazardous signs as required
- D. Provide storage with secondary containment and routinely check for leaks and spills
- E. Store materials at a central location
- F. Flammable items must be stored in an approved flammable storage locker
- G. All fuel storage tanks must have secondary containment
- H. Fuel and Lubricants

1.9.2 Equipment Storage

Storage, fueling and lubrication of equipment and motor vehicles shall be conducted in a manner that affords the maximum protection against spill and evaporation. Fuel, lubricants and oil shall be managed and stored in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded shall be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. There shall be no storage of fuel on the project site. Fuel must be brought to the project site each day that work is performed.

1.10 HAZARDOUS WASTE PROCEDURES

1.10.1 Disposal

All hazardous waste, except those that come under Universal Waste Rules, must be managed and disposed of in accordance with 40 Code of Federal Regulations (40 CFR) Subchapter I, Parts 260-268.

- 1.11.1.1 The contractor is responsible for the disposal of all hazardous waste generated from his operations, including spill cleanup. The contractor shall bear all costs associated with hazardous waste disposal.
- 1.11.1.2 Contractors storing hazardous waste on site for more than 24 hours must follow the Malmstrom Hazardous Waste Plan OPLAN 32-7042. As a minimum he must establish satellite accumulation points, appoint and train satellite accumulation point managers. If more than 55 gallons of hazardous waste or more than 5 lbs. of acutely hazardous waste is generated then the contractor must obtain approval for and establish a 90 day accumulation site.
- 1.11.1.3 The contractor shall manage his generated waste accumulations in accordance with 40 CFR Section 262.34 (c)(1). The contractor shall characterize (including sampling, analysis and manifesting) hazardous waste to a RCRA permitted facility. The contractor must arrange for a DOT trained and authorized person from the environmental flight to inspect the shipment and sign the hazardous waste manifest before manifesting the waste off base. The contractor shall provide a copy of the manifest to the 341 CES/CEV, Bldg 1708, prior to hazardous waste being shipped off the installation. A signed copy of the manifest must be returned to the 341 CES/CEV within 45 days.
- 1.11.1.4 The contractor is responsible for all fines and penalties, which may stem from an EPA or State of Montana Department Environmental Quality hazardous waste inspection of his operation.

1.10.2 Fluorescent Light Tubes

1.11.2.1 All fluorescent light tubes are considered hazardous waste even if they are sent to a recycling facility (unless there is data showing otherwise). Fluorescent tubes are to be managed in compliance with RCRA, 40 CFR Part 273, Universal Waste Rules and sent to recycling. All fluorescent light tubes shall be handled in such a way as to prevent breakage and the subsequent release of mercury-containing vapor. All tube removal related work shall be performed in conformance with all environmental, safety and health regulations.

- 1.11.2.2 Fluorescent light tubes shall be carefully removed from fixtures and packaged to prevent breakage during subsequent handling and shipping. If fluorescent bulbs are broken, then the contractor must cleanup immediately and the material must be treated as a hazardous waste. Broken bulbs must be managed under the provisions of 40 CFR, part 260. If bulbs are broken, they must be managed according to Section 10.1.
- 1.11.2.3 Fluorescent tube recycling must be performed at a qualified recycling center that complies with 40 CFR 273 Subpart E standards for destination facilities. Upon completion of recycling, the Contractor must provide the Contracting Officer and 341 CES/CEVV certificates detailing the number of tubes recycled, date of recycling, and name and location of the recycler. Each certificate shall be signed and dated by the contractor removing the tubes and by the recycling firm performing the recycling.

1.10.3 Responsibility

The contractor may obtain guidance from the 341 CES/CEVV, 731-6163, on proper storage and handling of hazardous waste while on Malmstrom AFB. However, all responsibility rests with the contractor to comply with all federal and state hazardous waste requirements and any information obtained from the Environmental Flight does not remove responsibility from the contractor for proper waste management.

1.11 SOLID WASTES

The contractor is responsible for handling and disposal of all solid waste generated at the job site including laboratory testing and any documentation submittals required by the landfill owner.

Solid wastes (excluding clearing debris) shall be placed in containers that are emptied on a regular schedule. Handling, storage, and disposal shall be conducted to prevent contamination. Segregation measures shall be employed so that no hazardous or toxic waste will become co-mingled with solid waste. The Contractor shall transport solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill shall be the minimum acceptable off-site solid waste disposal option. The Contractor shall verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate.

Contractors are required to divert / recycle any solid waste generated from their work. The following on-site generated waste must be recycled: glass, tin, aluminum, cardboard, newspaper and office paper.

The Contractor shall make all arrangements for disposal of any wastes including wastes requiring special handling such as asbestos and lead containing materials, rubble, sludge or non-hazardous chemical wastes.

1.11.1 Solid Waste Disposal Facilities

1.11.5.1 All non-hazardous wastes shall be properly disposed of through a licensed landfill or recycling center. Montana Dept of Environmental Quality written approval is required for any non-inert materials such as asphalt containing materials, asphalt roofing materials, steel containing materials, etc that are to be disposed of in a Class III landfill site. No written

approval is required if a Class II or Class IV landfill site is used for disposal of these non-inert materials.

- 1.12.1.1 Class IV landfills accept Group VI wastes which include construction and demolition waste such as waste building materials, packaging, and rubble resulting from construction, remodeling, repair, and demolition operations on pavements (including asphalt waste), houses, commercial buildings, and other structures.
- 1.12.1.2 Regulated hazardous wastes are excluded from all Class II, III and IV landfill sites. Class II landfill sites can receive any wastes acceptable at Class III and Class IV landfill sites in addition to municipal and household solid wastes such as garbage and putrescible organic materials.
- 1.12.1.3 No landfill site is available on base. Demolition rubble shall not be buried or placed upon the land anywhere on base or at the work site.
- 1.12.1.4 The cost for cleanup of improperly disposed wastes and/or the costs for removals of improperly placed hazardous waste materials shall be the responsibility of the contractor.
- 1.12.1.5 Copies of all disposal documents and weight tickets shall be furnished to the Contracting Officer.
- 1.11.2 Non-Hazardous Solid Waste Diversion Report

The Contractor shall maintain an inventory of non-hazardous solid waste diversion and disposal of construction and demolition debris. The Contractor shall submit a report to the 341 CES/CEVV through the Contracting Officer ten days prior to the final acceptance inspection. The report "Waste Management Plan" is provided at Attachment 1 (1010-A).

1.12 AFFIRMATIVE PROCUREMENT

- 1.13.1 In compliance with the Affirmative Procurement requirements of Section 6002 of RCRA and Executive Order 13101, the Government requires the use of the recycled and recovered materials and products identified in the Environmental Protection Agency's Comprehensive Procurement Guidelines in all purchases.
- 1.13.1.1 These materials and products must meet the requirements of the specifications, must not delay the progress of the work, and must not be cost prohibitive.
- 1.13.2 EPA guideline items are seen as the minimum that should be considered when evaluating recycled/reuse materials. Other materials and products not listed, but commonly used in industry outside of the government should also be considered.
- 1.13.3 Material and product submittals for all recycled-content items required will list the recycled and recovered materials used and the percentage content.
- 1.13.4 Any decision not to acquire guideline items as required in the contract must be approved by the Contracting Officer. All purchases of guideline items must be verified by the Contracting Officer before contract

- closure. Activities subject to upward reporting and verification will be contracts with a total value over \$100,000.
- 1.13.5 Paper products such as government documents, agreements, contracts, etc. shall be printed on paper containing 30% post consumer materials. The use of bio-based or bio-based containing products is encouraged.
- 1.13.6 All contractually required documents and reports produced by or for the Air Force longer than two pages shall be double-sided.
- 1.13 SPILLS AND SPILL RESPONSE PROCEDURES

1.13.1 Reporting

Spills of any type material (excluding clean water) shall be reported to the QAE and the 341 CES/CEVV, 731-6163, for evaluation to determine if cleanup is required and evaluate the need for reporting.

1.13.2 Charges

The contractor will be charged for any cleanups & disposal costs accomplished by Malmstrom civilian or contract personnel.

1.13.3 Claeanup

All spill cleanups will be completed in accordance with the Malmstrom AFB Integrated Hazardous Materials Emergency Response Plan OPLAN 32 4 and be handled by trained personnel only. Refer to the OPLAN 32-4 and 29 CFR 1910.120.

1.13.4 Testing

Any hazardous products or materials of environmental concern cleaned up on Malmstrom facilities must be tested to determine if it is a hazardous waste.

1.13.5 Spill Response procedures

- 1.14.5.1 Determine if the spill can be contained by the responsible organization. If it can be contained without injury to personnel and without assistance from response personnel. Observe the following: Contain and/or control the release. Clean up the release using proper absorbent media for the chemical spilled. Recover as much of the spill as possible using absorbent media or approved vacuum device to minimize hazardous waste volume. Do not hose down the spilled material into floor/storm drains. Report spill to the Contracting Officer and 341 CES/CEVV, 731-6163, for the proper reporting and guidance in the proper disposal of recovered material. It is the contractor's responsibility to properly handle and dispose of clean up materials.
- 1.14.5.2 For spills beyond the capabilities of contractor personnel call the Base Fire Dept at 911.
- 1.14.5.3 Evacuate the area downwind of the spill if warranted by type of release.
- 1.14.5.4 Ensure all workers shut down their operations and secure their equipment, time permitting.

- 1.14.5.5 Stop source of spill with out undue risk of personal injury. Use on site containment, safety equipment, & materials.
- 1.14 DISCOVERY OF CONTAMINATED SOILS, MILITARY MUNITIONS, AND HISTORIC, ARCHAEOLOGICAL, OR CULTURAL RESOURCES

1.14.1 Contaminated Soil

If contaminated soil is encountered during any excavation work, the Spill Response procedure above shall be followed. Following site evaluation, the Contracting Officer will advise of the steps that the contractor must follow to complete the work through the contaminated area.

This may include a requirement for 40 hour Hazardous Waste Operations and Emergency Response training, Confined Space Entry training and permitting, respiratory protection, and completion of a Site Safety & Health Plan. Any additional cost, not specified in the original contract, of work performed by the contractor in the contaminated area, shall be negotiated through the Malmstrom Contracting office.

1.14.2 Historical, Archaeological, and Cultural Resources

Historical, Archaeological, and Cultural Resources. The Contractor shall protect Historical, Archaeological, and Cultural Resources and shall be responsible for their preservation during the life of the Contract. during excavation or other construction activities any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, all activities that may damage or alter such resources shall be temporarily suspended. Resources covered by this paragraph include but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, paintings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, the Contractor shall immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. The Contractor shall cease all activities that may result in impact to or the destruction of these resources. The Contractor shall secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources.

1.14.3 Military Munitions

In the event the Contractor discovers or uncovers military munitions as defined in 40 CFR 260, the Contractor shall immediately stop work in that area and immediately inform the Contracting Officer.

- PART 2 PRODUCTS -- NOT USED.
 PART 3 EXECUTION -- NOT USED.
 - -- End of Section --

Waste Management Plan

I. Total Project	Waste		· ·				
Quantity (tons)	Landfill Site	Tip fee/ton	Total cost of disposal, including hauling, container rental, tip fees	Total cost/ton		Project: _	
II. Alternatives	to Landfilling	1					
Type of Material	Quantity (tons)	Destination and means of transportation	Cost to handle and transport	Expected revenue & tip fee earnings	Net cost	Cost if landfilled	Comparison cost (+) / savings (-)
Cardboard	T						
Dimensional wood							
Beverage containers							
Land debris							
Concrete							
CMU							
Asphalt							
Metals - all types							
Gypsum board							
Paint							
Carpet							
Insulation							
Glass							
Cast stone							
Wood materials							
Electric cable							
PVC piping							
Rubber flooring							
Raised flooring							
		111	. Total net cost (+)	or savings () fro	om all		
			ternatives to landfill				
				5 , , ,			
		es free of contaminati		V. Meetings to be	held to	address w	aste managemen
All similar materials wi	II be grouped toge	ther based on the requireme	ents of the	At regularly schedule	ed jobsite c	coordination/pi	rogress meetings
		will be kept in separate conf		and at job safety me	etings, was	ste manageme	ent requirements
in order to avoid conta				will be discussed to d	_	_	

RECOVERED MATERIALS WRITTEN DETERMINATION FORM

This form is needed only if item(s) being procured are subject to the installation's Affirmative Procurement Program for EPA-Designated Recycled Content Products.

The contracting officer must place in the contract file a written justification if an acquisition of EPA-designated products above the micro-purchase threshold does not contain recovered material. The procurement originator and/or contracting officer must check the appropriate justification(s), provide a written explanation, and sign and date the form.

Procurement Request / Project No.		
EPA Designated Recycled Content Item N	OT being Procured:	
	ly available within a reasonable period of 0, on DD Form 350, line B12F, choose " B	
Need Date:	Date Available:	
	ble price (recycled item costs more). on DD Form 350, line B12F, choose "C.")
Price of Designated Item:		
Price of Non-Recycled Item:		
	installation's performance standards. n DD Form 350, line B12F, choose " D .")	
Describe the performance need, door recycled content product will not m	cument and section number and explain but neet that need.	riefly why a
This written determination is made in acco	ordance with FAR 23.405(c).	
Procurement Originator Signature Date	Contracting Officer Signature	Date

SECTION 01025

MEASUREMENT AND PAYMENT

PART 1 GENERAL

1.1 MEASURMENT

Each lump sum item will be measured for payment as a complete item.

1.2 PAYMENT

The contract price for each item shall constitute full compensation for furnishing all labor, materials, equipment and incidentals and performing all operations necessary to construct and complete the work in accordance with these specifications and the applicable drawings. Payment for each item shall be considered as full compensation, notwithstanding that minor features may not be mentioned herein. Work paid for under one item will not be paid for under any other item. No separate payment will be made for the work, services, or operations required by the Contractor, as specified in DIVISION 1, GENERAL REQUIREMENTS, to complete the project in accordance with these specifications; all costs thereof shall be considered as incidental to the work.

1.2.1 ITEM 0001 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0001, All work for the Application of Low Emissions Technology to Coal-Fired CHP in accordance with the drawings & specifications but not including the work indicated under items 0002 through 0009, payment of which shall constitute full compensation for Item No. 0001, complete.

1.2.2 ITEM 0002 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0002, All Work for As-Built Drawings as Specified in Section 01702 from Preparation to Final Approval for Base Items and any Optional Items Exercised, payment of which shall constitute full compensation for Item No. 0002, complete. No partial or total payment will be made for this item until the as-built drawings, both marked up blue prints and electronic files are fully approved by the Government (A or B action) and all copies of approved drawings and electronic media received by the Government. The dollar amount specified in the Bid Schedule may not necessarily reflect the bidder's actual costs for doing this work

1.2.3 ITEM 0003 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0003, All Work for O&M Manuals, as Specified in Section 01701 from Preparation to Final Approval for Base Items and any Optional Items Exercised, payment of which shall constitute full compensation for Item No. 0003, complete. No partial or total payment will be made for this item until all O&M manuals are fully approved by the Government (A or B action) and all copies of final manuals are received by the Government in their final binders. The dollar amount specified in the Bid Schedule may not necessarily reflect the bidder's actual costs for doing this work.

1.2.4 ITEM 0004 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0004, All Work for Form 1354 Checklist and Equipment-in-Place List, as Specified in Section 01704 and 01705 from Preparation to Final Approval for Base Items and any Optional Items Exercised, payment of which shall constitute full compensation of Item No. 0004, complete. No partial or total payment will be made for this item until both the 1354 Checklist and Equipment in Place List are fully approved by the Government (A or B action) and all copies of approved lists received by the Government. The dollar amount specified in the Bid Schedule may not necessarily reflect the bidder's actual costs for doing this work.

1.2.5 ITEM 0005 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0005, All work for the installation of Induced Draft Fan Variable Frequency Drives and Motor Replacement.(HTHW Generators No. 1&3), payment of which shall constitute full compensation for Item No. 0005, complete.

1.2.6 ITEM 0006 (BASE ITEM)

Payment will be made that the contract lump sum price for Item No. 0006, All work for the Plant Air System Modifications, payment of which shall constitute full compensation for Item No. 0006, complete.

1.2.7 ITEM 0007 (BASE ITEM)

Payment will be made at the contract lump sum price for Item No. 0007, All work for the Instrument Air System Modification, payment of which shall constitute full compensation for Item No. 0007, complete.

1.2.8 ITEM 0008 (OPTIONAL ITEM)

Payment will be made at the contract unit price for Item No. 0008, Provide Load Simulator System, payment of which shall constitute full compensation for Item No. 0008, complete.

1.2.9 ITEM 0009 (OPTIONAL ITEM)

Payment will be made at the contract lump sum price for Item No. 0009, All Work for Dustless Unloader(Pug Mill) Replacement, payment of which shall constitute full compensation for Item No. 0009, complete.

PART 2 NOT USED

PART 3 EXECUTION

The Contractor shall furnish within 30 days after the date of Notice to Proceed, and prior to the submission of its first partial payment estimate, a breakdown of its lump-sum pay item or items which will be reviewed by the Contracting Officer as to propriety of distribution of the total cost to the various accounts. Any unbalanced items as between early and late payment items or other discrepancies will be revised by the Contracting Officer to agree with a reasonable cost of the work included in the various items.

This contract cost breakdown will then be utilized as the basis for progress payments to the Contractor.

-- End of Section --

PROGRESS PAYMENT INVOICE

See Federal Acquisition Regulations (FAR) 32.900, 52.232-5, & 52.232-27

1. PROJECT AND LOCATION	2. DATE
CONTRACTOR NAME AND ADDRESS (Must be the same as in the Contract)	4. CONTRACT NO.
	5. INVOICE NO.
6. DESCRIPTION OF WORK	7. PERIOD OF PERFORMANCE
	From:
	To:
8. DISCOUNT TERMS	
9. OFFICIAL TO WHOM PAYMENT	10. OFFICIAL TO BE NOTIFIED
IS TO BE FORWARDED	OF DEFECTIVE INVOICE
Name:	Name:
Title:	Title:
Phone: () -	Phone () -
11. CERTIFICATION: I hereby certify, to the best of my knowle (1) The amounts requested are only for the performance in a	
(2) Payments to subcontractors and suppliers have been ma the contract, and timely payments will be made from the pro- certification,	ceeds of the payment covered by this
in accordance with subcontract agreements and the requirements code; and (3) This request for progress payment does not include any withhold or retain from a subcontractor or supplier in accord subcontract.	amounts which the prime contractor intends to
Code; and (3) This request for progress payment does not include any withhold or retain from a subcontractor or supplier in accord subcontract. (Signature) (Title)	amounts which the prime contractor intends to ance with the terms and conditions of the (Date)
Code; and (3) This request for progress payment does not include any withhold or retain from a subcontractor or supplier in accord subcontract.	amounts which the prime contractor intends to ance with the terms and conditions of the

SECTION 01035

MODIFICATION PROCEDURES

PART 1 GENERAL

1.1 PROPOSED PROJECT MODIFICATIONS:

Price proposals for proposed modifications shall be submitted in accordance with the requirements of the Contract Clause MODIFICATION PROPOSALS - PRICE BREAKDOWNS. If change order work impacts or delays other unchanged contract work, the costs of such impacts or delays shall be included in the proposals and separately identified. Additional instructions for submitting price proposals can be found in NPSP-415-1-1, INSTRUCTION AND INFORMATION FOR CONTRACTORS, a copy of which will be furnished to the Contractor at the Preconstruction Conference. For information applicable to equipment rates used in contract modifications, refer to 00800 - SPECIAL CLAUSES, clause "EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE".

PART 2 NOT USED PART 3 NOT USED

-- End of Section --



SECTION 01110

SUMMARY OF WORK

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes:

- 1) Furnishing and Installing natural gas burners on High Temperature Hot Water (HTHW) Generators 1 & 3.
 - 2) Removal of existing burner and controls as indicated.
 - 3) Modification and repair of existing HTHW Generators.
- 4) Furnishing and replacing air heater baskets and seals on air heaters for HTHW Generators 1 & 3.
- 5) Furnishing and installing new VFD's on ID Fans on HTHW Generators $1\ \&\ 3$.
- 6) Furnishing and installing new ID Fan drive motors on HTHW Generatrors 1 & 3.
- 7) Furnishing and installing SDA inlet temperature control bypass ductwork.
- 8) Furnishing and installing opacity monitor purge air fans on HTHW Generators 1 & 3.
- 9) Furnishing and installing an ash unloader system including a pug mill, rotary feeder, and knife gate valve.
- 10) Furnishing and installing a load simulator, and interconnecting piping.
- 11) Furnishing and installing a plant air receiver and instrument air receiver, desiccant air dryer, air compressor and interconnecting piping.
- 12) Furnishing and installing instrument air supply for modifications requiring instrument air.
- 13) Demolitions and relocations required for the above modifications.
- 14) Furnishing and placing concrete, steel and electrical work required for the above modifications.
- 15) Furnishing and installing all controls associated with the above, and all related incidental work.

1.1.2 Location

The work shall be located at the Central Heating Plant, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.2 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work,

as approved by the Contracting Officer. At the completion of operations, existing work shall be in a condition equal to or better than that which existed before new work started.

1.3 FAILURE TO COMPLETE

If the Contractor fails to complete the work within the time specified in SC - 1.c, or any extension, the Contractor shall:

- a. Immediately provide temporary portable hot water generator(s) or steam generator with steam to hot water heat exchangers to supply the proper temperature and pressure of hot water to heat all buildings served by the Central Heat Plant (see Table I), individual space heaters are not acceptable.
- b. Accomplish remaining work in the first plant shut down period following the contract completion period.

Table 1: Central Heat Plant Service Locations by Building Number						
BLDG NO.	DESCRIPTION	SQ FT	HT SOURCE	HT (MBTU/HR)	YR BUILT	# STORIES
145	HQ GROUP	34,413	CHP	0.9774	76	1
160	HQ	18,458	CHP	1.3710	57	1
165	MISSILE OPS	28,442	CHP	1.5000	67	1
205	HTG FACILITY	1,305	CHP	10.3100		
218	HTG FACILITY	768	CHP	4.6320	69	1
220	CE SELF HELP & SHOPS	15,000	CHP	1.2600	90	2
250	ALERT CREW	21,785	CHP	3.0160	59	1+BSMNT
295	SP OPERATIONS	8,562	CHP	0.5040	69	1
300	HQ GROUP	13,162	CHP	1.1000	58	1
320	VEHICLE OPS HEAT	4,929	CHP	0.6469	88	1
330	LAB, PME	16,440	CHP	1.8000	59	1
349	FIRE STATION	20,616	CHP	3.2000	57	1
410	SUPPLY WAREHOUSE	86,412	CHP	1.1052	53	2
450	FUELS SHOP	3,644	CHP	0.8000	68	1
471	CE SHOPS	14,740	CHP	4.0200	59	1
500	НQ	192,841	CHP	10.0000	59	3+BSMNT
546	HEATING FACILITY	1,014	CHP	4.9600	51	1
610	FLIGHT SIMILTR	6,262	CHP	0.5272	91	1
630	DORMITORY	25,474	CHP	1.0080	68	3
635	DORMITORY	25,474	CHP	1.0080	67	3
640	DORMITORY	54,230	CHP	2.1460	0	3
655	DORMITORY	38,650	CHP	1.5290	97	3
1062	DORMITORY	39,500	CHP	1.5630	99	3
735	DORMITORY	25,474	CHP	1.5880	70	3
737	DORMITORY	23,873	CHP	1.5120	75	3
766	HQ	15,516	CHP	9.1086	54	1
	HQ GROUP 769	18,060	CHP	2.2180	58	1

770	LAW CENTER	16266	CHP	1.0080	57	1
772	PUBLICATIONS	7,728	CHP	0.4500	92	1
800	TRAFFIC MGT FACILITY	14,479	CHP	2.0090	77	1
850	VEHICLE MAINT SHOP	7973	CHP	1.2000	87	1
870	VEHICLE MAINT SHOP	27186	CHP	5.5000	60	1
882	VEHICLE MAINT SHOP	16964	CHP	1.9200	75	2
910	HEATED PARKING	20340	CHP	1.2800	68	1
1010	GYM	54877	CHP	4.7840	57	1
1020	SWIMMING POOL	13380	CHP	1.7000	75	1
1075	DINNING HAL	15006	CHP	6.6940	60	1
1082	COMM FACILITY 1082	6403	CHP	0.6320	56	1
1145	YOUTH CENTER	26561	CHP	2.8000	56	1
1150	BX	45899	CHP	2.0170	81	1
1152	LIBRARY	7891	CHP	0.4200	57	1
1154	BOWLING CENTER	16454	CHP	1.0000	66	1
1156	THEATER	9513	CHP	1.9536	57	1
1191	BASE PERSONNEL	35000	CHP	0.6800	73	2+BSMNT
1192	FAMILY SUPPORT CTR	5785	CHP	0.1490	69	1
1199	CHAPEL CENTER	18599	CHP	1.5720	57	2
1248	CONSOLIDATED SKILLS	19000	CHP	1.6000		1
1320	COMMISSARY	68200	CHP	2.0000	88	
1439	AIRCRAFT SHOPS	82404	CHP	8.1600	84	2
1440	3 BAY HANGER	80775	CHP	20.0000	93	1
1447	AGE SHOPS	23173	CHP	1.8880	59	1
1448	VEHICLE MAINT SHOP	13149	CHP	0.9325	70	1
1450	CORROSION HANGER	36565	CHP	14.2700	89	1
1460	MAINT DOCKS	28215	CHP	5.0000	59	1
1464	MAINT DOCKS	26482	CHP	6.3980	59	1
1467	VEHICLE SHOP	2750	CHP	2.5000	93	1
1482	CONTROL PUMP STN	812	CHP	0.2500	88	1
1600	OFFICIER CLUP	15130	CHP	2.8720	66	1
1620	QUARTERS	18153	CHP	1.0900	70	2
1680	VOQ	23560	CHP	1.3830	64	2
1700	HELO HANGER	21736	CHP	4.1072	56	1
1705	TRML AIR F/P	13048	CHP	1.1000	57	1
1708	MISSOLE OPS	17127	CHP	1.3360	57	2
2040	COMPOSITE MED	91950	CHP	4.3690	90	1
3080	MSL SVS SHOPS	49996	CHP	2.6776	76	1
3081	HEATED PARKING	17460	CHP	2.7290	76	1
82110	HEAT PLANT	35316	CHP	0.1475	87	
TOTAL		1,806,349		195.9887		

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01312

QUALITY CONTROL SYSTEM (QCS)

PART 1 GENERAL

1.1 GENERAL

The Government will use the Resident Management System for Windows (RMS) to assist in its monitoring and administration of this contract. The Contractor shall use the Government-furnished Construction Contractor Module of RMS, referred to as QCS, to record, maintain, and submit various information throughout the contract period. This joint Government-Contractor use of RMS and QCS will facilitate electronic exchange of information and overall management of the contract. QCS provides the means for the Contractor to input, track, and electronically share information with the Government in the following areas:

Administration Finances Quality Control Submittal Monitoring Scheduling Import/Export of Data

1.1.1 Correspondence and Electronic Communications

For ease and speed of communications, both Government and Contractor will, to the maximum extent feasible, exchange correspondence and other documents in electronic format. Correspondence, pay requests and other documents comprising the official contract record shall also be provided in paper format, with signatures and dates where necessary. Paper documents will govern, in the event of discrepancy with the electronic version.

1.1.2 Other Factors

Particular attention is directed to Contract Clause, "Schedules for Construction Contracts", Contract Clause, "Payments", Section 01320, PROJECT SCHEDULE, Section 01330, SUBMITTAL PROCEDURES, and Section 01451, CONTRACTOR QUALITY CONTROL, which have a direct relationship to the reporting to be accomplished through QCS. Also, there is no separate payment for establishing and maintaining the QCS database; all costs associated therewith shall be included in the contract pricing for the work.

1.2 QCS SOFTWARE

QCS is a Windows-based program that can be run on a stand-alone personal computer or on a network. The Government will make available the QCS software to the Contractor after award of the construction contract. Prior to the Pre-Construction Conference, the Contractor shall be responsible to download, install and use the latest version of the QCS software from the Government's RMS Internet Website. Upon specific justification and request by the Contractor, the Government can provide QCS on 3-1/2 inch high-density diskettes or CD-ROM. Any program updates of QCS will be made available to the Contractor via the Government RMS Website as they become available.

1.3 SYSTEM REQUIREMENTS

The following listed hardware and software is the minimum system configuration that the Contractor shall have to run QCS:

Hardware

IBM-compatible PC with 200 MHz Pentium or higher processor

32+ MB RAM

4 GB hard drive disk space for sole use by the QCS system

3 1/2 inch high-density floppy drive

Compact disk (CD) Reader

Color monitor

Laser printer compatible with HP LaserJet III or better, with minimum 4 MB installed memory.

Connection to the Internet, minimum 28 BPS

Software

MS Windows 95 or newer version operating system (MS Windows NT 4.0 or newer is recommended)

Word Processing software compatible with MS Word 97 or newer

Internet browser

The Contractor's computer system shall be protected by virus protection software that is regularly upgraded with all issued manufacturer's updates throughout the life of the contract.

Electronic mail (E-mail) compatible with MS Outlook

1.4 RELATED INFORMATION

1.4.1 QCS User Guide

After contract award, the Contractor shall download instructions for the installation and use of QCS from the Government RMS Internet Website; the Contractor can obtain the current address from the Government. In case of justifiable difficulties, the Government will provide the Contractor with a CD-ROM containing these instructions.

1.4.2 Contractor Quality Control(CQC) Training

The use of QCS will be discussed with the Contractor's QC System Manager during the mandatory CQC Training class.

1.5 CONTRACT DATABASE

Prior to the pre-construction conference, the Government shall provide the Contractor with basic contract award data to use for QCS. The Government will provide data updates to the Contractor as needed, generally by files attached to E-mail. These updates will generally consist of submittal reviews, correspondence status, QA comments, and other administrative and QA data.

1.6 DATABASE MAINTENANCE

The Contractor shall establish, maintain, and update data for the contract in the QCS database throughout the duration of the contract. The Contractor shall establish and maintain the QCS database at the Contractor's site office. Data updates to the Government shall be submitted by E-mail with file attachments, e.g., daily reports, schedule updates, payment requests. If permitted by the Contracting Officer, a data diskette or CD-ROM may be used instead of E-mail (see Paragraph DATA SUBMISSION VIA COMPUTER DISKETTE OR CD-ROM). The QCS database typically shall include current data on the following items:

1.6.1 Administration

1.6.1.1 Contractor Information

The database shall contain the Contractor's name, address, telephone numbers, management staff, and other required items. Within 14 calendar days of receipt of QCS software from the Government, the Contractor shall deliver Contractor administrative data in electronic format via E-mail.

1.6.1.2 Subcontractor Information

The database shall contain the name, trade, address, phone numbers, and other required information for all subcontractors. A subcontractor must be listed separately for each trade to be performed. Each subcontractor/trade shall be assigned a unique Responsibility Code, provided in QCS. Within 14 calendar days of receipt of QCS software from the Government, the Contractor shall deliver subcontractor administrative data in electronic format via E-mail.

1.6.1.3 Correspondence

All Contractor correspondence to the Government shall be identified with a serial number. Correspondence initiated by the Contractor's site office shall be prefixed with "S". Letters initiated by the Contractor's home (main) office shall be prefixed with "H". Letters shall be numbered starting from 0001. (e.g., H-0001 or S-0001). The Government's letters to the Contractor will be prefixed with "C".

1.6.1.4 Equipment

The Contractor's QCS database shall contain a current list of equipment planned for use or being used on the jobsite, including the most recent and planned equipment inspection dates.

1.6.1.5 Management Reporting

QCS includes a number of reports that Contractor management can use to track the status of the project. The value of these reports is reflective of the

quality of the data input, and is maintained in the various sections of QCS. Among these reports are: Progress Payment Request worksheet, QA/QC comments, Submittal Register Status, Three-Phase Inspection checklists.

1.6.2 Finances

1.6.2.1 Pay Activity Data

The QCS database shall include a list of pay activities that the Contractor shall develop in conjunction with the construction schedule. The sum of all pay activities shall be equal to the total contract amount, including modifications. Pay activities shall be grouped by Contract Line Item Number (CLIN), and the sum of the activities shall equal the amount of each CLIN. The total of all CLINs equals the Contract Amount.

1.6.2.2 Payment Requests

All progress payment requests shall be prepared using QCS. The Contractor shall complete the payment request worksheet and include it with the payment request. The work completed under the contract, measured as percent or as specific quantities, shall be updated at least monthly. After the update, the Contractor shall generate a payment request report using QCS. The Contractor shall submit the payment requests with supporting data by E-mail with file attachment(s). If permitted by the Contracting Officer, a data diskette may be used instead of E-mail. A signed paper copy of the approved payment request is also required, which shall govern in the event of discrepancy with the electronic version.

1.6.3 Quality Control (QC)

QCS provides a means to track implementation of the 3-phase QC Control System, prepare daily reports, identify and track deficiencies, document progress of work, and support other contractor QC requirements. The Contractor shall maintain this data on a daily basis. Entered data will automatically output to the QCS generated daily report. The Contractor shall provide the Government a Contractor Quality Control (CQC) Plan within the time required in Section 01451A, CONTRACTOR QUALITY CONTROL. Within seven calendar days of Government acceptance, the Contractor shall submit a data diskette or CD-ROM reflecting the information contained in the accepted CQC Plan: schedule, pay activities, features of work, submittal register, QC requirements, and equipment list.

1.6.3.1 Daily Contractor Quality Control (CQC) Reports.

QCS includes the means to produce the Daily CQC Report. The Contractor may use other formats to record basic QC data. However, the Daily CQC Report generated by QCS shall be the Contractor's official report. Data from any supplemental reports by the Contractor shall be summarized and consolidated onto the QCS-generated Daily CQC Report. Daily CQC Reports shall be submitted as required by Section 01451, CONTRACTOR QUALITY CONTROL. Reports shall be submitted electronically to the Government using E-mail or diskette within 24 hours after the date covered by the report. Use of either mode of submittal shall be coordinated with the Government representative. The Contractor shall also provide the Government a signed, printed copy of the daily CQC report.

1.6.3.2 Deficiency Tracking.

The Contractor shall use QCS to track deficiencies. Deficiencies identified by the Contractor will be numerically tracked using QC punch list items. The Contractor shall maintain a current log of its QC punch list items in the QCS database. The Government will log the deficiencies it has identified using its QA punch list items. The Government's QA punch list items will be included in its export file to the Contractor. The Contractor shall regularly update the correction status of both QC and QA punch list items.

1.6.3.3 Three-Phase Control Meetings

The Contractor shall maintain scheduled and actual dates and times of preparatory and initial control meetings in QCS.

1.6.3.4 Accident/Safety Tracking.

The Government will issue safety comments, directions, or guidance whenever safety deficiencies are observed. The Government's safety comments will be included in its export file to the Contractor. The Contractor shall regularly update the correction status of the safety comments. In addition, the Contractor shall utilize QCS to advise the Government of any accidents occurring on the jobsite. This brief supplemental entry is not to be considered as a substitute for completion of mandatory reports, e.g., ENG Form 3394 and OSHA Form 200.

1.6.3.5 Features of Work

The Contractor shall include a complete list of the features of work in the QCS database. A feature of work may be associated with multiple pay activities. However, each pay activity (see subparagraph "Pay Activity Data" of paragraph "Finances") will only be linked to a single feature of work.

1.6.3.6 QC Requirements

The Contractor shall develop and maintain a complete list of QC testing, transferred and installed property, and user training requirements in QCS. The Contractor shall update all data on these QC requirements as work progresses, and shall promptly provide this information to the Government via QCS.

1.6.4 Submittal Management

The Government will provide the initial submittal register, ENG Form 4288, SUBMITTAL REGISTER in electronic format. Thereafter, the Contractor shall maintain a complete list of all submittals, including completion of all data columns. Dates on which submittals are received and returned by the Government will be included in its export file to the Contractor. The Contractor shall use QCS to track and transmit all submittals. ENG Form 4025, submittal transmittal form, and the submittal register update, ENG Form 4288, shall be produced using QCS. RMS will be used to update, store and exchange submittal registers and transmittals, but will not be used for storage of actual submittals.

1.6.5 Schedule

The Contractor shall develop a construction schedule consisting of pay activities, in accordance with Contract Clause "Schedules for Construction Contracts", or Section 01320, PROJECT SCHEDULE, as applicable. This schedule shall be input and maintained in the QCS database either manually or by using the Standard Data Exchange Format (SDEF) (see Section 01320A PROJECT SCHEDULE). The updated schedule data shall be included with each pay request submitted by the Contractor.

1.6.6 Import/Export of Data

QCS includes the ability to export Contractor data to the Government and to import submittal register and other Government-provided data, and schedule data using SDEF.

1.7 IMPLEMENTATION

Contractor use of QCS as described in the preceding paragraphs is mandatory. The Contractor shall ensure that sufficient resources are available to maintain its QCS database, and to provide the Government with regular database updates. QCS shall be an integral part of the Contractor's management of quality control.

1.8 DATA SUBMISSION VIA COMPUTER DISKETTE OR CD-ROM

The Government-preferred method for Contractor's submission of updates, payment requests, correspondence and other data is by E-mail with file attachment(s). For locations where this is not feasible, the Contracting Officer may permit use of computer diskettes or CD-ROM for data transfer. Data on the disks or CDs shall be exported using the QCS built-in export function. If used, diskettes and CD-ROMs will be submitted in accordance with the following:

1.8.1 File Medium

The Contractor shall submit required data on 3-1/2 inch double-sided high-density diskettes formatted to hold 1.44 MB of data, capable of running under Microsoft Windows 95 or newer. Alternatively, CD-ROMs may be used. They shall conform to industry standards used in the United States. All data shall be provided in English.

1.8.2 Disk or CD-ROM Labels

The Contractor shall affix a permanent exterior label to each diskette and CD-ROM submitted. The label shall indicate in English, the QCS file name, full contract number, contract name, project location, data date, name and telephone number of person responsible for the data.

1.8.3 File Names

The Government will provide the file names to be used by the Contractor with the QCS software.

1.9 MONTHLY COORDINATION MEETING

The Contractor shall update the QCS database each workday. At least monthly, the Contractor shall generate and submit an export file to the Government with schedule update and progress payment request. As required in Contract Clause "Payments", at least one week prior to submittal, the Contractor shall meet with the Government representative to review the planned progress payment data submission for errors and omissions. The Contractor shall make all required corrections prior to Government acceptance of the export file and progress payment request. Payment requests accompanied by incomplete or incorrect data submittals will be returned. The Government will not process progress payments until an acceptable QCS export file is received.

1.10 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the requirements of this specification. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification.

-- End of Section --



SECTION 01330

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 CONTROL AND SCHEDULING OF SUBMITTALS

1.1.1 Submittal Coordination Meeting

After the preconstruction conference and before any submittals are sent to the Contracting Officer's Representative (COR), the Contractor shall meet with the COR and provide and further develop the preliminary submittal register, ENG Form 4288, attached to the end of this section. The Government will provide a suitable electronic copy for import to the RMS system prior to the submittal coordination meeting. During the meeting all required items will be identified and grouped into three categories:

Government Approved (G)

Government approval is required for extensions of design, critical materials, variations/deviations, an "or equal" decision, equipment whose compatibility with the entire system must be checked, architectural items such as Color Charts/Patterns/Textures, and other items as designated by the COR. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," these submittals will be acted on as "shop drawings."

For Information Only (FIO)

Submittals not requiring Government approval, but require submission, will be for information only. These are items such as Installation Procedures, Certificates of compliance, Samples, Qualifications, etc. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," these submittals will not be acted on as "shop drawings."

For Contractor Only (KIO)

Those items that can be visually inspected by the Contractor's Quality Control Representative (CQC) on site or are provided to the Government other than with an ENG Form 4025: The items that fall into this category shall not be included on the register and shall not be submitted to the COR. For these items, the contractor shall maintain a separate method of tracking and make them available at the appropriate preparatory inspection(s).

1.1.2 Final Submittal Register

The final submittal register shall be coordinated with the progress schedule and submitted within 15 days of Notice to Proceed. In preparing the final document, adequate time (minimum of 15 days) shall be allowed for review and approval, and possible resubmittal of each item on the register.

1.1.3 Submittal Register Updates

The Contractor's quality control representative shall review the listing at least every 30 days and take appropriate action to maintain an effective

system. Copies of updated or corrected listings shall be submitted to the COR at least every 30 days in the quantity specified.

1.2 SUBMITTAL TYPES

Throughout these specifications submittals may be identified with the prefix "SD" (submittal data) followed by a number (category, i.e., data, drawings, reports, etc.). This is for bookkeeping and record sorting in the system:

SD-01 Preconstruction Submittals

Certificates of insurance
Surety bonds
List of proposed subcontractors
List of proposed products
Construction Progress Schedule
Submittal register
Schedule of values
Health and safety plan
Work plan
Quality control plan
Environmental protection plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies

or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

SD-05 Design Data

Calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements signed by responsible officials of manufacturer of product, system or material attesting that product, system or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a supplier, installer or subcontractor through Contractor, the purpose of which is to further quality of orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel qualifications. Confined space entry permits.

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Material Safety Data sheets concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative to confirm compliance with manufacturer's standards or instructions.

Factory test reports.

SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

1.3 APPROVED SUBMITTALS

The approval of submittals by the COR shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory. Approval will not relieve the Contractor of the responsibility for any error which may exist. The Contractor, under the CQC requirements of this contract, is responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work. After submittals have been approved by the COR, no resubmittal for the purpose of substituting materials or equipment will be given consideration.

1.4 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the COR and promptly furnish a corrected submittal in the format and number of copies specified for the initial submittal. If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, written notice, as required under the Contract Clause entitled "Changes," shall be given to the COR.

1.5 PAYMENT

Separate payment will not be made for submittals, and all costs associated therein shall be included in the applicable unit prices or lump sum prices contained in the schedule. Payment will not be made for any material or equipment which does not comply with contract requirements.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 GENERAL

Prior to submittal, all items shall be checked and approved by the Contractor's CQC and each item of the submittal shall be stamped, signed, and dated. Each respective transmittal form (ENG Form 4025) shall be signed and dated by the CQC certifying that the accompanying submittal complies with the contract requirements. This procedure applies to all submittals. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including, but not limited to, catalog cuts, diagrams; operating charts or curves; test reports; test cylinders; samples; O&M manuals including parts lists; certifications; warranties and other such required items. Units of weights and measures used on all submittals shall be the same as the contract drawings. Each

submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Government-approval submittals shall be scheduled and made prior to the acquisition of the material or equipment covered thereby. The COR may request submittals in addition to those listed when deemed necessary to adequately describe the work covered in the respective sections. The Contractor shall maintain a complete and up-to-date file of all submittals/items on site for use by both the Contractor and the Government.

3.2 SUBMITTAL REGISTER (ENG FORM 4288)

An electronic copy of the submittal register - ENG Form 4288 - for Divisions 1 through 16 in a format compatible for import into RMS will be provided by the Government and a hard copy shall be further developed by the Contractor prior to the submittal coordination meeting and list each item of equipment and material for which submittals are required in the Technical Specifications. (See paragraph SUBMITTALS at the beginning of each specification section.) The Contractor shall approve all items listed on the submittal register. During the submittal coordination meeting, a preliminary submittal register will be created by annotating this Form 4288. When the final submittal register is submitted for approval, the Contractor shall complete the column entitled "Item No." and all data under "Contractor Schedule Dates" and return five completed copies to the COR for approval. The Contractor shall review the list to ensure its completeness and may expand general category listings to show individual entries for each item. The numbers in column "Item No." are to be assigned sequentially starting with "1" for each specification section. DO NOT preassign transmittal numbers when preparing the submittal register. When a conflict exists between the submittal register and a submittal requirement in the technical sections, other than those submittals referenced in Paragraph 3.9: Field Test Reports, the approved submittal register shall govern. The preliminary, and then the final approved submittal register, will become the scheduling documents and will be updated monthly and used to control submittals throughout the life of the contract. Names and titles of individuals authorized by the Contractor to approve shop drawings shall be submitted to COR with the final 4288 form. Supplier or subcontractors certifications are not acceptable as meeting this requirement.

3.3 SCHEDULING

Submittals covering component items forming a system, or items that are interrelated, shall be coordinated and submitted concurrently. Certifications shall be submitted together with other pertinent information and/or drawings. Additional processing time beyond 30 days, or number of copies, may be shown by the COR on the submittal register attached in the "Remarks" column, or may be added by the COR during the coordination meeting. No delays damages or time extensions will be allowed for time lost due to the Contractor not properly scheduling and providing submittals.

3.4 TRANSMITTAL FORM (ENG FORM 4025)

Transmittal Form 4025 (sample at end of this section) shall be used for submitting both Government-approval and information-only submittals in accordance with the instructions on the reverse side of the form. Transmittal numbers shall be assigned sequentially. Electronic generated 4025 forms shall be printed on carbonless paper and be a reasonable facsimile of the original 4025. If electronic forms are not used, the

original 4025 forms shall be used (do not photo copy) and will be furnished by the COR. These forms shall be filled in completely prior to submittal. Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item. Each submittal item shall be listed separately on the form, naming subcontractor, supplier, or manufacturer, applicable specification paragraph number(s), drawing/sheet number, pay item number, and any other information needed to identify the item, define its use, and locate it in the work. One or more 4025 forms may be used per specification section, however, DO NOT include more than one specification section per transmittal.

3.5 CROSS-REFERENCE (ENG FORM 4288/ENG FORM 4025)

To provide a cross-reference between the approved submittal register and transmittal forms, the Contractor shall record the "transmittal numbers" assigned when submitting items in column "Transmittal No." of the ENG FORM 4288. The item numbers in column "Item No." of submittal register shall correspond to the item numbers on ENG Form 4025.

3.6 SUBMITTAL PROCEDURE

3.6.1 General

Shop drawings with 4025 forms shall be submitted in the number of copies specified in subparagraphs "Government Approved Submittals" and "Information Only Submittals," or as indicated on the submittal register in the "Remarks" column. Submit a complete collated "reviewers copy" with one 4025 form and attachments (not originals). The remaining copies (4 for Government-approval, 2 for information-only) of 4025 forms and attachments shall not be collated. This would not apply to a series of drawings.

3.6.2 Approval of Submittals by the Contractor

Before submittal to the COR, the Contractor shall review and correct shop drawings prepared by subcontractors, suppliers, and itself, for completeness and compliance with plans and specifications. The Contractor shall not use red markings for correcting material to be submitted. Red markings are reserved for COR's use. Approval by the Contractor shall be indicated on each shop drawing by an approval stamp containing information as shown in this section. Submittals not conforming to the requirements of this section will be returned to the Contractor for correction and resubmittal.

3.6.3 Variations

For submittals which include proposed variations requested by the Contractor, column "h" of ENG Form 4025 shall be checked and the submittal shall be classified as G, and submitted accordingly. The Contractor shall set forth in writing the justification for any variations and annotate such variations on the transmittal form in the REMARKS block. Variations are not approved unless there is an advantage to the Government. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted variations.

3.6.4 Drawings

Each drawing shall be not larger than Al size 33.11 inches wide by 23.39 inches high, with a title block in lower right hand corner and a 3 inch by 4 inch clear area adjacent. The title block shall contain the subcontractor's or fabricator's name, contract number, description of item(s), bid item number, and a revision block. Provide a blank margin of 3/4 inch at bottom, 2 inches at left, and 1/2 inch at top and right. Where drawings are submitted for assemblies of more than one piece of equipment or systems of components dependent on each other for compatible characteristics, complete information shall be submitted on all such related components at the same time. The Contractor shall ensure that information is complete and that sequence of drawing submittal is such that all information is available for reviewing each drawing. Drawings for all items and equipment, of special manufacture or fabrication, shall consist of complete assembly and detail drawings. All revisions after initial submittal shall be shown by number, date, and subject in revision block.

3.6.4.1 Submittals Containing Drawings Larger than A3 size, 11.69 inches high by 16.54 inches wide

For Government-approval submittals containing drawings larger than A3 size, one reproducible and one blue line copy will be required to be submitted with five copies of the ENG Form 4025. The marked-up reproducible (and/or any review comments contained on the page-size comment sheet(s) at the Government's option) will be returned to the Contractor upon review. The Contractor shall provide three copies of blue line drawings (generated from the reviewed reproducible) to the Government within 10 days of Contractor's receipt of the reviewed reproducible. The Contractor shall not incorporate approved work into the project until the Government has received the three blue line copies. The Contractor shall use the marked-up reproducible to make any additional copies as needed. For information-only submittals, one reproducible and two blue line copies shall be submitted with the appropriate number of copies of ENG Form 4025.

3.6.5 Printed Material

All requirements for shop drawings shall apply to catalog cuts, illustrations, printed specifications, or other data submitted, except that the 3 inch by 4 inch clear area adjacent to the title block is not mandatory. Inapplicable portions shall be marked out and applicable items such as model numbers, sizes, and accessories shall be indicated by arrow or highlighted.

3.7 SAMPLES REQUIRING LABORATORY ANALYSIS

See Section 01451CONTRACTOR QUALITY CONTROL for procedures and address for samples requiring Government testing.

3.8 SAMPLES REQUIRING VISUAL INSPECTION

Samples requiring only physical inspection for appearance and suitability shall be coordinated with the on-site Government quality assurance representative (QAR).

3.9 FIELD TEST REPORTS

Routine tests such as soil density, concrete deliveries, repetitive pressure testing shall be delivered to the QAR with the daily Quality Control reports. See SECTION: 01451 CONTRACTOR QUALITY CONTROL.

3.10 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

3.11 GOVERNMENT APPROVED SUBMITTALS (G)

The Contractor shall submit 5 copies of G submittals with 5 corresponding 4025 forms. Upon completion of G submittal review, copies as specified below will be marked with an action code, dated, and returned to the Contractor. See "Drawings" above for special instructions if drawings larger than size A3 (11.69 inches high by 16.54 inches wide) are used.

3.11.1 Processing of G Submittals

Submittals will be reviewed and processed as follows:

- a. Approved as Submitted (Action Code "A"): Shop drawings which can be approved without correction will be stamped "Approved" and two copies will be returned to the Contractor. No resubmittal required.
- b. Approved Except as Noted (Action Code "B"): Shop drawings which have only minor discrepancies will be annotated in red to indicate necessary corrections. Marked material will be stamped "Approved Except as Noted" and two copies returned to the Contractor for correction. No resubmittal required.
- c. Approved Except as Noted (Action Code "C"): Shop drawings which are incomplete or require more than minor corrections will be annotated in red to indicate necessary corrections. Marked material will be stamped "Approved Except as Noted Resubmission Required" and two copies returned to the Contractor for correction. Resubmittal of only those items needing correction required.
- d. Disapproved (Action Code "E"): Shop drawings which are fundamentally in error, cover wrong equipment or construction, or require extensive corrections, will be returned to the Contractor stamped "Disapproved." An explanation will be furnished on the submitted material or on ENG Form 4025 indicating reason for disapproval. Complete resubmittal required.
- e. Resubmittal will not be required for shop drawings stamped "A" or "B" unless subsequent changes are made by Contractor or a contract modification. For shop drawings stamped "C" or "E," Contractor shall make corrections required, note any changes by dating the revisions to correspond with the change request date, and promptly resubmit the corrected material. Resubmittals shall be associated with the "parent" by use of sequential alpha characters (for example, resubmittal of transmittal 8 will be 8A, 8B, etc). Government costs incurred after the first resubmittal may be charged to the Contractor.

3.12 INFORMATION ONLY SUBMITTALS

The Contractor shall submit three copies of data and four copies of ENG Form 4025. Information-only submittals will not be returned. Government approval is not required on information-only submittals. These submittals will be used for information purposes. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the Contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications and will not prevent the COR from requiring removal and replacement if nonconforming material is incorporated in the work. This does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or check testing by the Government in those instances where the technical specifications so prescribe.

3.12.1 Processing of Information-Only Submittals

Information-only submittals shall be submitted prior to delivery of the material or equipment to the job site. ENG Form 4025 shall be marked with the words "contractor approved - information copy only" in the REMARKS block of the form. Submittals will be monitored and spot checks made. When such checks indicate noncompliance, the Contractor will be notified by the same method used for Government-approval submittals. Resubmittal of nonconforming information-only submittals shall be reclassified Government-approval and shall be in five copies.

3.13 CONTRACTOR APPROVAL STAMP

The stamp used by the Contractor on the submittal data to certify that the submittal meets contract requirements shall be similar to the following:

CONTRACTOR: CONTRACT NUMBER		-
TRANSMITTAL NUMBER ITEM NUMBER SPECIFICATION SECTION PARAGRAPH NUMBER APPROVED AS SUBMITTED APPROVED WITH CORRECTIONS AS	— — — NOTED	
SIGNATURE:TITLE:	DATE	

-- End of Section --



SECTION 01451

CONTRACTOR QUALITY CONTROL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D 3740	(2001) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E 329	(2000b) Agencies Engaged in the Testing and/or Inspection of Materials Used in

Construction

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

1.3 LABORATORY VALIDATION

Corps of Engineers Material Testing Center (MTC) shall validate the testing laboratory for all tests required by contract. See paragraph 3.7 TESTS.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all construction operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the contract. The project superintendent in this context shall be the highest level manager responsible for the overall construction activities at the site, including quality and production.

3.2 QUALITY CONTROL PLAN

The Contractor shall furnish for review by the Government, not later than 30 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause titled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, tests, records, and forms to be used. The Government will consider an interim plan for the first 30 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

3.2.1 Content of the COC Plan

The CQC Plan shall include, as a minimum, the following to cover all construction operations, both onsite and offsite, including work by subcontractors, fabricators, and suppliers.

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager who shall report to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with Section 01330, "SUBMITTAL PROCEDURES".
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer shall be used.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. These

procedures shall establish verification that identified deficiencies have been corrected.

- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction design and construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

3.2.3 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, Postaward Conference, before start of design or construction, and prior to acceptance by the Government of the CQC Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. The CQC Plan shall be submitted for review a minimum of 30 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operationsoperations, design activities, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

3.4 QUALITY CONTROL ORGANIZATION

3.4.1 Personnel Requirements

The requirements for the CQC organization are a CQC System Manager and sufficient number of additional qualified personnel to ensure safety and contract compliance. The Safety and Health Manager shall receive direction

and authority from the CQC System Manager and shall serve as a member of the CQC staff. The Contractor's CQC staff shall maintain a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure contract compliance. The CQC staff shall be subject to acceptance by the Contracting Officer.

3.4.2 CQC System Manager

The Contractor shall identify a full time CQC System Manager who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. The CQC System Manager shall be a Mechanical Engineer with a minimum of 5 years in related work or a Mechanical Engineering Technician with 10 years of expireance and 5 years in related work. This CQC System Manager shall be on the site at all times during construction and shall be employed by the prime Contractor. An alternate for the CQC System Manager shall be identified in the plan to serve in the event of the System Manager's absence. The requirements for the alternate shall be the same as for the designated CQC System Manager.

3.4.3 Additional Requirement

In addition to the above experience and/or education requirements the CQC System Manager shall have completed the course entitled "Construction Quality Management For Contractors". This course is periodically offered at AGC offices throughout the state of Washington and Oregon for a nominal fee.

3.4.4 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

3.5 SUBMITTALS AND DELIVERABLES

Submittals shall be made as specified in Section 01330, "SUBMITTAL PROCEDURES". The CQC organization shall be responsible for certifying that all submittals and deliverables are in compliance with the contract requirements.

3.6 CONTROL

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of the construction work as follows:

3.6.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

a. A review of each paragraph of applicable specifications, reference codes, and standards. A copy of those sections of referenced codes

and standards applicable to that portion of the work to be accomplished in the field shall be made available by the Contractor at the preparatory inspection. These copies shall be maintained in the field and available for use by Government personnel until final acceptance of the work.

- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government shall be notified at least 48 hours in advance of beginning the preparatory control phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

3.6.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

- a. A check of work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.

- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government shall be notified at least 24 hours in advance of beginning the initial phase. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.
- g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

3.6.3 Follow-up Phase

Daily checks shall be performed to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon nor conceal non-conforming work.

3.6.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

3.7 TESTS

3.7.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers validated testing laboratory or establish a testing laboratory at the project site which can be validated by the Corps of Engineers in advance of any and all required testing; and in addition, submit proof of validation for approval. The Contractor shall perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with contract requirements.

- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

3.7.2 Testing Laboratories

3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed actual costs to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

3.7.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials shall be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered to a laboratory designated by the Contracting Officer:

Coordination for each specific test, exact delivery location, and dates will be made through the Area Office.

3.8 COMPLETION INSPECTION

3.8.1 Punch-Out Inspection

Near the end of the work, or any increment of the work established by a time stated in the Special Clause, "Commencement, Prosecution, and Completion of Work", or by the specifications, the CQC Manager shall conduct an inspection of the work. A punch list of items which do not conform to the approved drawings and specifications shall be prepared and included in the CQC documentation, as required by paragraph DOCUMENTATION. The list of deficiencies shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government Pre-Final inspection.

3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. The Contractor's CQC System Manager shall ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Any items noted on the Pre-Final inspection shall be corrected in a timely manner. These inspections and any deficiency corrections required by this paragraph shall be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative shall be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands may also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notice shall be given to the Contracting Officer at least 14 days prior to the final acceptance inspection and shall include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

3.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase shall be identified (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Government daily within 24 hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every 7 days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when

delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

SECTION 01501

CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS

PART 1 GENERAL

1.1 AVAILABILITY OF UTILITY SERVICES

- 1.1.1 The Government will make electricity, high temperature hot water, natural gas, sewer, and potable water available to the Contractor from existing sources.
- 1.1.2 The Contractor, at its expense and in a workmanlike manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections at and from approved locations, and shall install and maintain all meters required to measure the amount of electricity, water, natural gas, and high temperature hot water (HTHW) heating, used for the purpose of determining charges. The Contractor shall read these meters and shall provide meter readings to the Contracting Officer on a monthly basis. The Contracting Officer may verify these readings. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, meters, and associated paraphernalia in a manner satisfactory to the Contracting Officer.
- 1.1.3 Electricity will be billed at the following rate that is the prevailing non-Government rate being charged by the Government to its on-base tenants: \$0.06488 per kWH.
- 1.1.4 Water will be billed at the following rate that is the prevailing non-Government rate being charged by the Government to its on-base tenants: \$4.26213 per thousand gallons.
- 1.1.5 HTHW heat will be billed at the following rate which is the prevailing non-Government rate being charged by the Government to its on base tenants \$12.65179 per million BTU.
- 1.1.6 Natural gas will be billed at the following rate which is the prevailing non-Government rate being charged by the Government to its on base tenants \$5.52036 per 1,000 cubic feet (MCF).
- 1.1.7 The Contractor will be required to sign a resale agreement for electricity, water, HTHW heating, and natural gas, used with the Base Civil Engineer. The Contractor shall coordinate through the Contracting Officer to obtain permits from Base Civil Engineer for connection to utilities. Meter readings shall be read by the Contractor and provided monthly to the 341st CES/CECC office for billing purposes. Connection locations and details shall be as approved by Base Civil Engineer. Point of contact at the Base Civil Engineer is SSgt James Cleary, telephone (406) 731-6225.

1.2 SANITARY PROVISIONS

Contractor shall provide sanitary accommodations for the use of employees as may be necessary and shall maintain accommodations approved by the Contracting Officer and shall comply with the requirements and regulations of the State Health Department, County Sanitarian, or other authorities having jurisdiction.

1.3 TEMPORARY ELECTRIC WIRING

1.3.1 Temporary Power and Lighting

The Contractor shall provide construction power facilities in accordance with the safety requirements of the National Electric Code NFPA No. 70 and the SAFETY AND HEALTH REQUIREMENTS MANUAL EM 385-1-1. The Contractor, or its delegated subcontractor, shall enforce the safety requirements of electrical extensions for the work of subcontractors. Work shall be accomplished by journeyman electricians.

1.3.2 Construction Equipment

In addition to the requirements of SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1, temporary wiring conductors installed for operation of construction tools and equipment shall be either Type TW or THW contained in metal raceways, or shall be hard usage or extra hard usage multiconductor cord. Temporary wiring shall be secured above the ground or floor in a workmanlike manner and shall not present an obstacle to persons or equipment. Open wiring may only be used outside of buildings, and then only in accordance with the provisions of the National Electric Code.

1.3.3 Submittals

Submit detailed drawings of temporary power connections. Drawings shall include, but not be limited to, main disconnect, grounding, service drops, service entrance conductors, feeders, GFCI'S, and all site trailer connections.

1.4 FIRE PROTECTION

During the construction period, the Contractor shall provide fire extinguishers in accordance with the safety requirements of the SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1. The Contractor shall remove the fire extinguishers at the completion of construction.

1.5 UTILITY LOCATOR/IDENTIFICATION TAPE

Unless specified otherwise elsewhere in the Contract, all installed utility lines shall have a plastic marker tape (minimum 150 mm wide and 0.125 mm thick, installed 200 mm to 260 mm below grade. The plastic marker tape shall include a metallic wire or metal foil backing for detection purposes, and shall bear a continuous printed inscription describing the type of utility line buried below. All underground exterior gas lines shall be provided with a continuous tracer wire (#12 wire) taped to the pipe. Utility line monument markers (concrete with brass identification plugs) shall be installed every 60 meters along straight runs and at each change of direction. Any existing marker tapes or tracer wires damaged during construction shall be repaired to original condition.

1.6 STAGING AREA

Contractor will be provided adequate open staging area as directed by the Contracting Officer. Area is unsecured, and Contractor shall make provisions for its own security.

Contractor shall be responsible for keeping staging area, and office area clean and free of weeds and uncontrolled vegetation growth. Weeds shall be removed by pulling or cutting to within 1-inch of ground level. Lawn areas shall be mown to keep growth to less than 2-inches. All loose debris and material subject to being moved by prevailing winds in the area shall be picked up or secured at all times.

Temporary storage buildings (excluding tractor-trailers) sited in the area shall conform to the base color scheme (Antique Linen, Fed. No. 23578). Architectural and structural features of all temporary facilities, including tractor-trailers, shall be maintained in good repair as required by the Contracting Officer. Staging area shall be enclosed by chain link fence 1.8 m high, with access gates. Spare keys to any locked gates shall be provided to the base Fire Department dispatch office. Area shall be kept clean, orderly and free of debris, demolished materials, etc. at all times. If the area is not maintained in a safe and clean condition as defined above, the Contracting Officer may direct the Contractor to perform such actions as necessary to bring the area and facilities up to base standards at no additional cost to the Government, or have the area cleaned by others with the costs being deducted from the Contractor's payment.

1.7 HOUSEKEEPING AND CLEANUP

Pursuant to the requirements of Clause CLEANING UP and Clause ACCIDENT PREVENTION, of the CONTRACT CLAUSES, the Contractor shall assign sufficient personnel to ensure compliance. The Contractor shall submit a detailed written plan for implementation of this requirement. The plan will be presented as part of the preconstruction safety plan and will provide for keeping the total construction site, structures, and access ways free of debris and obstructions at all times. Work will not be allowed in those areas that, in the opinion of the Contracting Officer, have unsatisfactory cleanup and housekeeping at the end of the preceding day's normal work shift. At least once each day all areas shall be checked by the Quality Control person of the Contractor and the findings recorded on the Quality Control Daily Report. In addition, the Quality Control person shall take immediate action to ensure compliance with this requirement. Housekeeping and cleanup shall be assigned by the Contractor to specific personnel. The name(s) of the personnel shall be available at the project site.

1.8 CONSTRUCTION NEAR COMMUNICATIONS CABLES

1.8.1 Excavation Near Communication Cables

Digging within .9144 meters (3 feet) of buried communication cables (including fiber optic cables), electrical cables, and natural gas lines shall be performed by hand digging until the utility is exposed. The Project Inspector shall be notified 3 days prior to digging within a .9144 meter (3-foot) area near this utility. A representative from Communications (Telco) must be present during excavation of Communications Cables. The cable piping routes must be marked prior to excavation in the area. A work clearance permit (AF Form 103) must be obtained from Construction Management prior to, for any excavation work. Information on location of existing utilities will be available with the permit. Air Force personnel will locate the utilities only one time for digging permit purposes. It is the Contractor's responsibility from then on, through acceptance of the project. The Contractor shall be held responsible for any damage to the utility by excavation procedures. Once the utility is exposed, mechanical excavation

may be used if there is no chance of damage occurring to the cable or piping systems.

1.8.2 Reburial of Exposed Utilities

When existing utility lines are reburied a locator/identification tape, detectable by pipe detector systems, shall be installed above the uncovered length of the utility. See paragraph UTILITY LOCATOR/IDENTIFICATION TAPE above for detailed tape and installation requirements.

1.8.3 Access to Communications Manhole or Handhole

No communications manhole or handhole shall be entered without first obtaining a fiber optic cable briefing. Coordinate with the Base Communications Officer.

1.8.4 Cable Cuts or Damage

If a communications cable is cut or damaged the Contractor shall immediately notify the Contracting Officer (CO) and begin gathering personnel and equipment necessary to repair the cut, or damage. Contractor shall begin repairs within one hour of the cut or damage, unless notified otherwise, and continue repairs without interruption until full service is restored.

1.9 PROJECT SIGN

Contractor shall furnish and install a project sign in accordance with conditions hereinafter specified and layout shown on drawing No. 49s-40-05-15, Sheets 1 and 2, except Corps of Engineers' castle and Department of Air Force seal will be Government furnished. All letters shall be block type, upper case. Letters shall be painted as indicated using exterior-type paint. Sign shall be maintained in excellent condition throughout the life of job. Project sign shall be located as directed. Upon completion of project, sign shall be removed and shall remain the property of Contractor.

1.10 CONCEALED WORK

All items of work to be concealed shall be Government inspected prior to concealment.

1.11 REPAIR OF ROAD CUTS

Asphalted surface shall be completely in place within 48 hours after placement of base gravel. Between placement of base gravel and pavement, road shall be kept in drivable and passable condition.

1.12 ELEVATED WORK AREAS

Workers in elevated work areas in excess of 2 meters (6 feet) above an adjoining surface require special safety attention. In addition to the provisions of SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1, the following safety measures are required to be submitted to the Contracting Officer's Representative. Prior to commencement of work in elevated work areas, the Contractor shall submit drawings depicting all provisions of his positive fall protection system including, but not limited to, all details of guardrails. Positive protection for workmen engaged in the installation of structural steel and steel joist shall be provided by safety nets, tie-

offs, hydraulic man lifts, scaffolds, or other required means. Decking crews must be tied-off or work over nets or platforms not over 2 meters (6 feet) below the work area. Walking on beams and/or girders and the climbing of columns is prohibited without positive protection. Perimeter guardrails shall be installed at floor, roof, or wall openings more than 2 meters (6 feet) above an adjoining surface and on roof perimeters. Rails shall be designed to protect all phases of elevated work including, but not limited to, roofing operations and installation of gutters and flashing. Rails around roofs may not be removed until all work on the roof is complete and all traffic on or across the roof ceases. Rails shall be designed by a licensed engineer to provide adequate stability under any anticipated impact loading. As a minimum, the rails shall consist of a top rail at a height of 1067 mm (42 inches), a mid-rail, and a toe board. Use of tie-offs, hydraulic man lifts, scaffolds, or other means of roof edge protection methods may be utilized on small structures such as family housing, prefabricated metal buildings, etc. If safety belts and harnesses are used, the positive fall protection plan will address fall restraint versus fall arrest. Body belts will ONLY be used for fall restraint; they will not be used for fall arrest.

1.13 CONSTRUCTION PLANNING MEETINGS

Contractor shall attend a weekly scheduling meeting with the Contracting Officer's Representative and a representative of the using service. During the meeting, the Contractor shall be required to present in writing, and discuss his specific construction plans for, the following 2-week period. The first week's schedule shall be firm and the second weeks' schedule may be tentative and subject to change as conditions warrant. The schedule shall be detailed describing planned work activities, crew sizes and locations, and any utility and access restrictions to base activity which may be caused by planned construction. Any scheduling of outages will be performed at this meeting. Any Contractor activity affecting base security needs, such as scattered crews and number of workers per crew, will be detailed in the written schedule and discussed during the meeting. This weekly meeting is in addition to the construction progress charts or network analysis submission requirements.

1.14 TRAFFIC CONTROL

The Contractor shall provide for movement of traffic through and around the construction zone in a manner that is conducive to the safety of motorists, pedestrians, and workers. This shall include placement and maintenance of traffic control devices in accordance with the U.S. Department of Transportation, Federal Highway Administration publication, Manual on Uniform Traffic Control Devices. Streets (except dead end) may be closed to traffic temporarily by approved written request to the Contracting Officer at least 10 working days prior to street closure. Street closures shall at all times allow street access to a building from one direction. Excavations shall not remain open for more than 1 working day without approval.

1.15 UTILITIES NOT SHOWN

The Contractor can expect to encounter, within the construction limits of the entire project, utilities not shown on the drawings and not visible as to the date of this contract. The Contractor shall scan the construction site with electromagnetic or sonic equipment, and mark the surface of the ground where existing utilities are discovered. The Contractor shall verify

the elevations of existing utilities, piping and any type of underground obstruction not indicated, or indicated and not specified to be removed. If such utilities interfere with construction operations, he shall immediately notify the Contracting Officer verbally and then in writing to enable a determination by the Contracting Officer as to the necessity for removal or relocation. If such utilities are removed or relocated as directed, the Contractor shall be entitled to equitable adjustment for any additional work or delay. The types of utilities the Contractor may encounter are waterlines, sewer lines (storm and sanitary), gas lines, fueling lines, steam lines, buried fuel tanks, septic tanks, other buried tanks, communication lines, cathodic protection cabling, and power lines. These utilities may be active or abandoned utilities.

1.16 GOVERNMENT WITNESSING AND SCHEDULING OF TESTING

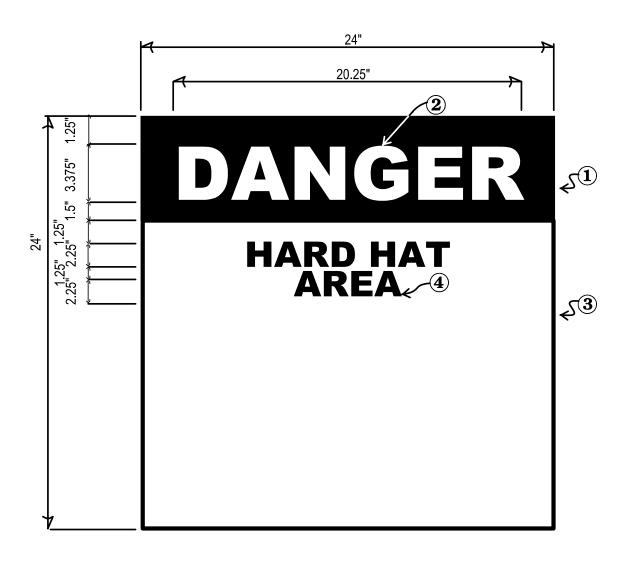
The Contractor shall notify the Contracting Officer, by serial letter, of dates and agenda of all performance testing of the following systems: mechanical (including fire protection and EMCS), electrical (including fire protection) medical and food service systems a minimum of 10 calendar days prior to start of such testing. In this notification, the Contractor shall certify that all equipment, materials, and personnel necessary to conduct such testing will be available on the scheduled date and that the systems have been prechecked by him and are ready for performance and/or acceptance testing. Contractor shall also confirm that all operations and maintenance manuals have been submitted and approved. NO PERFORMANCE AND/OR ACCEPTANCE TESTING WILL BE PERMITTED UNTIL THE OPERATIONS AND MAINTENANCE MANUALS HAVE BEEN APPROVED.

Government personnel, at the option of the Government, will travel to the site to witness testing. If the testing must be postponed or canceled for whatever reason not the fault of the government, the Contractor shall provide the Government not less than 3 working days advance notice (notice may be faxed) of this postponement or cancellation. Should this 3 working day notice not be given, the Contractor shall reimburse the Government for any and all out of pocket expenses incurred for making arrangements to witness such testing including, but not limited to airline, rental car, meal, and lodging expenses. Should testing be conducted, but fail and have to be rescheduled for any reason not the fault of the Government, the Contractor shall similarly reimburse the Government for all expenses incurred.

1.17 HARD HAT SIGNS

The Contractor shall provide 610 mm by 610 mm (24 by 24 inch) square Hard Hat Area signs at each entry to the project or work area as directed by the Contracting Officer. A minimum of two signs will be required. Signs shall be in accordance with the sketch at the end of this section.

- PART 2 PRODUCTS (NOT APPLICABLE)
- PART 3 EXECUTION (NOT APPLICABLE)
- -- End of Section --



- SIGN SHALL BE FABRICATED FROM .125 THICK 6061-T6 ALUMINUM PANEL
- COLOR

•

SAFETY RED (SR)

2. WHITE

3 WHITE

4 O BLACK

- LETTERING SHALL BE HELVETICA BOLD TYPOGRAPHY.
- LETTERS AND BACKGROUND SHALL BE REFLECTIVE SHEETING MATERIAL.
- SIGNS SHALL BE POSTED AT 6'-6'' (BOTTOM SIGN TO GRADE) OR AS DIRECTED BY THE CONTRACTING OFFICER.
- LETTERING TO BE CENTERED ON PANEL.



SECTION 01701

OPERATIONS AND MAINTENANCE MANUALS

PART 1 GENERAL

1.1 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-10 O&M Data

Operations and Maintenance Manuals; G

Preliminary O&M Manual and Data

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 GENERAL

The Contractor shall provide Operations and Maintenance Manuals(O&M) for the complete project as applicable under this contract, including all Contractor furnished and installed equipment, systems and materials, and all Government furnished-Contractor installed equipment, systems and materials. Included herein are requirements for compiling and submitting the O&M data. Additional O&M data requirements are specified in the individual sections of the technical specifications. O & M Manual requirements shall be coordinated with the requirements as stated in the other technical specification sections and shall include listings for spare parts, framed instructions, etc.

3.1.1 PREPARATION

Manual preparation shall be under the direction of an individual or organization that has demonstrated expertise and a minimum of 3 years experience in the preparation of comprehensive and complete O&M manuals. Qualifications shall be submitted for Contracting Officer approval.

3.1.2 FORMAT

O&M data shall be separated into distinct systems. O&M manuals for any particular system shall include narrative and technical descriptions of the interrelations with other systems. This narrative shall include a description on how the system works with notable features of the system, including normal and abnormal operating conditions. The explanation of the system is to be short and concise with reference to specific manufacturer's equipment manuals for details (see paragraph CONTENT, subparagraph b). If the quantity of material is such that it will not fit within one binder then it shall be divided into volumes, as required (see paragraph Binders).

3.1.3 COPIES OF MANUALS

One copy of the complete set of manuals shall be provided covering all aspects of the work. For projects were identical work is performed at separate facilities, one complete set of the manual is required for each facility. Each set of manuals shall be tailored for its respective facility.

The requirement for one copy of the O&M manual shall supersede and replace any requirements for a different amount of manuals which may be indicated in some specifications.

3.2 PRELIMINARY O&M MANUAL AND DATA SUBMITTAL

To establish and assure uniform O&M manual format, the Contractor shall submit two copies of the complete set of O & M data without the binders and receive Contracting Officer approval for one sets prior to submission of the final bound manual. Initial O & M Manual data submittal shall be a minimum of 30 days prior to 90 percent project completion.

The Contractor shall also provide two typewritten pages representing the proposed binder marking format as required under Paragraph: Marking and Binding. One page will represent the front cover/spine and the other page will represent the inside of the front cover.

- 3.2.1 Data submitted for the manual are to be for the specific equipment furnished, and are in addition to that furnished as shop drawings.
- 3.2.2 The Contracting Officer will require thirty (30) days for review of submitted O&M manual or data. The Contracting Officer will retain one copy of unacceptable O&M manual submittal and return remainder of copies to the Contractor marked "Returned for Correction." If "Returned for Correction." the Contractor shall resubmit the required number of copies of the manual incorporating all comments, prior to substantial completion and/or use and possession. The Contractor may, at his option, update the copy retained by the Government in lieu of providing the added copy.
- 3.2.3 For equipment or systems requiring personnel training and/or acceptance testing, all O&M data needed for testing shall be approved by the Contracting Officer prior to the scheduling of the training and/or testing. O&Ms in final bound format shall be submitted in a timely manner so all manuals will be approved in the required quantity, prior to the final inspection. Failure to furnish approved, bound manuals in the required quantity by the final inspection may delay the final inspection and will be cause for the Contracting Officer to hold or adjust the retained percentage in accordance with CONTRACT CLAUSE, PAYMENTS UNDER FIXED PRICE CONSTRUCTION CONTRACTS.
- 3.2.4 The final O&M manual for each facility shall contain original manufacturer's data. All data furnished must be of such quality to reproduce clear, legible copies.

3.3 BINDERS

3.3.1 Construction and Assembly

Manual shall be sliding posts or screw-type aluminum binding posts (three screws) with spine, but only one type shall be used for all manual. The manual shall be hardback plastic-covered, cleanable, not over 3 inches thick and designed for 8-1/2 by 11 inch paper. The hard cover shall be of

minimum stiffness equal to 0.080 inch display board or double weight illustration board.

3.3.2 Marking and Binding

As appropriate, systems shall be grouped into four separate categories and bound into four volumes as follows: Mechanical, Electrical, and Fire Alarm/Security.

Each binder shall have the following information, as a minimum, inscribed on both the spine and cover using an offset or silk screen printing process; "EQUIPMENT OPERATION, MAINTENANCE, AND REPAIR MANUAL;" FACILITY NAME, IDENTIFICATION NUMBER (Building No.), LOCATION, AND DISCIPLINE (MECHANICAL, ELECTRICAL, FIRE ALARM/SECURITY, ARCHITECTURAL/GENERAL). Contractor's name and address as well as the contract title and contract number shall be printed on the inside of the front cover.

3.3.3 Color

Color of binder and printing shall be the option of the Contractor except that; (a) printing color shall contrast with binder color, and (b) colors shall be the same for all manuals.

3.3.4 Content

The O&M manuals shall be structured to address each of the following topics in order for each system. When the topic does not apply to a particular system the topic name will be included in the manual with the words "DOES NOT APPLY."

- a. Warning Page: A warning page shall be provided to warn of potential dangers (if they exist), such as high voltage, toxic chemicals, flammable liquids, explosive materials, carcinogens, or high pressures. The warning page shall be placed inside the front cover, in front of the title page.
- b. Index: Each manual shall have a master index at the front identifying all manuals and volumes and subject matter by system name for each. Following the master index, each manual shall have an index of its enclosures listing each volume, tab numbers, etc., as necessary to readily refer to a particular operating or maintenance instruction. Rigid tabbed fly leaf sheets shall be provided for each separate product and/or piece of equipment under each system in the manual. For example, if a system includes Air Handling Units 1 through 5, there shall be tab sheets AHU-1, AHU-2, AHU-3, AHU-4 and AHU-5. When a manual is divided into volumes, each volume shall have a master index at its front, followed by an index for the specific volume listing in detail all enclosed instructions for materials, individual pieces of equipment, and systems. All pages shall be numbered with the referenced number included in the index.
- c. Description: Narrative and technical descriptions of the system and of the interrelations with other systems.
- d. Check List Prior to Start Up: Precautions and prechecks prior to start up of equipment and/or system, including safety devices, monitoring devices and control sequence shall be provided.

- e. Start Up and Operation: Step-by-step sequential procedures for start up and normal operation checks for satisfactory operation shall be provided. Safety precautions and instructions that should be followed during these procedures shall be incorporated into the operating instructions and flagged for the attention of the operator. Procedures shall include test, manual or normal, and automatic modes.
- f. Shutdown: Procedures for normal and emergency shutdown of equipment and/or systems shall be provided. The instructions shall include any procedures necessary for placing the equipment and/or system on standby or preparing the equipment and/or system for start up at a later time. Procedures shall include test, manual or normal, and automatic modes.
- g. Operator Preventive Maintenance, Major Maintenance, and Adjustments: The instructions shall include recommended operator preventive maintenance which would normally be performed by operating personnel and adjustment procedures necessary for normal operation. Schedules shall be provided indicating time frames or operating hours for initiating operator maintenance and adjustments, and including manufacturer's recommended major maintenance requirements. Emergency adjustments shall be included and flagged for operator's attention; the instructions shall also include procedures for emergency repairs that could be performed by operating personnel. These emergency repairs or "trouble-shooting guides" shall be outlined in three columns with the following headings:

Column 1 - Trouble

Column 2 - Probable Cause(s)
Column 3 - Correction

- h. Operator Data: The instructions shall include equipment and/or system layouts showing all piping, wiring, breakers, valves, dampers, controls, etc., complete with diagrams, schematics, isometrics, and data to explain the detailed operation and control of each individual piece of equipment and/or system, including system components. Layouts shall show the location within the facility of controls, valves, switches, dampers, etc., by reference to site location, wing designation, floor, room number, or other clear and concise directions for locating the item. Operator data may be identical to posted data and framed instructions but shall be prepared as part of the O&M manuals. All control systems operations data shall include the following:
 - (1) A fully labeled control schematic which details all set points, throttling ranges, actions, spans, proportional bands, and any other adjustment.
- (2) A fully labeled elementary diagram (ladder diagram).
- (3) A sequence of control on the diagrams cross-referenced to the control schematic and elementary diagram.
- (4) A generic, functional description of each control component shown on the drawings.

- (5) Catalog data of every control device.
- i. Electrical Layout Drawings: The Electrical O&M's shall include complete layout drawings and one-line diagrams of exterior and interior electrical with reference to the buildings and site layout. Drawings shall include layout of interior lighting, interior power, intrusion detection systems, communication systems and fire protection systems. Exterior layout drawings shall show where fed from, pad-mount transformer, metering, main distribution panel and communication lines. Layout drawings shall show the location within the facility or reference to the building and the site plan. Layout drawings shall be half size contract as-built drawings and shall be inserted into plastic pockets and installed at the back of the O&M's that pertain to that particular drawing.
- j. Maintenance Procedures: Recommended procedures shall indicate preventive maintenance, lubrication, and good housekeeping practices which should be performed by operating personnel as well as more complex maintenance procedures which would normally be performed by trained maintenance personnel only. The procedures shall be presented with a schedule indicating time frames or operating hours for specific maintenance to be accomplished. Safety precautions and instructions that should be followed during these procedures shall be incorporated into the maintenance procedures and flagged for the attention of personnel. The procedures shall include necessary operating instructions for taking equipment off line, putting equipment on line, or putting equipment on standby. The instructions shall include all necessary material, equipment, and system data to perform maintenance work and shall include, but not be limited to, manufacturers/bulletins, catalogs, and descriptive data; certified performance curves, copies of approved test plans, including logs and records of performance acceptance test results, and actual adjustments made during final acceptance and inspection; system layouts, including block diagrams, wiring, control, and isometric diagrams: schematic items within the facility; and interrelationships with other items of system.
- k. Repairs: Repair procedures shall be presented with a step-by-step procedure for locating and correcting the trouble. A "shop manual" may be used for this purpose. Repair procedures shall be keyed to a troubleshooting guide outlined in three columns with the following headings:

Column 1 - Trouble Column 2 - Probable Cause(s)

Column 3 - Correction

The procedures shall clearly indicate a major repair activity which should only be performed in a shop or factory versus normal repair work that may be performed onsite or with equipment online. The procedures shall also clearly indicate the limit of repair work that may be performed by Government personnel during the warranty period without voiding warranty provisions. Safety precautions and instructions that should be followed during these procedures shall be incorporated into the repair procedures and flagged for the attention of personnel.

1. Tools: The Contractor shall provide one of each nonstandard tool, test instrument, and gauge necessary for performing maintenance

and repair work. A nonstandard tool, test instrument, or gauge is defined as an item normally supplied by the manufacturer for the equipment operation or maintenance. The Contractor shall prepare a master list of such items for all equipment and systems and shall key maintenance and repair procedures to this list. The above referenced items for performing maintenance and repair work shall be provided for each individual facility of multifacility projects.

- m. Parts and Supplies: A complete list of parts and supplies shall be provided with the maintenance instructions. The list shall include all parts and components of individual pieces of equipment, and all parts and components of each system and shall identify such items as description of part, model number, circuit or component identification, etc. Parts and supplies lists shall be included within each volume of maintenance instructions. Further, a master list of spare parts and supplies recommended from each manufacturer for 1 year of operation, including source of supply, shall be sublisted with each instruction.
 - (1) Availability: The Contractor shall list the sources of supply for all parts and supplies, including name of supplier/manufacturer, address, and telephone number. If the parts and supplies are not normally stocked locally, (within 6 hours travel time, round trip by surface transportation) necessary procurement time shall also be a part of the listing.
- (2) Spare Parts: The Contractor shall provide those spare parts and supplies that are specified in the TECHNICAL SPECIFICATIONS and those which are normally provided with the equipment or material item. A separate master list shall be provided for these items upon turnover to the Government of the parts and supplies.
 - n. Maintenance Schedule: A separate schedule of all required periodic maintenance shall be included. This schedule shall list by frequency of occurrence all lubricants and special adjustments required. The types and amounts of lubrication must be specified. The Contractor shall verify that the furnished maintenance schedule agrees with the published manufacturer's data.

3.3.4.1 Warranties:

In addition to the general warranty required by the contract, the O&M manuals shall include any specific warranties required by other sections of the TECHNICAL SPECIFICATIONS and other warranties normally provided with the particular piece of equipment or system. Extended warranties normally provided by manufacturers that are beyond the warranty of construction shall be specifically noted. The O&M manuals shall also include a specific warranty section itemizing all standard and extended warranty items. The warranty list shall be as indicated below. Warranties will not begin until the facility is accepted by the Contracting Officer. Copy of warranty shall be included in the manual.

WARRANTY INFORMATION

Project Title Contract Number General Contractors Name, Phone Number

ITEM DESCRIPTION START DATE END DATE O & M REFERENCE LOCATION

(in alphabetical
order)

Descriptive Name, Manufactures/ Warrantors Name Address & Phone No.

3.3.4.2 Installed Equipment Lists:

A copy of the completed Equipment in Place forms required in Section 01705 EQUIPMENT-IN-PLACE -LIST shall be included in the manual. The completed forms shall be located at the front of the catalog and O&M data for the equipment listed on the form.

3.3.4.3 Data Layout:

- (1) Data Identification: Catalog data shall be marked to clearly identify pertinent data by highlighting the data with pointers or crossing out all nonpertinent data.
- (2) Drawings: All drawings bound in the manuals shall be of such size that will require only one fold made right to left. All larger size drawings shall be inserted into a separate pocket in the required location in the manual. All drawings shall be of microfilm quality.
- (3) Posted Data: The Contractor shall provide posted data for equipment or systems, in addition to O&M manuals, and as required by other Technical Specifications sections. The data shall consist of asbuilt schematics of all wiring, controls, piping, etc., as necessary for the operation of the equipment or system, and a condensed typewritten description of the system. The posted data may include approved shop drawings, layout drawings, riser, and block diagrams and shall indicate all necessary interrelation with other equipment and systems. The data may be presented in one or several frames, under glass or sheet acrylic glazing, for clarity and convenience of location. The framed data presentation and outline shall be acceptable to and posted at locations designated by the Contracting Officer. The data shall be posted before personnel training or performance testing acceptance for the related items of equipment or system.
- (4) Framed Instructions: Typewritten instructions, framed under glass or sheet acrylic glazing, explaining equipment or system prestart checkout, startup, operations and shutdown procedures, safety precautions, preventive maintenance procedures, and normal operation checks for satisfactory performance of the equipment of systems shall be posted in conjunction with the posted data. The framed instructions may be presented in one or several frames for clarity and convenience of location. The instruction presentation and outline shall be acceptable to the Contracting Officer prior to posting, and shall be posted at locations designated by the Contracting Officer. All framed instructions shall be posted before personnel training or performance

testing acceptance commences for the related item of equipment or system.

3.4 CHECKLIST

Contractor shall complete and initial a copy of the O&M Manual Check List which is provided at the end of this section, and forwarded along with ENG form 4025 as part of the O&M Manual submittal to the Contracting Officer for approval.

O&M MANUAL - REVIEW CHECKLIST _ Does the manual cover all equipment furnished under the contract? (Review against equipment schedules on the drawings and/or equipment submittals.) _ Does the manual clearly highlight all relevant portions or cross out all irrelevant portions of catalog data? _ Does the manual contain operations data for the equipment? (Step-by-step operating instructions, start up procedures, sequences of operation, precautions.) _ Does the manual contain maintenance and repair data for the equipment? (Lubrication, dismantling, assembly, adjustment, troubleshooting.) _ Does the manual contain a separate maintenance schedule listed by frequency of occurrence? _ Does the manual contain parts lists or parts catalogs for the equipment? Parts catalog or list shall contain identification, part numbers, recommended parts to be stocked, and local source of parts. _ Does the manual contain electrical connection diagrams? __ Does the manual contain control and interlock system diagrams where applicable? _ Is every page in the manual numbered and an index provided for ready reference to the data? ___ Is the cover hard (nonflexible) with the facility name, identification number, location, and system embossed on both the spine and cover? Is the Contractor's name and address, and the contract title and contract number embossed on the inside of the manual cover? Is the binding screw posts or sliding post? ___ Is any of the data in the manual under the binding where it cannot be seen? _ Does the manual contain all original data sheets and are they clearly legible? _ Are system layout drawings provided? (Simplified diagrams for the system as installed.) _ Are all drawings in the manual of such a size that requires one fold right to left, or if a larger size drawing, then inserted into a pocket in

Note that the above are common requirements to all contracts. Check the specific contract for additional information.

-- End of Section --

the manual?



SECTION 01702

AS BUILT RECORDS AND DRAWINGS

PART 1 GENERAL

1.1 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-01 Preconstruction Submittals

As-Built Field Data; G

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 AS-BUILT FIELD DATA

3.1.1 General

The Contractor shall keep at the construction site two complete sets of full size prints of the contract drawings, reproduced at Contractor expense, one for the Contractor's use, one for the Government. During construction, both sets of prints shall be marked to show all deviations in actual construction from the contract drawings. The color red shall be used to indicate all additions and green to indicate all deletions. The drawings shall show the following information but not be limited thereto:

- a. The locations and description of any utility lines and other installations of any kind or description known to exist within the construction area. The location includes dimensions and/or survey coordinates to permanent features.
- b. The locations and dimension of any changes within the building or structure, and the accurate location and dimension of all underground utilities and facilities.
- c. Correct grade or alignment of roads, structures, and utilities if any changes were made from contract plans.
- d. Correct elevations if changes were made in site grading from the contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor including, but not limited to, fabrication erection, installation, and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.
- f. The topography and grades of all drainage installed or affected as part of the project construction.
- h. Where contract drawings or specifications allow options, only the option actually used in the construction shall be shown on the asbuilt drawings. The option not used shall be deleted.

These deviations shall be shown in the same general detail utilized in the contract drawings. Marking of the prints shall be pursued continuously

during construction to keep them up to date. In addition, the Contractor shall maintain full size marked-up drawings, survey notes, sketches, nameplate data, pricing information, description, and serial numbers of all installed equipment. This information shall be maintained in a current condition at all times until the completion of the work. The resulting field-marked prints and data shall be referred to and marked as "As-Built Field Data," and shall be used for no other purpose. They shall be made available for inspection by the Contracting Officer's representative whenever requested during construction and shall be jointly inspected for accuracy and completeness by the Contracting Officer's representative and a responsible representative of the Contractor prior to submission of each monthly pay estimate. Failure to keep the As-Built Field Data (including Equipment-in-Place lists) current shall be sufficient justification to withhold a retained percentage from the monthly pay estimate.

3.1.2 Submittal of the As-Built Field Data

Two sets of the full size As-Built Field Data shall be submitted to the Contracting Officer for review and approval a minimum of 20 calendar days prior to the date of final inspection. If review of the preliminary asbuilt drawings reveals errors and/or omissions, the drawings will be returned to the Contractor for corrections. The Contractor shall make all corrections and return the drawings for backcheck to the Contracting Officer within 10 calendar days of receipt. When submitted drawings are accepted, one set of marked drawings will be returned to the Contractor for the completion of the as-built drawings.

3.2 AS-BUILT ELECTRONIC FILE DRAWINGS

- 3.2.1 No earlier than 30 days after award the Government will have available for the Contractor one set of AutoCAD electronic file format contract drawings, to be used for preparation of as-built drawings. The electronic file drawings will be available on either 3-1/2 inch 1.44 MB floppy disks or ISO-9660 CD-ROM, as directed by the Contracting Officer. The Contractor has 30 days after the receipt of the electronic file to verify the usability of the AutoCAD files, and bring any discrepancies to the attention of the Contracting Officer. Any discrepancies will be corrected within 15 days and files returned to the Contractor. The Contractor shall incorporate all deviations from the original contract drawings as recorded in the approved 'As-built Field Data' (see paragraph 3.1.2). The Contractor shall also incorporate all the written modifications to the contract drawings which were issued by amendment or contract modification. All revisions and changes shall be incorporated, i.e. items marked "deleted" shall be deleted, clouds around new items shall be removed, etc.
- 3.2.2 No later than 30 days after final acceptance a complete set of asbuilt drawings shall be submitted in AutoCAD electronic file format. The electronic file format, layering standards and submittal requirements are specified in paragraphs below. The as-built drawings shall be done in a quality equal to that of the originals. Line work, line weights, lettering, and use of symbols shall be the same as the original line work, line weights, and lettering, and symbols. If additional drawings are required they shall be prepared in electronic file format under the same guidance. When final revisions have been completed, each drawings shall be identified with the words "AS-BUILT" in block letters at least 3/8-inch high placed above the title block if space permits, or if not, below the title block between the border and the trim line. The date of completion and the words

"REVISED AS-BUILT" shall be placed in the revision block above the latest revision notation.

3.2.3 Electronic File Submittal Requirements

- 3.2.3.1 The AutoCAD electronic file(s) deliverable shall be in AutoCAD Release 14 'DWG' binary format. All support files required to display or plot the file(s) in the same manner as they were developed shall be delivered along with the files. These files include but are not limited to Font files, Menu files, Plotter Setup, and Referenced files. The AutoCad files shall be "bound" (merged).
- 3.2.3.1 Leveling shall remain as provided in the electronic files. An explanatory list of which levels are used in each drawing, including any additional levels needed to complete incorporation of the As-Built data, shall be provided with each submittal.

3.2.3.2 Electronic File Deliverable Media:

All electronic files shall be submitted in ISO 9660 format CD-ROM (CD). Zip drive disks shall not be provided. Two complete sets of CD(s) shall be submitted along with two complete sets of full size mylars taken from the CD(s). The mylars are to be submitted only after corrections are made, if any. See paragraph 3.2.4 below. Each CD shall have a clearly marked label stating the Contractor's firm name, project name and location, submittal type (AS-BUILT), and date the CD was made. Each submittal shall be accompanied by a hard copy transmittal sheet that contains the above information along with tabulated information about all files submitted, as shown below:

Electronic File Name Plate Number Drawing Title

Electronic version of the table shall be included with each submittal set of disks.

3.2.4 Submittal of the Final As-Built Drawings

The final as-built record drawings shall be completed and returned together with the approved preliminary as-built drawings to the COE, Seattle District Office, Technical Branch, Records and Information Section, within 30 calendar days of final acceptance. All drawings from the original contract drawings set shall be included, including the drawings where no changes were made. The Government will review all final as-built record drawings for accuracy and conformance to the drafting standards and other requirements contained in DIVISION 1 GENERAL REQUIREMENTS. The drawings will be returned to the Contractor if corrections are necessary. The Contractor shall make all corrections and shall return the drawings to the same office within 7 calendar days of receipt.

3.4 As Built Prints

One set of marked-up as-built prints shall be furnished at the time of system acceptance testing. These as-built prints shall be in addition to the submittals of marked-up as-built prints specified elsewhere in the contract.

-- End of Section --

SECTION 01703

WARRANTY OF CONSTRUCTION

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Warranty Management Plan; G

One set of the warranty management plan containing information relevant to the warranty of materials and equipment incorporated into the construction project, including the starting date of warranty of construction. The Contractor shall furnish with each warranty the name, address, e-mail address and telephone number of each of the guarantor's representatives nearest to the project location.

Warranty Tags; G

Two record copies of the warranty tags showing the layout and design.

1.2 WARRANTY MANAGEMENT

1.2.1 Warranty Management Plan

The Contractor shall develop a warranty management plan which shall contain information relevant to the clause Warranty of Construction in CONTRACT CLAUSES. At least 30 days before the planned pre-warranty conference, the Contractor shall submit the warranty management plan for Government approval. The warranty management plan shall include all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan shall be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below shall include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase shall be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Approved information shall be assembled in a binder and shall be turned over to the Government upon acceptance of the work. Information to be turned over to a privatized Utility Contractor shall be separately bound. A joint 4 month and 9 month warranty inspection shall be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Information contained in the warranty management plan shall include, but shall not be limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact, telephone numbers and e-mail addresses within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- c. A list for each warranted equipment, item, feature of construction or system indicating:
 - 1. Name of item.
- 2. Model and serial numbers.
- 3. Location where installed.
- 4. Name and phone numbers of manufacturers or suppliers.
- 5. Names, addresses, e-mail addresses and telephone numbers of sources of spare parts.
- 6. Warranties and terms of warranty. This shall include one-year overall warranty of construction. Items which have extended warranties shall be indicated with separate warranty expiration dates.
- 7. Cross-reference to warranty certificates as applicable.
- 8. Starting point and duration of warranty period.
- 9. Summary of maintenance procedures required to continue the warranty in force.
- 10. Cross-reference to specific pertinent Operation and Maintenance manuals.
- 11. Organization, names, 24-hour emergency phone numbers and e-mail addresses of persons to call for warranty service.
- 12. Typical response time and repair time expected for various warranted equipment.
 - d. The Contractor's plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
 - e. Procedure and status of tagging of all equipment covered by extended warranties.
 - f. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

1.2.2 Performance Bond

The Contractor's Performance Bond shall remain effective throughout the construction period.

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the

Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification by the Contracting Officer or his representative of required construction warranty repair work, the Contractor shall respond in a timely manner. Written verification will follow oral instructions. Failure of the Contractor to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.2.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, the Contractor shall meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty shall be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, the Contractor shall furnish the name, telephone number, e-mail address and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact shall be located within the local service area of the warranted construction, shall be continuously available, and shall be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this contract.

NOTE: Local service area is defined as the area in which the Contractor or his representative can meet the response times as described in paragraph 1.2.4 below and in any event shall not exceed 200 miles radius of the construction site.

1.2.4 Contractor's Response to Construction Warranty Service Requirements

Following oral or written notification by the Government or utility owner, the Contractor shall respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. The Contractor shall submit a report on any warranty item that has been repaired during the warranty period within two working days of repair completion. The report shall include the cause of the problem, date reported, corrective action taken, and when the repair was completed. Interim status reports shall be submitted weekly on repairs that have not yet been completed. If the Contractor does not perform the construction warranty work within the timeframes specified, the Government will perform the work and backcharge the Contractor.

- a. First Priority Code 1 Safety/Life & Health/Emergency: Perform onsite inspection to evaluate situation and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.
- b. Second Priority Code 2 Property Damage/SevereInconvenience/Urgent: Perform onsite inspection to evaluate situation

and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.

- c. Third Priority Code 3. All other work to be initiated within 3 work days and work continuously to completion or relief.
- d. The "Construction Warranty Service Priority List" is as follows (the applicable priority will be determined by the Government in its sole discretion):

Code 1-Air Conditioning Systems

- (1) Recreational support.
- (2) Air conditioning leak in part of building, if causing damage.
- (3) Air conditioning system not cooling properly.

Code 1-Doors

- (1) Overhead doors not operational, causing a security, fire, or safety problem.
- (2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

Code 3-Doors

- (1) Overhead doors not operational.
- (2) Interior/exterior personnel doors or hardware not functioning properly.

Code 1-Electrical

- (1) Power failure (entire area or any building operational after 1600 hours).
- (2) Security lights
- (3) Smoke detectors(4) Traffic signal blackout

Code 2-Electrical

- (1) Power failure (no power to a room or part of building).
- (2) Receptacle and lights, exit lights or emergency lights (in a room or part of building).
- Traffic signal inoperable (flashing) (3)

Code 3-Electrical

Street lights.

Code 1-Gas

- (1) Leaks and breaks.
- (2) No gas to family housing unit or cantonment area.

Code 1-Heat

- (1) Area power failure affecting heat.
- (2) Heater in unit not working.

Code 2-Kitchen Equipment

- (1) Dishwasher not operating properly.
- (2) Any other equipment hampering preparation of a meal.

Code 1-Plumbing

- (1) Hot water heater failure.
- (2) Leaking water supply pipes.
- (3) Fire sprinkler systems

Code 2-Plumbing

- (1) Flush valves not operating properly.
- (2) Fixture drain, supply line to commode, or any water pipe leaking.
- (3) Commode leaking at base.

Code 3 -Plumbing

Leaky faucets.

Code 3-Interior

- (1) Floors damaged.
- (2) Paint chipping or peeling.
- (3) Casework.

Code 1-Roof Leaks

Temporary repairs shall be made where major damage to property is occurring.

Code 2-Roof Leaks

Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.

Code 1-Water (Exterior)

- (1) No water to a building with sanitary facilities.
- (2) Broken water main.

Code 2-Water (Exterior)

No water to facility.

Code 2-Water (Hot)

No hot water in portion of building listed.

Code 1 - Sewerage

- (1) Sewage line backup.
- (2) Broken sanitary or storm sewer main

Code 3-All other work not listed above.

1.2.5 Warranty Tags

At the time of installation, each warranted item shall be tagged with a durable, oil and water resistant tag approved by the Contracting Officer. Each tag shall be attached with a copper wire and shall be sprayed with a silicone waterproof coating. The date of acceptance and the QC signature shall remain blank until project is accepted for beneficial occupancy. The tag shall show the following information.

	a. Type of product/material
b.	Model number
c.	Serial number
d.	Contract number
e.	Warranty periodfromto

f.	Contractor Inspector's (QC) signature	
g.	Construction Contractor	
	Address	
	Telephone number	
	E-mail address	
h.	Warranty contact	
	Address	

04018/EM Central Heating Plant Application of Low Emissions Tech.

i. Warranty response time priority code_____.

Telephone number______.

E-mail address ______.

PART 2 (NOT APPLICABLE)

PART 3 (NOT APPLICABLE) -- End of Section --

SECTION 01704

FORM 1354 CHECKLIST

PART 1 GENERAL

1.1 PROCEDURES

The form which is a part of this specification section shall be completed for any project having revisions to real property. The following page contains the basic instructions applicable to the form.

1.2 Submittal

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-11 Closeout Submittals; G

Form 1354 shall be submitted for approval, and be approved a minimum of 30 days before final inspection of the project. Failure to have this form completed and approved in time for the final inspection will result in delay of the inspection until the checklist is completed.

PART 2 NOT USED

PART 3 NOT USED

INSTRUCTIONS FOR DD FORM 1354 CHECKLIST

The following checklist is only a guide to describe various parts of new and modified construction. Alter this form as necessary or create your own document to give complete accounting of the real property added or deleted for this contract. All items added, deleted, replaced, or relocated within the building 5 foot line, or on site 5 feet beyond the building perimeter must be accounted for completely. Only a few of the most common items beyond the 5 foot line are included on the checklist under UTILITIES/SURFACE CONSTRUCTION, add additional items as required by the construction accomplished. Attach a continuation sheet and use the checklist format to describe other work related to this particular project. Listed on the last page are additional items with units of measure and descriptive terms.

Costs for each item must include material, tax, installation, overhead and profit, bond and insurance costs. This form should be filled out as each item is installed or each phase of work is completed.

TOTAL FOR ALL ITEMS INCLUDING CONTRACT MODIFICATION COSTS ADDED TOGETHER SHOULD EQUAL THE TOTAL CONTRACT PRICE.

NOTE: USE METRIC UNITS OF MEASURE INSTEAD OF ENGLISH UNITS SHOWN.

KEY TO ABBREVIATIONS

AC Acres

BL Barrels, Capacity

BTU British Thermal Unit

CY Cubic Yards

EA Each

GA Gallons, Capacity

HD Head

kV Kilovolt-Amperes, Capacity (kVA)

kW Kilowatts, Capacity

SE Seats

SF Square Feet

SY Square Yard

MB Million British Thermal Units

MI Miles

LF Linear Feet

KG Thousand Gallons Per Day, Capacity

TN Ton

- Number; How Many

DD FORM 1354 CHECKLIST Transfer of Real Property

	TRACT		
	TRACT		
ГОС	ATION	•	
1.	DEMO	LITIO	N (Describe each item removed and the cost of removal.)*
2.	RELO	CATIO	N (Describe each item relocated and the cost of relocation.)*
3.	REPLACEMENTS (Describe each item replaced and replacement cost.)*		
			uation sheet if more space is required. Items should be quantity and the correct unit of measure.
4. sep			RUCTION OVERVIEW: BUILDING(S)/ADDITION(S) TO A BUILDING - Use a klist for each building and/or addition.
	(1)	Outs	ide Dimensions: Length x Width
		(c) (d)	Main Building
	(2)	Numb	er of Usable Floors:
	(3)	Cons	truction: Exterior Materials Used
			Foundation (such as concrete)
	(4) Ilding ze of	entr	ities ENTERING Building: Measure lineal meters (LF) from y to next larger
		(a)	Water (size & type of pipe; number of lineal meters (LF)
		(b) (c)	Gas (size & type of pipe; number of lineal meters (LF) Sewer (size & type of pipe; number of lineal meters (LF)
		(d)	Electric (phase, voltage, size & type of wire, connected load in amps

(5)	Air Conditioning:	
	(a) Type(b) Capacity Kilograms (TONS)	
	(c) SQ METERS (SQ YDS) covered by system	_
(6)	Heating:	
	(a) Source	
	(b) Fuel	
(7)	Hot Water Facilities:	
	(a) Capacity Liters (GAL)(b) Temperature Rise	
	BUILDING COST:	
5. BUI	LDING SYSTEMS (INTERIOR)	
	FIRE PROTECTION: roperty Code	
(wet or	880 50/880-211) CLOSED HEAD AUTO SPRINKLERS - Square Meters (SF) & I dry pipe; # of Lineal Meters (LF) of service pipe; type of pipe & # s; # of Square Meters (SF) covered by system) TION:	

COST:

04018/EM Central Heating Plant Application of Low Emissions Tech.

(2) (880 50/880-212) OPEN HEAD DELUGE SYSTEM - Square Meters (SF) & HD (# of Lineal Meters (LF) of service pipe; type of pipe; # of heads; # of Square Meters (SF) covered) DESCRIPTION:
COST:
<pre>(3) (880 10/880-221) AUTO FIRE DETECTION SYSTEM - Square Meters (SF) & EA (# of alarms-horns, bells, etc.; # of smoke detectors; # of heat detectors; # of fire alarm panels; # of radio transmitters/antennae) DESCRIPTION:</pre>
COST:
(4) (880 20/880-222) MANUAL FIRE ALARM SYSTEM - EA (# of pull stations; # of
alarm horns; # of fire extinguisher cabinets) DESCRIPTION:
COCT.
COST: (5) (880 60/880-231) CO2 FIRE SYSTEM (# of bottles & size of bottles in kilograms (lbs.)) DESCRIPTION:
COST:
(6) (880 60/880-232) FOAM FIRE SYSTEM - EA (# of tanks - capacity in kilograms (lbs.)) DESCRIPTION:
COST:
(7) (880 60/880-233) OTHER FIRE SYSTEM - EA DESCRIPTION:
COST:

(8) (880 60/880-234) HALON 1301 FIRE SYSTEM - EA (# of bottles & size of bottles in kilograms (lbs.)) DESCRIPTION:
COST:
B. SECURITY:
(1) (880 40/872-841) SECURITY ALARM SYSTEM - EA (name of system installed) DESCRIPTION:
COCT.
COST:
C. HEATING/COOLING SYSTEMS
(1) (826 10/890-126) A/C WINDOW UNITS - kilograms (TN) & Square Meters (SF)-(# of units installed; amount of Square Meters (SF) covered per unit; size & capacity of each unit) DESCRIPTION:
COST: (2) (826 14/890-125) A/C PLT LESS THAN 4,536 kilograms (5 TN) kilograms (TN) & square meters (SF)-(# of kilograms (TN); # of square meters (SF) covered) DESCRIPTION:
COST:
(3) (826 13/890-121) A/C PLT 4,536 to 22,680 kilograms (5 TO 25 TN) kilograms (TN)-(# of kilograms (TN); # of square meters (SF) covered) DESCRIPTION:
COST:
(4) (826 12/826-122) A/C PLT 22,680 to 2,267,962 kilograms (25 TO 100 TN) - kilograms (TN)-(# of kilograms (TN); # of square meters (SF) covered) DESCRIPTION:
COST:

(5) (826 11/826-123) A/C PLT OVER 2,267,962 kilograms (100 TN) kilograms (TN)-(# of kilograms (TN); # of square meters (SF) covered) DESCRIPTION:
COST:
(6) (821 33/821-115) HEATING PLT 220/1026 W (750/3500 MB) - W (MB)-(# of kW (MBH); type of heating system Ex: Warm air furnace, central) DESCRIPTION:
COST:
(7) (821 32/821-116) HEATING PLT OVER 1026 W (3500 MB) - W(MB)-(# of kW (MBH); type of heating system) DESCRIPTION:
COST:
(8) (811 60/811-147) ELEC EMERGENCY POWER GENERATOR-KW-(size of engine; rating of generator in kilowatts & voltage) DESCRIPTION:
COST: (9) (81190 or 82320-gas) STORAGE TANK FOR HEATING or GENERATOR FUEL Liters (GA); TYPE; FUEL (Size, type of tank, kind of fuel & # of liters (gallons)) DESCRIPTION:
COST: (10) (89220/890-272) EMCS - EA (Direct Digital Control Sys)
COST:
SITE WORK
6. UTILITIES/SURFACE CONSTRUCTION:
(1) (812 41/812-223) PRIM DISTR LINE OH Lineal Meters (LF) (# Lineal Meters (LF) of wire; size & type of wire; # of poles; voltage) DESCRIPTION:

(6) (812 30/812-926) EXTERIOR LIGHTING EA (streets or parking area lights)

& type of lights; whether pole mounted or not; # Lineal Meters (LF) of connecting wire if pole mounted)

DESCRIPTION:

COST:			

(7) (824 10/824-464) GAS MAINS Lineal Meters (LF) (size, type, & # of Lineal Meters (LF) of pipe) DESCRIPTION:
COST:
(8) (831 90/831-169) SEWAGE SEPTIC TANK thousand liters (KG) (size, kind of material, & capacity) DESCRIPTION:
COST:
(9) (832 10/832-266) SANITARY SEWER Lineal Meters (LF) (sizes & types of pipes # of Lineal Meters (LF) of each; # of cleanouts; # & size of manholes) DESCRIPTION:
COST:
(10) (842 10/842-245) WATER DISTR MAINS (POTABLE) Lineal Meters (LF) (# Lineal Meters (LF) & size, type of pipe) DESCRIPTION:
COST: (11) (843 11/843-315) FIRE HYDRANTS EA-(#; size & type) DESCRIPTION:
COST:
(12) (851 90/851-143) CURBS & GUTTERS Lineal Meters (LF) (# Lineal Meters (LF); material; width & height) DESCRIPTION: (Is curb extruded or standard)_
COST:
(13) (851 90/851-145) DRIVEWAY Square Meters (SY) Square Meters (SY); material used; thickness) DESCRIPTION:
COST:

(14) (851 10/12/851-147) ROAD Square Meters (SY) & Lineal Meters (LF) Square Meters (SY); material used; thickness; Lineal Meters (LF)) DESCRIPTION:
COST:
(15) (85210/11 /852-262) VEHICLE PARKING Square Meters (SY)-Square Meters (SY); material used; thickness; # of bollards; # of wheel stops; # of regular parking spaces; # of handicap spaces) DESCRIPTION:
COST:
(16) (852 20/852-289) SIDEWALKS Square Meters (SY) & Lineal Meters (LF) (#Square Meters (SF) & Lineal Meters (LF); dimensions of each section & location; thickness; material used) DESCRIPTION:
COST:
(17) (871 10/871-183) STORM DRAIN DISPOSAL Lineal Meters (LF) (# Lineal Meters (LF) of pipe; sizes & types of pipe; # of catch basins & manholes & sizes of each) DESCRIPTION:
COST:
(18) (872 15/872-247) FENCE, SECURITY (ARMS) Lineal Meters (LF) (# of Lineal Meters (LF); fence material; # & type of gate(s); # strands of barbed wire on top) DESCRIPTION:
COST:
(19) (87210/12/872-248) FENCE, INTERIOR Lineal Meters (LF) (# of Lineal Meters (LF); fence material; # & kind of gate(s) DESCRIPTION:
COST:

(20) (890 70/890-187) UTILITY VAULT(4 or more transformers) Square Meter (SF) (# Square Meters (SF); dimensions of vault; # of transformers) DESCRIPTION:		
OST:		
(21) (135 10/135-583) TEL DUCT FACILITY Lineal Meters (LF) (# of Lineal Meters (LF); size & type of conduit; type of wire) DESCRIPTION:		
OST:		
(22) (135 10/135-586) TEL POLE FACILITY Lineal Meters (LF) (# Lineal Meters LF) & type of wire; # of poles) DESCRIPTION:		
COST:		

- 7. INSTALLED EQUIPMENT: Furnish an Equipment In Place List. Any price related to equipment should already be included in this checklist.
- 8. SYSTEMS NOT PREVIOUSLY LISTED: Attach a separate sheet and use the same format to describe the system(s). Example: CATV system, intercom system, or other utilities and surface construction not described on this checklist.
- 9. ASBESTOS REMOVAL: Furnish a description by building of the number of Lineal Meters (LF) of asbestos removed, number of Lineal Meters (LF) of reinsulation, number of Square Meters (SF) of soil encapsulation, and number and size of tanks, etc., where asbestos was removed. Also, identify buildings by their numbers and use.
- 10. MAINTENANCE/RENOVATIONS: List by building number and describe all additions and deletions by quantity and the correct unit of measure. Furnish a cost per building.

UTILITIES/SURFACE CONSTRUCTION Listed below are some additional items which may or may not apply to your contract. EACH item installed on site should be listed and priced separately even if not included on this checklist.

- (1) IRRIGATION SYSTEM(Lineal Meters (LF) of pipe; size & type of pipe; number and type of heads)
- (2) UNDERGROUND/ABOVEGROUND STORAGE TANKS(Liters (GA), type of tank; material stored)
- (3) (833-354) DUMPSTER ENCLOSURE(Square Meters (SF) & dimensions)
- (4) (890-152) UNLOADING PAD(Square Meters (SY); material)
- (5) SIGNAGE(Dimensions; material)

- (6) (12580) CATHODIC PROTECTION(kilometers; Lineal Feet) (MI; LF)
- (7) (87270 LIGHTNING PROTECTION Lineal Feet (LF)
- (8) (81290) POLE DUCT RISER(Lineal Feet (LF, type of material)
- (9) RAMPS Square Meters (SF), material; Cubic Meters (CY) if concrete use code for sidewalk if concrete)
- (10) (89080/890-158) LOAD AND UNLOAD PLATFORM Square Meters (SF)
- (11) (83240/832-255) INDUSTRIAL WASTE MAIN Lineal Meters (LF)
- (12) WHEEL STOPS (EA; size & material)
- (13) (81350) OUTDOOR INTEGRAL DISTR CTR (kVA)
- (14) (45110) OUTDOOR STORAGE AREA Square Meters (SF)
- (15) (73055/730-275) BUS/WAIT SHELTER Square Meters (SF)
- (16) (690-432) FLAGPOLE (EA; dimensions)
- (17) (93210) SITE IMPROVEMENT (JOB)
- (18) (93220) LANDSCAPE PLANTING (Hectare (Acre); EA; Square Meters (SF))
- (19) (93230) LANDSCAPE BERMS/MOUNDS Square Meters (SY)
- (20) (93410) CUT AND FILL Cubic Meters (CY)
- (21) (843-315) FIRE HYDRANTS (EA; Type)
- (22) (14970) LOADING AND UNLOADING DOCKS AND RAMPS (not connected to a building) Square Meters (SF) (23) BICYCLE RACK (EA)
- (24) (85140/812-928) TRAFFIC SIGNALS (EA)
- (25) (87210) FENCING OR WALLS Lineal Meters (LF)
- (26) (15432) RIPRAP Lineal Meters & Square Meters (LF & SY)
- (27) (75061) GRANDSTAND OR BLEACHERS (EA; SE)
- (28) 87150/871-187) RETAINING WALLS Lineal Meters; Square Meters (LF; SY); material

NOTE: 5 Digit Codes Army; 6 Digit Codes Air Force

-- End of Section --



SECTION 01705

EQUIPMENT-IN-PLACE LIST

PART 1 GENERAL

1.1 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures:"

SD-03 Product Data

Equipment-in-Place List; G

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 SUBMITTAL

The final equipment-in-place list shall be completed and returned to the Contracting Officer within 45 calendar days prior to the final inspection. The Contracting Officer will review all final Equipment-In-Place Lists for accuracy and conformance to the requirements contained in DIVISION 1 GENERAL REQUIREMENTS. The lists shall be returned to the Contractor if corrections are necessary. The Contractor shall make all corrections and shall return the lists to the Contracting Officer within 7 calendar days of receipt.

3.2 EQUIPMENT-IN-PLACE LIST

Contractor shall submit for approval, at the completion of construction, a list of equipment-in-place. This list shall be updated and kept current throughout construction, and shall be jointly inspected for accuracy and completeness by the Contracting Officer's representative and a responsible representative of the Contractor prior to submission of each monthly pay estimate. A sample form showing minimum data required is provided at the end of this section. The EQUIPMENT-IN-PLACE LIST shall be comprised of all equipment falling under one or more of the following classifications:

- a. Each piece of equipment listed on the mechanical equipment schedules.
- b. Each electrical panel, switchboard, and MCC panel.
- c. Each transformer.
- d. Each piece of equipment or furniture designed to be movable.
- e. Each piece of equipment that contains a manufacturer's serial number on the name plate.
- f. All Government furnished, Contractor installed equipment per a. through e. (price data excluded)

EQUIPMENT-IN-PLACE LIST
CONTRACT NO.:
Specification Section: Paragraph No
ITEM DESCRIPTION:
Item Name:
Serial Number:
Model Number:
Capacity:Replacement Cost
ITEM LOCATION:
Building Number: Room Number:
or Column Location:
MANUFACTURER INFORMATION:
Manufacturer Name:
Trade Name (if different from item name):
Manufacturer's Address:
Telephone Number:
WARRANTY PERIOD:
CHECKED BY:

-- End of Section --

SECTION 02220

DEMOLITION

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A10.6 (1990) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (1996) U.S. Army Corps of Engineers Safety and Health Requirements Manual

1.2 GENERAL REQUIREMENTS

The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Rubbish and debris shall be removed from Government property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Contracting Officer. In the interest of occupational safety and health, the work shall be performed in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-01 Preconstruction Submittals

Work Plan; G

The procedures proposed for the accomplishment of the work. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations in accordance with EM 385-1-1.

Dust and Debris Control Measures

Noise Control Measures; G

Inventory of items to be removed and salvaged, if any; G

Photographs or videotape, sufficiently detailed, of existing conditions of adjoining construction and site improvements that might be misconstrued as damage caused by demolition operations.; G

1.4 REGULATORY AND SAFETY REQUIREMENTS

Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," safety requirements shall conform with ANSI Al0.6.

1.4.1 Notifications

Provide not less than 72 hours notice to the Contracting Officer if shutdown of a utility service is required.

1.5 DUST AND DEBRIS CONTROL MEASURES

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution.

1.6 PROTECTION

1.6.1 Traffic Control

Do not close or obstruct streets, walks or used facilities without permission from the Contracting Officer. Where pedestrian and driver safety is endangered in the area of work, use traffic barricades with flashing lights.

1.6.2 Existing Work

Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. The Contractor shall take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government; any damaged items shall be repaired or replaced as approved by the Contracting Officer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this contract. Do not overload structural elements. Provide new supports and reinforcement for existing construction weakened by demolition or removal work. Repairs, reinforcement, or structural replacement must have Contracting Officer approval.

1.6.3 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times.

1.6.4 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, shall remain standing without additional bracing, shoring, of lateral support until demolished, unless directed otherwise by the Contracting Officer. The Contractor shall ensure that no elements determined to be unstable are left unsupported and shall be responsible for placing and securing bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, or demolition work performed under this contract.

1.6.5 Protection of Personnel

During the demolition work the Contractor shall continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the demolition site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.7 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair items to be relocated which are damaged or replace damaged items with new undamaged items as approved by the Contracting Officer.

1.8 REQUIRED DATA

Demolition plan shall include procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations.

1.9 USE OF EXPLOSIVES

Use of explosives will not be permitted.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

3.1.1 Concrete and Pavement

Demolition concrete and pavement in small sections. Saw along straight lines to a depth of not less than 2 inches. Make each cut perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the material provided that the broken area is concealed in the finished work, and the remaining material is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through.

3.1.2 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair holes and damaged surfaces to match adjacent finished surfaces. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish. Patching shall be as specified.

3.2 DISPOSITION OF MATERIAL

3.2.1 Title to Materials

Except where specified in other sections, materials and equipment removed, and not reused, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition and removal procedures, and authorization by the Contracting Officer to begin demolition. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Materials and equipment shall not be viewed by prospective purchasers or sold on the site.

3.2.2 Reuse of Materials and Equipment

Remove and store materials and equipment indicated to be reused or relocated to prevent damage, and reinstall as the work progresses.

3.2.3 Salvaged Materials and Equipment

Remove materials and equipment that are indicated to be removed by the Contractor and that are to remain the property of the Government, and deliver to a storage site on the property directed by the Contracting Officer. Contractor shall salvage items and material to the maximum extent possible.

Material salvaged for the Contractor shall be stored as approved by the Contracting Officer and shall be removed from Government property before completion of the contract. Material salvaged for the Contractor shall not be sold on the site.

Salvaged items to remain the property of the Government shall be removed in a manner to prevent damage, and packed or crated to protect the items from damage while in storage or during shipment. Items damaged during removal or

storage shall be repaired or replaced to match existing items. Containers shall be properly identified as to contents.

3.2.4 Debris and Rubbish

Debris and rubbish shall be removed from basement and similar excavations. Debris shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

-- End of Section --



SECTION 03307A

CONCRETE FOR MINOR STRUCTURES

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 308	(1992; R 1997) Standard Practice for Curing Concrete
ACI 318/318R	(1999) Building Code Requirements for Structural Concrete and Commentary
ACI 347R	(1994; R 1999) Guide to Formwork for Concrete
AMERICAN SOCIETY FOR TE	ESTING AND MATERIALS (ASTM)
ASTM A 185	(1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
ASTM A 615/A 615M	(2000) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 171	(1997a) Sheet Materials for Curing Concrete
ASTM C 172	(1999) Sampling Freshly Mixed Concrete
ASTM C 231	(1997el) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 309	(1998a) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 31/C 31M	(2000e1) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ae1) Concrete Aggregates
ASTM C 39/C 39M	(2001) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 494/C 494M	(1999ael) Chemical Admixtures for Concrete

ASTM C 920 (1998) Elastomeric Joint Sealants

ASTM C 94/C 94M (2000e2) Ready-Mixed Concrete

ASTM D 1752 (1984; R 1996el) Preformed Sponge Rubber and

Cork Expansion Joint Fillers for Concrete

Paving and Structural Construction

ASTM D 75 (1987; R 1997) Sampling Aggregates

ASTM E 96 (2000) Water Vapor Transmission of Materials

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 400 (1963) Requirements for Water for Use in

Mixing or Curing Concrete

COE CRD-C 572 (1974) Corps of Engineers Specifications for

Polyvinylchloride Waterstop

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Reinforcement; G

Detail drawings showing reinforcing steel placement, schedules, sizes, grades, and splicing and bending details. Drawings shall show support details including types, sizes and spacing.

SD-03 Product Data

Air-Entraining Admixture; G Water-Reducing or Retarding Admixture; G Curing Materials; G

Manufacturer's literature is available from suppliers which demonstrates compliance with applicable specifications for the above materials.

SD-06 Test Reports

Concrete Mixture Proportions; G

Ten days prior to placement of concrete, the contractor shall submit the mixture proportions that will produce concrete of the quality required. Applicable test reports shall be submitted to

verify that the concrete mixture proportions selected will produce concrete of the quality specified.

SD-07 Certificates

Cementitious Materials; G

Certificates of compliance attesting that the concrete materials meet the requirements of the specifications shall be submitted in accordance with the Special Clause "CERTIFICATES OF COMPLIANCE". Cementitious material will be accepted on the basis of a manufacturer's certificate of compliance, accompanied by mill test reports that the material(s) meet the requirements of the specification under which it is furnished.

Aggregates; G

Aggregates will be accepted on the basis of certificates of compliance and tests reports that show the material(s) meet the quality and grading requirements of the specifications under which it is furnished.

1.3 DESIGN AND PERFORMANCE REQUIREMENTS

The Government will maintain the option to sample and test joint sealer, joint filler material, waterstop, aggregates and concrete to determine compliance with the specifications. The Contractor shall provide facilities and labor as may be necessary to assist the Government in procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Concrete will be sampled in accordance with ASTM C 172. Slump and air content will be determined in accordance with ASTM C 143/C 143M and ASTM C 231, respectively, when cylinders are molded. Compression test specimens will be made, cured, and transported in accordance with ASTM C 31/C 31M. Compression test specimens will be tested in accordance with ASTM C 39/C 39M. Samples for strength tests will be taken not less than once each shift in which concrete is produced. A minimum of three specimens will be made from each sample; two will be tested at 28 days for acceptance, and one will be tested at 7 days for information.

1.3.1 Strength

Acceptance test results will be the average strengths of two specimens tested at 28 days. The strength of the concrete will be considered satisfactory so long as the average of three consecutive acceptance test results equal or exceed the specified compressive strength, f'c, and no individual acceptance test result falls below f'c by more than 500 psi.

1.3.2 Construction Tolerances

A Class "C" finish shall apply to all surfaces except those specified to receive a Class "D" finish. A Class "D" finish shall apply to all surfaces which will be permanently concealed after construction. The surface requirements for the classes of finish required shall be as specified in ACI 347R.

1.3.3 Concrete Mixture Proportions

Concrete mixture proportions shall be the responsibility of the Contractor. Mixture proportions shall include the dry weights of cementitious material(s); the nominal maximum size of the coarse aggregate; the specific gravities, absorptions, and saturated surface-dry weights of fine and coarse aggregates; the quantities, types, and names of admixtures; and quantity of water per cubic yard of concrete. All materials included in the mixture proportions shall be of the same type and from the same source as will be used on the project. Specified compressive strength f'c shall be 3,000 psi at 28 days. The maximum nominal size coarse aggregate shall be 3/4 inch, in accordance with ACI 318/318R. The air content shall be between 4.5 and 7.5 percent. The slump shall be between 2 and 5 inches. The maximum water cement ratio shall be 0.50.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Cementitious Materials

Cementitious materials shall conform to the appropriate specifications listed:

2.1.1.1 Portland Cement

ASTM C 150, Type I, II or III.

2.1.2 Aggregates

Aggregates shall meet the quality and grading requirements of ASTM C 33 Class Designations 4M or better.

2.1.3 Admixtures

Admixtures to be used, when required or approved, shall comply with the appropriate specification listed. Chemical admixtures that have been in storage at the project site for longer than 6 months or that have been subjected to freezing shall be retested at the expense of the contractor at the request of the Contracting Officer and shall be rejected if test results are not satisfactory.

2.1.3.1 Air-Entraining Admixture

Air-entraining admixture shall meet the requirements of ASTM C 260.

2.1.3.2 Water-Reducing or Retarding Admixture

Water-reducing or retarding admixture shall meet the requirements of ASTM C 494/C 494M, Type A, B, or D. High-range water reducing admixture Type F may be used only when approved, approval being contingent upon particular placement requirements as described in the Contractor's Quality Control Plan.

2.1.4 Water

Water for mixing and curing shall be fresh, clean, potable, and free from injurious amounts of oil, acid, salt, or alkali, except that unpotable water may be used if it meets the requirements of COE CRD-C 400.

2.1.5 Reinforcing Steel

Reinforcing steel bar shall conform to the requirements of ASTM A 615/A 615M, Grade 60. Welded steel wire fabric shall conform to the requirements of ASTM A 185. Details of reinforcement not shown shall be in accordance with ACI 318/318R, Chapters 7 and 12.

2.1.6 Expansion Joint Filler Strips, Premolded

Expansion joint filler strips, premolded shall be sponge rubber conforming to ASTM D 1752, Type I.

2.1.7 Joint Sealants - Field Molded Sealants

Joint sealants - field molded sealants shall conform to ASTM C 920, Type M, Grade NS, Class 25, use NT for vertical joints and Type M, Grade P, Class 25, use T for horizontal joints. Bond-breaker material shall be polyethylene tape, coated paper, metal foil, or similar type materials. The backup material shall be compressible, nonshrink, nonreactive with the sealant, and a nonabsorptive material such as extruded butyl or polychloroprene foam rubber. Immediately prior to installation of field-molded sealants, the joint shall be cleaned of all debris and further cleaned using water, chemical solvents, or other means as recommended by the sealant manufacturer or directed.

2.1.8 Waterstops

Waterstops shall conform to COE CRD-C 572.

2.1.9 Formwork

The design and engineering of the formwork as well as its construction, shall be the responsibility of the Contractor.

2.1.10 Form Coatings

Forms for exposed surfaces shall be coated with a nonstaining form oil, which shall be applied shortly before concrete is placed.

2.1.11 Vapor Barrier

Vapor barrier shall be polyethylene sheeting with a minimum thickness of 6 mils or other equivalent material having a vapor permeance rating not exceeding 0.5 perms as determined in accordance with ASTM E 96.

2.1.12 Curing Materials

Curing materials shall conform to the following requirements.

2.1.12.1 Impervious Sheet Materials

Impervious sheet materials, ASTM C 171, type optional, except polyethylene film, if used, shall be white opaque.

2.1.12.2 Membrane-Forming Curing Compound

ASTM C 309, Type 1-D or 2.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 General

Construction joints shall be prepared to expose coarse aggregate, and the surface shall be clean, damp, and free of laitance. Ramps and walkways, as necessary, shall be constructed to allow safe and expeditious access for concrete and workmen. Snow, ice, standing or flowing water, loose particles, debris, and foreign matter shall have been removed. Earth foundations shall be satisfactorily compacted. Spare vibrators shall be available. The entire preparation shall be accepted by the Government prior to placing.

3.1.2 Embedded Items

Reinforcement shall be secured in place; joints, anchors, and other embedded items shall have been positioned. Internal ties shall be arranged so that when the forms are removed the metal part of the tie will be not less than 2 inches from concrete surfaces permanently exposed to view or exposed to water on the finished structures. Embedded items shall be free of oil and other foreign matters such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. All equipment needed to place, consolidate, protect, and cure the concrete shall be at the placement site and in good operating condition.

3.1.3 Formwork Installation

Forms shall be properly aligned, adequately supported, and mortar-tight. The form surfaces shall be smooth and free from irregularities, dents, sags, or holes when used for permanently exposed faces. All exposed joints and edges shall be chamfered, unless otherwise indicated.

3.1.4 Vapor Barrier Installation

Vapor barriers shall be applied over gravel fill. Edges shall be lapped not less than 6 inches. All joints shall be sealed with pressure-sensitive adhesive not less than 2 inches wide. The vapor barrier shall be protected at all times to prevent injury or displacement prior to and during concrete placement.

3.1.5 Production of Concrete

3.1.5.1 Ready-Mixed Concrete

Ready-mixed concrete shall conform to ASTM C 94/C 94M except as otherwise specified.

3.1.6 Waterstops

Waterstops shall be installed and spliced as directed by the manufacturer.

3.2 CONVEYING AND PLACING CONCRETE

Conveying and placing concrete shall conform to the following requirements.

3.2.1 General

Concrete placement shall not be permitted when weather conditions prevent proper placement and consolidation without approval. When concrete is mixed and/or transported by a truck mixer, the concrete shall be delivered to the site of the work and discharge shall be completed within 1-1/2 hours or 45 minutes when the placing temperature is 85 degrees F or greater unless a retarding admixture is used. Concrete shall be conveyed from the mixer to the forms as rapidly as practicable by methods which prevent segregation or loss of ingredients. Concrete shall be in place and consolidated within 15 minutes after discharge from the mixer. Concrete shall be deposited as close as possible to its final position in the forms and be so regulated that it may be effectively consolidated in horizontal layers 18 inches or less in thickness with a minimum of lateral movement. The placement shall be carried on at such a rate that the formation of cold joints will be prevented.

3.2.2 Consolidation

Each layer of concrete shall be consolidated by rodding, spading, or internal vibrating equipment. Internal vibration shall be systematically accomplished by inserting the vibrator through the fresh concrete in the layer below at a uniform spacing over the entire area of placement. The distance between insertions shall be approximately 1.5 times the radius of action of the vibrator and overlay the adjacent, just-vibrated area by approximately a few inches. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the layer below, if such a layer exists. It shall be held stationary until the concrete is consolidated and then withdrawn slowly at the rate of about 3 inches per second.

3.2.3 Cold-Weather Requirements

No concrete placement shall be made when the ambient temperature is below 35 degrees F or if the ambient temperature is below 40 degrees F and falling. Suitable covering and other means as approved shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing and at a temperature above freezing for the remainder of the curing period. Salt, chemicals, or other foreign materials shall not be mixed with the concrete to prevent freezing. Any concrete damaged by freezing shall be removed and replaced at the expense of the contractor.

3.2.4 Hot-Weather Requirements

When the rate of evaporation of surface moisture, as determined by use of Figure 1 of ACI 308, is expected to exceed 0.2 pound per square foot per hour, provisions for windbreaks, shading, fog spraying, or covering with a light-colored material shall be made in advance of placement, and such protective measures shall be taken as quickly as finishing operations will allow.

3.3 FORM REMOVAL

Forms shall not be removed before the expiration of 24 hours after concrete placement except where otherwise specifically authorized. Supporting forms and shoring shall not be removed until the concrete has cured for at least 5 days. When conditions on the work are such as to justify the requirement, forms will be required to remain in place for longer periods.

3.4 FINISHING

3.4.1 General

No finishing or repair will be done when either the concrete or the ambient temperature is below 50 degrees F.

3.4.2 Finishing Formed Surfaces

All fins and loose materials shall be removed, and surface defects including tie holes shall be filled. All honeycomb areas and other defects shall be repaired. All unsound concrete shall be removed from areas to be repaired. Surface defects greater than 1/2 inch in diameter and holes left by removal of tie rods in all surfaces not to receive additional concrete shall be reamed or chipped and filled with dry-pack mortar. The prepared area shall be brush-coated with an approved epoxy resin or latex bonding compound or with a neat cement grout after dampening and filled with mortar or concrete. The cement used in mortar or concrete for repairs to all surfaces permanently exposed to view shall be a blend of portland cement and white cement so that the final color when cured will be the same as adjacent concrete.

3.4.3 Finishing Unformed Surfaces

All unformed surfaces that are not to be covered by additional concrete or backfill shall be float finished to elevations shown, unless otherwise specified. Surfaces to receive additional concrete or backfill shall be brought to the elevations shown and left as a true and regular surface. Exterior surfaces shall be sloped for drainage unless otherwise shown. Joints shall be carefully made with a jointing tool. Unformed surfaces shall be finished to a tolerance of 3/8 inch for a float finish and 5/16 inch for a trowel finish as determined by a 10 foot straightedge placed on surfaces shown on the plans to be level or having a constant slope. Finishing shall not be performed while there is excess moisture or bleeding water on the surface. No water or cement shall be added to the surface during finishing.

3.4.3.1 Float Finish

Surfaces to be float finished shall be screeded and darbied or bullfloated to eliminate the ridges and to fill in the voids left by the screed. In addition, the darby or bullfloat shall fill all surface voids and only slightly embed the coarse aggregate below the surface of the fresh concrete. When the water sheen disappears and the concrete will support a person's weight without deep imprint, floating should be completed. Floating should embed large aggregates just beneath the surface, remove slight imperfections, humps, and voids to produce a plane surface, compact the concrete, and consolidate mortar at the surface.

3.4.3.2 Trowel Finish

A trowel finish shall be applied to all surfaces except as noted on the drawings or on top of exterior slabs. Trowelling shall be done immediately following floating to provide a smooth, even, dense finish free from blemishes including trowel marks. Finished surfaces shall be protected from damage during the construction period.

3.4.3.3 Broom Finish

A broom finish shall be applied to tops of exterior slabs. The concrete shall be screeded and floated to required finish plane with no coarse aggregate visible. After surface moisture disappears, the surface shall be broomed or brushed with a broom or fiber bristle brush in a direction transverse to that of the main traffic or as directed.

3.4.3.4 Expansion and Contraction Joints

Expansion and contraction joints shall be made in accordance with the details shown or as otherwise specified. Provide 1/2 inch thick transverse expansion joints where new work abuts an existing concrete. Expansion joints shall be provided at a maximum spacing of 30 feet on center in sidewalks and at a maximum spacing of 40 feet in slabs, unless otherwise indicated. Contraction joints shall be provided at a maximum spacing of 6 linear feet in sidewalks and at a maximum spacing of 15 feet in slabs, unless otherwise indicated. Contraction joints shall be cut at a minimum of1-1/2 inches deep with a jointing tool after the surface has been finished.

3.5 CURING AND PROTECTION

Beginning immediately after placement and continuing for at least 7 days, except for concrete made with Type III cement, at least 3 days, all concrete shall be cured and protected from premature drying, extremes in temperature, rapid temperature change, freezing, mechanical damage, and exposure to rain or flowing water. All materials and equipment needed for adequate curing and protection shall be available and at the site of the placement prior to the start of concrete placement. Preservation of moisture for concrete surfaces not in contact with forms shall be accomplished by one of the following methods:

- a. Continuous sprinkling or ponding.
- b. Application of absorptive mats or fabrics kept continuously wet.
- c. Application of sand kept continuously wet.
- d. Application of impervious sheet material conforming to ASTM C 171.
- e. Application of membrane-forming curing compound conforming to ASTM C 309, Type 1-D, on surfaces permanently exposed to view and Type 2 on other surfaces shall be accomplished in accordance with manufacturer's instructions.

The preservation of moisture for concrete surfaces placed against wooden forms shall be accomplished by keeping the forms continuously wet for 7 days

, except for concrete made with Type III cement, 3 days. If forms are removed prior to end of the required curing period, other curing methods shall be used for the balance of the curing period. During the period of protection removal, the temperature of the air in contact with the concrete shall not be allowed to drop more than 25 degrees F within a 24 hour period.

3.6 TESTS AND INSPECTIONS

3.6.1 General

The individuals who sample and test concrete as required in this specification shall have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for certification of Concrete Field Testing Technicians, Grade I.

3.6.2 Inspection Details and Frequency of Testing

3.6.2.1 Preparations for Placing

Foundation or construction joints, forms, and embedded items shall be inspected in sufficient time prior to each concrete placement by the Contractor to certify that it is ready to receive concrete.

3.6.2.2 Air Content

Air content shall be checked at least once during each shift that concrete is placed. Samples shall be obtained in accordance with ASTM C 172 and tested in accordance with ASTM C 231.

3.6.2.3 Slump

Slump shall be checked once during each shift that concrete is produced. Samples shall be obtained in accordance with ASTM C 172 and tested in accordance with ASTM C 143/C 143M.

3.6.2.4 Consolidation and Protection

The Contractor shall ensure that the concrete is properly consolidated, finished, protected, and cured.

3.6.3 Action Required

3.6.3.1 Placing

The placing foreman shall not permit placing to begin until he has verified that an adequate number of acceptable vibrators, which are in working order and have competent operators, are available. Placing shall not be continued if any pile is inadequately consolidated.

3.6.3.2 Air Content

Whenever a test result is outside the specification limits, the concrete shall not be delivered to the forms and an adjustment shall be made to the dosage of the air-entrainment admixture.

3.6.3.3 Slump

Whenever a test result is outside the specification limits, the concrete shall not be delivered to the forms and an adjustment should be made in the batch weights of water and fine aggregate. The adjustments are to be made so that the water-cement ratio does not exceed that specified in the submitted concrete mixture proportion.

3.6.4 Reports

The results of all tests and inspections conducted at the project site shall be reported informally at the end of each shift and in writing weekly and shall be delivered within 3 days after the end of each weekly reporting period.

-- End of Section --



SECTION 05120

STRUCTURAL STEEL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC FCD	(1995a) Quality Certification Program Description
AISC 316	(1989) ASD Manual of Steel Construction
AISC 317	(1992; Errata 1994) Connections
AISC 325	LRFD Manual of Steel Construction
AISC 326	(1983) Detailing for Steel Construction
AISC 348	(1985) Allowable Stress Design Specification for Structural Joints Using ASTM A325 or A490 Bolts
AISC 348	(1988) Load and Resistance Factor Design Specifications for Structural Joints Using ASTM A325 or A490 Bolts
AISC 335	(1989) Structural Steel Buildings Allowable Stress Design and Plastic Design
AISC 341	(1992) Seismic Provisions for Structural Steel Buildings

ASME INTERNATIONAL (ASME)

ASME B46.1 (1995) Surface Texture, (Surface Roughness, Waviness, and Lay)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 6/A 6M	(1998a) General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A 36/A 36M	(1997; Rev. A) Carbon Structural Steel
ASTM A 53	(1999; Rev. B) Pipe, Steel, Black and Hot- Dipped, Zinc-Coated Welded and Seamless

04018/EM Centra	l Heating	Plant	Application	of	Low	Emissions	Tech.
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ASTM A 307	(1997) Carbon Steel Bolts and Studs, 60,000 psi Tensile Strength			
ASTM A 325	(1997) Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength			
ASTM A 490	(1997) Heat-Treated Steel Structural Bolts, 150 ksi Minimum Tensile Strength			
ASTM A 500	(1999) Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes			
ASTM A 563	(1997) Carbon and Alloy Steel Nuts			
ASTM A 572/A 572M	(1999; Rev. B) High-Strength Low-Alloy Columbium-Vanadium of Structural Steel			
ASTM A 992/A 992M	(1998el) Steel for Structural Shapes for Use in Building Framing			
ASTM C 827	(1995; R 1997) Change in Height at Early Ages of Cylindrical Specimens from Cementitious Mixtures			
ASTM C 1107	(1999) Packaged Dry, Hydraulic-Cement Grout (Nonshrink)			
ASTM F 436	(1993) Hardened Steel Washers			
ASTM F 844	(1998) Washers, Steel, Plain (Flat), Unhardened for General Use			
ASTM F 959	(1999; Rev. A) Compressible-Washer-Type Direct Tension Indicators for Use with Structural Fasteners			
AMERICAN WELDING SOCIETY (AWS)				

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2000) Structural Welding Code - Steel

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC SP 3	(1995) Power Tool Cleaning
SSPC Paint 25	(1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (Without Lead and Chromate Pigments)
SSPC PA 1	(1991) Shop, Field, and Maintenance Painting
SSPC PS 13.01	(1991) Epoxy-Polyamide Painting System

1.2 SYSTEM DESCRIPTION

Provide the structural steel system, including shop primer, complete and ready for use. Structural steel systems including design, materials, installation, workmanship, fabrication, assembly, erection, inspection,

quality control, and testing shall be provided in accordance with AISC 316 and AISC 317 except as modified in this contract.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Erection drawings, including description of temporary supports; G

Fabrication drawings, including description of connections; G

SD-03 Product Data

Shop primer -G

Load indicator washers

Load indicator bolts

Include test report for Class B primer.

SD-06 Test Reports

Class B coating

Bolts, nuts, and washers

Supply the certified manufacturer's mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied fasteners.

SD-07 Certificates

Steel

Bolts, nuts, and washers

Shop primer

Welding electrodes and rods

Nonshrink grout

AISC Quality Certification

Welding procedures and qualifications

1.4 AISC QUALITY CERTIFICATION

Work shall be fabricated in an AISC certified Category I fabrication plant.

1.5 SEISMIC PROVISIONS

In addition to AISC 325, the structural steel system shall be provided in accordance with AISC 341.

1.6 QUALITY ASSURANCE

1.6.1 Drawing Requirements

Submit fabrication drawings for approval prior to fabrication. Prepare in accordance with AISC 326, AISC 316 and AISC 317. Drawings shall not be reproductions of contract drawings. Include complete information for the fabrication and erection of the structure's components, including the location, type, and size of bolts, welds, member sizes and lengths, connection details, blocks, copes, and cuts. Use AWS standard welding symbols.

1.6.2 Certifications

1.6.2.1 Erection Plan

Submit for record purposes. Indicate the sequence of erection, temporary shoring and bracing, and a detailed sequence of welding, including each welding procedure required.

1.6.2.2 Welding Procedures and Qualifications

Prior to welding, submit certification for each welder stating the type of welding and positions qualified for, the code and procedure qualified under, date qualified, and the firm and individual certifying the qualification tests. If the qualification date of the welding operator is more than one-year old, the welding operator's qualification certificate shall be accompanied by a current certificate by the welder attesting to the fact that he has been engaged in welding since the date of certification, with no break in welding service greater than 6 months.

PART 2 PRODUCTS

- 2.1 STEEL
- 2.1.1 Structural Steel

ASTM A 36/A 36M.

2.1.2 High-Strength Structural Steel

ASTM A 572/A 572M Grade 50.

2.1.3 Structural Shapes for Use in Building Framing

Wide flange shapes, ASTM A 992/A 992M.

2.1.4 Structural Steel Tubing

ASTM A 500, Grade B;

2.1.5 Steel Pipe

ASTM A 53, Type E or S, Grade B, weight class per drawings.

2.2 BOLTS, NUTS, AND WASHERS

Provide the following unless indicated otherwise.

2.2.1 Structural Steel , Steel Pipe

2.2.1.1 Bolts

ASTM A 307, Grade A; ASTM A 325, Type 1. The bolt heads and the nuts of the supplied fasteners must be marked with the manufacturer's identification mark, the strength grade and type specified by ASTM specifications.

2.2.1.2 Nuts

ASTM A 563, Grade and Style for applicable ASTM bolt standard recommended.

2.2.1.3 Washers

ASTM F 844 washers for ASTM A 307 bolts, and ASTM F 436 washers for ASTM A 325 bolts.

2.2.2 High-Strength Structural Steel

2.2.2.1 Bolts

ASTM A 325, Type 1 ASTM A 490, Type 1 or 2.

2.2.2.2 Nuts

ASTM A 563, Grade and Style as specified in the applicable ASTM bolt standard.

2.2.2.3 Washers

ASTM F 436, plain carbon steel.

2.2.3 Foundation Anchorage

2.2.3.1 Bolts

ASTM A 307.

2.2.3.2 Nuts

ASTM A 563, Grade A, hex style.

2.2.3.3 Washers

ASTM F 844.

2.2.4 Load Indicator Washers

ASTM F 959.

2.2.5 Load Indicator Bolts

ASTM A 325, Type 1; ASTM A 490, Type 1, with a manufactured notch between the bolt tip and threads. The bolt shall be designed to react to the opposing rotational torques applied by the installation wrench, with the bolt tip automatically shearing off when the proper tension is obtained.

2.3 STRUCTURAL STEEL ACCESSORIES

2.3.1 Welding Electrodes and Rods

AWS D1.1/D1.1M.

2.3.2 Nonshrink Grout

ASTM C 1107, with no ASTM C 827 shrinkage. Grout shall be nonmetallic.

2.3.3 Welded Shear Stud Connectors

AWS D1.1/D1.1M.

2.4 SHOP PRIMER

SSPC Paint 25, (alkyd primer) or SSPC PS 13.01 epoxy-polyamide, green primer (Form 150) type 1, except provide a Class B coating in accordance with AISC 316 and AISC 317 for slip critical joints. Primer shall conform to Federal, State, and local VOC regulations. If flash rusting occurs, reclean the surface prior to application of primer.

2.5 FABRICATION

2.5.1 Markings

Prior to erection, members shall be identified by a painted erection mark. Connecting parts assembled in the shop for reaming holes in field connections shall be match marked with scratch and notch marks. Do not locate erection markings on areas to be welded . Do not locate match markings in areas that will decrease member strength or cause stress concentrations.

2.5.2 Shop Primer

Shop prime structural steel, except as modified herein, in accordance with SSPC PA 1. Do not prime steel surfaces within 0.5 inch of the toe of the welds prior to welding. Slip critical surfaces shall be primed with a Class B coating. Prior to assembly, prime surfaces which will be concealed or inaccessible after assembly. Do not apply primer in foggy or rainy weather; when the ambient temperature is below 45 degrees F or over 95 degrees F; or when the primer may be exposed to temperatures below 40 degrees F within 48 hours after application, unless approved otherwise by the Contracting Officer.

2.5.2.1 Cleaning

SSPC SP 3. Maintain steel surfaces free from rust, dirt, oil, grease, and other contaminants through final assembly.

2.5.2.2 Primer

Apply primer to a minimum dry film thickness of 2.0 mil except provide the Class B coating for slip critical joints in accordance with the coating manufacturer's recommendations. Repair damaged primed surfaces with an additional coat of primer.

PART 3 EXECUTION

3.1 FABRICATION

Fabrication shall be in accordance with the applicable provisions of AISC 316. Fabrication and assembly shall be done in the shop to the greatest extent possible. The fabricating plant shall be certified under the AISC FCD for Category I structural steelwork. Compression joints depending on contact bearing shall have a surface roughness not in excess of 500 micro inches as determined by ASME B46.1, and ends shall be square within the tolerances for milled ends specified in ASTM A 6/A 6M. Structural steelwork, except surfaces of steel to be encased in concrete, surfaces to be field welded, surfaces to be fireproofed, and contact surfaces of friction-type high-strength bolted connections shall be prepared for painting in accordance with endorsement "P" of AISC FCD and primed with the specified paint.

3.2 ERECTION

a: Erection of structural steel shall be in accordance with the applicable provisions of AISC 316. Erection plan shall be reviewed, stamped and sealed by a licensed structural engineer. Provide for drainage in structural steel. After final positioning of steel members, provide full bearing under base plates and bearing plates using nonshrink grout. Place nonshrink grout in accordance with the manufacturer's instructions.

3.2.1 STORAGE

Material shall be stored out of contact with the ground in such manner and location as will minimize deterioration.

3.3 CONNECTIONS

Except as modified in this section, connections not detailed shall be designed in accordance with AISC 335. Build connections into existing work. Do not tighten anchor bolts set in concrete with impact torque wrenches. Punch, subpunch and ream, or drill bolt holes. Bolts, nuts, and washers shall be clean of dirt and rust, and lubricated immediately prior to installation.

3.3.1 Common Grade Bolts

ASTM A 307 bolts shall be tightened to a "snug tight" fit. "Snug tight" is the tightness that exists when plies in a joint are in firm contact. If firm contact of joint plies cannot be obtained with a few impacts of an impact wrench, or the full effort of a man using a spud wrench, contact the Contracting Officer for further instructions.

3.3.2 High-Strength Bolts

ASTM A 325 and ASTM A 490 bolts shall be fully tensioned to 70 percent of their minimum tensile strength or provide load indicator bolts or washers except provide only load indicator washers for slip critical connections. Direct tension indicator tightening, , or installation of alternate design fasteners, shall be the only acceptable tightening methods. Use only direct tension indicator tightening for slip critical connections. Bolts shall be installed in connection holes and initially brought to a snug tight fit. After the initial tightening procedure, bolts shall then be fully tensioned, progressing from the most rigid part of a connection to the free edges.

3.3.2.1 Installation of Load Indicator Washers (LIW)

ASTM F 959. Where possible, the LIW shall be installed under the bolt head and the nut shall be tightened. If the LIW is installed adjacent to the turned element, provide a flat ASTM F 436 washer between the LIW and nut when the nut is turned for tightening, and between the LIW and bolt head when the bolt head is turned for tightening. In addition to the LIW, provide flat ASTM F 436 washers under both the bolt head and nut when ASTM A 490 bolts are used.

3.4 WELDING

AWS D1.1/D1.1M. Grind exposed welds smooth as indicated. Provide AWS D1.1/D1.1M qualified welders, welding operators, and tackers.

The contractor shall develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Prequalified procedures may be submitted for information only; however, procedures that are not prequalified shall be submitted for approval.

3.4.1 Removal of Temporary Welds, Run-Off Plates, and Backing Strips

Remove only from finished areas.

3.5 SHOP PRIMER REPAIR

Repair shop primer in accordance with the paint manufacturer's recommendation for surfaces damaged by handling, transporting, cutting, welding, or bolting.

3.5.1 Field Priming

Field priming of steel exposed to the weather, or located in building areas without HVAC for control of relative humidity. After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat shall be cleaned and primed with paint of the same quality as that used for the shop coat.

3.6 FIELD QUALITY CONTROL

Perform field tests, and provide labor, equipment, and incidentals required for testing. The Contracting Officer shall be notified in writing of defective welds, bolts, nuts, and washers within 7 working days of the date of weld inspection.

3.6.1 Welds

3.6.1.1 Visual Inspection

AWS D1.1/D1.1M. Furnish the services of AWS-certified welding inspectors for fabrication and erection inspection and testing and verification inspections. Welding inspectors shall visually inspect and mark welds, including fillet weld end returns.

3.6.1.2 Nondestructive Testing

AWS D1.1/D1.1M. Test locations shall be selected by the Contracting Officer. If more than 20 percent of welds made by a welder contain defects identified by testing, then all welds made by that welder shall be tested by radiographic or ultrasonic testing, as approved by the Contracting Officer. When all welds made by an individual welder are required to be tested, magnetic particle testing shall be used only in areas inaccessible to either radiographic or ultrasonic testing. Retest defective areas after repair.

3.6.2 Load Indicator Washers

3.6.2.1 Load Indicator Washer Compression

Load indicator washers shall be tested in place to verify that they have been compressed sufficiently to provide the 0.015 inch gap when the load indicator washer is placed under the bolt head and the nut is tightened, and to provide the 0.005 inch gap when the load indicator washer is placed under the turned element, as required by ASTM F 959.

3.6.2.2 Load Indicator Gaps

In addition to the above testing, an independent testing agency as approved by the Contracting Officer, shall test in place the load indicator gaps on 20 percent of the installed load indicator washers to verify that the ASTM F 959 load indicator gaps have been achieved. If more than 10 percent of the load indicators tested have not been compressed sufficiently to provide the average gaps required by ASTM F 959, then all in place load indicator washers shall be tested to verify that the ASTM F 959 load indicator gaps have been achieved. Test locations shall be selected by the Contracting Officer.

3.6.3 High-Strength Bolts

3.6.3.1 Testing Bolt, Nut, and Washer Assemblies

Test a minimum of 3 bolt, nut, and washer assemblies from each mill certificate batch in a tension measuring device at the job site prior to the beginning of bolting start-up. Demonstrate that the bolts and nuts, when used together, can develop tension not less than the provisions specified in AISC 348, Table 4, depending on bolt size and grade. The bolt tension shall be developed by tightening the nut. A representative of the manufacturer or supplier shall be present to ensure that the fasteners are properly used, and to demonstrate that the fastener assemblies supplied satisfy the specified requirements.

3.6.3.2 Inspection

Inspection procedures shall be in accordance with AISC 348, Section 9. Confirm and report to the Contracting Officer that the materials meet the project specification and that they are properly stored. Confirm that the faying surfaces have been properly prepared before the connections are assembled. Observe the specified job site testing and calibration, and confirm that the procedure to be used provides the required tension. Monitor the work to ensure the testing procedures are routinely followed on joints that are specified to be fully tensioned.

3.6.3.3 Testing

The Government has the option to perform nondestructive tests on 5 percent of the installed bolts to verify compliance with pre-load bolt tension requirements. The nondestructive testing will be done in-place using an ultrasonic measuring device or any other device capable of determining in-place pre-load bolt tension. The test locations shall be selected by the Contracting Officer. If more than 10 percent of the bolts tested contain defects identified by testing, then all bolts used from the batch from which the tested bolts were taken, shall be tested. Retest new bolts after installation.

-- End of Section --

SECTION 05500A

MISCELLANEOUS METAL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A14.3 (1992) Ladders - Fixed - Safety Requirements

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(2001) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 36/A 36M	(2000a) Carbon Structural Steel
ASTM A 467/A 467M	(1998) Machine and Coil Chain
ASTM A 475	(1998) Zinc-Coated Steel Wire Strand
ASTM A 53/A 53M	(2001) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 653/A 653M	(2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A 924/A 924M	(1999) General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2000) Structural Welding Code - Steel

NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)

NAAMM MBG 531 (1994) Metal Bar Grating Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Miscellaneous Metal Items; Steel Handrails, Floor Plates, Floor Gratings, Ladders and Stairs. - G

Detail drawings indicating material thickness, type, grade, and class; dimensions; and construction details. Drawings shall include catalog cuts, erection details, manufacturer's descriptive data and installation instructions, and templates. Detail drawings for the following items: Steel Handrails, Floor Plates, Floor Gratings, Ladders and Stairs.

1.3 GENERAL REQUIREMENTS

The Contractor shall verify all measurements and shall take all field measurements necessary before fabrication. Welding to or on structural steel shall be in accordance with AWS D1.1/D1.1M. Items specified to be galvanized, when practicable and not indicated otherwise, shall be hot-dip galvanized after fabrication. Galvanizing shall be in accordance with ASTM A 123/A 123M, ASTM A 653/A 653M, or ASTM A 924/A 924M, as applicable. Exposed fastenings shall be compatible materials, shall generally match in color and finish, and shall harmonize with the material to which fastenings are applied. Materials and parts necessary to complete each item, even though such work is not definitely shown or specified, shall be included. Poor matching of holes for fasteners shall be cause for rejection. Fastenings shall be concealed where practicable. Thickness of metal and details of assembly and supports shall provide strength and stiffness. Joints exposed to the weather shall be formed to exclude water.

1.4 DISSIMILAR MATERIALS

Where dissimilar metals are in contact, or where aluminum is in contact with concrete, mortar, masonry, wet or pressure-treated wood, or absorptive materials subject to wetting, the surfaces shall be protected with a coat of bituminous paint or asphalt varnish.

1.5 WORKMANSHIP

Miscellaneous metalwork shall be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching shall produce clean true lines and surfaces. Welding shall be continuous along the entire area of contact except where tack welding is permitted. Exposed connections of work in place shall not be tack welded. Exposed welds shall be ground smooth. Exposed surfaces of work in place shall have a smooth finish, and unless otherwise approved, exposed riveting shall be flush. Where tight fits are required, joints shall be milled. Corner joints shall be coped or mitered, well formed, and in true alignment. Work shall be accurately set to established lines and elevations and securely fastened in place. Installation shall be in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

1.6 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage not otherwise specified or indicated shall include slotted inserts made to engage with the anchors, expansion shields, and power-driven fasteners when approved for concrete;

toggle bolts and through bolts for masonry; machine and carriage bolts for steel; and lag bolts and screws for wood.

1.7 SHOP PAINTING

Surfaces of ferrous metal except galvanized surfaces, shall be cleaned and shop coated with the industrial enamel unless otherwise specified. Surfaces of items to be embedded in concrete shall not be painted. Items to be finish painted shall be prepared according to manufacturer's recommendations or as specified. No asphaltic based paints or primers shall be used.

PART 2 PRODUCTS

2.1 FLOOR GRATINGS AND FRAMES

1 1/4" thick welded carbon steel grating shall closely match existing in pattern and it shall be designed in accordance with NAAMM MBG 531 for 100 psf live load and maximum span of 6'-0". Edges shall be banded with bars 1/4 inch less in height than bearing bars for grating sizes above 3/4 inch. Banding bars shall be flush with the top of bearing grating. Frames shall be of welded steel construction finished to match the grating. Floor gratings and frames shall be painted with industrial enamel. Asphaltic based paints or primers are not allowed.

2.2 FLOOR PLATES

Floor plates shall be 5/16 inch thick, raised pattern, carbon steel safety plate conforming to ASTM A 36/A 36M. Floor plate shall be painted with industrial enamel. Asphaltic based paints or primers are not allowed.

2.3 HANDRAILS

Handrails shall be designed to resist handrail loads specified in ASCE 7.

2.3.1 Steel Handrails, Including Carbon Steel Inserts

Steel handrails, including inserts in concrete, shall be steel pipe conforming to ASTM A 53/A 53M. Steel railings shall be 1-1/2 inch nominal size. Railings shall be shop painted. Pipe collars shall be steel.

- a. Joint posts, rail, and corners shall be fabricated by one of the following methods:
 - (1) Flush type rail fittings of commercial standard, welded and ground smooth with railing splice locks secured with 3/8 inchhexagonal recessed-head setscrews.
 - (2) Mitered and welded joints by fitting post to top rail and intermediate rail to post, mitering corners, groove welding joints, and grinding smooth. Railing splices shall be butted and reinforced by a tight fitting interior sleeve not less than 6 inches long.
 - (3) Railings may be bent at corners in lieu of jointing, provided bends are made in suitable jigs and the pipe is not crushed.

b. Removable sections, toe-boards, and brackets shall be provided as indicated.

2.4 GUY CABLES

Guy cables shall be prestretched, galvanized wire rope of the sizes indicated. Wire rope shall conform to ASTM A 475, high strength grade with Class A coating. Guys shall have a factory attached clevis top-end fitting; guys shall have a factory attached open-bridge strand socket bottom-end fitting; guys shall be complete with oval eye, threaded anchor rods. Fittings and accessories shall be hot-dip galvanized.

2.5 LADDERS

Ladders shall be steel, fixed rail type in accordance with ANSI A14.3.

2.6 MISCELLANEOUS

Miscellaneous plates and shapes for items that do not form a part of the structural steel framework, such as lintels, sill angles, miscellaneous mountings, and frames, shall be provided to complete the work.

2.7 SAFETY CHAINS

Safety chains shall be galvanized welded steel, proof coil chain tested in accordance with ASTM A 467/A 467M, Class CS. Safety chains shall be straight link style, 3/16 inch diameter, minimum 12 links per foot and with bolt type snap hooks on each end. Eye bolts for attachment of chains shall be galvanized 3/8 inch bolt with 3/4 inch eye, anchored as indicated. Two chains shall be furnished for each guarded opening.

2.8 STEEL STAIRS

Steel stairs shall be complete with structural or formed channel stringers, grating treads, landings, columns, handrails, and necessary bolts and other fastenings as indicated. Structural steel shall conform to ASTM A 36/A 36M. Stairs and accessories shall be shop painted with industrial enamel. Gratings for treads and landings shall conform to NAAMM MBG 531. Grating treads shall have slip-resistant nosings.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

All items shall be installed at the locations shown and according to the manufacturer's recommendations. Items listed below require additional procedures as specified.

3.2 ATTACHMENT OF HANDRAILS

Toeboards and brackets shall be installed where indicated. Splices, where required, shall be made at expansion joints. Removable sections shall be installed as indicated.

3.2.1 Installation of Steel Handrails

Installation shall be by means of pipe sleeves secured to base plates bolted to stringers or structural steel framework. Rail ends shall be secured by steel pipe flanges through-bolted to a back plate or by 1/4 inch lag bolts to study or solid backing.

3.3 ERECTION OF GUY CABLES

Guy cables shall be erected as indicated. Anchor rods shall be cast in concrete located and reinforced as shown.

3.4 MOUNTING OF SAFETY CHAINS

Safety chains shall be mounted 3 feet 6 inches and 2 feet above the floor.

-- End of Section --



SECTION 07840A

FIRESTOPPING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM E 84	(1999) Surface Burning Characteristics of Building Materials
ASTM E 119	(1998) Fire Tests of Building Construction and Materials
ASTM E 814	(1997) Fire Tests of Through-Penetration Fire Stops
ASTM E 1399	(1997) Cyclic Movement and Measuring the Minimum and Maximum Joint Widths of Architectural Joint Systems

UNDERWRITERS LABORATORIES (UL)

UL 723	(1996; Rev thru Dec 1998) Test for Surface Burning Characteristics of Building Materials
UL 1479	(1994; Rev thru Feb 1998) Fire Tests of Through-Penetration Firestops
UL 2079	(1998) Tests for Fire Resistance of Building Joint Systems
UL Fire Resist Dir	(1999) Fire Resistance Directory (2 Vol.)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Firestopping Materials;.

Detail drawings including manufacturer's descriptive data, typical details conforming to UL Fire Resist Dir or other details

certified by another nationally recognized testing laboratory, installation instructions or UL listing details for a firestopping assembly in lieu of fire-test data or report. For those firestop applications for which no UL tested system is available through a manufacturer, a manufacturer's engineering judgment, derived from similar UL system designs or other tests, shall be submitted for review and approval prior to installation. Submittal shall indicate the firestopping material to be provided for each type of application. When more than 5 penetrations or construction joints are to receive firestopping, drawings shall indicate location and type of application.

SD-07 Certificates

Firestopping Materials;.

Certificates attesting that firestopping material complies with the specified requirements. In lieu of certificates, drawings showing UL classified materials as part of a tested assembly may be provided. Drawings showing evidence of testing by an alternate nationally recognized independent laboratory may be substituted.

Installer Qualifications;.

Documentation of training and experience.

Inspection;.

Manufacturer's representative certification stating that firestopping work has been inspected and found to be applied according to the manufacturer's recommendations and the specified requirements.

1.3 GENERAL REQUIREMENTS

Firestopping shall consist of furnishing and installing tested and listed firestop systems, combination of materials, or devices to form an effective barrier against the spread of flame, smoke and gases, and maintain the integrity of fire resistance rated walls, partitions, floors, and ceiling-floor assemblies, including through-penetrations and construction joints and gaps. Through-penetrations include the annular space around pipes, tubes, conduit, wires, cables and vents. Construction joints include those used to accommodate expansion, contraction, wind, or seismic movement; firestopping material shall not interfere with the required movement of the joint. Gaps requiring firestopping include gaps between the curtain wall and the floor slab and between the top of the fire-rated walls and the roof or floor deck above.

1.4 STORAGE AND DELIVERY

Materials shall be delivered in the original unopened packages or containers showing name of the manufacturer and the brand name. Materials shall be stored off the ground and shall be protected from damage and exposure to elements. Damaged or deteriorated materials shall be removed from the site.

1.5 INSTALLER QUALIFICATIONS

The Contractor shall engage an experienced Installer who is certified, licensed, or otherwise qualified by the firestopping manufacturer as having the necessary staff, training, and a minimum of 3 years experience in the installation of manufacturer's products per specified requirements. A manufacturer's willingness to sell its firestopping products to the Contractor or to an installer engaged by the Contractor does not in itself confer qualification on the buyer. The Installer shall have been trained by a direct representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures.

1.6 COORDINATION

The specified work shall be coordinated with other trades. Firestopping materials, at penetrations of pipes and ducts, shall be applied prior to insulating, unless insulation meets requirements specified for firestopping. Firestopping materials at building joints and construction gaps shall be applied prior to completion of enclosing walls or assemblies. Cast-in-place firestop devices shall be located and installed in place before concrete placement. Pipe, conduit or cable bundles shall be installed through cast-in-place device after concrete placement but before area is concealed or made inaccessible.

PART 2 PRODUCTS

2.1 FIRESTOPPING MATERIALS

Firestopping materials shall consist of commercially manufactured, asbestosfree products complying with the following minimum requirements:

2.1.1 Fire Hazard Classification

Material shall have a flame spread of 25 or less, and a smoke developed rating of 50 or less, when tested in accordance with ASTM E 84 or UL 723. Material shall be an approved firestopping material as listed in UL Fire Resist Dir or by a nationally recognized testing laboratory.

2.1.2 Toxicity

Material shall be nontoxic to humans at all stages of application.

2.1.3 Fire Resistance Rating

Firestopping will not be required to have a greater fire resistance rating than that of the assembly in which it is being placed.

2.1.3.1 Through-Penetrations

Firestopping materials for through-penetrations, as described in paragraph GENERAL REQUIREMENTS, shall provide "F" and "T" fire resistance ratings in accordance with ASTM E 814 or UL 1479. Fire resistance ratings shall be as follows:

- a. Penetrations of Fire Resistance Rated Walls and Partitions: F Rating = 1-1/2 hours.
 - b. Penetrations of Fire Resistance Rated Floors, Roof-Ceiling Assemblies and Ceiling-Floor Assemblies: F Rating = 1-1/2 hours,

T Rating = 1-1/2 hours.

2.1.3.2 Construction Joints and Gaps

Fire resistance ratings of construction joints, as described in paragraph GENERAL REQUIREMENTS, and gaps such as those between floor slabs or roof decks and curtain walls shall be the same as the construction in which they occur. Construction joints and gaps shall be provided with firestopping materials and systems that have been tested per ASTM E 119 or UL 2079 to meet the required fire resistance rating. Systems installed at construction joints shall meet the cycling requirements of ASTM E 1399 or UL 2079.

PART 3 EXECUTION

3.1 PREPARATION

Areas to receive firestopping shall be free of dirt, grease, oil, or loose materials which may affect the fitting or fire resistance of the firestopping system. For cast-in-place firestop devices, formwork or metal deck to receive device prior to concrete placement shall be sound and capable of supporting device.

3.2 INSTALLATION

Firestopping material shall completely fill void spaces regardless of geometric configuration, subject to tolerance established by the manufacturer. Firestopping systems for filling floor voids 4 inches or more in any direction shall be capable of supporting the same load as the floor is designed to support or shall be protected by a permanent barrier to prevent loading or traffic in the firestopped area. Firestopping shall be installed in accordance with manufacturer's written instructions. Tested and listed firestop systems shall be provided in the following locations, except in floor slabs on grade:

- a. Penetrations of duct, conduit, tubing, cable and pipe through floors and through fire-resistance rated walls, partitions, and ceiling-floor assemblies.
- b. Penetrations of vertical shafts such as pipe chases, elevator shafts, and utility chutes.
- c. Gaps at the intersection of floor slabs and curtain walls, including inside of hollow curtain walls at the floor slab.
- d. Gaps at perimeter of fire-resistance rated walls and partitions, such as between the top of the walls and the bottom of roof decks.
- e. Construction joints in floors and fire rated walls and partitions.
- f. Other locations where required to maintain fire resistance rating of the construction.

3.2.1 Insulated Pipes and Ducts

Thermal insulation shall be cut and removed where pipes or ducts pass through firestopping, unless insulation meets requirements specified for

firestopping. Thermal insulation shall be replaced with a material having equal thermal insulating and firestopping characteristics.

3.2.2 Fire Dampers

Fire dampers shall be installed and firestopped in accordance with Section 15895 AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEM.

3.3 INSPECTION

Firestopped areas shall not be covered or enclosed until inspection is complete and approved. A manufacturer's representative shall inspect the applications initially to ensure adequate preparations (clean surfaces suitable for application, etc.) and periodically during the work to assure that the completed work has been accomplished according to the manufacturer's written instructions and the specified requirements.

-- End of Section --



SECTION 09900

PAINTS AND COATINGS 02/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH Limit Values (1991-1992) Threshold Limit Values (TLVs) for

Chemical Substances and Physical Agents and

Biological Exposure Indices (BEIs)

ACGIH Limit Values Documentation of Threshold Limit Values and

Biological Exposure Indices

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A13.1 Scheme for Identification of Piping Systems

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 235 Standard Specification for Mineral Spirits

(Petroleum Spirits) (Hydrocarbon Dry Cleaning

Solvent)

ASTM D 523 (1999) Standard Test Method for Specular

Gloss

ASTM D 2092 (1995) Preparation of Zinc-Coated

(Galvanized) Steel Surfaces for Painting

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR 1910.1000 Air Contaminants

FEDERAL STANDARDS (FED-STD)

FED-STD-313 (Rev. C) Material Safety Data, Transportation

Data and Disposal Data for Hazardous

Materials Furnished to Government Activities

MASTER PAINTERS INSTITUTE (MPI)

MPI 2 (2001) Aluminum Heat Resistant Enamel (up to

427 C and 800 F)

MPI 8 (2001) Exterior Alkyd, Flat

MPI 9 (2001) Exterior Alkyd Enamel

04018/EM	Central	Heating	Plant	Application	of	Low	Emissions	Tech.

MPI 19	(2001) Inorganic Zinc Primer
MPI 21	(2001)Heat Resistant Enamel, Gloss, (Up to 205 C or 400 F)
MPI 23	(2001) Surface Tolerant Metal Primer
MPI 79	(2001) Marine Alkyd Metal Primer
MPI 94	(2001) Exterior Alkyd, Semi-Gloss
MPI 95	(2001) Fast Drying Metal Primer
MPI 101	(2001) Cold Curing Epoxy Primer
MPI 108	(2001) High Build Epoxy Marine Coating
MPI 110	(2001) Interior/Exterior High Performance Acrylic
MPI 134	(2001) Waterborne Galvanized Primer

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS SP01-01	(2001) Environmentally Preferable Product
	Specification for Architectural and Anti-
	Corrosive Paints

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC QP 1	(1989) Evaluating Qualifications of Painting Contractors (Field Application to Complex Structures)
SSPC PA 1	(2000) Shop, Field, and Maintenance Painting
SSPC Guide 3	(1995) Safety in Paint Application
SSPC VIS 3	(1993) Visual Standard for Power- and Hand- Tool Cleaned Steel (Standard Reference Photographs)
SSPC SP 1	(1982) Solvent Cleaning
SSPC SP 3	(1995) Power Tool Cleaning
SSPC SP 6	(1994) Commercial Blast Cleaning

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire contract and each coating system is to be from a single manufacturer. All coats on a particular substrate must be from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with specification requirements.

In keeping with the intent of Executive Order 13101, "Greening the Government through Waste Prevention, Recycling, and Federal Acquisition", products certified by SCS as meeting SCS SP01-01 shall be given preferential consideration over registered products. Products that are registered shall be given preferential consideration over products not carrying any EPP designation.

SD-02 Shop Drawings

Piping identification

Submit color stencil codes

SD-03 Product Data

Coating; G

Manufacturer's Technical Data Sheets

SD-04 Samples

Color; G

Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated.

SD-08 Manufacturer's Instructions

Application instructions

Mixing

Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

Manufacturer's Material Safety Data Sheets

Submit manufacturer's Material Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

SD-10 Operation and Maintenance Data

Coatings: G

Preprinted cleaning and maintenance instructions for all coating systems shall be provided.

1.3 APPLICATOR'S QUALIFICATIONS

1.3.1 SSPC QP 1 Certification

All contractors and subcontractors that perform surface preparation or coating application shall be certified by the Society for Protective Coatings (formerly Steel Structures Painting Council) (SSPC) to the requirements of SSPC QP 1 prior to contract award, and shall remain certified while accomplishing any surface preparation or coating application. The painting contractors and painting subcontractors must remain so certified for the duration of the project. If a contractor's or subcontractor's certification expires, the firm will not be allowed to perform any work until the certification is reissued. Requests for extension of time for any delay to the completion of the project due to an inactive certification will not be considered and liquidated damages will apply. Notify the Contracting Officer of any change in contractor certification status.

1.4 OUALITY ASSURANCE

1.4.1 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph "Sampling Procedures." Test each chosen product as specified in the paragraph "Testing Procedure." Products which do not conform, shall be removed from the job site and replaced with new products that confrom to the referenced specification. Testing of replacement products that failed initial testing shall be at no cost to the Government.

1.4.1.1 Sampling Procedure

The Contracting Officer will select paint at random from the products that have been delivered to the job site for sample testing. The Contractor shall provide one quart samples of the selected paint materials. The samples shall be taken in the presence of the Contracting Officer, and labeled, identifying each sample. Provide labels in accordance with the paragraph "Packaging, Labeling, and Storage" of this specification.

1.4.1.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph "Qualification Testing" laboratory for coatings. The qualification testing lab report shall include the backup data and summary of the test results. The summary shall list all of the reference specification requirements and the result of each test. The summary shall clearly indicate whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If the Contractor chooses MPI to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.5 REGULATORY REQUIREMENTS

1.5.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.5.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.5.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.5.4 Asbestos Content

Materials shall not contain asbestos.

1.5.5 Mercury Content

Materials shall not contain mercury or mercury compounds.

1.5.6 Silica

Abrasive blast media shall not contain free crystilline silica.

1.5.7 Human Carcinogens

Materials shall not contain ACGIH Limit Values and ACGIH Limit Values confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6 PACKAGING, LABELING, AND STORAGE

Paints shall be in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Pigmented paints shall be furnished in containers not larger than 5 gallons. Paints and thinners shall be stored in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with

sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.7 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance with the following:

Work shall comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01525, "Safety Requirements" and in Appendix A of EM 385-1-1. The Activity Hazard Analysis shall include analyses of the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.7.1 Safety Methods Used During Coating Application

Comply with the requirements of SSPC Guide 3.

1.7.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation.
- b. 29 CFR 1910.1000.
- c. ACGIH Limit Values, threshold limit values.

1.8 ENVIRONMENTAL CONDITIONS

1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than 5 degrees F above dew point;
- b. Below 50 degrees F or over 95 degrees F, unless specifically preapproved by the Contracting Officer and the product manufacturer. Under no circumstances shall application conditions exceed manufacturer recommendations.

1.9 COLOR SELECTION

Color Coding for Shore-to-Ship Utility Connections

Service	Color	FED-STD-595 No.
Potable Water*	Blue	15044
Water Provided for Fire Protection**	Red	11105
Chilled Water	Striped Blue/White	15044/17886

Oily Waste Water	Striped Yellow/Black	13538/17038
Sewer	Gold	17043
Steam	White	17886
High Pressure Air	Gray	16081
Low Pressure Air	Tan	10324
Fuel	Yellow	13655

- * This includes connections serving domestic functions.
- ** This includes non-potable salt water or, at some locations, fresh water connections provided for fire protection (may also include flushing and cooling requirements). Note: This does not include waterfront fire hydrants.

Color, texture, and pattern of wall coating systems shall be as close as possible to the exist paint and in conformance with the Heating Plants' color coding scheme.

Colors of finish coats shall be as indicated or specified. Where not indicated or specified, colors shall be selected by the Contracting Officer. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors approximate colors indicated and the product conforms to specified requirements.

Tint each coat progressively darker to enable confirmation of the number of coats.

1.10 LOCATION AND SURFACE TYPE TO BE PAINTED

1.10.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.
- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.
- c. Existing coated surfaces that are damaged during performance of the work.

1.10.1.1 Exterior Painting

Includes new surfaces of the Work and appurtenances as indicated. Also included are existing coated surfaces made bare by cleaning operations.

1.10.1.2 Interior Painting

Includes new surfaces of the Work and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

- a. Exposed columns, girders, beams, joists, and grating.
- b. Other contiguous surfaces.

1.10.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.
- b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.
- c. Steel to be embedded in concrete.
- d. Copper, stainless steel, aluminum, brass, and lead except existing coated surfaces.
- e. Hardware, fittings, and other factory finished items.

1.10.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new surfaces.

- a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.
 - (1) Exposed piping, conduit, and ductwork;
 - (2) Supports, hangers, air grilles, and registers;
 - (3) Miscellaneous metalwork and insulation coverings.
- b. Do not paint the following, unless indicated otherwise:
 - (1) New zinc-coated, aluminum, and copper surfaces under insulation.
 - (2) New aluminum jacket on piping
 - (3) New interior ferrous piping under insulation.

1.10.4 Equipment Painting

Includes new and refurbished equipment.

- a. New or refurbished mechanical equipment and electrical equipment shall be shop painted in accordance to the following specifications.
 - (1) Mechanical equipment shall be painted per Specification 15050N, Section 3.1.
 - (2) Electrical equipment shall be painted per Specification 16050N, Section 3.1.

1.10.5 Definitions and Abbreviations

1.10.5.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.10.5.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing shall only be accomplished by MPI testing lab.

1.10.5.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (metals, plastics, wood, paper, leather, cloth, etc.). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendering, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

1.10.5.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.10.5.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

1.10.5.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

1.10.5.7 EXT

MPI short term designation for an exterior coating system.

1.10.5.8 INT

MPI short term designation for an interior coating system.

1.10.5.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.10.5.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.10.5.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

1.10.5.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and G10ss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss	Description	Units	Units
Level		@ 60 degrees	@ 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D 523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.10.5.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

1.10.5.14 Paint

See Coating definition.

1.10.5.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.10.5.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit manufacturer's technical data sheets for specified coatings and solvents.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect, hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, disintegrated coatings, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primmed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

- a. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D 235. Allow surface to dry. Wiping shall immediately precede the application of the first coat of any coating, unless specified otherwise.
- b. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

- c. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer.
- d. Previously painted surfaces damaged during construction shall be thoroughly cleaned of all grease, dirt, dust or other foreign matter.
- e. Blistering, cracking, flaking and peeling or other deteriorated coatings shall be removed.
- f. Slick surfaces shall be roughened. Damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls shall be repaired with suitable material to match adjacent undamaged areas.
- g. Edges of chipped paint shall be feather edged and sanded smooth.
- h. Rusty metal surfaces shall be cleaned as per SSPC requirements. Solvent, mechanical, or chemical cleaning methods shall be used to provide surfaces suitable for painting.
- i. New, proposed coatings shall be compatible with existing coatings.

3.3 PREPARATION OF METAL SURFACES

3.3.1 Existing and New Ferrous Surfaces

Ferrous Surface Preparation

SSPC Blasting/Cleaning Levels<SPS>a</SPS> - Primer Types/Exposures

	Ez	xposure < SPS>b	
	Mild	Moderate	Severe SPS>c SPS>c SPS>
Primer Type	alkyd/oil latex oleoresinous phenolic	alkyd/oil latex oleoresinous phenolic	epoxy silicone inorganic zinc-rich
Surface Condition	ı		
Uncoated Oil, grease, dirt	SP 1	for all moderate conditions, select from "mild" or "severe" for	SP 12 WJ2
Localized corrosion - mill scale, S rust		intended performance level	AS ABOVE
Extensive deterioration	SP 6 ^{<sps>d</sps>} SP 12 WJ-3		AS ABOVI

04018/EM

Shop coated
Oil, grease, SPS>e</SPS>
AS ABOVE

Localized SP 2, SP 3, or AS ABOVE damage to be SP 7, SP 12 WJ-4

spot repaired

Extensive SP 6^{SPS>d</SPS>}, SP 12 WJ-3 AS ABOVE deterioration

<SPS>q</SPS>

<SPS>f</SPS>

Chalking,
foreign matter
other than
oil or grease,
localized

deterioration

Extensive SP $6^{\text{SPS} \times \text{d} \times \text{SPS}}$, SP 12 WJ-3 SP 10, deterioration SP 12 WJ2 or SP 5 SP 12 WJ1

'SPS>a</SPS'
If it is not possible to abrasive blast or use water jetting, SP 11
is recommended. It is considered equivalent to SP 6. SP 11 is also
preferred wherever SP 2 or SP 3 are shown in the Table.
</pre>

 $^{\text{\tiny SPS>b</SPS>}}$ These are minimum requirements. A high-performing system may be a better choice for longer performance.

<SPS>c</SPS> For marine, chemical, or immersion service, or application of heat
resistant or nonslip floor coatings. SP 10 is preferred for zinc-rich
primers, and for extremely severe environments where long-term performance is
desired.

 $\ensuremath{^{\text{SPS} imes dc/SPS>}}$ Use water jetting to SP 12 WJ-3, as alternate to SP 6 degree of cleanliness.

 $^{\mbox{\scriptsize SPS}\mbox{\scriptsize >e</SPS>}}$ Use only the steam clean, or non-alkaline detergent solutions of SP 1

 $^{\text{SPS}}$ First, remove chalk and dirt with a non-alkaline detergent solution, and follow with power wash at minimum 2000 psi. Second, spot clean, in order of preference by SP 6, SP 11, SP 7, SP 3, or SP 2.

<SPS>g</SPS> First, remove chalk and dirt with a non-alkaline detergent
solution, and follow with power wash at minimum 2000 psi. Second, spot
clean, in order of preference, by SP 10, SP 6, or SP 11.

 $^{ ext{SPS} ext{>hc/SPS} ext{>}}$ SSPC SP 12 provides four levels of water jetting cleanliness and they reflect the four levels of abrasive blast cleanliness but direct correlation is inaccurate or inappropriate. The four levels are (best to worst): WJ-1, WJ-2, WJ-3, and WJ-4. They are equivalent to the abrasive

blast standards SSPC SP 5, SP 10, SP 6, and SP 7. The standard also includes three levels of cleanliness for nonvisual contaminants, SC-1, SC-2, and SC-3. The preferred level of cleanliness is between SC-1 or SC-2.

- a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 3.
- b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6.

3.3.2 Final Ferrous Surface Condition:

Type Coating	Level of Cleaning, SSPC SP
a. Latex or Alkyd	2,3,6 or SP 12 WJ-2.(7 and 10, SP 12 WJ-2 or SP 12 WJ-1 may be left in as Contractor options)
b. High Performance (i.e. Epoxy, Urethanothers)	7,10 e,

For tool cleaned surfaces, the requirements are stated in SSPC SP 3. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 3.

3.3.3 Galvanized Surfaces

a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. If the galvanized metal has been passivated or stabilized, the coating shall be completely removed by brush-off abrasive blast. New galvanized steel to be coated shall not be "passivated" or "stabilized" If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D 2092, Appendix X2, and remove by one of the methods described therein.

3.3.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

a. Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.4 APPLICATION

3.4.1 Coating Application

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated.

Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete.

Touch up damaged coatings before applying subsequent coats.

- a. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- b. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Each coat shall cover surface of preceding coat or surface completely, and there shall be a visually perceptible difference in shades of successive coats.
- c. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

3.4.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. The written permission shall include quantities and types of thinners to use.

When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

3.4.3 Two-Component Systems

Two-component systems shall be mixed in accordance with manufacturer's instructions. Any thinning of the first coat to ensure proper penetration and sealing shall be as recommended by the manufacturer for each type of substrate.

3.4.4 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 5 & Division 15. Exterior and Interior Metal, Ferrous and Non-Ferrous Paint Table.

- b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.
 - c. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.
- c. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
 - (1) One coat of primer.
 - (2) One coat of undercoat or intermediate coat.
 - (3) One topcoat to match adjacent surfaces.
- d. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 and Division 15 for Exterior and Interior.

- a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat, but shall be overcoated with the specified ferrous-metal primer prior to application of finish coats.

3.6 PIPING IDENTIFICATION

Piping Identification, for pipes not listed in the following specifications, and including Surfaces In Concealed Spaces: Provide in accordance with ANSI A13.1. Place stenciling in clearly visible locations. On piping not covered by ANSI A13.1, stencil approved names or code letters, in letters a minimum of 1/2 inch high for piping and a minimum of 2 inches high elsewhere. Stencil arrow-shaped markings on piping to indicate direction of flow using black stencil paint.

- a. High Temperature Water System piping shall have identification per Specification 15184A, Paragraph 3.6.
- b. Natural Gas Piping shall have identification per Specification 15195N, Paragraph 2.10.
- c. Low Pressure Compressed Air Piping shall have identification per Specification 15211N, Paragraph 3.1.14.

3.7 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.8 PAINT TABLES

All DFT's are minimum values.

3.8.1 DIVISION 5 & DIVISION 15: EXTERIOR & INTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

STEEL / FERROUS SURFACES

- A. New Steel that has been hand or power tool cleaned to SSPC SP 3
- 1. Alkyd
 New; MPI EXT 5.1Q-G5 (Semigloss) Existing; MPI REX 5.1D-G5

04018/EM Central Heating Plant Application of Low Emissions Tech.

Primer: Intermediate: Topcoat: MPI 23 MPI 94 MPI 94

System DFT: 5.25 mils

New; MPI EXT 5.1Q-G6 (Gloss) / Existing; MPI REX 5.1D-G6

Primer: Intermediate: Topcoat: MPI 23 MPI 9 MPI 9

System DFT: 5.25 mils

- B. New Steel that has been blast-cleaned to SSPC SP 6:
- 1. Alkyd

New; MPI EXT 5.1D-G5 (Semigloss) / Existing; MPI REX 5.1D-G5

Primer: Intermediate: Topcoat: MPI 79 MPI 94 MPI 94

System DFT: 5.25 mils

New; MPI EXT 5.1D-G6 (Gloss) / Existing; MPI REX 5.1D-G6

Primer: Intermediate: Topcoat: MPI 79 MPI 9 MPI 9

System DFT: 5.25 mils

- C. Existing steel that has been spot-blasted to SSPC SP 6:
- 1. Surface previously coated with alkyd or latex:

Waterborne Light Industrial Coating

MPI REX 5.1C-G5 (Semigloss)

Spot Primer: Intermediate: Topcoat: MPI 79 MPI 110-G5 MPI 110-G5

System DFT: 5 mils

MPI REX 5.1C-G6 (Gloss)

Spot Primer: Intermediate: Topcoat: MPI 79 MPI 110-G6 MPI 110-G6

System DFT: 5 mils

GALVANIZED SURFACES

- D. New Galvanized surfaces:
- 1. Waterborne Primer / Waterborne Light Industrial Coating

MPI EXT 5.3J-G5 (Semigloss)

Primer: Intermediate: Topcoat: MPI 134 MPI 110-G5 MPI 110-G5

System DFT: 4.5 mils

MPI EXT 5.3J-G6 (Gloss)

Primer: Intermediate: Topcoat: MPI 134 MPI 110-G6 MPI 110-G6

System DFT: 4.5 mils

2. Epoxy Primer / Waterborne Light Industrial Coating

MPI EXT 5.3K-G5 (Semigloss)

Primer: Intermediate: Topcoat: MPI 101 MPI 110-G5 MPI 110-G5

System DFT: 5 mils

04018/EM

MPI EXT 5.3K-G6 (Gloss)

Primer: Intermediate: Topcoat: MPI 101 MPI 110-G6 MPI 110-G6

System DFT: 5 mils

- E. Galvanized surfaces with slight coating deterioration; little or no rusting:
- 1. Waterborne Light Industrial Coating

MPI REX 5.3J-G5 (Semigloss)

Primer: Intermediate: Topcoat: MPI 134 N/A MPI 110-G5

System DFT: 4.5 mils

- F. Galvanized surfaces with severely deteriorated coating or rusting:
- 1. Waterborne Light Industrial Coating

MPI REX 5.3L-G5(Semigloss)

Primer: Intermediate: Topcoat: MPI 101 MPI 108 MPI 110-G5

System DFT: 8.5 mils

MPI REX 5.3L-G6(Gloss)

Primer: Intermediate: Topcoat: MPI 101 MPI 108 MPI 110-G6

System DFT: 8.5 mils

OTHER METALS (NON-FERROUS)

- G. Aluminum, aluminum alloy and other miscellaneous non-ferrous metal items not otherwise specified except hot metal surfaces, roof surfaces, and new prefinished equipment. Match surrounding finish:
- 1. Alkyd

MPI EXT 5.4F-G5 (Semigloss)

Primer: Intermediate: Topcoat: MPI 95 MPI 94 MPI 94

System DFT: 5 mils

MPI EXT 5.4F-G6 (Gloss)

Primer: Intermediate: Topcoat: MPI 95 MPI 9 MPI 9

System DFT: 5 mils

2. Waterborne Light Industrial Coating

MPI EXT 5.4G-G5(Semigloss)

Primer: Intermediate: Topcoat: MPI 95 MPI 110-G5 MPI 110-G5

System DFT: 5 mils

MPI EXT 5.4G-G6(Gloss)

Primer: Intermediate: Topcoat: MPI 95 MPI 110-G6 MPI 110-G6

System DFT: 5 mils

MISCELLANEOUS AND HOT METAL SURFACES

H. Surfaces adjacent to painted surfaces; Mechanical, Electrical, and miscellaneous metal items not otherwise specified and hot metal surfaces. Match surrounding finish:

1. Alkyd

MPI EXT 5.1D-G1 (Flat)

Primer: Intermediate: Topcoat: MPI 79 MPI 8 MPI 8

System DFT: 5.25 mils

MPI EXT 5.1D-G5 (Semigloss)

Primer: Intermediate: Topcoat: MPI 79 MPI 94 MPI 94

System DFT: 5.25 mils

MPI EXT 5.1D-G6 (Gloss)

Primer: Intermediate: Topcoat: MPI 79 MPI 9 MPI 9

System DFT: 5.25 mils

2. Waterborne Light Industrial Coating

MPI EXT 5.1C-G3(Eggshell)

Primer: Intermediate: Topcoat: MPI 79 MPI 110-G3 MPI 110-G3

System DFT: 5 mils

MPI EXT 5.1C-G5(Semigloss)

Primer: Intermediate: Topcoat: MPI 79 MPI 110-G5 MPI 110-G5

System DFT: 5 mils

MPI EXT 5.1C-G6(Gloss)

Primer: Intermediate: Topcoat: MPI 79 MPI 110-G6 MPI 110-G6

System DFT: 5 mils

- I. Hot metal surfaces subject to temperatures up to 232 degrees C (450 degrees F):
- 1. Heat Resistant Enamel

MPI EXT 5.2A

Intermediate: Primer: Topcoat:

Primer: Intermediate: Topcoat:
MPI 21 Surface preparation and number of coats per

manufacturer's instructions. System DFT: Per Manufacturer

- J. Ferrous metal subject to high temperature, up to 400 degrees C (750 degrees F):
- 1. Inorganic Zinc Rich Coating

MPI EXT 5.2C

Primer: Intermediate: Topcoat:

MPI 19 Surface preparation and number of coats per

manufacturer's instructions. System DFT: Per Manufacturer 2. Heat Resistant Aluminum Enamel MPI EXT 5.2B (Aluminum Finish)

Intermediate: Topcoat: Primer:

MPI 2 Surface preparation and number of coats per

manufacturer's instructions. System DFT: Per Manufacturer

-- End of Section --



SECTION 13405A

PROCESS CONTROL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (2001) Electric Meters Code for Electricity Metering

ANSI C37.90 (1989) Relays and Relay Systems Associated with Electric Power Apparatus

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE Hdbk-IP (2001) Fundamentals Handbook, I-P Edition

ASME INTERNATIONAL (ASME)

ASME B31.8 (2000) Gas Transmission and Distribution

Piping Systems

ASME FED (1971; Sixth Edition) Fluid Meters Their

Theory and Application

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991) Recommended Practice for Surge Voltages in Low-Voltage AC Power Circuits

IEEE Std 100 (2000) IEEE Standard Dictionary of Electrical and Electronics Terms

IEEE Std 142 (1992) Recommended Practice for Grounding

of Industrial and Commercial Power Systems

- Green Book

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1997) Enclosures for Electrical Equipment

(1000 Volts Maximum)

NEMA ICS 1 (2001) Industrial Control and Systems

NEMA ICS 2 (2002) Industrial Controls and Systems

Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC $\,$

or 750 Volts DC

NEMA ICS 4 (2000) Industrial Control and Systems Terminal Blocks

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST SP 250 (1998) Calibration Service Users Guide

UNDERWRITERS LABORATORIES (UL)

UL 1059 (2001; 4th Edition) Terminal Blocks

UL 508 (1999; Rev thru Dec 2001) Industrial

Control Equipment

1.2 CONTROL SYSTEM DESCRIPTION

The process instrumentation and control system shall be used to monitor and control the operation of the High Temperature Hot Water Generators (HTHW Boilers) and their associated equipment as specified and in accordance with the existing sequence of control and modified herein and as detailed on the drawings. The control system shall provide for operator interaction, overall control system supervision, and process equipment control and monitoring. The Contractor shall provide hardware & software configured and sized to support expansion as specified and shown on the drawings.

1.2.1 Control System General Requirements

The control system shall consist of modifications and additions to the existing Bailey DCS, boiler control system. The existing programming code and wiring diagram for the Bailey DCS are available through Gerry Lynn at the Malmstrom AFB Central Heating Plant (406-731-6431).

1.2.2 Control System Operation

The control system provided under this specification shall operate using direct digital control (DDC) algorithms or ladder logic type and supervisory control to provide the required sequences of operation. Input data to the controller shall be obtained by using instruments and controls interfaced to mechanical, electrical, and other utility systems as shown and specified. All required setpoints, settings, alarm limits, and sequences of operation shall be as identified in the sequences of operation specified herein.

Symbols, Definitions, and Abbreviations 1.2.3

Symbols, definitions, and engineering unit abbreviations shall conform to IEEE Std 100, as applicable, including the following specific definitions. Contractor in this section refers to the Control and Instrument Sub-Contractor, unless noted otherwise.

O&M means Operation and Maintenance

BMS means Burner Management System

OIU means Operator Interface Unit

PLC means Programmable Logic Controller

DCS means Distributed Control System (Bailey INFI-90 System)

PID means Proportional, Integral and Derivative

M/A means Manual/Automatic

SDA means Spray Dryer Absorber

1.3 ENVIRONMENTAL CONDITIONS

Capacity and design of the control cabinet cooling and control equipment shall be suitable for 24-hour full load service and shall meet the following criteria.

Design Temperatures:

Inside Air Temperature 55 to 120 degrees F.

Contaminants: dust & dirt.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Installation; G,
Wiring; G,

Detail drawings containing complete piping, wiring, schematic, flow diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Piping and Instrumentation (P&ID) drawings (prepared using industry recognized device symbols, clearly defined and describing piping designations to define the service and materials of individual pipe segments and instrument tags employing Instrument Society of America suggested identifiers). Drawings shall include, as appropriate: product specific catalog cuts; a drawing index; a list of symbols; a series of drawings for each control system using abbreviations, symbols, nomenclature and identifiers as shown and valve schedules.

SD-03 Product Data

Instrumentation and Control System; G.

Manufacturer's descriptive and technical literature, performance charts and installation instructions. Product specific catalog cuts shall be in booklet form, indexed to the unique identifiers, and shall consist of data sheets that document compliance with the specification. Where multiple components are shown on a catalog cut, the application specific component shall be marked.

Sensors and Meters; G.

Manufacturer's descriptive and technical literature, catalog

cuts, performance charts and installation instructions.

Training Manual; G.

Instruction manual 21 days prior to turn over of any part of the system.

Performance Verification Test (PVT); G.

The performance verification test procedure; it shall refer to the actions and expected results to demonstrate that the control system performs in accordance with the sequence of control. A list of the equipment to be used during the testing shall be included. The list shall also include manufacturer's name, model number, equipment function, the date of the latest calibration and the results of the latest calibration.

Factory Test Procedure; G.

Documentation containing factory test methods and procedures.

SD-06 Test Reports

Factory Test Report; G.
Testing, Adjusting and Commissioning; G.
Performance Verification Test(PVT); G.
Endurance Test; G.

Test results in report format.

Insertion Turbine Flowmeter; G.

Calibration test data.

SD-07 Certificates

Control and Sensor Wiring; G.

Certified test results for surge protection.

Ground Rods; G.

Certification stating that the test was performed in accordance with IEEE Std 142.

SD-10 Operation and Maintenance Data

Instrumentation and Control System; G.

Six complete copies of operating instructions outlining the step-by-step procedures required for system startup, operation and shutdown. The instructions shall include layout, wiring and control diagrams of the system as installed. The instructions shall include the manufacturer's name, model number, service manual, parts list and a brief description of all equipment and their basic operating features.

Six complete copies of maintenance instructions listing routine maintenance procedures, possible breakdowns and repairs and

trouble shooting guides.

1.5 EQUIPMENT REQUIREMENTS

1.5.1 Materials and Equipment

Materials and equipment shall be standard unmodified products of a manufacturer regularly engaged in the manufacturing of such products. Units of the same type of equipment shall be products of a single manufacturer. Items of the same type and purpose shall be identical and supplied by the same manufacturer, unless replaced by a new version approved by the Government.

1.5.2 Nameplates

Each major component of equipment shall have the manufacturer's name and address, and the model and serial number in a conspicuous place. Laminated plastic nameplates shall be provided for equipment devices and panels furnished. Metal nameplates shall be provided on components subject to high temperatures. Each nameplate shall identify the device, such as pump "P-1" or valve "VLV-402". Labels shall be coordinate with the schedules and the process and instrumentation drawings. Laminated plastic shall be 1/8 inch thick, white with black center core. Nameplates shall be a minimum of 1 by 3 inches with minimum 1/4 inch high engraved block lettering. Nameplates for devices smaller than 1 by 3 inches shall be attached by a nonferrous metal chain. All other nameplates shall be attached to the device.

1.6 SCOPE OF WORK

1.6.1 Demolition of Existing Back-up Control System

Contractor shall be responsible for neat removal of existing Allen Bradley PLC system that was intended to be used as a backup to the Bailey DCS. PLC system was wired into Bailey DCS with relays that would sever signals to Bailey and connect them to PLC. PLC shall be removed in such a manner that Bailey DCS will continue to function. Contractor shall reference and field verify original installing Contractor's drawing package for wiring. Original installing Contractor's drawing package is available through Gerry Lynn at Malmstrom AFB Central Heating Plant (406-731-6431). Demolition shall include removal of all unnecessary conduits.

1.6.2 New Burner Manufacturer Interfacing to Bailey INFI-90 system

1.6.2.1 Burner Enable

There shall be available one (1) discrete output for each BMS panel to enable burner operation. This shall be selectable from the Bailey OIU.

1.6.2.2 Purging

There shall be available two (2) discrete inputs available for each BMS panel to control purging operation of boilers. One shall be used for high fire purge, and the second input shall be used for low fire hold to enable light-off.

Upon receipt of high fire input Bailey system shall drive new burner manufacturer actuator to full open in order to satisfy limit switches. This shall be maintained until receipt of low fire signal at which time the

actuator shall be driven to minimum position. Minimum position shall be maintained until loss of low fire signal. Burner shall then be released to modulate, following PID or M/A station in Bailey INFI-90 system.

Purging shall be able to be monitored from the Bailey OIU. No purge is required, if the grate flame scanner is satisfied.

1.6.2.3 Control of Burner

Burner shall be able to use either hot water temperature at boiler outlet in automatic or be able to baseload using a gas flow setpoint. Base loading of the burner may be used during warm-up, or while co-firing with coal swinging with the load. This shall require one (1) 4-20 mA output per actuator. This output shall be used to position parallel position jackshaft on each burner. This will also require one analog input per boiler train for gas flow measurement.

Burner setpoints and control shall be able to be monitored by Bailey OIU.

The contractor shall be responsible for supplying necessary instrumentation for monitoring gas flow to burners.

There shall be two (2) forms of heat input to Generators No. 1 or No. 3; natural gas and/or coal. One (1) fuel shall be in automatic control. While firing only natural gas, the minimum heat input of natural gas is 10.0 MMBtu/hr (approximately 8 MMBtu/hr heat output) and the maximum heat input is 50 MMBtu/hr (approximately 40 MMBtu/hr heat output). The maximum heat output of the Generator No. 1 or No. 3 is 85 MMBtu/hr. The heat input to each generator shall be limited to a heat output of 85 MMBtu/hr. When the heat output exceeds 85 MMBtu/hr, the natural gas burner shall be tripped off, i.e., no heat input from natural gas while co-firing with coal, the maximum heat input of natural gas shall be limited to 30 MMBtu/hr (approximately 24 MMBtu/hr heat input).

1.6.3 SDA Inlet Temperature Control

This shall be used to maintain a minimum inlet temperature to SDA. Temperature shall be controlled by using 2 bypass ducts that will bypass the air preheater combustion air and flue gas. Temperature input shall be from temperature sensor. An actuator shall drive each control damper. One damper shall modulate to bypass the combustion air and the other damper shall close off airflow through the air heater. The second set of dampers on the air heater shall bypass the flue gas operating in a similar matter. These dampers shall be controlled with an open-close actuator on flue gas. The contractor shall be responsible for supplying and mounting actuators to drive dampers. This shall require one (1) 4-20 mA output for each installed actuator with positioners on the combustion air bypass and shall require one digital output for each actuator and solenoid installed on the flue gas bypass duct. SDA Temperature control shall be able to be monitored from Bailey OIU.

1.6.4 Mass Air Flow for Undergrate Air

Primary undergrate air temperature swings are anticipated due to operation of the new air heater bypasses. Subsequently, the existing airflow control scheme shall be replaced with a mass flow control scheme. The existing air flow element (annubar) shall be relocated approximately 11 feet below its current location in order to place it between the new air heater bypass duct and the undergrate plenum. The annubar shall be installed at the

centerline of the duct in order to obtain the best possible airflow signal. Temperature compensation shall be incorporated using two (2) new temperature sensors installed as close as possible to the inlet to the undergrate plenum. The temperature sensors, whose signals shall be averaged, shall be located appropriately to obtain a true representation of the mixed air temperature. Air temperatures are expected to vary from 80 degrees F to 180 degrees F. Pressure compensation shall be integrated into the control scheme using an existing duct pressure sensor. The Contractor shall generate fuel to air data for incorporation in the Bailey DCS for generator loads from 30 percent load to 100 percent load, in 5 percent increments, utilizing low oxygen levels equivalent with current plant operation.

1.7 SEQUENCES OF OPERATION AND CONTROL SCHEME FOR GAS BURNER ADDITION

The control modifications shall provide the following Sequences of Operation, without adversely affecting existing operations of the existing equipment. The Contractor shall make necessary adjustments to make the equipment operate in an optimum manner and shall fully document changes made. The Contractor shall have documented experience with ABB (formerly Bailey) Distributed Control System (DCS) and Boiler Controls.

1.7.1 Spray Dryer Temp Control by Air Heater Bypass

Spray Dryer Absorber(SDA) inlet temperature with boiler loads above ~80% shall be controlled using the modulating dampers on the combustion air side of the heater (D-1 & D-2). This normal mode of operation shall be controlled using a PID and M/A station to maintain a temperature of 350 deg F. This shall allow the SDA to operate at its optimum efficiency. Increasing the bypass shall allow the SDA inlet temperature to increase. A special condition will exist when boiler outlet flue gas temperature drops below 414 deg F. At this time the combustion air side shall track in full bypass, and the flue gas side shall also go into full bypass. At no time shall the air heater drive be shut down. The flue gas dampers (D-3 & D-4) shall have a nominal 45 sec. stroke time; this shall allow the furnace pressure control to maintain furnace pressure. The stroke time may have to be adjusted at start-up time in order to maintain safest operation of boiler controls. As the load increases and the boiler outlet flue gas temperature increases above 430 deg F the flue gas bypass dampers shall go out of bypass mode, with controlled stroke time. The combustion air side shall then be released from track and allowed to modulate, controlling the SDA inlet at 350 deg F. Existing SDA inlet and flue gas temperature sensors are to be reused.

1.7.2 Startup on Gas Burners

The coal fired forced draft fan inlet damper shall be partially open (keep grates cool with ash bed) with main coal fired FD fan off for gas burner operation. Gas burners shall be started up in manual allowing operations to slowly heat the furnace and tubes. Bailey Infi-90 system shall be able to read high and low fire signals from BMS in order to position actuator for purge and light-off. Upon receipt of high fire signal from BMS, Bailey DCS shall drive burner actuator to high fire. Upon receipt of low fire signal, Bailey DCS shall drive burner actuator to low fire position. Upon loss of both signals, Bailey DCS shall allow 30 seconds to elapse before releasing burner to operator control.

1.7.3 Switchover to Coal from Gas

After boiler is warmed-up and coal is started, the gas burner will be placed in an automatic mode that will keep the gas burner from over-firing and causing a runaway boiler. This shall be accomplished by biasing the gas burner setpoint slightly below the main hot water temperature. After coal fire is established, gas boilers can be shutdown by operations or co-fired with coal.

PART 2 PRODUCTS

2.1 GENERAL REQUIREMENTS

Equipment located outdoors, not provided with climate controlled enclosure, shall be capable of operating in the ambient temperature range indicated in paragraph ENVIRONMENTAL CONDITIONS, unless otherwise specified. Electrical equipment will conform to Section 16415A, "ELECTRICAL WORK, INTERIOR". Equipment and wiring must be in accordance with NFPA 70, with proper consideration given to environmental conditions such as moisture, dirt, corrosive agents, and hazardous area classification.

2.2 MONITORING AND CONTROL PARAMETERS

The control system shall be complete including sensors, field preamplifiers, signal conditioners, offset and span adjustments, amplifiers, transducers, transmitters, control devices, engineering units conversions and algorithms for the applications; and shall maintain the specified end-to-end process control loop accuracy from sensor to display and final control element. Control equipment shall be powered by a 120 vAc, single phase, 60 Hz power source, with local transformers included as needed for signal transmission and subsystem operation. Connecting conductors shall be suitable for installed service. Enclosures shall be rated for NEMA 12.

2.2.1 Transmitters

Unless indicated otherwise, each sensor shall be provided with a transmitter, selected to match the sensor. Except where specifically indicated otherwise on the drawings, the transmitter shall be HART Protocol and provided with a digit display of the measured parameter. Transmitter shall be located where indicated, mounted integrally with the sensor, pipe mounted, wall mounted or installed in the control panel. The distance between the sensor and transmitter shall not exceed the manufacturer's recommendation. Field preamplifiers and signal conditioners shall be included when necessary to maintain the accuracy from sensor to the programmable logic controller or recorder. Transmitters shall be ABB or approved equal.

2.2.2 Actuators

Actuators for louver dampers shall be in accordance with Section 15555A, "MODIFICATIONS TO CENTRAL HIGH TEMPERATURE WATER (HTW) GENERATING PLANT AND AUXILIARIES." Other actuators shall have an integrated pneumatically operated positioner capable of receiving 4-20mA signal from ABB DCS. All actuators shall include a speed control valve to adjust stroke time.

2.2.3 Natural Gas

Flowmeter for natural gas flows shall be orifice plate and differential pressure transmitter. The orifice plate shall not have more than 0.5 psig permanent pressure drop across the plate. The plate shall be calculated

for normal expected pressure and temperature. Orifice plate and flanges shall be compatible with piping used for gas transmission with regard to line size and pipe schedule.

2.2.4 Orifice Plate

Orifice plate shall be made of 316 series stainless steel sheet. The outlet side of the bore shall be beveled at a 45 degree angle. The thickness of the cylindrical face of the orifice shall be 0.125 inch nominal. The orifice plate shall be flat within 20 mils. The orifice surface roughness shall not exceed 0.02 mils. Orifice plates shall be concentric plates with a square and sharp upstream edge of the orifice. Orifice bore shall be designed to match the operating parameters stated in the drawings. Plate shall be permanently identified with line size, flange rating, orifice bore diameter, plate thickness and material.

2.2.5 Annular Pitot Tube

Existing annubar pitot tube shall be reused.

2.2.6 Pressure Instrumentation

2.2.6.1 General

Pressure taps shall incorporate appropriate snubbers. The controller shall be provided with a minimum of three alarm lights. The first alarm light shall indicate when the lower (warning) detection level has been exceeded. The second alarm light shall indicate when the upper alarm detection level has been exceeded. The third alarm light shall indicate a controller malfunction, including loss of power or loss of sensor input. Dry contacts shall be rated in accordance with NEMA ICS 1. The first set of contacts shall close when the lower (warning) detection level has been exceeded. The second set of contacts shall close when the upper (alarm) detection level has been exceeded. The third set of contacts shall close when a controller malfunction has occurred, including loss of power or loss of sensor input. The alarm levels shall be individually adjustable. The controller shall be provided with an audible warning horn that sounds when the upper detection level has been exceeded, and a warning horn silence button. The controller shall provide a 4-20 mAdc output signal to the programmable logic controller, proportional to the measured parameter. The controller shall be provided with an internal battery to maintain operation for a minimum of 12 hours if power is lost. Pressures shall be controlled to within plus or minus 5 percent of design pressures.

2.2.6.2 Pressure Sensor

The sensing element shall be either capsule, diaphragm, bellows, Bourdon tube, or solid state as applicable for the installation. The pressure transducer shall withstand up to 300 percent of rated pressure, with an accuracy of plus or minus 1.0 percent of full scale selected to put the design range of the measured pressure in the middle third of the transducer's range. Pressure shall be measured in psi gage with a range, plus or minus 10 percent of design range and shall be furnished with display to the nearest 0.145 psi. The transmitter output error shall not exceed 0.1 percent of calibrated span.

2.2.6.3 Pressure Switch

Sensors shall be diaphragm or Bourdon tube and shall be constructed of 316

stainless steel. Pressure switch shall have a repetitive accuracy of plus or minus 5.0 percent of the operating range and shall withstand up to 150 percent of rated pressure. Switch actuation set point shall be adjustable over the operating pressure range with a differential adjustment span of 20 to 40 percent of the range of the switch. The switch shall have Form C snap-action contacts rated in accordance with NEMA ICS 1.

2.2.6.4 Differential Pressure

The sensor/transmitter assembly accuracy shall be plus or minus 2 percent of full scale. The over pressure rating shall be a minimum of 300 percent of the operating pressure. Transmitter shall be suitable for installation with the low pressure connection removed.

2.2.6.5 Differential Pressure Switch

Each switch shall be an adjustable diaphragm, or bellows operated device, with taps for sensing lines for connection of pressure fittings designed to sense fluid pressure. For measuring air, gas or vapor stream differential pressure, these fittings shall be of the angled-tip type with tips pointing into the air stream. The adjustable differential range shall be a maximum of 0.15 inches water at the low end to a minimum of 6.0 inches water at the high end. Two Form C contacts rated in accordance with NEMA ICS 1 shall be provided.

2.2.7 Temperature Instrumentation

The controller shall be provided with a minimum of three alarm lights. The first alarm light shall indicate when the lower (warning) detection level has been exceeded. The second alarm light shall indicate when the upper (alarm) detection level has been exceeded. The third alarm light shall indicate a controller malfunction, including loss of power or loss of sensor input. Dry contacts shall be rated in accordance with NEMA ICS 1. The first set of contacts shall close when the lower (warning) detection level has been exceeded. The second set of contacts shall close when the upper (alarm) detection level has been exceeded. The third set of contacts shall close when a controller malfunction has occurred, including loss of power or loss of sensor input. The alarm levels shall be individually adjustable. The controller shall be provided with an audible warning horn that sounds when the upper detection level has been exceeded, and a warning horn silence button. The controller shall provide a 4-20 mAdc output signal to the programmable logic controller, proportional to the measured parameter. The controller shall be provided with an internal battery to maintain operation for a minimum of 12 hours if power is lost.

2.2.7.1 Resistance Temperature Detector (RTD)

RTD shall be platinum, with an accuracy of plus or minus 0.1 percent at 32 degrees F. RTD shall be encapsulated in stainless steel Series 300. All RTD's shall be installed in Thermowells, with length(s) to best sense measured medium.

2.2.7.2 Continuous Averaging RTD

Continuous averaging RTD shall have an accuracy of plus or minus 3.6 degrees F at the reference temperature, and shall be of sufficient length to ensure that the resistance represents an average over the cross-section in which it is installed. The sensor shall have a bendable copper sheath. All RTD's shall be installed in Thermowells, with length(s) to best sense

measured medium.

2.2.7.3 Temperature Switch

Devices shall be suitable for process temperatures, which define the exposure of the element, and as described in the table shown on the drawings. Temperature switch shall have a repetitive accuracy of plus or minus 1 percent of the operating ranges shown. Switch actuation shall be adjustable over the operating temperature range. The switch shall have Form C snap action contacts, rated in accordance with NEMA ICS 1.

2.2.7.4 Thermowell

Thermowell shall be monel, brass, or copper for use in water lines; wrought iron for measuring flue gases; and austenitic stainless steel for other applications. Calibrated thermowells shall be provided with threaded plug and chain, 2 inch lagging neck and inside diameter insertion neck as required for the application. The thermowell shall include a connection box, sized to accommodate the temperature sensing devise.

2.2.8 Miscellaneous Measurements

Miscellaneous measurements with a range for the specific application plus or minus 1.0 percent of range (display and print to nearest 0.1 of the specified units.

2.3 PROGRAMMABLE LOGIC CONTROLLER (PLC)

Loop PLCs shall be programmed and integrated into the existing DCS system.

2.4 CONTROL PANELS

2.4.1 Components

2.4.1.1 Enclosures

The enclosure for each control panel shall conform to the requirements of NEMA 250 for the types specified. Finish color shall be the manufacturer's standard, unless otherwise indicated. Damaged surfaces shall be repaired and refinished using original type finish. Enclosures for installation in the central heating plant shall be Type 12; those for installation in clean, dry indoor occupied space may be Type 1; other locations shall be as otherwise specified or shown. Enclosures for equipment installed outdoors shall be Type 4 or as shown. Enclosures for installation in a corrosive environment shall be Type 4X and shall be constructed of stainless steel. Painted steel shall not be allowed for use in a corrosive environment. Enclosure shall be provided with a single, continuously hinged exterior door with print pocket, 3-point latching mechanism and key lock and a single, continuously hinged interior door.

2.4.1.2 Standard Indicator Light

Indicator lights shall comply with NEMA ICS 1, NEMA ICS 2 and UL 508. Lights shall be heavy duty, round and shall mount in a 0.875 inch mounting hole. Indicator lights shall be LED type and shall operate at 120 vAc or 24 vDc. Long life bulbs shall be used. Indicator light shall be provided with a legend plate labeled as shown on the drawings. Lens color shall be as indicated on the drawings. Lights shall be push to test (lamp) type.

2.4.1.3 Selector Switches

Selector switches shall comply with NEMA ICS 1, NEMA ICS 2 and UL 508. Selector switches shall be heavy duty, round and shall mount in a 0.875 inch mounting hole. The number of positions shall be as indicated on the drawings. Switches shall be illuminated. Switches shall be rated for 600 volts, 10 amperes continuous. Selector switches shall be provided with a legend plate labeled as shown on the drawings. Where indicated or required, dual auxiliary contacts shall be provided for the automatic position to provide position sensing at the central station or workstation. Auxiliary contacts shall be rated for 120 vAc, 1A as a minimum. Where indicated on the drawings, switches shall be key operated. Keys shall be identical, and shall match existing plant key selection.

2.4.1.4 Push Buttons

Push buttons shall comply with NEMA ICS 1, NEMA ICS 2 and UL 508. Push buttons shall be heavy duty, round and shall mount in a 0.875 inch mounting hole. The number and type of contacts shall be as indicated on the drawings or required by the Sequence of Control. Push buttons shall be rated for 600 volts, 10 amperes continuous. Push buttons shall be provided with a legend plate labeled as shown on the drawings.

2.4.1.5 Relays

Relays shall comply with ANSI C37.90 and derated for altitude above 4,500 feet. Relays shall be as required by the Sequence of Control. Relay coil shall be voltage as required and shall be provided with matching mounting socket.

2.4.1.6 Terminal Blocks

Terminal blocks shall comply with NEMA ICS 4 and UL 1059. Terminal blocks for conductors exiting control panels shall be two-way type with double terminals, one for internal wiring connections and the other for external wiring connections. Terminal blocks shall be made of bakelite or other suitable insulating material with full deep barriers between each pair of terminals. A terminal identification strip shall form part of the terminal block and each terminal shall be identified by a number in accordance with the numbering scheme on the approved wiring diagrams.

2.4.2 Panel Assembly

Control panels shall be factory assembled and shipped to the jobsite as a single unit. Panels shall be fabricated as indicated and devices shall be mounted as shown or required. Each panel shall be fabricated as a bottom-entry connection point for control system electrical power, control system main air source, control system wiring, control air pneumatic tubing, communications system wiring to other control panels.

2.4.3 Electrical Requirements

Each panel shall be powered by a dedicated 120 volts ac circuit, derived from equipment power, with a fuse, sized as recommended by the equipment manufacturer, and a disconnect switch located inside the panel. Wiring shall terminate inside the panel on terminal blocks. Electrical work shall be as specified in Section 16415A, "ELECTRICAL WORK, INTERIOR" and as shown on the drawings.

2.4.4 Power Line Conditioner

Each new control panel shall be provided with a power line conditioner to provide both voltage regulation and noise rejection. The power line conditioner shall be of the ferro-resonant design, with no moving parts and no tap switching, while electrically isolating the secondary from the power line side. The power line conditioner shall be sized for 125 percent of the actual connected kva load. Characteristics of the power line conditioner shall be as follows:

2.4.4.1 85 Percent Load

At 85 percent load, the output voltage shall not deviate by more than plus or minus 1 percent of nominal voltage when the input voltage fluctuates between minus 20 percent to plus 10 percent of nominal voltage.

2.4.4.2 Load Changes

During load changes of zero to full load, the output voltage shall not deviate by more than plus or minus 3 percent of nominal voltage. Full correction of load switching disturbances shall be accomplished within 5 cycles, and 95 percent correction shall be accomplished within 2 cycles of the onset of the disturbance.

2.4.5 Grounding

Control panel enclosures shall be equipped with a solid copper ground bus or equivalent. The ground bus shall be securely anchored to the enclosure so as to effectively ground the entire structure. Clamp-type terminals sized large enough to carry the maximum expected current shall be provided on the ground bus for grounding cables. Where a definite circuit ground is required, a single wire not less than #10 AWG shall run independently to the panel ground bus and shall be fastened to the ground bus with a bolted terminal lug. Cases of instruments, relays and other devices shall be effectively grounded through the enclosures steel structure unless otherwise indicated. Insulated wiring having a continuous rated current of not less than the circuit fuse rating shall be used for grounding. Grounding terminals of power receptacles shall be solidly grounded to the panel enclosure.

2.4.6 Convenience Outlet

A 120 volt ac, 20 amp, ground fault interruption (GFI) type duplex convenience outlet shall be provided inside each new panel. The outlet circuit shall be separate from the panel power circuit.

2.4.7 Panel Interior Light

Each new control panel shall be provided with a 60 watt incandescent light. The light shall be operated by a manual on-off switch mounted on the interior door of the enclosure. The light shall be powered by the same circuit as the convenience outlet.

2.5 CENTRAL STATION AND OPERATORS WORKSTATION

2.5.1 Equipment

Central Station and Operators Workstation Computer is an existing Bailey DCS.

2.5.2 Software

The central station software is ABB (Bailey) DCS.

2.5.2.1 Graphical User Interface

The central station is provided with an object-oriented, mouse driven, graphical user interface.

2.5.2.2 Display Information

The central station shall display information necessary to support all requirements specified, including: operator commands; alarm notification; reports; system graphics as specified and as shown, incorporating dynamic data; and curve plotting.

2.5.2.3 System Graphics Implementation

System graphics displays shall be revised to include control modifications and shall be hierarchical displays which integrate dynamic data into the display. System graphics shall reflect actual system configuration. Each system schematic shall be included as a separate display. Different colors, textures, and use of inverted video shall be used for various components and dynamic data. The displays shall include standard and/or custom symbols. A library of callable display symbols containing symbols for all necessary equipment and control devices shall be furnished. Symbols shall conform to ASHRAE Hdbk-IP where applicable. Data associated with a display shall be updated within 5 seconds of the digital status change or the analog change in excess of the analog change differential. Any dynamic data which is not current, due to PLC communications failure, PLC failure, or point out of service, shall be highlighted or flagged.

2.5.3 Cable

All 2 conductor cabling shall be Belden 8760 or equivalent 2 conductor twisted shielded cable. All 3 conductor cabling shall be Belden 1031A or equivalent 3 conductor twisted shielded cable.

PART 3 EXECUTION

3.1 EQUIPMENT INSTALLATION REQUIREMENTS

3.1.1 General

The drawings depict schematically the installation details and approximate location of the work. The Contractor shall provide all items not specifically shown, which are necessary to make a complete working installation. The Contractor shall install system components and appurtenances in accordance with the manufacturer's instructions and shall provide necessary interconnections, services, and adjustments required for a complete and operable system. Instrumentation and communication equipment and cable grounding shall be installed as necessary to preclude ground loops, noise, and surges from adversely affecting system operation. The Contractor shall adjust or replace devices not conforming to the required accuracies. Factory sealed devices shall be replaced (rather than adjusted). Cabling and wiring, including low voltage wiring, shall be installed in metallic raceways as specified in Section 16415A, "ELECTRICAL WORK, INTERIOR". Low voltage DC signals shall be separated from high

voltage AC signals.

3.1.2 Isolation, Penetrations of Buildings and Clearance from Equipment

Dielectric isolation shall be provided where dissimilar metals are used for connection and support. Penetrations through and mounting holes in the building exteriors shall be made watertight. Holes in concrete, brick, steel and wood walls shall be drilled or core drilled with proper equipment; conduits installed through openings shall be sealed with materials which are compatible with existing materials. Openings shall be sealed with materials which meet the requirements of NFPA 70 and Section 07840A, "FIRESTOPPING". Installation shall provide clearance for control-system maintenance. Control system installation shall not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.3 Device Mounting

Devices shall be installed in accordance with manufacturers' recommendations and as shown. Control devices to be installed in piping shall be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Any deviations shall be documented by the Contractor and submitted to the Government for approval prior to mounting. Damaged insulation shall be replaced or repaired after devices are installed to match existing work. Damaged galvanized surfaces shall be repaired by touching up with zinc paint.

3.1.4 Pneumatic Tubing

Tubing shall be concealed in finished areas. Tubing may be run exposed in unfinished areas, such as mechanical equipment rooms. For tubing to be enclosed in concrete, rigid metal or intermediate metal conduit shall be provided. Tubing shall be installed parallel or perpendicular to building walls throughout. Maximum spacing between tubing supports shall be 5 feet. Each tubing system shall be tested pneumatically at 1.5 times the working pressure for 24 hours, with a maximum pressure drop of 1.0 psig with compressed air supply turned off. Joint leaks shall be corrected by remaking the joint. Caulking of joints will not be permitted. Tubing and two insulated copper phone wires for installation checkout may be run in the same conduit. Tubing and electrical power conductors shall not be run in the same conduit; however, control circuit conductors may be run in the same conduit as polyethylene tubing.

3.1.5 Grooved Mechanical Joints

Grooves shall be prepared according to the coupling manufacturer's instructions. Grooved fittings, couplings, and grooving tools shall be the products of the same manufacturer. Pipe and groove dimensions shall comply with the tolerances specified by the coupling manufacturer. The diameter of grooves made in the field shall be measured using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer, or other method specifically approved by the coupling manufacturer for the intended application. Groove width and dimension of groove from end of pipe shall be measured and recorded.

3.1.6 Control Panels

Control panels shall be located as indicated on the drawings. Devices

located in the control panels shall be as shown on the drawings or as needed to provide the indicated control sequences.

3.1.7 Flow Measuring Devices

Fluid flow instruments shall be installed in accordance with ASME FED, unless otherwise indicated in the specification. The minimum straight unobstructed piping for the flowmeter installation shall be 10.0 pipe diameters upstream and 5.0 pipe diameters downstream. Meters for gases and vapors shall be installed in vertical piping, and meters for liquids shall be installed in horizontal piping, unless otherwise recommended by the manufacturer or indicated in the specifications.

3.1.7.1 Flow Nozzle

Flow nozzles flanges shall be installed so that the pressure taps are in a horizontal plane with the centerline of the pipe. Flow nozzles shall be installed for ease of accessibility for periodic maintenance. Differential pressure sensors shall be installed as close to the flow nozzle as possible.

3.1.7.2 Flow Switch

Flow switches shall be installed in such a manner as to minimize disturbance of the flow of fluid while maintaining reliable operation of the switch.

3.1.7.3 Magnetic Flowmeter

Meter shall be installed in vertical piping so that the flow tube remains full of the process fluid under all operating conditions. A minimum of five pipe diameters straight run upstream of the flowmeter and two pipe diameters straight run downstream of the flowmeter shall be provided.

3.1.7.4 Natural Gas Flowmeter

Meters shall be installed in accordance with ASME B31.8. Permanent gas meters shall be installed with provisions for isolation and removal for calibration and maintenance, and shall be suitable for operation in conjunction with an energy monitoring and control system.

3.1.7.5 Orifice Plates

Orifice plates shall be installed for ease of accessibility for periodic maintenance. Differential pressure sensors shall be as close to the orifice plates as possible. Orifice plates for liquid measurement shall be located in horizontal pipe runs with the orifice plate flanges installed so that the pressure taps are in the horizontal plane with the centerline of the pipe. For liquid, the differential pressure transmitter shall be installed below the orifice taps. For gas measurement, the orifice plate flanges shall be installed so that the pressure taps are 45 degrees or more above the horizontal plane with the centerline of the pipe. For gas measurement the required differential pressure transmitter shall be physically installed above the orifice taps.

3.1.7.6 Annular Pitot Tubes

Annular pitot tubes shall be installed so that the total head pressure ports are set-in-line with the pipe axis upstream and the static port facing downstream. The total head pressure ports shall extend

diametrically across the entire pipe. Annular pitot tubes shall not be used where the flow is pulsating or where pipe vibration is allowed.

3.1.8 Pressure Instruments

Pressure sensors and pressure transducers shall be verified by calibration. All pressure taps shall incorporate appropriate snubbers. Pressure sensors and pressure switches shall have valves for isolation, venting, and taps for calibration. Pressure switches and pressure transducers installed on liquid or steam lines shall have drains. Pressure transducers, differential pressure sensors and differential pressure switches shall have nulling valves. Pressure switches shall be adjusted to the proper setpoint and shall be verified by calibration. Switch contact ratings and duty shall be selected for the application.

3.1.9 Temperature Instrument Installation

3.1.9.1 RTD

All RTD's installed in pipe, or where susceptible to corrosion or vibration, shall be installed in a thermowell. Thermowells shall be filled with conductive heat transfer fluid prior to installation of the RTD in the thermowell. RTDs used for space temperature sensing shall include a housing suitable for wall mounting. RTDs used for outside air sensing shall have an instrument shelter or sun shield as shown to minimize solar effects, and shall be mounted to minimize building effects. RTD assemblies shall be readily accessible and installed to allow easy replacement.

3.1.9.2 Temperature Switches

Temperature switches shall be installed as specified for RTDs. Temperature switches shall be adjusted to the proper setpoint and shall be verified by calibration. Switch contact ratings and duty shall be selected for the application.

3.1.9.3 Thermometers and Temperature Sensing Elements

Thermometers and temperature sensing elements installed in liquid systems shall be installed in thermowells.

3.1.10 Output Devices

Output devices (transducers, relays, contactors, or other devices) which are not an integral part of the control panel, shall be mounted in an enclosure mounted adjacent to the control panel, unless otherwise shown. Where H-O-A and/or override switches are shown the drawings or required by the control sequence, the switches shall be installed so that the control system controls the function through the automatic position and other controls work through the hand position.

3.1.11 Enclosures

Enclosure penetrations shall be from the bottom of the enclosure, and shall be sealed to preclude entry of water using a silicone rubber sealant.

3.1.12 Transformers

Transformers for control voltages below 120 vAC shall be fed from the

nearest power panel or motor control center, using circuits provided for the purpose. The Contractor shall provide a disconnect switch on the primary side and a fuse on the secondary side. Transformers shall be enclosed in a steel cabinet with conduit connections.

3.1.13 Pneumatic Field Devices

Pneumatic field devices

Pneumatic field mounted instruments shall be installed with the following

Pressure Reducing Valve set to the proper pressure

Filter

Outlet Gauges

Shut-off Valve

3.2 WIRE, CABLE AND CONNECTING HARDWARE

3.2.1 LAN Cables and Connecting Hardware

LAN cables and connecting hardware shall be installed in accordance with Section 16415A, "ELECTRICAL WORK, INTERIOR" and Section 16050N, "BASIC MATERIALS AND METHODS".

3.2.2 Metering and Sensor Wiring

Metering and sensor wiring shall be installed in accordance with the requirements of ANSI Cl2.1, NFPA 70, Section 16050N, "BASIC MATERIALS AND METHODS".

3.2.2.1 Power Line Surge Protection

Control panels shall be protected from power line surges. Protection shall meet the requirements of IEEE C62.41. Fuses shall not be used for surge protection.

3.2.2.2 Sensor and Control Wiring Surge Protection

Digital and analog inputs shall be protected against surges induced on control and sensor wiring. Digital and analog outputs shall be protected against surges induced on control and sensor wiring installed outdoors and as shown. Fuses shall not be used for surge protection. The inputs and outputs shall be tested in both the normal and common mode using the following two waveforms: The first waveform shall be 10 microseconds by 1000 microseconds with a peak voltage of 1500 volts and a peak current of 60 amperes. The second waveform shall be 8 microseconds by 20 microseconds with a peak voltage of 1000 volts and a peak current of 500 amperes.

3.3 FIELD TESTING AND ADJUSTING EQUIPMENT

3.3.1 General

The Contractor shall provide personnel, equipment, instrumentation, and supplies necessary to perform site testing. The Government will witness the PVT, and written permission shall be obtained from the Government before proceeding with the testing. Original copies of data produced,

including results of each test procedure, during PVT shall be turned over to the Government at the conclusion of each phase of testing prior to Government approval of the test. The test procedures shall cover actual equipment and functions specified for the project.

3.3.2 Testing, Adjusting and Commissioning

After successful completion of the manufacturer's standard factory test, the Contractor will be authorized to proceed with the installation of the system equipment, hardware, and software. Once the installation has been completed, the Contractor shall test, adjust, and commission each control loop and system in accordance with NIST SP 250 and shall verify proper operation of each item in the sequences of operation, including hardware and software. The Contractor shall calibrate field equipment, including control devices, adjust control parameters and logic (virtual) points including control loop setpoints, gain constants, constraints, and verify data communications before the system is placed online. Ground rods installed by the Contractor shall be tested as specified in IEEE Std 142. The Contractor shall calibrate each instrumentation device connected to the control system control network by making a comparison between the reading at the device and the display at the workstation, using a standard at least twice as accurate as the device to be calibrated. The Contractor shall check each control point within the control system control network by making a comparison between the control command at the central station and field-controlled device. The Contractor shall deliver trend logs/graphs of all points showing to the Government that stable control has been achieved. Points on common systems shall be trended simultaneously. One log shall be provided showing concurrent samples taken once a minute for a total of 4 hours. One log shall be provided showing concurrent samples taken once every 30 minutes, for a total of 24 hours. The Contractor shall verify operation of systems in the specified failure modes upon Control system network failure or loss of power, and verify that systems return to control system control automatically upon a resumption of control system network operation or return of power. The Contractor shall deliver a report describing results of functional tests, diagnostics, calibrations and commissioning procedures including written certification to the Government that the installed complete system has been calibrated, tested, adjusted and commissioned and is ready to begin the PVT. The report shall also include a copy of the approved PVT procedure. Calibration shall be provided by an ISO 9001 certified company compliant to ISO 17025. Copies of all instrument data shall be provided to MAFB. Unless otherwise specified field instruments shall be bench calibrated and panel instruments shall be calibrated in the panel. Instruments that require bench calibration shall be calibrated in a building or room specifically designated and equipped for the purpose. Actuators shall be stroked a 5 (five) points (0, 25, 50, 75, 100) in order to verify proper operation prior to installation. Each loop shall be simulated using 4-20 mA loop tester. Discrete inouts shall be tested with jumpers or voltage as required.

3.3.3 Performance Verification Test (PVT)

The Contractor shall prepare test procedures for the PVT. The test procedure shall describe all tests to be performed and other pertinent information such as specialized test equipment required and the length of the PVT. The test procedures shall explain, in detail, step-by-step actions and the expected results, to demonstrate compliance with all the requirements of the drawings and this specification. The test procedure shall be site specific and based on the inputs and outputs, required

calculated points and the sequence of control. The Contractor shall demonstrate that the completed Control system complies with the contract requirements. All physical and functional requirements of the project including communication requirements shall be demonstrated and shown. The Contractor shall demonstrate that each system operates as required in the sequence of operation. The PVT as specified shall not be started until after receipt by the Contractor of written permission by the Government, based on the Contractor's written report including certification of successful completion of testing, adjusting and commissioning as specified, and upon successful completion of training as specified. Upon successful completion of the PVT, the Contractor shall deliver test reports and other documentation as specified to the Government. All inputs shall be verified through the OIU. All actuators shall be stroked from the ABB DCS and verified in the field. All adjustable devices shall be verified for correct loop operation and settings.

3.3.4 Endurance Test

3.3.4.1 General

The Contractor shall use the endurance test to demonstrate the overall system reliability of the completed system. The endurance test shall be conducted in phases. The endurance test shall not be started until the Government notifies the Contractor in writing that the PVT is satisfactorily completed, training as specified has been completed, outstanding deficiencies have been satisfactorily corrected, and that the Contractor has permission to start the endurance test. The Contractor shall provide an operator to man the system 8 hours per day during daytime operations, including weekends and holidays, during Phase I endurance testing, in addition to any Government personnel that may be made available. The Government may terminate testing at any time when the system fails to perform as specified. Upon termination of testing by the Government or by the Contractor, the Contractor shall commence an assessment period as described for Phase II. Upon successful completion of the endurance test, the Contractor shall deliver test reports and other documentation, as specified, to the Government prior to acceptance of the system.

3.3.4.2 Phase I (Testing)

The test shall be conducted 24 hours per day, 7 days per week, for fourteen (14) consecutive calendar days, including holidays, and the system shall operate as specified. The Contractor shall make no repairs during this phase of testing unless authorized by the Government in writing.

3.3.4.3 Phase II (Assessment)

After the conclusion of Phase I, the Contractor shall identify failures, determine causes of failures, repair failures, and deliver a written report to the Government. The report shall explain in detail the nature of each failure, corrective action taken, results of tests performed, and shall recommend the point at which testing should be resumed. After delivering the written report, the Contractor shall convene a test review meeting at the job site to present the results and recommendations to the Government. The meeting shall not be scheduled earlier than 5 business days after receipt of the report by the Government. As a part of this test review meeting, the Contractor shall demonstrate that failures have been corrected by performing appropriate portions of the performance verification test. The Government reserves the right to cancel the test review meeting if no

failures or deficiencies occur during the Phase I testing. If the Government chooses to do so, the Contractor will be notified in writing. Based on the Contractor's report and the test review meeting, the Government will determine if retesting is necessary and the restart point. The Government reserves the right to require that the Phase I test be totally or partially rerun. The Contractor shall not commence any required retesting until after receipt of written notification by the Government. After the conclusion of any retesting which the Government may require, the Phase II assessment shall be repeated as if Phase I had just been completed.

3.3.4.4 Exclusions

The Contractor will not be held responsible for failures resulting from the following: Outage of the main power supply in excess of the capability of any backup power source, provided that the automatic initiation of all backup sources was accomplished and that automatic shutdown and restart of the control system performed as specified. Failure of a Government furnished communications link, provided that the PLC automatically and correctly operates in the stand-alone mode as specified, and that the failure was not due to Contractor furnished equipment, installation, or software. Failure of existing Government owned equipment, provided that the failure was not due to Contractor furnished equipment, installation, or software.

3.4 MANUFACTURER'S FIELD SERVICES

The Contractor shall have adequate qualified instrument technicians on stand-by to assist the process startup as required for a successful startup. And shall obtain the services of a manufacturer's representative experienced in the installation, adjustment, and operation of the equipment specified. The representative shall supervise the installing, adjusting, and testing of the equipment.

3.5 FIELD TRAINING

3.5.1 General

Field training oriented to the specific system shall be provided for designated personnel. A copy of the training manual for each trainee plus two additional copies shall be delivered to the Contracting Officer.

Manuals shall include an agenda, the defined objectives for each lesson, and a detailed description of the subject matter for each lesson. The Contractor shall furnish audiovisual equipment and other training supplies and materials. Copies of the audiovisuals shall be delivered with the printed training manuals. The Government reserves the right to videotape training sessions for later use. A training day is defined as 8 hours of classroom instruction, excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility. Approval of the Contractor's training schedule shall be obtained from the Government at least 30 days before the training.

3.5.2 Preliminary Operator Training

Prior to the start of field testing, preliminary operator training shall be taught at the project site for 1/2 consecutive training day. Upon completion of this course, each student, using appropriate documentation, should be able to perform elementary operations with guidance and describe the general hardware architecture and functionality of the system. This course shall include: general system architecture; functional operation of

the system, including workstations; operator commands; application programs, control sequences, and control loops; database entry and modification; reports generation; alarm reporting; diagnostics; and historical files.

3.5.3 Additional Operator Training

Following the field testing, additional classroom training for operators shall be taught for 1 consecutive training day; individual instruction sessions of 4-hour periods in the morning and afternoon of the same weekday and an additional 1/2 day classroom session for answering operator questions. Individual instruction shall consist of "hands-on" training under the constant monitoring of the instructor. Classroom training shall include instruction on the specific hardware configuration of the installed control system and specific instructions for operating the installed system. The Contractor shall schedule activities during this period so that the specified amount of time on the equipment will be available for each student. The final session will address specific topics that the students need to discuss and to answer questions concerning the operation of the system. Upon completion of the course, the students should be fully proficient in system operation and have no unanswered questions regarding operation of the installed control system. Each student should be able to start the system, operate the system, recover the system after a failure and describe the specific hardware architecture and operation of the system and be fully proficient in all system operations. The Contractor shall report the skill level of each student at the end of this course.

3.5.4 Maintenance Training

Following the endurance test, a minimum period of 1/2 training day shall be provided by a factory representative or a qualified Contractor trainer for 2 designated personnel on maintenance of the equipment. The training shall include: physical layout of each piece of hardware, calibration procedures, preventive maintenance procedures, schedules, troubleshooting, diagnostic procedures and repair instructions.

3.5.5 Specialized Training

Following the maintenance training, a minimum period of 1/2 day shall be provided by a factory representative or a qualified Contractor trainer for 2 people on the input devices.

3.5.6 Flow Meter Training

Each type of flow meter, to include calibration, maintenance and testing of flow elements and transducers.

-- End of Section --

SECTION 13975N

STANDPIPE SYSTEMS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 1036 (1991; R 1997) Flat Glass

FACTORY MUTUAL ENGINEERING AND RESEARCH (FM)

FM P7825 (2000) Approval Guide

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 13 (1999) Installation of Sprinkler Systems

NFPA 14 (1996) Installation of Standpipe and Hose

Systems

NFPA 24 (1995) Installation of Private Fire Service

Mains and Their Appurtenances

UNDERWRITERS LABORATORIES (UL)

UL Fire Prot Dir (2000) Fire Protection Equipment Directory

1.2 RELATED REQUIREMENTS

Section 15050N, "Basic Mechanical Materials and Methods" applies to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

Relocate wet Class I standpipe fire hose cabinets as shown on the Contract Drawings. Fire hose cabinets that are damaged shall be replaced in accordance with Section 02220, "Demolition", and the requirements of this Section.

1.4 SYSTEM DESCRIPTION

System design and manufacturer's products shall be in accordance with the required and advisory provisions of NFPA 14 except as modified herein. Each system shall be designed for earthquakes and shall include materials, accessories, and equipment inside the building necessary to provide each system complete and ready for use. Devices and equipment shall be UL Fire Prot Dir listed or FM P7825 approved for fire protection service. In the publications referred to herein, the advisory provisions shall be considered

to be mandatory, as though the word "shall" had been substituted for "should" wherever it appears.

1.4.1 Residual Pressure

The minimum residual pressure at the outlet of the most remote 64 mm hose connection shall be 65 psig while the system is discharging at the required design flow rates.

1.4.2 Friction Losses

Calculate losses in piping in accordance with the Hazen-Williams formula with 'C' value of 120 for steel piping, 150 for copper tubing, and 140 for cement-lined ductile-iron piping. Confirm that pipe routing changes do not have significant impact on friction losses.

1.4.3 Standpipe System Drawings

Prepare in accordance with the requirements for "Plans and Specifications" as specified in NFPA 14. Each drawing shall be 34 by 22 inches. Plans shall be drawn to a scale not less than 1/8 inch scale Do not commence work until the design of each system and the various components have been approved. Show data essential for proper installation of each system. Show details, plan view, elevations, and sections of the systems supply and piping. Show piping schematic of systems supply, devices, valves, pipe, and fittings. Submit drawings signed by a registered fire protection engineer. Show:

a. Room, space or area layout and include pipe supports and hangers.

1.5 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures". The authority having jurisdiction will review and approve all submittals in this section requiring Government approval.

SD-02 Shop Drawings

Standpipe system; G

SD-03 Product Data

Aboveground Pipe and fittings; G

Mechanical couplings; G

Pipe hangers and supports; G

Valves, including gate, check, and hose; G

Data which describes more than one type of item shall be clearly marked to indicate which type the Contractor intends to provide. Submit one original for each item and clear, legible, first-generation photocopies for the remainder of the specified copies. Incomplete or illegible photocopies will not be accepted. Partial submittals will not be accepted.

SD-06 Test Reports

Preliminary tests; G

Acceptance tests; G

Submit for all inspections and tests specified under paragraph entitled "Field Quality Control."

SD-07 Certificates

Qualifications of installer; G

Submit installers qualifications as required under paragraph entitled "Qualifications of Installer."

SD-11 Closeout Submittals

System as-built drawings; G

1.6 OUALITY ASSURANCE

1.6.1 Qualifications of Installer

Prior to commencing work, submit data showing that the Contractor has successfully installed fire extinguishing standpipe systems of the same type and design as specified herein, or that he has a firm contractual agreement with a subcontractor having the required experience. Include the names and locations of at least two installations where the Contractor, or the subcontractor referred to above, has installed such systems. Indicate the type and design of each system, and certify that the system has performed satisfactorily for a period of at least 18 months.

Qualifications of System Technician: Installation drawings, shop drawing and as-built drawings shall be prepared, by or under the supervision of, an individual who is experienced with the types of works specified herein, and is currently certified by the National Institute for Certification in Engineering Technologies (NICET) as an engineering technician with minimum Level-III certification in Automatic Sprinkler System program. Contractor shall submit data for approval showing the name and certification of all involved individuals with such qualifications at or prior to submittal of drawings.

1.6.2 System As-Built Drawings

Upon completion, and before final acceptance of the work, submit a complete set of as-built drawings of each system. Submit A1 34 by 22 inch reproducible as-built drawings on mylar film with title block similar to full size contract drawings. Furnish as-built(record) working drawings in addition to the as-built drawings required by Division 1, "General Requirements."

1.7 DELIVERY, STORAGE AND HANDLING

Protect stored equipment from weather, humidity and temperature variations, dirt, dust, and other contaminants.

PART 2 PRODUCTS

2.1 ABOVEGROUND PIPING SYSTEMS

Provide fittings for changes in direction of piping and for connections. Make changes in piping sizes through tapered reducing pipe fittings; bushings will not be permitted. Perform welding in the shop; field welding will not be permitted.

2.1.1 Pipe and Fittings

NFPA 14, except as modified herein. Steel piping shall be Schedule 40 for sizes less than 8 inches, and Schedule 30 or 40 for sizes 8 inches and larger. Fittings shall be welded, threaded, or grooved-end type. Plain-end fittings with mechanical couplings and fittings which use steel gripping devices to bite into the pipe when pressure is applied will not be permitted. Rubber gasketed grooved-end pipe and fittings with mechanical couplings shall be permitted in pipe sizes 1.5 inches and larger. Fittings shall be UL Fire Prot Dir listed or FM P7825 approved for use in wet pipe sprinkler systems. Fittings, mechanical couplings, and rubber gaskets shall be supplied by the same manufacturer. Steel piping with wall thickness less than Schedule 30 shall not be threaded. Pipe and fittings shall be metal.

2.1.2 Pipe Hangers and Supports

Provide in accordance with NFPA 14.

2.1.3 Valves

NFPA 14. Provide valves of types approved for fire service. Hose and gate valves shall open by counterclockwise rotation. Provide isolation and check valves as required by NFPA 14. Isolation valves shall be OS&Y type. Check valves shall be flanged clear opening swing-check type with flanged inspection and access cover plate for sizes 4 inches and larger.

2.1.3.1 Hose Valves

Provide bronze hose valve with 2 1/2 inch National Standard male hose threads, and 2 1/2 inch NH female by 1 1/2 inch IPT male reducer with cap and chain.

2.1.4 Identification Signs

NFPA 14. Attach properly lettered and approved metal signs to each valve.

2.1.5 Fire Hose Cabinets

Provide surface-mounted cabinets where indicated. Cabinets shall be prime grade, cold-rolled, reannealed, process-leveled, furniture steel. Fabricate cabinet from 20 gage steel and door and trim from 18 gage steel. Provide fully welded joints ground smooth. On each jamb, provide at least two anchors or reinforcements spaced approximately 24 inches apart for building in or attaching the cabinets to adjacent construction. Doors shall be flush hollow metal type with fully welded joints ground smooth and full glazed opening. Provide door with continuous hinge, latch and pull. Hinge door for 180 degree opening. Glass shall conform to ASTM C 1036 and shall be Type II (flat wired glass), Class 1 (clear), Form 1 (wired, polished both

sides), Quality q 8 (glazing quality), diamond or square wire mesh, 1/4 inch thick. Factory finish cabinet inside and out with one coat of enamel applied over a primer. Interior finish color shall be white. Fabricate cabinet with sufficient interior space to store one fire extinguisher.

PART 3 EXECUTION

3.1 STANDPIPE SYSTEM INSTALLATION

Equipment, materials, installation, workmanship, fabrication, assembly, erection, examination, inspection, and testing shall be in accordance with the NFPA standards referenced herein. Install piping straight and true to bear evenly on hangers and supports. Provide fittings for changes in direction of piping and for all connections. Make changes in piping sizes through standard reducing pipe fittings; do not use bushings. Cut pipe accurately and work into place without springing or forcing. Ream pipe ends and free pipe and fittings from burrs. Clean with solvent to remove all varnish and cutting oil prior to assemble. Make screw joints with PTFE tape applied to male thread only.

3.2 FIELD PAINTING

Field painting of fire extinguishing standpipe system shall be specified in Section 09900, Paints and Coatings." Field painting requirements for "Fire Extinguishing Sprinkler Systems" shall apply.

3.3 FLUSHING

Flush the piping system with potable water in accordance with NFPA 14. Continue flushing operation until water is clear, but for not less than 10 minutes.

3.4 FIELD QUALITY CONTROL

Prior to initial operation, inspect equipment and piping systems for compliance with drawings, specifications, and manufacturer's submittals. Perform tests in the presence of the Contracting Officer to determine conformance with the specified requirements.

3.4.1 Preliminary Tests

Each piping system shall be hydrostatically tested at 200 psig in accordance with NFPA 14 and NFPA 24 and shall show no leakage or reduction in gauge pressure after 2 hours. The Contractor shall conduct complete preliminary tests, which shall encompass all aspects of system operation. When tests have been completed and all necessary corrections made, submit to the Contracting Officer a signed and dated certificate, similar to that specified in NFPA 13, attesting to the satisfactory completion of all testing and stating that the system is in operating condition. Also include a written request for a formal inspection and test.

3.4.2 Formal Inspection and Tests (Acceptance Tests)

The authority having jurisdiction will witness formal tests and approve all systems before they are accepted. The system shall be considered ready for such testing only after all necessary preliminary tests have been made and all deficiencies found have been corrected to the satisfaction of the

Contracting Officer and written certification to this effect is received by the Division Fire Protection Engineer. Submit the request for formal inspection at least 15 working days prior to the date the inspection is to take place. Experienced technicians regularly employed by the Contractor in the installation of the mechanical portions of such systems shall be present during the inspection and shall conduct the testing. All instruments, personnel, appliances and equipment for testing shall be furnished by the Contractor. The Government will furnish water for the tests. All necessary tests encompassing all aspects of system operation shall be made including the following, and any deficiency found shall be corrected and the system retested at no cost to the Government.

3.4.3 Additional Tests

When deficiencies, defects or malfunctions develop during the tests required, all further testing of the system shall be suspended until proper adjustments, corrections or revisions have been made to assure proper performance of the system. If these revisions require more than a nominal delay, the Contracting Officer shall be notified when the additional work has been completed, to arrange a new inspection and test of the system. All tests required shall be repeated prior to final acceptance, unless directed otherwise.

-- End of Section --

SECTION 14710

ASH UNLOADER SYSTEM

PART 1 GENERAL

1.1 REFERENCES

Publications listed below form a part of this specification to the extent referenced. Publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A13.1	(1996) Scheme for the Identification of Piping Systems
ANSI B18.2.1	(1996) Square and Hex Bolts and Screws Inch Series
ANSI Z53.1	(1979) Safety Color Code for Marking Physical Hazards
ASME INTERNATIONAL (ASME)	
ASME B1.1	(1989) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B15.1	(1996) Mechanical Power Transmission Apparatus
ASME B16.3	(1992) Malleable Iron Threaded Fittings
ASME B16.5	(1996) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24
ASME B16.21	(1992) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.34	(1996) Valves - Flanged, Threaded, and Welding End
ASME B16.39	(1986; R 1994) Malleable Iron Threaded Pipe Unions Classes 150, 250, and 300
ASME B18.2.2	(1987; R 1993) Square and Hex Nuts (Inch Series)
ASME B31.9	(1996) Building Services Piping
ASME B40.1	(1991; Special Notice 1992) Gauges - Pressure

Indicating Dial Type - Elastic Element

04018/EM Central Heating Plant Application of Low Emissions Tech.

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

ASSE 1003 (1993; Errata 1993) Water Pressure Reducing Valves

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 1997) Pipe, Steel, Black and Hot-Dipped,

Zinc-Coated Welded and Seamless

ASTM A 194/A 194M (1997) Carbon and Alloy Steel Nuts for Bolts

for High-Pressure and High-Temperature

Service

ASTM A 307 (1994) Carbon Steel Bolts and Studs, 60,000

psi Tensile Strength

ASTM D 2000 (1996) Rubber Products in Automotive

Applications

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1 (1994) Safety in Welding, Cutting and Allied

Processes

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.144 Safety Color Code for Marking Physical

Hazards

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS WW-S-2739 Strainers, Sediment: Pipeline, Water, Air,

Gas, Oil, or Steam

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58 (1993) Pipe Hangers and Supports - Materials,

Design and Manufacture

MSS SP-69 (1996) Pipe Hangers and Supports - Selection

and Application

MSS SP-72 (1992) Ball Valves with Flanged or Butt-

Welding Ends for General Service

MSS SP-80 (1997) Bronze Gate, Globe, Angle and Check

Valves

MSS SP-83 (1995) Class 3000 Steel Pipe Unions Socket-

Welding and Threaded

MSS SP-110 (1996) Ball Valves Threaded, Socket-Welding,

Solder Joint, Grooved and Flared Ends

UNDERWRITERS LABORATORIES (UL)

UL 429 (1994; Bul. 1994, R 1995) Electrically Operated Valves

1.2 GENERAL REQUIREMENTS

Section 15050N, "BASIC MECHANICAL MATERIALS AND METHODS," and Section 16415A, "ELECTRICAL WORK, INTERIOR", apply to this section with additions and modifications specified herein.

1.2.1 Description of Work

This Section covers furnishing, installing and testing an ash unloader system including one (1) pug mill, one (1) rotary valve, one (1) knife gate valve, one (1) expansion joint and one (1) spacer together with piping, tubing, flanges, bolting, gaskets, valves, fittings, motors, instrumentation, controls and associated appurtenances necessary for a complete and operable system. Work also includes building demolition and repair necessary for the demolition of the existing ash unloading equipment and the installation of the new ash unloader system. Demolition work shall be in accordance with Section 02220, "Demolition" and include work required for equipment removal and installation paths and the subsequent repair. Contractor shall be responsible for making connections to the existing ash handling, electrical power supply and control, service water and instrument air systems.

1.2.2 Design conditions

- a. The ash unloading system shall be located indoors beneath the ash silo in a nonfreezing environment. Contractor shall furnish equipment with overall dimensions and connections for a complete system as shown on the Contract and Reference Drawings.
- b. Maximum depth of the ash in the upstream ash silo is forty (40) feet. Transport of the ash to the ash silo is by vacuum.
- c. The ash unloading system shall be designed for handling fly ash, bottom ash or a combination of both. Characteristics of the fly ash and bottom ash are shown in the attached Ash Testing Results table. Contractor shall obtain a five (5) gallon sample of the spray dryer/baghouse ash and perform a laboratory analysis to verify the ash characteristics. Maximum temperature of the ash will be 200 F.
- d. Piping and pressure retaining components shall be designed for 150 psig design pressure and 100 F design temperature and tested at 1.5 times the design pressure.
- e. Equipment shall be designed to operate satisfactorily with a minimum of 80 psig supply of instrument air and a 30-80 psig supply of service water.
- f. The ash unloading system shall be designed for continuous and intermittent service.

1.2.3 Performance Requirements

- a. The ash unloading system shall be designed for receiving dry ash from the ash silo, mixing and blending the ash with a spray of water and discharging the processed ash into a receiver. The ash unloading system shall be capable of processing 3,000 (three thousand) cubic feet per hour of dry ash.
- b. Equipment shall be sized and controls shall be provided to allow regulation of water flow for the variations in the ash characteristics so that the resultant processed ash is dustless and not muddy. Processed material in the receiving receptacle shall not have water run-off.

1.3 SUBMITTALS

Submit the following in accordance with Section 01330, "SUBMITTAL PROCEDURES".

SD-03 Product Data

Pug mill-G

Rotary feeder-G

Knife gate valve-G

Expansion joint

Piping, fittings, and accessories

Gaskets

Valves

Motors

Instrumentation

Pipe hangers and supports

Motor starters

For valves, submit valve manufacturer's published ratings and maximum operating pressure differential.

SD-06 Test Reports

Ash sample analysis and characteristics

SD-07 Certificates

Welding procedure

Performance qualification record

List of welders' names and symbols

SD-08 Manufacturer's Instructions

Pug mill

Rotary feeder

Knife gate valve

SD-10 Operation and Maintenance Data

Pug mill

Rotary feeder

Knife gate valve

Submit operation and maintenance data in accordance with Section 01781, "Operation and Maintenance Data."

SD-11 Closeout Submittals

Posted operating instructions

1.4 OUALITY ASSURANCE

1.4.1 Defective Welds

Give welders making defective welds, after passing a qualification test, a requalification test. Welders failing requalification test shall not be permitted to work under this contract.

1.4.2 Previous Welder Qualifications

Welding procedures, welders, and welding operators previously qualified by test may be accepted for this contract without requalifying subject to approval of the Contracting Officer and provided that conditions specified in ASME B31.9 are met before a procedure is used.

1.4.3 Welding Procedure

Before performing welding, the Contractor shall submit to the Contracting Officer three copies of welding procedure specification for each metal included in the work, together with proof of qualifications in accordance with ASME B31.9.

1.4.4 Performance Qualification Record

Before performing welding, the Contractor shall also submit to the Contracting Officer three copies of the Welder's Performance Qualification Record in conformance with ASME B31.9 showing that the welder or operator was tested under the approved procedure specification submitted by the Contractor. Certification dates shall be less than one (1) year old.

1.4.5 List of Welders' Names and Symbols

Submit the assigned number, letter, or symbol used to identify the work of the welder, and affix it immediately upon completion of the weld.

1.4.6 Posted Operating Instructions

Submit posted operating instructions for piping system diagrams and codes.

1.5 SAFETY PRECAUTIONS

1.5.1 Rotating Equipment Safety

Fully guard couplings, motor shafts, gears drive chains and other exposed rotating or rapidly moving parts in accordance with ASME B15.1. Guards shall be carbon steel, cast iron or expanded metal. Guard parts shall be rigid, secured, and readily removable without disassembling guarded unit.

1.5.2 Welding and Cutting Safety

AWS Z49.1.

PART 2 PRODUCTS

2.1 PUG MILL

2.1.1 General

- a. Arrangement shall be horizontal with 0 degrees angle of incline. Leveling screws shall be provided. Inlet and outlet connections shall be horizontal flanges designed for gravity flow.
- b. Connections shall be top cover inlet and bottom trough discharge. Trough discharge connection shall be fitted with a flanged rubber boot or chute suitable for the downstream disposal system. Boot shall be 15 (fifteen) foot in length and shall be trimmed in the field to suit the disposal receptacle.
- c. Direction of screw rotation shall be permanently marked on the pug mill in a visible location. Warning signs shall be posted on the pug mill stating that the pug mill may start suddenly.
- d. Pug mill shall be furnished with a stainless steel nametag, fixed to the cover in a conspicuous place. The nameplate shall be embossed with the following information:
- 1.) Manufacturer's name and address
- 2.) Make, model, size, serial number, and date of manufacture.
- 3.) "Pug Mill"
- 4.) Rated flow (cubic feet per hour)
- 5.) Motor rating (Horsepower)
- 6.) Paddle shaft speed (rpm)
- 7.) Paddle overall diameter (inches)
 - e. Removable covers shall be provided for access to the screws.
 - f. Manufacturer's standard primer and finish paint suitable for the maximum operating temperature shall be applied to the factory assembled and tested unit before shipping.

2.1.2 Paddles and Paddle Shafts

- a. Two, similar, opposite hand, paddles with shafts shall be provided for mixing the ash with water and for conveying the mixture to discharge. Shafts shall be arranged with centers that provide interposing paddles. Paddles shall be securely fastened to the shaft and shall be replaceable.
- b. Paddles shall be located on shafts from upstream of the inlet connection to the beginning of the outlet connection.
- c. Paddles shall be provided with shafts for connection to the drive and for span support. Shaft cross-sections and span between screw supports shall be of adequate design to prevent the paddles from contacting the trough without intermediate supports.
- d. Paddles shall be abrasion resistant steel with a Brinell hardness number of 500. Shafts shall be in accordance with ASTM A 500, Grade B.
- e. Shafts shall be provided with spring loaded seals at the points of penetration with trough ends.
- f. One shaft shall be provided with a zero speed switch. Speed switch shall be capacitive sensor type, NEMA rated for outdoor applications and include a metallic target on the rotating shaft and adjustable mounting hardware for sensor pickup. Sensor shall contain indication for the target within the sensing range and a potentiometer for adjustment when the range has been exceeded. Output shall be three-wire CPM, normally open, with an adjustable output range compatible to shaft speed based on a supply voltage of 25 to 60 VDC.

2.1.3 Trough and Cover

- a. Tough shall be flat bottom, U-shaped. The top of the tough shall be provided with a flange and seal for dust tight connection with a flat cover.
- b. Cover shall fully extend over the width of the trough and shall be sealed. Cover shall be provided with handles and shall be designed for ease of lifting and accessing the paddles and shafts.
- c. Trough and cover thickness (U.S Gauge or inches) shall be provided for extra heavy service.
- d. Trough ends shall be welded or flanged to the troughs. Discharge end thickness shall be a minimum of 1/8 inch thicker than the trough or reinforced.
- e. Tight sealing, easy opening and closing, access doors, panels or covers shall be provided at the inlet connection and above the discharge connection for inspection.
- f. Trough shall be provided at the ends with bearings located outboard of the seals for support of the shafts. Bearings shall be provided with pressure grease fittings. Thrust bearings shall be provided where required.

g. Trough shall be reinforced and provided with mounting feet or a structural steel base.

2.1.4 Spray Wetting System

A spray wetting system consisting of spray nozzles, piping, pressure and flow regulating valves, manually operated isolation valves, bypass valves, solenoid valves, vent and drain valves, pressure indicator, pressure switch, and fittings shall be provided and piped to plant service water and instrument air as required. The spray wetting system shall be designed for eliminating dust from the dry ash. Nozzles shall be replaceable.

2.1.5 Drive Unit

- a. Each drive unit shall consist of, but not necessarily be limited to, an electric motor, gear speed reducer, couplings and roller chain with sprockets or belt with sheaves.
- b. The drive unit shall be located on the inlet end of the pug mill. The drive unit shall be located outboard of the ash trough and the paddle shaft bearing blocks.
- c. The motor and gear speed reducer shall be supported on a structural steel base common with the trough support or rigidly anchored to the trough.
- d. The gear speed reducer shall be enclosed, lubricated with an oil bath, equipped with antifriction bearings and shall be designed in accordance with AGMA. The gear speed reducer shall have a service factor of 1.5 or better.
- e. The roller chain with suitable sprockets, or the belt with suitable sheaves, shall be provided with an OSHA approved removable cover. A method for tension adjustment shall be provided.
- f. The unit shall be designed to drive the twin screws at a common synchronous speed in opposite rotations.

2.1.6 Coupling

- a. A flexible coupling, with a service factor of 2.0 or greater shall connect the electric motor to the gear speed reducer fast shaft or the gear speed reducer slow shaft to the paddle shaft.
- b. Couplings and shafts shall be enclosed with a removable protective guard in accordance with OSHA.

2.1.7 Motor

- a. Motor shall be totally enclosed and suitable for three phase, 60 Hz, 480 volt service.
- b. Motor shall conform to NEMA MG 1 and meet the requirements of Section 16415A, "ELECTRICAL WORK, INTERIOR".

- c. Motor shall be matched to the pug mill requirements. Motor shall be selected with NEMA class torque characteristics to allow an across the line start with standard overload heaters.
- d. Motor capacity shall be sufficient to drive the pug mill at its rated capacity without overloading.

2.2 ROTARY FEEDER

2.2.1 General

- a. Inlet and outlet connections shall be flanges designed for gravity flow. Shaft shall be horizontal.
- b. Removable cover shall be provided for access to the rotor compartments.
- c. Manufacturer's standard primer and finish paint suitable for the maximum operating temperature shall be applied to factory assembled and tested units before shipping.
- d. Direction of rotor rotation shall be permanently marked on the rotary feeder in a visible location. Warning signs shall be posted on the rotary feeder stating that the rotary feeder may start suddenly.
- e. Rotary feeder shall be furnished with a stainless steel nametag, fixed to the body in a conspicuous place. The nameplate shall be embossed with the following information:
- 1.) Manufacturer's name and address
- 2.) Make, model, size, serial number, and date of manufacture.
- 3.) "Rotary Feeder"
- 4.) Rated flow (cubic feet per hour)
- 5.) Motor rating (Horsepower)
- 6.) Rotor maximum speed (rpm)
 - f. Rotor speed shall be adjustable.

2.2.2 Rotor

- a. Rotor shall be multi-vaned, equal-sized compartments with closed ends.
- b. Vane tips shall be abrasion resistant material for rotary feeder handling abrasive material.
- c. Rotor shall be keyed and locked to the shaft or shall be provided with an integral shaft.
- d. Rotor and shaft shall be carbon steel or ductile iron in accordance with ASTM A 536.
- e. Shaft shall be provided with spring-loaded, packing gland seals at the points of penetration with the body.

- f. Shaft shall be supported outside the body on antifriction bearings that are permanently lubricated and sealed or provided with pressure grease fittings.
- g. Shaft shall be provided with a zero speed switch. Speed switch shall be capacitive sensor type, NEMA rated for outdoor applications and include a metallic target on the rotating shaft and adjustable mounting hardware for sensor pickup. Sensor shall contain indication for the target within the sensing range and a potentiometer for adjustment when the range has been exceeded. Output shall be three-wire DCPNP, normally open, with an adjustable output range compatible to screw speed based on a supply voltage of 25 to 60 VDC.

2.2.3 Body

- a. Body shall be cast iron, a minimum of one-half (1/2) inch thick. Interior surfaces shall be abrasion resistant material for handling abrasive material.
- b. Body shall be provided with a shoe or seal plate so that at no time during rotor rotation is there a clear passage between the outlet and inlet connections.
- c. Body shall be provided with removable cover plate for access to the rotor and vane tips.
- d. Body shall fully support bearings and drive units.

2.2.4 Airlock Design

- a. Means shall be provided for ensuring a tight seal at the rotor periphery between the rotor vanes and the body and also allowing pieces of ash to pass. This shall be accomplished by providing a spring-loaded body shoe or seal plate. Spring tension shall be adjustable.
- b. Split bodies shall be fully sealed at joints.
- c. Vane tip seals shall be adjustable.

2.2.5 Drive Unit

- a. Each drive unit shall consist of, but not necessarily be limited to, an electric motor, gear speed reducer, and roller chain with sprockets. Roller chain and sprockets shall be provided with a removable protective cover in accordance with OSHA. Tension shall be adjustable.
- b. Drive unit shall provide variable speed control up to the full rated capacity specified herein. Variable speed shall be achieved through mechanical means.
- c. The drive unit shall be provided with features that protect the equipment in the event of a jam. This shall be accomplished through controls that automatically reverse the rotor if a jam is detected to relieve the jam before continuing normal rotation or with an

- easily replaceable overload shear pin. Suitable spare shear pins shall be provided.
- d. The drive unit shall be located outboard of the body and the rotor shaft bearing blocks.
- e. The motor and gear speed reducer shall be anchored on a base supported from the body.
- f. The gear speed reducer shall be enclosed, lubricated with an oil bath, equipped with antifriction bearings and shall be designed in accordance with AGMA. The gear speed reducer shall be have a service factor of 1.5 or better.

2.2.6 Motor

- a. Motor shall be Totally Enclosed, Fan Cooled (TEFC), and suitable for three phase, 60 Hz, 480 volt service.
- b. Motor shall conform to NEMA MG 1 and meet the requirements of Section 16415A, "ELECTRICAL WORK, INTERIOR.".
- c. Motor shall be matched to the rotary feeder requirements. Motor shall be selected with NEMA class torque characteristics to allow an across the line start with standard overload heaters.
- d. Motor capacity shall be sufficient to drive the rotary feeder at its rated capacity without overloading.

2.3 KNIFE GATE VALVE

2.3.1 General

- a. Inlet and outlet connections shall be horizontal designed for gravity flow.
- b. Valve shall be designed for open and closed duty.
- c. Operator shall be mounted and supported from the valve body.
- d. Manufacturer's standard primer and finish paint suitable for the maximum operating temperature shall be applied to factory assembled and tested units before shipping.
- e. Knife gate valve shall be furnished with a stainless steel nametag, fixed to the body in a conspicuous place. The nameplate shall be embossed with the following information:
- 1.) Manufacturer's name and address
- 2.) Make, model, size, serial number, and date of manufacture.
- 3.) "Knife Gate Valve"
- 4.) Nominal size (inches)

2.3.2 Valve Body

- a. Valve body shall be designed to support the blade through its full travel stroke. Mechanical guide type supports shall be provided.
- b. Travel stops for the blade shall be provided in the body for the open and closed position. Travel stops shall not prevent the removal and replacement of the blade. The travel stop for the closed position shall provide a volume in the valve body that is out of the flow stream for clearing material displaced by the blade travel.
- c. The valve opening shall be full ported. Blade supports, guides, seals, stops and operators shall be out of the flow stream.
- d. Seals shall be provided for the upstream side of the blade and shall extend the full perimeter of the valve body port.

2.3.3 Knife Gate

- a. Knife gates shall be flat stainless steel plate designed to slide between the valve body guides and supports.
- b. The area of the knife gate shall be adequate to fully cover the valve port at the seal perimeter.
- c. The leading edge of the knife gate shall be designed to plow away material in the valve port.

2.3.4 Pneumatic Operator

- a. Pneumatic actuator shall be piston and cylinder type, sealed and double acting.
- b. Piping for each pneumatic actuator shall be in accordance with Section 15211N, "Low Pressure Compressed Air Piping (Non-Breathing Air Type)" and shall be complete including tubing, fittings, filter regulator set, four-way 120 VAC solenoid valve, speed control valves, isolation and bypass valves and a single point connected with the instrument air system.
- c. The assembly shall include mechanically operated position switches, DPDT, to indicate both open and closed position.

2.4 MISCELLANEOUS EQUIPMENT

2.4.1 Expansion Joint

Provide an expansion joint between the knife gate valve and the rotary feeder suitable for simultaneously accepting a downward movement of the ash silo flange of 0.20 inches and a lateral movement of the ash silo flange of 0.10 inches without transmission of damaging forces between the upstream and the downstream equipment. The expansion joint shall be full ported, leaktight, without pockets for ash hang-up and of a material suitable for the ash and the cycling service. Expansion joint shall be provided with flanges for interconnection with upstream and downstream equipment. Expansion joint may be integral with the spacer.

2.4.2 Spacer

Provide one spacer between the knife gate valve and the rotary feeder suitable for making up the changes in elevation distance shown on the Contract Drawings between the ash silo flange and the ash unloading system floor elevation and providing a complete ash unloader system. The spacer shall be full ported without pockets for ash hang-up. Material shall be suitable for the ash service. The spacer shall be provided with flanges for interconnection with upstream and downstream equipment.

2.4.3 Nuts and Bolts

Provide all backing rings, nuts and bolts for construction and fit-up of the ash unloading equipment. Backing rings shall be in accordance with ASTM A 36. Bolts shall be in accordance with ASTM A 193 and nuts shall be in accordance with ASTM A 194.

2.5 CONTROLS

2.5.1 Control Panels, Stations and Accessories

Contractor shall provide a main control panel mounted at the ash unloader system floor and a water flow control station at the receiving floor as shown on the contract drawings. The main control panel and water flow control station shall be fully wired. Control panels, stations and accessories shall be in accordance with Section 16415A, "Electrical Work, Interior".

2.5.2 Process Regulation

Controls shall be provided for the following functions:

Automatic Start
Automatic Stop
Emergency Stop
Knife Gate Valve Manual Open-Closed
Rotary Feeder Manual Run-Stop-Auto-Reverse Jog
Pug Mill Manual Run-Stop-Auto
Water Flow Adjustment
Reset

The automatic start feature shall be provided at both the main control panel and at the water flow control station. Initiating the automatic start shall start water flow and activate water flow control. After a short, adjustable time delay, the rotary feeder and the pug mill shall start. The automatic start has the following permissives:

knife gate valve open rotary feeder in auto pug mill in auto adequate instrument air pressure adequate service water pressure

The water flow adjustment shall be located at the water flow control station. It shall allow a gradual increase and decrease in the water flow to the spray system after an automatic start. The water flow shall stop as specified herein and under the following conditions:

loss of power loss of instrument air service water pressure low

The automatic stop feature shall be provided at both the main control panel and at the water flow control station. It shall also be activated by a low ash level in the ash silo. Initiating the automatic stop shall shut the knife gate valve. After an adjustable time delay, the rotary feeder and the pug mill shall stop followed by the water flow.

The emergency stop feature shall be provided at both the main control panel and at the the water flow control station. Initiating the emergency stop shall instantaneously stop all rotating equipment and water flow. In addition, all rotating equipment and water flow shall instantaneously stop under any of the following conditions:

knife gate closed rotary feeder no motion pug mill no motion instrument air pressure low service water pressure low

The reset shall be located at the main control panel and shall allow resumption of automatic functions, after the condition causing the emergency stop has been cleared.

The manual controls for the knife gate valve, rotary feeder and pug mill shall be provided at the main control panel and shall allow manual override of the automatic functions.

2.6 WATER PIPING, FITTINGS, AND ACCESSORIES

2.6.1 General

Provide Schedule 40 black steel pipe conforming to ASTM A 53, Grade A and B.Materials and dimensions shall conform to ASME B31.9.

2.6.2 Fittings and Flanges for Steel Piping Sizes 1/8 to 2 Inches

Steel fittings, ASME B16.5, flanged type, ASME B16.11, socket welding type, Class 3000, or threaded type, Class 2000; malleable iron fittings, ASME B16.3, threaded type; bronze fittings ANSI B16.24, flanged type.

2.6.3 Unions

Unions (Threaded) shall be in accordance with ASME B16.39, or MSS SP-83.

2.6.4 End Connections

2 Inches and Smaller

End connections shall be threaded, socket welded or flanged.

a. Threaded joints: Thread in accordance with ASME B1.20.1.

b. Flanged joints:

- (1) Bolting of flanges: Material used for bolts and studs shall conform to ASTM A 307, Grade B, and material for nuts shall conform to ASTM A 194/A 194M, Grade 2. Dimensions of bolts, studs, and nuts shall conform to ANSI B18.2.1 and ASME B18.2.2 with threads conforming to ASME B1.1 coarse type with Class 2A fit for bolts and studs, and Class 2B fit for nuts. Bolts or studs shall extend through nuts and may have reduced shanks of a diameter not less than diameter at root of threads. Carbon steel bolts shall have American Standard regular square or heavy hexagon heads and shall have American Standard heavy, semifinished hexagonal nuts.
- (2) Gaskets: ASTM D 2000, fluorinated elastomers, suitable for pressure and temperature ranges encountered, and compatible with grooves in flange faces. Dimensions for nonmetallic gaskets shall conform to ASME B16.21.
- c. Socket weld joints: ASME B31.9.

2.6.5 Valves and Related Equipment

End connections shall conform to paragraph entitled "End Connections." Valves shall have rising stems and shall open when turned counterclockwise.

2.6.5.1 Gate Valves 2 Inches and Smaller

- a. Bronze gate valves: MSS SP-80, wedge disc, rising stem, inside threaded type.
- b. Steel gate valves: ASME B16.34, outside screw-and-yoke type with solid wedge or flexible wedge disc, with trim of heat and corrosion-resistant steel as recommended by the manufacturer for service indicated.

2.6.5.2 Globe and Angle Valves

- a. Bronze, 2 inches and smaller: MSS SP-80, with renewable seats and discs.
- b. Steel: ASME B16.34, with heat and corrosion-resistant trim as recommended by the manufacturer for service indicated, and provided with tapped drains and brass plugs.

2.6.5.3 Check Valves

- a. Bronze: MSS SP-80, regrinding-swing-check type.
- b. Steel: ASME B16.34, with heat and corrosion-resistant trim as recommended by the manufacturer for conditions indicated.
- c. Swing check valves: Provide with bolted caps.
- d. Lift check valves: Provide with bolted caps.

2.6.5.4 Water Pressure-Reducing Valves

ASSE 1003.

2.6.5.5 Ball Valves

MSS SP-110; copper alloy; valve design which permits inspection and repair of seats and seals without removing valve body from line; End Connection threaded or welding ends. Flanged ball valve shall conform to MSS SP-72, bronze or steel.

2.6.5.6 Drain Valves

Gate valves conforming to MSS SP-80, manually operated3/4 inch pipe size and above, with threaded ends. Provide hose nipple adapters for connecting a hose to lead to a convenient floor drain. Provide frost-free valves for installations exposed to weather.

2.6.5.7 Air Vent Valves

Provide 3/4 inch pipe size for water mains and 1/2 inch minimum pipe size for other applications.

2.6.5.8 Flow Control Valves

Individually selected and factory calibrated by the manufacturer for service specified. Valves shall automatically adjust rate of flow to an adjustable preset design capacity required for properly wetting the ash regardless of system pressure fluctuations. Provide tamperproof valves with body tappings suitable for connecting instruments for verifying flow control performance. Provide self-cleaning, cartridge-piston type with stainless steel, variable area orifices and stainless steel or nickel-plated pistons. Valves shall have bronze bodies with threaded or flanged connections as required for pipe fittings. Furnish each automatic flow control valve with a valve kit located outside of insulation, and hose fittings suitable for use with measuring instruments as indicated.

a. When meeting component requirements herein, composite valves consisting of integral ball valve(s), automatic flow control valve, thermo wells, gage cocks, strainer, and fittings, or a combination thereof, are acceptable where certified by the manufacturer for specific service and installed in strict accordance with the manufacturer's recommendations.

2.6.5.9 Solenoid Valves

Provide direct acting or pilot operating type for use with liquid service. Valves shall conform to UL 429, and be designed for pressure drop required. Valves shall have seal-capped manual opening stems and be constructed for servicing without removing from line. Each valve shall include a coil housing, stem-and-plunger assembly nonmagnetic to the plug, stainless steel enclosing tube, seat-and-plunger, and proper inlet and outlet connections for installing into piping system. Direction of flow shall be indicated on body. Provide solenoid valves designed, manufactured, and tested specifically for the service in all respects, including material. Coil housing shall include a moistureproof coil in a metal housing with electrical wires extending through a female-pipe-tap-conduit connection. Coil shall be wired for electrical current used and be capable of withstanding temperature of liquid encountered plus heat from coil. Provide

bodies, stems, and pistons of a material that will not corrode or pit when used in water systems. Valve with threaded connections shall conform to ASME B1.20.1.

a. Type of valves: Direct operated valve shall be of the type that operates plunger by direct action of coil. Pilot operated valves shall be floating piston or direct-connected-plunger type. Pilot operated valve with floating piston shall be used on 1/2 inches or larger port size valves and capable of handling liquid temperature up to design temperature. Valves shall have flanged connections in sizes 1 1/2 inches and larger with companion flanges for either welding or soldering to piping. Sizes 1 1/4 inches and smaller may either have female thread connections or may be nonferrous with soldering connections.

2.6.6 Miscellaneous Components for Piping System

2.6.6.1 Strainers

FS WW-S-2739, Type I (single screen) for IPS sizes below 2 inches and Type II (single perforated basket) for sizes 2 inches and above. Provide Type 304 stainless steel element with 0.033 inch diameter perforations, or Type 304 stainless steel screen. Select perforation diameter or screen mesh number suitable to protect the particular component indicated. Manual and automatic cleaners are not required.

2.6.6.2 Pipe Hangers and Supports

Design and fabrication of pipe hangers, supports, and welding attachments shall conform to MSS SP-58. Hanger types and supports for bare and covered pipes shall conform to MSS SP-69 for system temperature range. Unless otherwise indicated, horizontal and vertical piping attachments shall conform to MSS SP-58. Provide metal protection shields and inserts for insulated piping in accordance with Section 15080A, "Mechanical Insulation." Sway bracing shall conform with ASME B31.9.

2.6.6.3 Water Hammer Arrester

Water hammer arresters shall be as shown of the contract drawings and shall be precharged, flexible bellows type with a maximum allowable working pressure of 150 psig.

2.6.7 Instrumentation

Provide scale range based upon location, application, and design pressure as indicated or specified.

2.6.7.1 Pressure Gages

Dial Type, elastic element, ASME B40.1 with integrally mounted restrictor, dial size 4 1/2 or 6 inches; positive, vacuum, compound, or differential pressure type as indicated.

2.6.7.2 Pressure Test Ports

Pressure test ports shall have brass body and EPDM and/or Neoprene valve seals. Ports shall be rated for service between 35 and 275 degrees F and up

to 500 psig. Provide with screw-on cap attached with a strap or chain to prevent loss when removed. Ports shall be 1/4 inch NPT.

PART 3 EXECUTION

3.1 INSTALLATION

Install the ash unloading system in accordance with manufacturers' printed instructions. Install equipment level and plumb and firmly anchored in locations indicated. Use leveling screws for leveling the pug mill and grout in place before anchoring. Arrange so devices needing servicing are accessible. Provide proper supports for mounting of vibration isolators, stands, guides, anchors, clamps and brackets.

3.2 PIPING SYSTEMS

Water piping shall be installed in accordance with ASME B31.9. Provide sufficient pitch to assure adequate drainage and venting. Drain valves at low points of piping system, and manual air vent valves at high points where air pockets would occur. Piping shall follow general arrangement shown, cut accurately to measurements established for the work by the Contractor, and worked into place without springing or forcing. Piping and equipment within buildings shall be entirely out of the way of electrical conduit, lighting fixtures, equipment and doors, windows, and other openings. Run overhead piping in buildings in the most inconspicuous positions. Provide adequate clearance from walls, ceilings, and floors to permit welding of joints; at least 6 inches for pipe sizes 4 inches and less, 10 inches for pipe sizes over 4 inches, and in corners provide sufficient clearance to permit the welder to work between pipe and one wall. Make changes in size of water lines with reducing fittings. Do not conceal piping until inspected, tested, and approved. Do not miter pipe to form elbows, or notch straight runs to form full-sized tees, or utilize any similar construction. Except where shown otherwise, run vertical piping plumb and straight and parallel to walls. Thoroughly clean each section of pipe, fittings, and valves to be free of foreign matter before erection. Prior to erection, hold each piece of pipe in an inclined position and thoroughly tap to loosen sand, mill scale, and foreign matter. Before final connections are made to apparatus, wash interior of piping thoroughly with water. Blow out piping with high pressure steam or compressed air to remove rust scale, oil, and debris. Do not leave lines open at any place where foreign matter might accidentally enter.

3.2.1 Flanged Joints

Faced true, square, tight and provided where necessary for normal maintenance. Mate with valves and various equipment connections. Remove raised faces when used with flanges having a flat face.

3.2.2 Threaded Joints

Clean threads and apply suitable amount of Teflon tape or Teflon pipe dope prior to making joint.

3.2.3 Reducing Fittings

Provide to connect changes of sizes in piping lines. Make branch connections with tees except that factory-made-forged-steel welding branch

outlets or nozzles having integral reinforcements and conforming to ASME B31.9 may be provided when the nominal diameter of piping system branch does not exceed one nominal pipe size less than nominal size of piping segment containing fitting.

3.2.4 Pipe Hangers and Supports

Installation including spacing shall conform to ASME B31.9.

3.2.5 Fabrication and Assembly of Piping and Components

3.2.5.1 Strainers

Provide strainers in to protect orifices from foreign materials. Locate strainers close to equipment to be protected. Install strainers with screen drum and in direction of flow, as marked on strainer body. Provide clearance for removal and replacing of strainer screens. Strainers shall have screens of ample net free area and be composed of materials which shall be compatible with fluid being used. Provide reducer fittings for changes in pipeline sizes and strainer connection sizes. Provide a pressure gage with valved connection to inlet and outlet sides of strainer for determining pressure drop through strainer, for indicating need for cleaning strainer screen.

3.2.5.2 Valves

Install at equipment to allow maintenance or isolation, and to establish proper and sequential operation of complete system. Provide globe valves or plug cocks where required to regulate flow to obtain equal distribution of water. Install globe and angle valves with stems horizontal where necessary to avoid trapping of fluid.

3.3 INSTRUMENTATION

Locate pressure gages and pressure switches as indicated.

Provide a shutoff valve or pet cock between the instrument and pipe line.

3.4 MISCELLANEOUS PIPING

3.4.1 Air Vent Valves

Provide at high points in water piping. Provide isolation valves and pipe to run off into the nearest floor drain.

3.4.2 Drain Valves

Provide at low points in water piping and air piping. Provide isolation valves and pipe to run off into the nearest floor drain.

3.5 ELECTRICAL EQUIPMENT

Install electrical equipment in accordance with Division 16. Motor starters shall be provided complete with properly sized thermal overload protection and other appurtenances necessary for motor control specified.

3.6 CLEANING OF SYSTEMS

When installations of various components of piping systems are completed, clean before final closing. Clean piping and components of scale and thoroughly flush out foreign matter. Clean strainers and valves thoroughly. Wipe equipment clean, removing traces of oil, dust, dirt, or paint spots. Maintain system in this clean condition until final approval. Clean and paint piping and equipment.

3.7 SAFETY PROCEDURE

Observe precautions and warnings on the manufacturer's product labels.

3.8 IDENTIFICATION OF PIPING AND PHYSICAL HAZARDS

Identify piping and physical hazards in accordance with CFR 29 CFR 1910.144, ANSI A13.1, ANSI Z53.1. Spacing of identification marks on runs shall not exceed50 feet. Painting and stenciling shall conform to Section 09900, "Paints and Coatings." Colors shall conform to ANSI Z53.1. Tag equipment, gages, valves, and controllers with tags of brass or approvable nonferrous material and securely mount or attach.

3.9 FIELD INSPECTIONS

Prior to initial operation examine and inspect piping system for conformance to plans and specifications, ASME B31.9. Equipment, material, or work rejected because of defects or nonconformance with plans, specifications, and ANSI Codes for pressure piping shall be corrected as directed by the Contracting Officer.

3.10 FIELD TESTS

After completion of piping installation and prior to initial operation, conduct tests on piping system. Furnish materials and equipment required for tests. Correct defects disclosed by test. Perform test after installation and prior to acceptance in presence of the Contracting Officer and subject to his approval.

3.10.1 Water Piping

Hydrostatically test in accordance with requirements of ASME B31.9. Test piping system at 1.5 times the design pressure with water not exceeding 100 degrees F. Before tests, remove or isolate gages, traps, and other apparatus in new system and existing piping system that may be damaged. Repair leaks. Do not caulk joints. Install a calibrated, test pressure gage in system to observe loss in pressure. Maintain required test pressure for a sufficient amount of time to enable an inspection of joints and connections. Correct defects disclosed by test.

3.11 STARTUP AND OPERATIONAL TESTS

Provide the services of a factory-authorized service representative to inspect the installation, start-up the ash unloader system and place the equipment in service. Adjust safety and automatic control instruments as necessary to place them in required operation and sequence.

3.12 INSTRUCTION TO GOVERNMENT PERSONNEL

Provide two man-days of instruction in accordance with Section 15050N, "Basic Mechanical Materials and Methods."

-- End of Section --



SECTION 15050N

BASIC MECHANICAL MATERIALS AND METHODS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM B 117

(1997) Operating Salt Spray (Fog) Apparatus

1.2 RELATED REQUIREMENTS

This section applies to all sections of Division 15, "Mechanical" of this project specification, unless specified otherwise in the individual section.

1.3 QUALITY ASSURANCE

1.3.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.3 Service Support

The equipment items shall be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations shall be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.4 Manufacturer's Nameplate

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.3.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions shall be considered mandatory, the word "should" shall be interpreted as "shall." Reference to the "code official" shall be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" shall be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" shall be interpreted to mean the "lessor." References to the "permit holder" shall be interpreted to mean the "Contractor."

1.3.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, shall be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 ELECTRICAL REQUIREMENTS

Furnish motors, controllers, disconnects and contactors with their respective pieces of equipment, unless otherwise indicated on electrical drawings or specifications. Motors, controllers, disconnects and contactors shall conform to and have electrical connections provided under Division 16 specifications. Furnish internal wiring for components of packaged equipment as an integral part of the equipment. Extended voltage range motors will not be permitted. Controllers and contactors shall have a maximum of 120 volt control circuits, and shall have auxiliary contacts for use with the controls furnished. When motors and equipment furnished are larger than sizes indicated, the cost of additional electrical service and related work shall be included under the section that specified that motor or equipment. Power wiring and conduit for field installed equipment shall be provided under and conform to the requirements of Division 16 specifications.

1.6 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work.

Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.7 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 PAINTING OF NEW EQUIPMENT

New equipment painting shall be factory applied or shop applied, and shall be as specified herein, and provided under each individual section. Contractor shall provide one (1) quart of finish coat for field touch-up.

3.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors shall withstand 500 hours in a salt-spray fog test. Salt-spray fog test shall be in accordance with ASTM B 117, and for that test the acceptance criteria shall be as follows: immediately after completion of the test, the paint shall show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen shall show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment shall not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system shall be designed for the temperature service.

3.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F shall be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat shall be aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F shall receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.
- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F shall receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.
- c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F shall receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

-- End of Section --

SECTION 15080A

THERMAL INSULATION FOR MECHANICAL SYSTEMS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 167	(1999) Stainless and Heat-Resisting Chromium- Nickel Steel Plate, Sheet, and Strip
ASTM A 580/A 580M	(1998) Stainless Steel Wire
ASTM B 209	(2001) Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C 1126	(2000) Faced or Unfaced Rigid Cellular Phenolic Thermal Insulation
ASTM C 1136	(1995) Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C 195	(1995) Mineral Fiber Thermal Insulating Cement
ASTM C 449/C 449M	(2000) Mineral Fiber Hydraulic-Setting Thermal Insulating and Finishing Cement
ASTM C 533	(1995; R 2001) Calcium Silicate Block and Pipe Thermal Insulation
ASTM C 534	(2001a) Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C 547	(2000) Mineral Fiber Pipe Insulation
ASTM C 552	(2000) Cellular Glass Thermal Insulation
ASTM C 553	(2000) Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C 591	(2001) Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation

04018/EM	Central	Heating	Plant	Application	of	Low	Emissions	Tech.

ASTM C 610	(1999) Molded Expanded Perlite Block and Pipe Thermal Insulation
ASTM C 612	(2000a) Mineral Fiber Block and Board Thermal Insulation
ASTM C 647	(1995; R 2000) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C 795	(1992; R 1998el) Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C 920	(2002) Elastomeric Joint Sealants
ASTM C 921	(1989; R 1996) Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM D 882	(1997) Tensile Properties of Thin Plastic Sheeting
ASTM E 84	(2001) Surface Burning Characteristics of Building Materials
ASTM E 96	(2000e1) Water Vapor Transmission of Materials

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-69 (1996) Pipe Hangers and Supports - Selection and Application

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds (1999) National Commercial & Industrial Insulation Standards

1.2 SYSTEM DESCRIPTION

Field-applied insulation and accessories on mechanical systems shall be as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated.

1.3 GENERAL QUALITY CONTROL

1.3.1 Standard Products

Materials shall be the standard products of manufacturers regularly engaged in the manufacture of such products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.3.2 Installer's Qualifications

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

1.3.3 Surface Burning Characteristics

Unless otherwise specified, insulation not covered with a jacket shall have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread, and smoke developed indexes, shall be determined by ASTM E 84. Insulation shall be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket shall be tested as a composite material. Jackets, facings, and adhesives shall have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E 84.

1.3.4 Identification of Materials

Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Mica Plates; G.

After approval of materials and prior to applying insulation, a booklet shall be prepared and submitted for approval. The booklet shall contain marked-up MICA Insulation Stds plates (or detail drawings showing the insulation material and insulating system) for each pipe, duct, or piece of equipment that must be insulated per this specification. The MICA plates shall be marked up showing the materials to be installed in accordance with the requirements of this specification for the specific insulation application. The Contractor shall submit all MICA Plates required to show the entire insulating system, including Plates required to show insulation penetrations as applicable. If the Contractor elects to submit detailed drawings instead of marked-up MICA Plates, the detail drawings shall show cut-away, section views, and details indicating each component of the insulation system and showing provisions for insulating jacketing, and sealing portions of the equipment. For each type of insulation installation on the drawings, provide a label that identifies each component in the installation (i.e., the duct, insulation, adhesive, vapor retarder, jacketing, tape, mechanical fasteners, etc.) Indicate insulation by type and manufacturer. Three copies of the booklet shall be submitted at the jobsite to the Contracting Officer. One copy of the approved booklet shall remain with the insulation Contractor's display sample and two copies shall be provided for Government use.

SD-03 Product Data

General Materials; G.

A complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories for each mechanical system requiring insulation shall be included. Materials furnished under this section of the specification shall be submitted at one time.

1.5 STORAGE

Materials shall be delivered in the manufacturer's unopened containers. Materials delivered and placed in storage shall be provided with protection from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means.

PART 2 PRODUCTS

2.1 GENERAL MATERIALS

Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either the wet or dry state. Materials to be used on stainless steel surfaces shall meet ASTM C 795 requirements. Materials shall be asbestos free and conform to the following:

2.1.1 Adhesives

2.1.1.1 Mineral Fiber Insulation Cement

Cement shall be in accordance with ASTM C 195.

2.1.1.2 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. Lagging adhesives shall be nonflammable and fire-resistant and shall have a flame spread rating no higher than 25 and a smoke developed rating no higher than 50 when tested in accordance with ASTM E 84. Adhesive shall be pigmented white and be suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding fibrous glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or for attaching fibrous glass insulation to metal surfaces. Lagging adhesives shall be applied in strict accordance with the manufacturer's recommendations.

2.1.2 Contact Adhesive

Adhesives may be dispersed in a volatile organic solvent. Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a flame spread index no higher than 25 and a smoke developed

index no higher than 50 when tested in the dry state in accordance with ASTM E 84. The adhesive shall not adversely affect, initially or in service, the insulation to which it is applied, nor shall it cause any corrosive effect on metal to which it is applied. Any solvent dispersing medium or volatile component of the adhesive shall have no objectionable odor and shall not contain any benzene or carbon tetrachloride. The dried adhesive shall not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive shall be nonflammable and fire resistant. Natural crossventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation shall be used to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

2.1.3 Caulking

ASTM C 920, Type S, Grade NS, Class 25, Use A.

2.1.4 Corner Angles

Nominal 0.016 inch aluminum 1 x 1 inch with factory applied kraft backing. Aluminum shall be ASTM B 209, Alloy 3003, 3105, or 5005.

2.1.5 Finishing Cement

ASTM C 449/C 449M: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must include testing per ASTM C 795.

2.1.6 Fibrous Glass Cloth and Glass Tape

Fibrous glass cloth and glass tape shall have flame spread and smoke developed ratings of no greater than 25/50 when measured in accordance with ASTM E 84. Tape shall be 4 inch wide rolls.

2.1.7 Staples

Outward clinching type ASTM A 167, Type 304 or 316 stainless steel.

2.1.8 Jackets

ASTM C 921, Type I, maximum moisture vapor transmission 0.02 perms, (measured before factory application or installation), minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C 921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing. Based on the application, insulation materials that require factory applied jackets are mineral fiber, cellular glass, and phenolic foam. All non-metallic jackets shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E 84.

2.1.8.1 White Vapor Retarder All Service Jacket (ASJ)

For use on hot/cold pipes, ducts, or equipment vapor retarder jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing.

2.1.8.2 Aluminum Jackets

Aluminum jackets shall be corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B 209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105 with factory applied moisture retarder. Corrugated aluminum jacket shall not be used outdoors. Aluminum jacket securing bands shall be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands shall be 2 x 0.016 inch aluminum matching jacket material. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, the bands at the circumferential joints shall be designed by the manufacturer to seal the joints and hold the jacket in place.

2.1.8.3 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers shall have high impact strength, UV resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.1.9 Vapor Retarder Required

2.1.9.1 Vapor Retarder Mastic Coatings

The vapor retarder coating shall be fire and water resistant and appropriately selected for either outdoor or indoor service. Color shall be white. The water vapor permeance of the compound shall be determined according to procedure B of ASTM E 96 utilizing apparatus described in ASTM E 96. The coating shall be a nonflammable, fire resistant type. All other application and service properties shall be in accordance with ASTM C 647.

2.1.9.2 Laminated Film Vapor Retarder

ASTM C 1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable.

2.1.9.3 Polyvinylidene Chloride (PVDC) Film Vapor Retarder

The PVDC film vapor retarder shall have a maximum moisture vapor transmission of 0.02 perms, minimum puncture resistance of 150 Beach units, a minimum tensile strength in any direction of 30 lb/inch when tested per ASTM D 882, and a maximum flame spread/smoke developed index of 25/50 per ASTM E 84.

2.1.9.4 Polyvinylidene Chloride Vapor Retarder Adhesive Tape

Requirements must meet the same as specified for PVDC Film Vapor Retarder in paragraph 2.1.9.2 above.

2.1.10 Vapor Retarder Not Required

ASTM C 1136, Type III, maximum moisture vapor transmission 0.10 perms, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable.

2.1.11 Wire

Soft annealed ASTM A 580/A 580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.1.12 Sealants

Sealants shall be chosen from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Sealants shall have a maximum moisture vapor transmission of 0.02 perms, and a maximum flame spread/smoke developed index of 25/50 per ASTM E 84.

2.2 PIPE INSULATION MATERIALS

The Contractor shall comply with EPA requirements in accordance with Section 01670, "RECYCLED / RECOVERED MATERIALS". Pipe insulation materials shall be limited to those listed herein and shall meet the following requirements:

2.2.1 Aboveground Hot Pipeline

Insulation for above 60 degrees F shall meet the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket.

- a. Mineral Fiber: ASTM C 547, Types I, II or III, supply the insulation with manufacturer's recommended factory-applied jacket.
- b. Calcium Silicate: ASTM C 533, Type I indoor only, or outdoors above 250 degrees F pipe temperature. Supply insulation with the manufacturer's recommended factory-applied jacket.
- c. Cellular Glass: ASTM C 552, Type II and Type III. Supply the insulation with manufacturer's recommended factory-applied jacket.
- d. Perlite Insulation: ASTM C 610

2.3 DUCT INSULATION MATERIALS

Duct insulation materials shall be limited to those listed herein and shall meet the following requirements:

2.3.1 Flexible Mineral Fiber

ASTM C 553: Type I, or Type II up to 250 F.

2.4 EQUIPMENT INSULATION MATERIALS

Equipment insulation materials shall be limited to those listed herein and shall meet the following requirements:

2.4.1 Hot Equipment Insulation

For equipment operating temperatures above 60 degrees F.

2.4.1.1 Rigid Mineral Fiber

ASTM C 612: Type IA, IB, II, III, IV, or V as required for temperatures encountered to 1200 degrees F.

2.4.1.2 Flexible Mineral Fiber

ASTM C 553: Type I, II, III, IV, V, VI or VII as required for temperatures encountered to 1200 degrees F.

2.4.1.3 Calcium Silicate

ASTM C 533, Type I, indoors only, or outdoors above 250 degrees F.

2.4.1.4 Cellular Glass

ASTM C 552: Type I, Type III, or Type IV as required.

2.4.1.5 Flexible Elastomeric Cellular Insulation

ASTM C 534, Type II, to 200 degrees F.

2.4.1.6 Phenolic Foam

ASTM C 1126, Type II, to 250 degrees F shall comply with ASTM C 795.

2.4.1.7 Molded Expanded Perlite

ASTM C 610.

2.4.1.8 Polyisocyanurate Foam:

ASTM C 591, Type I to 300 degrees F service. Supply the insulation with manufacturer's recommended factory-applied jacket.

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Insulation shall only be applied to unheated piping and equipment. The insulation shall not pull apart after a one hour period; any insulation found to pull apart after one hour, shall be replaced.

3.1.1 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or

equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Mineral fiber thermal insulating cement shall be mixed with demineralized water when used on stainless steel surfaces. Insulation, jacketing and accessories shall be installed in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.2 Painting and Finishing

Painting shall be as specified in Section 09900, "PAINTS AND COATINGS".

3.1.3 Welding

No welding shall be done on piping, duct</TAI> or equipment without written approval of the Contracting Officer. The capacitor discharge welding process may be used for securing metal fasteners to duct.

3.1.4 Pipes/Ducts/Equipment which Require Insulation

Insulation is required on all pipes, ducts, or equipment, except for omitted items, as specified.

3.2 PIPE INSULATION INSTALLATION

3.2.1 Pipe Insulation

3.2.1.1 General

Pipe insulation shall be installed on aboveground hot pipeline systems as specified below to form a continuous thermal retarder, including straight runs, fittings and appurtenances unless specified otherwise. Installation shall be with full length units of insulation and using a single cut piece to complete a run. Cut pieces or scraps abutting each other shall not be used. Pipe insulation shall be omitted on the following:

- a. Pipe used solely for fire protection.
- b. Natural gas piping.

3.2.1.2 Pipes Passing Through Hangers

- a. Insulation shall be continuous through hangers. All horizontal pipes 2 inches and smaller shall be supported on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-69. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, insulation inserts as specified below for piping larger than 2 inches shall be installed.
- b. Horizontal pipes larger than 2 inches at 60 degrees F and above shall be supported on hangers in accordance with MSS SP-69.

- c. Vertical pipes shall be supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-69 covering the 360-degree arc of the insulation. An insulation insert of cellular glass or calcium silicate shall be installed between each shield and the pipe. The insert shall cover the 360-degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. When insulation inserts are required per the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. The insulation jacket shall be continuous over the wooden dowel, wooden block, or insulation insert. The vertical weight of the pipe shall be supported with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, the weight of the pipe shall be additionally supported with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. These hangers shall be insulated and the insulation jacket sealed as indicated herein for anchors in a similar service.
- d. Inserts shall be covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, shall overlap the adjoining pipe jacket 1-1/2 inches, and shall be sealed as required for the pipe jacket.

3.2.1.3 Pipes in high abuse areas.

In high abuse areas such as mechanical rooms, welded PVC jackets shall be utilized. Pipe insulation to the 6 foot level shall be protected.

3.2.2 Aboveground Hot Pipelines

The following hot pipelines above 60 degrees F shall be insulated per Table II:

a. High temperature hot water supply and return.

3.2.2.1 Insulation Thickness

Table B

Thickness of Pipe Insulation for Pipes Handling Steam and Fluids Other Than Domestic Hot Water (inches)

	Insulation	Conductivity	No	ominal	Pipe	Diam	eter	(in)
Fluid Temperature Range (F)	Conductivity Range Btu in/ (h sf F)	Mean Rating Temperature F	Run-outs' up to 2	&	1.25 to 2	2.5 to 4	5 to 6	8 & lgr
above 350 251 - 350 201 - 250	0.32 - 0.34 0.29 - 0.31 0.27 - 0.30	250 200 150	1.5 1.5 1.0	2.5 2.0 1.5	2.5 2.5 1.5	3.0 2.5 2.0		3.5 3.5 3.5

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141 - 200	0.25 - 0.29	125	0.5	1.5	1.5	1.5	1.5	1.5
105 - 140	0.24 - 0.28	100	0.5	1.0	1.0	1.0	1.5	1.5

Domestic and Service Hot Water Systems**

- * When run-outs to terminal units exceed 12 ft, the entire length of run-out shall be insulated like the main feed pipe.
- ** Applies to re-circulating sections of service or domestic hot water systems and first 8 feet from storage tank for non-re-circulating systems.

Insulation thickness for hot pipelines shall be determined using Table II.

LEGEND:

CG - Cellular Glass CS - Calcium Silicate MF - Mineral Fiber PL - Perlite

Table II - Hot Piping Insulation Thickness
Pipe Size (inches)

Type of Service (degrees F) larger	Material	Run-outs up to 2 in *	1 in & less	1.25 - 2 in	2.5 - 4 in	5 - 6 in	8 in &
High Temp Hot Water (351 - 500 F)	CS	2.0	3.5	4.0	4.5	5.0	5.5

- * When run-outs to terminal units exceed 12 feet, the entire length of run-out shall be insulated like the main feed pipe.
- 3.2.2.2 Jacket for Insulated Hot Pipe

Insulation shall be covered, in accordance with manufacturer's recommendations, with a factory applied Type II jacket or seal welded PVC.

- 3.2.2.3 Insulation for Straight Runs
 - a. Insulation shall be applied to the pipe with joints tightly butted.
 - b. Longitudinal laps of the jacket material shall overlap not less than 1-1/2 inches, and butt strips 3 inches wide shall be provided for circumferential joints.
 - c. Laps and butt strips shall be secured with adhesive and stapled on 4 inch centers if not factory self-sealing. Adhesive may be omitted where pipe is concealed.

- d. Factory self-sealing lap systems may be used when the ambient temperature is between 40 degrees and 120 degrees F and shall be installed in accordance with manufacturer's instructions. Laps and butt strips shall be stapled whenever there is non-adhesion of the system. Where gaps occur, the section shall be replaced or the gap repaired by applying adhesive under the lap and then stapling.
- e. Breaks and punctures in the jacket material shall be patched by either wrapping a strip of jacket material around the pipe and securing with adhesive and staple on 4 inch centers (if not factory self-sealing), or patching with tape and sealing with a brush coat of vapor retarder coating. Adhesive may be omitted where pipe is concealed. Patch shall extend not less than 1-1/2 inches past the break.

3.2.2.4 Insulation for Fittings and Accessories

- a. Pipe insulation shall be tightly butted to the insulation of the fittings and accessories.
- b. Precut or preformed insulation shall be placed around all fittings and accessories and shall conform to MICA plates, except as modified herein: 5 for anchors; 10, 11, 12, and 13 for fittings; 14, 15 and 16 for valves; 17 for flanges and unions; and 18 for couplings. Insulation shall be the same as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter. Elbows insulated using segments shall conform to MICA Tables 12.20 "Mitered Insulation Elbow".
- c. Upon completion of installation of insulation on flanges, unions, valves, anchors, fittings and accessories, terminations and insulation not protected by factory jackets or PVC fitting covers shall be protected with two coats of adhesive applied with glass tape embedded between coats. Tape seams shall overlap 1 inch. Adhesive shall extend onto the adjoining insulation not less than 2 inches. The total dry film thickness shall be not less than 1/16 inch.
- d. Insulation terminations shall be tapered to unions at a 45-degree angle.
- e. At the option of the Contractor, factory pre-molded one- or two-piece PVC fitting covers may be used in lieu of the adhesive and embedded glass tape. Factory pre-molded segments or factory or field cut blanket insert insulation segments shall be used under the cover and shall be the same thickness as adjoining pipe insulation. The covers shall be secured by PVC vapor retarder tape, adhesive, seal welding or with tacks made for securing PVC covers.

3.3 DUCT INSULATION INSTALLATION

Duct insulation shall be omitted on supply ducts in heated spaces where the difference between supply air temperature and room air temperature is less than 15 degrees F unless otherwise shown.

3.3.1 Duct Insulation Thickness

Minimum Duct Insulation (inches)
Cooling Heating

Duct Location	Annual Cooling Degree Days Base 65 F	Insulation R-Value (h sf F)/Btu	Annual Heating Degree Days Base 65 F	Insulation R-Value (h sf F)/Btu
Exterior Of Building	<500 - 500 - 1150 1151 - 2000 >2000	3.3 5.0 6.5 8.0	<1500 1500 - 4500 4501 - 7500 >7500	3.3 5.0 6.5 8.0
	Temperature Difference	Insulation R-Value (h sf F)/Btu	Temperature Difference	Insulation R-Value (h sf F)/Btu
Inside building envelope or in unconditione spaces	<15 15 <td<40 40<td< td=""><td>None reqd 3.3 5.0</td><td><15 15<td<40 40<td< td=""><td>None reqd 3.3 5.0</td></td<></td<40 </td></td<></td<40 	None reqd 3.3 5.0	<15 15 <td<40 40<td< td=""><td>None reqd 3.3 5.0</td></td<></td<40 	None reqd 3.3 5.0

These R-values do not include the film resistances. The required minimum thicknesses do not consider water vapor transmission and condensation. Additional insulation, vapor retarders, or both, may be required to limit vapor transmission and condensation. Where ducts are designed to convey both heated and cooled air, duct insulation shall be as required by the most restrictive condition. Where exterior walls are used as plenum walls, wall insulation shall be as required by the most restrictive condition of this section or the insulation for the building envelope. Cooling ducts are those designed to convey mechanically cooled air or return ducts in such systems. Heating ducts are those designed to convey mechanically heated air or return ducts in such systems. Thermal Resistance is to be measured in accordance with ASTM C 518 at a mean temperature of 75 degrees F. The temperature difference is at design conditions between the space within which the duct is located and the design air temperature in the duct. Resistance for run-outs to terminal devices less than 10 ft in length need not exceed 3.3 (h sf F)/Btu. Unconditioned spaces include crawlspaces and attics.

Duct insulation thickness shall be in accordance with Table III.

Table III - Minimum Duct Insulation (inches)

Opacity Monitor Purge Air Supply Ducts 3.0

3.3.2 Insulation for Warm Air Duct

Insulation and vapor barrier shall be provided for the following warm air ducts and associated equipment:.

a. Opacity monitor purge air supply ducts exposed to weather

Flexible type insulation shall be used for round ducts, minimum density 3/4 pcf with a factory-applied Type II jacket. Adhesive finish where indicated to be used shall be accomplished by applying two coats of adhesive with a layer of glass cloth embedded between the coats. The total dry film thickness shall be approximately 1/16 inch. Duct insulation shall be continuous through sleeves and prepared openings. Duct insulation shall terminate at flexible connections.

3.3.2.1 Installation on Concealed Duct

- a. For round ducts, insulation shall be attached by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.
- b. For round ducts, mechanical fasteners shall be provided on sides of duct risers for all duct sizes. Fasteners shall be spaced on 18 inch centers.
- c. The insulation shall be impaled on the mechanical fasteners where used. The insulation shall not be compressed to a thickness less than that specified. Insulation shall be carried over standing seams and trapeze-type hangers.
- d. Self-locking washers shall be installed where mechanical fasteners are used and the pin trimmed and bent over.
- e. Insulation jacket shall overlap not less than 2 inches at joints and the lap shall be secured and stapled on 4 inch centers.

3.3.3 Duct Test Holes

After duct systems have been tested, adjusted, and balanced, breaks in the insulation and jacket shall be repaired in accordance with the applicable section of this specification for the type of duct insulation to be repaired.

3.3.4 Duct Exposed to Weather

3.3.4.1 Installation

Ducts exposed to weather shall be insulated and finished as specified for the applicable service for concealed duct inside the building. After the above is accomplished, the insulation shall then be further finished as detailed in the following subparagraphs.

3.3.4.2 Round Duct

Aluminum jacket with factory applied moisture retarder shall be applied with the joints lapped not less than 3 inches and secured with bands located at circumferential laps and at not more than 12 inch intervals throughout.

Horizontal joints shall lap down to shed water and located at 4 or 8 o'clock position. Joints shall be sealed with caulking to prevent moisture penetration. Where jacketing abuts an un-insulated surface, joints shall be sealed with caulking.

3.3.4.3 Fittings and Other Irregular Shapes

Two coats of weather barrier mastic reinforced with fabric or mesh for outdoor application shall be applied to the entire surface. Each coat of weatherproof mastic shall be 1/16 inch minimum thickness. The exterior shall be a metal jacketing applied for mechanical abuse and weather protection, and secured with screws.

3.4 EQUIPMENT INSULATION INSTALLATION

3.4.1 General

Removable insulation sections shall be provided to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Equipment insulation shall be omitted on the following:

- a. Hand-holes.
- b. Manholes.
- c. Cleanouts.
- d. ASME stamps.
- e. Manufacturer's nameplates.

3.4.2 Insulation for Hot Equipment

Insulation shall be furnished on equipment handling media above 60 degrees F including the following:

- a. Boiler combustion air ductwork upstream and downstream of air heater.
- b. Boiler flue gas breeching upstream and downstream of air heater.

3.4.2.1 Insulation

Insulation shall be suitable for the temperature encountered.

Insulation thickness for hot equipment shall be determined using Table IV:

Legend

RMF: Rigid Mineral Fiber FMF: Flexible Mineral Fiber

CS: Calcium Silicate

PL: Perlite

CG: Cellular Glass

FC: Flexible Elastomeric Cellular

PF: Phenolic Foam

Material

Thickness

PC: Polyisocyanurate Foam

Equipment handling steam

TABLE IV
Insulation Thickness for Hot Equipment (Inches)

or media to indicated pressure or temperature limit:	Material	IIIEMESS
15 psig or 250F	RMF FMF CS/PL CG PF FC (<200F) PC	2.0 inches 2.0 inches 4.0 inches 3.0 inches 1.5 inches 1.0 inches
200 psig or 400 F	RMF FMF CS/PL CG	3.0 inches 3.0 inches 4.0 inches 4.0 inches
600 F	RMF FMF CS/PL CG	5.0 inches 6.0 inches 6.0 inches 6.0 inches

>600 F: Thickness necessary to limit the external temperature of the insulation to 120F. Heat transfer calculations shall be submitted to substantiate insulation and thickness selection.

3.4.2.2 Equipment

- a. Insulation shall be formed or fabricated to fit the equipment.
- b. Insulation shall be secured in place with bands or wires at intervals as recommended by the manufacturer but not greater than 12 inch centers except flexible elastomeric cellular which shall be adhered. Insulation corners shall be protected under wires and bands with suitable corner angles.
- c. On high vibration equipment, cellular glass insulation shall be set in a coating of bedding compound as recommended by the manufacturer, and joints shall be sealed with bedding compound. Mineral fiber joints shall be filled with finishing cement.
- d. Exposed insulation corners shall be protected with corner angles.
- e. On equipment with ribs, such as boiler flue gas breeching, insulation shall be applied over 6 x 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot welded to the equipment over the ribs. Insulation shall be secured to the fabric with J-hooks and 2 x 2 inch washers or shall be securely banded or wired in place on 12 inch (maximum) centers.

- f. On equipment handling media above 600 degrees F, insulation shall be applied in two or more layers with joints staggered.
- g. Upon completion of installation of insulation, penetrations shall be caulked. Two coats of adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line between equipment and removable section insulation.

-- End of Section --



SECTION 15184A

HIGH TEMPERATURE WATER SYSTEM

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A13.1	(1996) Scheme for the Identification of Piping Systems
ANSI B18.2.1	(1996) Square and Hex Bolts and Screws Inch Series
ANSI S1.13	(1995) Methods for the Measurement of Sound Pressure Levels
ANSI Z53.1	(1979) Safety Color Code for Marking Physical Hazards

AMERICAN PETROLEUM INSTITUTE (API)

API Std 661	Air-Cooled Heat Exchangers for General
	Refinery Service, Petroleum and Natural Gas
	Industries - Air-Cooled Heat Exchangers

ASME INTERNATIONAL (ASME)

ASME B16.5	(1996) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.11	(1996) Forged Fittings, Socket-Welding and Threaded
ASME B16.34	(1996) Valves - Flanged, Threaded, and Welding End
ASME B18.2.2	(1987; R 1993) Square and Hex Nuts (Inch Series)
ASME B31.1	(1995) Power Piping
ASME B40.1	(1991; Special Notice 1992) Gauges - Pressure Indicating Dial Type - Elastic Element

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

04018/EM	Central	Heating	Plant	Application	of	Low	Emissions	Tech.
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ASTM A 53	(1996) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated Welded and Seamless
ASTM A 106	(1995) Seamless Carbon Steel Pipe for High- Temperature Service
ASTM A 123	Zinc (Hot-Galvanized) Coatings on Products, Fabricated from Rolled, Pressed, and Forged Steel Shapes, Plantes, Bars, and Strip
ASTM A 153/A 153M	(2000) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A 193/A 193M	(1996; Rev. B) Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service
ASTM A 194/A 194M	(1996) Carbon and Alloy Steel Nuts for Bolts for High-Pressure and High-Temperature Service
ASTM A 234/A 234M	(1996; Rev. B) Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperatures Service
ASTM A 653/A 653M	(2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM F 1120	(1987; R 1993) Circular Metallic Bellows Type Expansion Joints for Piping Application
AMERICAN WELDING SOCIET	Y (AWS)
AWS B2.1	(1984) Welding Procedure and Performance Qualification
AWS D1.1/D1.1M	(1996) Structural Welding Code - Steel
AWS Z49.1	(1994) Safety in Welding, Cutting and Allied Processes
U.S. NATIONAL ARCHIVES	AND RECORDS ADMINISTRATION (NARA)
29 CFR 1910.144	Safety Color Code for Marking Physical Hazards
MANUFACTURERS STANDARDI INDUSTRY (MSS)	ZATION SOCIETY OF THE VALVE AND FITTINGS
MSS SP-58	(1993) Pipe Hangers and Supports - Materials, Design and Manufacture
MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70

(1999) National Electrical Code

1.2 DEFINITIONS

1.2.1 High Temperature Water (HTW)

Heating hot water systems operating at greater than 350 degrees F but less than 450 degrees F.

1.2.2 Load Simulator

Heat exchanger using forced draft air cooling as the medium to simulate the HTW heating load.

1.3 GENERAL REQUIREMENTS

Section 15050N, "Basic Mechanical Materials and Methods," and Section 16415A, "Electrical Work, Interior", applies to this section with additions and modifications specified herein.

1.3.1 Associated Work

Other work associated with this section including foundations, structural steel, electrical work, insulation and painting is covered in other sections of this specification.

1.3.2 Description

The work shall include the furnishing, installing, and testing a load simulator and high temperature water piping, together with fittings and appurtenances necessary for a complete and operable system. The work also includes modifications and connection to the existing HTW piping.

1.3.3 Classes and Maximum Working Pressures

Except as specified otherwise, piping components shall be suitable for use under the maximum working pressures indicated. Except as modified herein, the pressure temperature limitations shall be as specified in the referenced standards and specifications. Pressures in this specification are pressures in pounds per square inch (psi) above atmospheric pressure, and temperatures are in degrees Fahrenheit (F).

1.3.4 Field Verification

The Contractor shall become familiar with details of the work, verify dimensions in the field, verify the maximum operating temperature and pressure of the heating distribution system with the heating plant foreman, and advise the Contracting Officer of any discrepancy within 3 days and before performing any work.

1.3.5 Identification

Major equipment shall have manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item or equipment. Plates shall be durable and legible throughout equipment life and

made of stainless steel. Plates shall be fixed in prominent locations with nonferrous screws or bolts.

1.3.6 Welding Safety

Safety in welding and cutting of pipe shall conform to AWS Z49.1.

1.3.6.1 Procedures and Qualifications

Before any welding is performed, the Contractor shall submit welding procedure specifications for metals included in the work, together with proof of its qualification as outlined in ASME B31.1.

Before any welder or operator performs any welding, submit Welder's Performance Qualification Record in conformance with ASME B31.1 showing that the welder was rated under the approved procedure specification submitted by the Contractor. In addition, submit each welder's assigned number, letter, or symbol used to identify the work of the welder, and affix immediately upon completion of the weld. To welders making defective welds after passing a qualification test, give a qualification test and upon failing to pass the test, do not permit to work this contract.

Welders and welding operators previous qualifications on welding procedures test may be accepted for the contract without requalification subject to the approval and provided that all the conditions specified in ASME B31.1 are met before a procedure can be used.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. Submit the following in accordance with Section 01330, "SUBMITTAL PROCEDURES."

SD-01 Preconstruction Submittals

Load simulator with data sheet in accordance with API Std 661; G.

Expansion joints; G.

Valves; G.

Packing

Gaskets

SD-02 Shop Drawings

HTW System connection diagrams

SD-03 Product Data

Valves; G.

Pipe; G.

Pipe fittings; G.

Load simulator; G.

Expansion joints; G.

Information shall show details, dimensions, capacities, and ratings. For the load simulator, include Manufacturer's Data Report Form U-1 or U-1A and documentation specified in API Standard 661.

SD-06 Test Reports

Tests; G.

Test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report shall indicate the final position of controls. A written statement from the manufacturer's representative certifying that equipment has been properly installed and is in proper operating condition, upon completion of the installation. The action settings for all automatic controls in the form of a typed, tabulated list indicating the type of control, location, setting, and function.

SD-07 Certificates

Welding procedure specifications

Performance qualification record

Previous qualifications

Valves

Gaskets

SD-10 Operation and Maintenance Data

Load Simulator

Submit in accordance with Section 01781, "OPERATION AND MAINTENANCE MANUALS". Include recommendations for maintenance during long periods of unuse.

PART 2 PRODUCTS

2.1 PIPE AND PIPE SYSTEM

2.1.1 High Pressure Piping System

ASME B31.1; Maximum operating pressure of psi at degrees F; design pressure of 500 psi.

2.1.2 Pipe

Pipe 2 inches in diameter and larger: schedule 80, black steel plain end beveled, ASTM A 53, Grade B, Type E (electric resistance welded) or S

(seamless), or ASTM A 106, Grade B. Pipe sizes through 1 1/2 inches in diameter: schedule 80, black steel, ASTM A 106, Grade B.

2.1.3 Pipe Fittings

Fittings shall be compatible in thickness with the pipe being used, shall be used in conformance with ASME B31.1, and shall conform to the following requirements. Steel welded fittings: ASTM A 234/A 234M. Flanges shall be serrated or raised-faced type. In horizontal lines, reducing fittings shall be the eccentric type and installed to ensure that the system can be thoroughly drained. Remove raised faces when used with existing flanges having a flat face.

2.1.3.1 Fittings for Steel Pipe Sizes 1/8 to 2 Inches

ASME B16.11, Class 300 steel socket welding type or ASME B16.5 flanged type.

2.1.3.2 Fittings for Steel Sizes 2 1/2 Inches and Above

Steel fitting butt welding type ASME B16.9 or ASME B16.5 flanged type, Class 300

2.1.4 Gaskets

The Contractor shall submit the manufacturers published temperature and pressure ratings and provide materials recommended by the manufacturer for the maximum operating temperature, system design pressure, and service specified herein.

2.1.5 Bolting

Bolt studs for flanged joints shall be alloy steel studs, threaded on both ends and fitted with two hexagon nuts per stud. Bolt shall be ANSI B18.2.1 and material shall conform to ASTM A 193/A 193M, Grade B-7, threads Class 7 fit. Nuts shall be American Standard Heavy semi-finished hexagonal (ASME B18.2.2) and material shall conform to ASTM A 194/A 194M, Grade 7.

2.1.6 Vents

Provide air chambers and manual air vent valves as indicated at all high points in the HTW system. Provide a 1/2 inch vent line from each air vent to the nearest drain. Vent lines shall be provided with two 1/2 inch bar stock globe valves as indicated.

2.1.7 Valves; Gate, Globe, Ball, Check, Angle, and Control

Valves shall conform to ASME B16.34. Valve bodies shall be carbon steel with stainless steel trim. Valves shall be Class 300. Ends shall be butt welding or raised face flanged type conforming to ASME B16.34. Valve pressure and temperature design values shall not be exceeded. The Contractor shall submit the manufacturers recommended materials list for valves, packing, and gaskets with certification that all meet the system design pressure at the maximum operating temperature and the service as specified herein.

2.1.7.1 Globe Valves

Globe type valves shall have outside screw and yoke with bolt bonnets, and flat seats, but shall not be of the reversed-cup type. The stuffing boxes shall be large and deep. Valves 2 inches and larger shall have at least six U or V type packing rings, specifically designated as suitable for high-temperature water. Valves smaller than 2 inches shall have four or five rings. Spiral or continuous packing will not be acceptable. A metal insert shall be provided having proper clearance around the stem at the bottom of the stuffing box and acting as a base for the packing materials. Packing glands shall be furnished with liner of noncorrosive material and shall be of one piece with not less than two bolts. Valves 1 1/4 inches and smaller need not have yokes or bolted screws and deep stuffing boxes. Stems shall be provided with bevel above the disk for cutoff and repacking valve under pressure when fully open. On the underside side of the bonnet a pack-under-pressure bushing of stainless steel shall be provided. The bushing shall be screwed into place.

2.1.7.2 Gate Valves

Gate valves, wedge gate type, outside screw and yoke, valve body with straight through ports without recesses except between seats to assure minimum turbulence, erosion, and resistance to flow. The bonnet shall be equipped with a bonnet bushing. The valves shall have a self-centering male and female joint equipped with a gasket.

2.1.8 Joints

2.1.8.1 Welded Joints

Joints between sections of pipe and between pipe and fittings shall be welded. Joints between pipe and valves shall be welded or flanged. The welding shall conform to requirements of paragraph entitled "Responsibility of Contractor for Fusion Welding." Branch connections may be made with either welding tees or forged branch outlet fittings, either being acceptable without size limitations. Branch outlet fittings where used shall be forged, flared for improved flow where attached to the run, reinforced against external strains, and designed to withstand full pipebursting strength.

2.1.8.2 Flanged Joints

Joints for connection to valves in high temperature water system shall be welded or flanged, faced true, provided with gaskets, and made perfectly square and tight. Flanges shall be forged steel, raised face, weld-neck type in accordance with ASME B16.5. Slip-on flanges will not be allowed. Gaskets for HTW systems shall be metallic spiral wound.

2.1.8.3 Bellows Type Expansion Joints

Bellows expansion type joints shall be in accordance with ASTM F 1120 with Type 304 stainless steel corrugated bellows, reinforced with equalizing rings, internal sleeves, and external protective covers. Joint end connections shall be flanged in accordance with ASME B16.5, 300 pound class. Flanged assemblies shall be equipped with limit bolts to restrict maximum travel to the manufacturer's standard limits. Joints shall be designed to

withstand 2,000 cycles over a period of 20 years. Unless otherwise indicated, the length of the flexible connectors shall be as recommended by the manufacturer for the service intended. Guiding of piping on both sides of expansion joint shall be in accordance with the published recommendations of the manufacturer of the expansion joint.

2.1.9 Hangers and Supports

ASME B31.1, MSS SP-58, MSS SP-69, and as specified herein.

2.1.10 Pipe Sleeves

Schedule 80 steel pipe, and as specified herein.

2.1.11 Calking and Sealants

Materials as recommended by the manufacturer for the service specified herein.

2.1.12 Instrumentation

2.1.12.1 Pressure Gages

ASME B40.1, with corrosion resistant steel trim for high temperature water service. Dial range shall be between 1-1/2 to 2 times the system design pressure specified herein. Provide isolation valve.

2.1.12.2 Indicating Thermometers

Thermometers shall be dial type with an adjustable angle suitable for the service. Provide thermowell sized for each thermometer in accordance with the thermowell specification. Fluid-filled thermometers (mercury is not acceptable) shall have a nominal scale diameter of 5 inches. Construction shall be stainless-steel case with molded glass cover, stainless-steel stem and bulb. Bimetal stem shall be straight and of a length as to fit the thermowell. Bimetal thermometers shall have a scale diameter of 3 1/2 inches. Case shall be hermetic. Case and stem shall be constructed of stainless steel.

2.1.12.3 Thermowell

Thermowell shall be identical size, 3/4 or 1 inch, carbon steel. Where test wells are indicated, provide captive plug-fitted type 1/2 NPT connection suitable for thermometer. Provide stainless steel cap and chain. Thermowells shall be provided with sufficient length to penetrate more than 1/4 of the inside pipe diameter and be provided with extended necks to clear insulation thickness by 1 inch.

2.2 LOAD SIMULATOR

2.2.1 Design Requirements

The load simulator shall be in accordance with API 661 and be the forced draft type. The load simultor shall be designed to operate at an elevation of 3,527 feet above seal level. The HTW side of the load simulator shall have a maximum allowable working pressure of 500 psi at a temperature of 450 degrees F; factory tested hydraulically to 750 psi; Class 300 flanges; seamless, stress relieved, supro-nickel (90-10) tubes in accordance with

ASTM B 395/B 395M not less that 5/8 inch outside diameter; steel head; openings for vent and drain, provided by welded fittings; and holes or cover plates provided where required for repair or replacement of tubes in headers. The heat exchanger shall be designed in accordance with ASME BPVC SEC VIII D1 and carry the code stamp. Aluminum fins shall be mechanically embedded and wrapped on tubes or be integral with tubes. Tubes shall be roll and bushed into hole grooved tubesheets or welded into tubesheets. The maximum upsupported length of a single tube shall be six (6) feet, center to center. Where required, provide multiple tube supports to prevent tube sag. The finned tube and outlet header section shall float within the plenum to allow free expansion of tubing for coils subject to the temperature service assuming no forced draft air. The inlet header shall be fixed to the frame. The load simulator shall be totally drainable. Frame housing and fans shall be non-spark construction. Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel shall be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Personnel shall be protected from moving machinery in accordance with OSHA and API Std 661.

2.2.2 Performance Requirements

The load simulator shall be capable of removing 55 MMBtu/hr of heat from water with an inlet condition of 1650 gpm at 400 psig and 400 F using 90 F forced air cooling. Pressure drop through clean tubes from flange inlet to flange outlet shall not exceed 6 psi. Sound power level shall be based on tests conducted in accordance with ANSI S1.13 and shall not exceed 85 dBA, slow response, a distance of 5 feet above the foundation and at a distance of 5 feet away from the frame.

2.2.3 Fans and Drives

Fan discharge shall be upward. Fans shall be identical, multi-blade, axial flow type, with blades constructed of aluminum, aluminum alloy or FRP. Fan hubs shall be constructed of FRP, zinc-coated steel with adequate surface protection against corrosion, stainless steel or cast aluminum . Hubs shall be keyed and locked to shaft. Maximum tip speed shall not exceed 11,000 fpm. Fans shall be statically and dynamically balanced. Fan bearings shall be ball, roller, or taper type and shall be provided with lubrication fittings, externally accessible. Thrust bearings shall be provided where required. Each fan shall be provided with a vibration limit switch which shall stop the corresponding fan motor in the event of sensing excessive fan vibration. Vibration cut-out switches shall be manual, externally reset type and shall have sensitivity adjustment. Fans shall be directly connected or indirectly connected to the driving motors through V-belt drive. V-belt drives shall be rated for 150% of motor capacity. Sheaves shall be provided with means for belt tension adjustment. Design shall allow for air flow adjustment by variable frequency drive motors. Louvers shall not be provided for air flow adjustment.

2.2.4 Motors and Electrical Work

Electrical equipment, motors, motor efficiencies, control panels and wiring shall be in accordance with Section 16415A, "ELECTRICAL WORK, INTERIOR". Electrical motor driven equipment specified shall be provided complete with motors, motor starters, and controls. Motors shall be inverter type suitable for variable frequency drives. Motors shall be totally enclosed, fan cooled

provided with space heaters and be suitable for 480 volt, three phase, 60 Hz service. Motors shall conform to NEMA MG 1 and NEMA MG 2, be continuous duty and be of sufficient size to drive the equipment at the required capacity without exceeding the nameplate rating of the motor. Direct-connected motors shall operate at a speed not in excess of 1,200 rpm and motors using V-belt drives shall operate at 1,750 rpm. Motor bearings shall be fitted with grease supply fittings and grease relief to outside of enclosure. Motor starters shall be magnetic, across-the-line type and provided with thermal overload protection in the operating disconnect switches and other appurtenances necessary for motor control. Manual control and protective or signal devices required for operation and any control wiring required for controls shall be provided. Field wiring shall be in accordance with manufacturer's instructions. Terminal lugs for wiring terminations shall match branch circuit conductor quantities, sizes, and materials. Enclose terminal lugs in terminal box sized to NFPA 70.

2.2.5 Framework, Plenum, Supports and Hardware

Framework, plenum and supports shall be designed and constructed to withstand a wind pressure of not less than 40 pound-force per square foot on external surfaces. A 15% increase loading shall be included for ice and snow load. Framework, plenum and supports shall be zinc-coated steel. Components fabricated of zinc-coated steel shall be not lighter than 14 gauge (.075 inches thick) steel, protected against corrosion by zinc coating, and formed to provide structural strength. The zinc coating shall conform to ASTM A 653/A 653M, ASTM A 153/A 153M, and ASTM A 123, as applicable, and have an extra heavy coating of not less than 2-1/2 ounces per square foot of surface. Lifting devices shall be provided in accordance with API 661. Bolts shall be cadmium-plated, zinc-coated steel, or type 304 stainless steel. Each bolt shall be provided with a stainless steel or zinc-coated steel washer under the head or be provided with locking nuts. Galvanized surfaces damaged due to welding shall be coated with zinc rich coating conforming to ASTM D 520, Type 1.

2.2.6 Protective Cover

Contractor shall provide a removable, reusable cover that will protect the load simulator from birds and the effects of weather including hail.

2.3 INSULATION

Insulate piping and equipment in accordance with Section 15080A, "Thermal Insulation for Mechanical Systems".

PART 3 EXECUTION

3.1 GENERAL

Arrange work in a neat and orderly manner so that minimum storage of equipment and material is required at the project site. Parts shall be readily accessible for inspection, repair, and renewal. Protect material and equipment from the weather. Work shall be performed in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements. Equipment and piping arrangements shall fit into space allotted and allow adequate acceptable clearances for installation, replacement, entry, servicing, and maintenance. Equipment shall be properly

leveled, aligned, and secured in place in accordance with manufacturer's instructions.

3.2 FOUNDATIONS FOR THE LOAD SIMULATOR AND PIPE SUPPORTS

Demolition work performed for wall penetrations and in preparation for the placement of foundations shall be in accordance with Section 02220, "DEMOLITION". Foundations for the load simulator shall meet the requirements of the manufacturer and shall be based on the load conditions and soil bearing values. Foundation calculations shall be submitted with the equipment drawings. Concrete used in the formation of foundations or poles for supports shall conform to the requirements of Section 03307A, "CONCRETE FOR MINOR STRUCTURES". Exposed concrete shall be rub-finished for smooth and uniform surfaces, free of form marks and defects. Honeycomb concrete shall not be permitted.

3.3 SIMULATOR CLEANING AND ADJUSTING

Equipment shall be wiped clean, with traces of oil, dust, dirt, or paint spots removed. System shall be maintained in this clean condition until final acceptance. Bearings shall be properly lubricated with oil or grease as recommended by the manufacturer. Belts shall be tightened to proper tension.

3.4 PIPING

3.4.1 General

Fabrication, assembly, welding, soldering, and brazing shall conform to ASME B31.1 for piping of the hot water system. Piping shall follow the general arrangement shown; cut accurately to measurements established for the work by the Contractor, and worked into place without springing or forcing, except where cold-springing is specified. Install piping within buildings entirely out of the way of lighting fixtures and doors, windows, and other openings. Run overhead piping in buildings in the most inconspicuous positions. Provide adequate clearances from walls, ceilings, and floors to permit the welding of joints; at least 6 inches for pipe sizes4 inches and less, 10 inches for pipe sizes over 4 inches, and in corners provide sufficient clearance to permit the welder to work between the pipe and one wall. Make provision for expansion and contraction of pipe lines. Make changes in size of water lines with reducing fittings. Do not conceal, or insulate piping until it has been inspected, tested, and approved. Protect materials and equipment from the weather. Do not run piping concealed in walls or partitions or underground or under the floor except as otherwise indicated. Where pipe passes through building structure, do not conceal pipe joints but locate where joints may be readily inspected. Run pipe to be insulated as shown and as required with sufficient clearance to permit application of insulation. Use flanged joints only where necessary for normal maintenance and where required to match valves. Provide gaskets, packing, and thread compounds suitable for the service. Use long radius ells wherever possible to reduce pressure drops. Do not use mitering of pipe to form elbows, notching straight runs to form full sized tees, or any similar construction. Make all branch connections with welding tees except factory made forged welding branch outlets or nozzles having integral reinforcements conforming to ASME B31.1. Open ends of pipe lines and equipment shall be properly capped or plugged during installation to keep

dirt and other foreign matter out of the system. Pipe not otherwise specified shall be uncoated.

3.4.2 Branch Connections

Branches from supply and return mains shall be taken off as indicated or as approved. Connections shall be carefully made to ensure unrestricted circulation, elimination of air pockets, and permit the complete drainage of the system. Changes in horizontal piping sizes shall be made through eccentric reducing fittings.

3.4.3 Cleaning of Piping (Pre-Erection)

Thoroughly clean each section of pipe, fittings, and valves of all foreign matter before erection as follows: hold each piece of pipe in an inclined position and thoroughly tap along its full length to loosen sand, mill scale and other foreign matter. Pipe 2 inches and larger shall have a wire brush of a diameter larger than that of the inside of the pipe drawn through its entire length several times. Before final connections are made to apparatus, wash out the interior of all piping thoroughly with water. Plug or cap open ends of mains during all shutdown periods. Do not leave lines open at any place where any foreign matter might accidentally enter pipe.

3.4.4 Cleaning of Piping (Post-Erection)

Prior to the hydrostatic, performance and operating tests, the interior of the heat-carrying piping shall be flushed with water until the piping is free of all foreign materials to the satisfaction of the Contracting Officer.

3.5 VALVES

Install valves in conformance with ASME B31.1 and as required herein at the locations indicated. Install valves with stems horizontal or above. Locate or equip stop valves to permit operation from floor level, or provide with safe access in the form of walkways or ladders. Install valves in positions accessible for operation and repair.

3.6 IDENTIFICATION OF PIPING AND PHYSICAL HAZARDS

Identify piping and physical hazards in accordance with 29 CFR 1910.144, ANSI A13.1, and ANSI Z53.1. Spacing of identification marks on runs shall not exceed 50 feet. Painting and stenciling shall conform to Section 09900, "Paints and Coatings." Colors shall conform to plant standard or ANSI Z53.1.

3.7 HANGERS AND SUPPORTS

The design and fabrication of pipe hangers, supports, and welding attachments shall conform to MSS SP-58 and ASME B31.1. Hanger types and supports for bare and covered pipe shall conform to MSS SP-69 for the temperature range. Unless otherwise indicated, horizontal and vertical piping attachments shall conform to MSS SP-58.

3.8 STEEL

Steel used as support members or as part of the pipe support structure shall conform to the requirements of Section 05120, "STRUCTURAL STEEL". Pipe

supports for outside service shall be hot-dipped galvanized after fabrication to the maximum extent possible.

3.9 STRUCTURAL ATTACHMENTS

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Supports shall not be attached to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications shall be constructed of ferrous materials only. Structural steel brackets required to support piping, headers, and equipment, but not shown, shall be provided under this section. Material used for support shall be as specified under Section 05120, "STRUCTURAL STEEL".

3.10 PIPE ANCHORS

Anchors shall be provided wherever necessary or indicated to localize expansion or to prevent undue strain on piping. Anchors shall consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Anchor braces shall be installed in the most effective manner to secure the desired results using turnbuckles where required. Supports, anchors, or stays shall not be attached where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline. It is preferred that where pipe and conduit penetrations of vapor barrier sealed surfaces occur, these items shall be anchored immediately adjacent to each penetrated surface, to provide essentially zero movement within penetration seal. Detailed drawings of pipe anchors shall be submitted for approval before installation.

3.11 PIPE GUIDES

Pipe alignment guides shall be provided where indicated for expansion loops, offsets, and bends and as recommended by the manufacturer for expansion joints. Where there are high system temperatures and welding to piping is not desirable, include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least an amount adequate for the insulation. Guides using, steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides shall be provided where required to allow pipe movement. Lateral restraints shall be provided as required. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered.

3.12 PIPE SLEEVES

Provide sleeves where pipes pass through masonry or concrete walls. Sleeves in outside walls below and above grade, shall be steel pipe, Schedule 80. Adequate space shall be provided between pipe or insulation and the sleeve so that the pipe is not restrained due to thermal movements. Hold sleeves securely in proper position and location before and during construction. Sleeves shall be of sufficient length to pass through entire thickness of walls, partitions, or slabs.

3.13 INSTRUMENTATION

Provide a thermometer and pressure gage, as specified herein, on both the high temperature water supply and return piping located on the load simulator side of the isolation valves.

3.14 WELDING

3.14.1 Responsibility of Contractor for Fusion Welding

The Contractor is entirely responsible for the quality of the welding and shall:

- a. Conduct tests not only of the welding procedure used by his organization to determine the suitability of the procedure to insure welds that will meet the required tests, but also of the welding operators to determine the ability of the operators to make sound welds under standard conditions.
- b. Be thoroughly familiar with ASME B31.1 and with AWS B2.1.
- c. Be capable of performing welding operations required for construction and installation of the heating system.

3.14.2 Qualifications of Welders

3.14.2.1 General

Rules of procedure for qualification of welders and general requirements for fusion welding shall conform with the applicable portions of ASME B31.1, or with AWS B2.1, and also as outlined below.

3.14.2.2 Examining Welders

Each welder shall be examined at the jobsite by the Contractor in the presence of a representative of the Contracting Officer to determine the ability of the welder to meet the qualifications required. Welders for piping shall be tested and qualified for all applicable positions. Each welder shall be required to identify his weld with his specific code marking signifying his name and number assigned.

3.14.2.3 Examination Results

The Contracting Officer shall be provided with a listing of names and corresponding code markings. Where a welder fails to meet the prescribed welding qualifications, that welder shall be retested, and if he fails the second test, he shall be disqualified for work on the project.

3.14.3 Beveling, Alignment, and Erection

Fabrication of welded pipe joints shall be in accordance with ASME B31.1.

3.14.4 Weld Inspection

Welds shall be inspected for defects in accordance with the following:

a. Cracks shall not be acceptable regardless of length or location;

- b. Undercut shall not be deeper than 5 percent of the base-metal thickness or 1/32 inch, whichever is less;
- c. Overlap shall not be permitted. The Contracting Officer reserves the right to further examine the welds by other means to establish the soundness of any weld. Weld defects shall be removed and repairs made to the weld, or the weld joints shall be entirely removed and repairs made to the weld at no additional cost to the Government. Repairing defective welds by adding weld material over the defect or by peening will not be permitted. Welders responsible for defective welds may be required to requalify under paragraph entitled "Qualifications of Welders."

3.14.5 Electrodes

Electrodes shall be stored and dried in accordance with AWS D1.1/D1.1M or as recommended by the manufacturer. Electrodes that have been wetted or that have lost any of their coating shall not be used.

3.15 OUALITY CONTROL

3.15.1 General Test Requirements

Tests shall be conducted before, during, and after the installation of the system. Instruments, equipment, facilities, and labor required to properly conduct the tests shall be provided by the Contractor. Test pressure gages for a specific test shall be approved by the Contracting Officer and shall have dials indicating not less than 1 1/2 times nor more than 2 times the test pressure. Any deficiencies shall be corrected at the Contractor's expense. Failure to correct any deficiencies will be cause for rejection of the system.

3.15.2 Field Tests

The following field tests shall be conducted when applicable to the system involved. If any failures occur, the Contractor shall make such adjustments or replacements as directed by the Contracting Officer, and the tests shall be repeated at the Contractor's expense until satisfactory installation and operation are achieved.

3.15.2.1 Hydrostatic Piping Tests

Piping shall be tested hydrostatically before insulation is applied and shall be proved tight at a pressure 1 1/2 times the maximum operating pressure, except hot water lines shall not be tested at more than 600 psi. Instrumentation, expansion joints or other equipment not designed to withstand the test pressure shall be isolated for the hydrostatic piping test. Hydrostatic test pressures shall be held for a minimum of 4 hours.

3.15.2.2 Operational Tests

After completion of the system, or testable portions thereof, operational tests shall be conducted as in service to demonstrate satisfactory function and operating effectiveness. The tests on each system, or portion thereof, shall last not less than 6 hours.

3.15.2.3 Performance Tests

After the load simulator and connecting has been found acceptable under a visual and dimensional examination, a field performance test shall be performed. The test shall be performed in the presence of a Government representative. Water and electricity required for the tests will be furnished by the Government. Any material, equipment, instruments, and personnel required for the test shall be provided by the Contractor. The services of a qualified technician shall be provided as required to perform all tests and procedures indicated herein.

3.16 DEMONSTRATIONS

Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 16 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests. The field posted instructions shall cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations.

-- End of Section --

SECTION 15195N

NATURAL GAS PIPING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A13.1	(1996) Scheme for the Identification of Piping Systems
ANSI B16.33	(1990) Manually Operated Metallic Gas Valves for Use in Gas Piping Systems up to 125 psig (Sizes 1/2 Through 2)
ANSI B18.2.1	(1996) Square and Hex Bolts and Screws Inch Series
ANSI Z21.41	(1989; Addenda 1990 and 1992) Quick- Disconnect Devices for Use with Gas Fuel
ANSI Z21.45	(1995) Flexible Connectors of Other Than All-Metal Construction for Gas Appliances
ANSI Z21.69	(1992; Addenda 1993) Connectors for Movable Gas Appliances

ASME INTERNATIONAL (ASME)

ASME B1.1	(1989) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B16.3	(1992) Malleable Iron Threaded Fittings
ASME B16.5	(1996) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.38	(1985; R 1994) Large Metallic Valves for Gas Distribution (Manually Operated, NPS 2 1/2 to 12, 125 psig Maximum)
ASME B16.39	(1986; R 1994) Malleable Iron Threaded Pipe

Unions Classes 150, 250, and 300

ASME B18.2.2 (1987; R 1993) Square and Hex Nuts (Inch

Series)

ASME B31.8 (1995) Gas Transmission and Distribution

Piping Systems

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 (1997) Pipe, Steel, Black and Hot-Dipped,

Zinc-Coated Welded and Seamless

ASTM A 193/A 193M (1997; Rev. A) Alloy-Steel and Stainless

Steel Bolting Materials for High-Temperature

Service

ASTM A 194/A 194M (1997) Carbon and Alloy Steel Nuts for Bolts

for High-Pressure and High-Temperature

Service

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

49 CFR 192 Transportation of Natural and Other Gas by

Pipeline: Minimum Federal Supply Standards

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

MIL-STD-101 (Rev. B) Color Code for Pipelines and for

Compressed Gas Cylinders

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS

INDUSTRY (MSS)

MSS SP-58 (1993) Pipe Hangers and Supports - Materials,

Design and Manufacture

MSS SP-69 (1996) Pipe Hangers and Supports - Selection

and Application

MSS SP-89 (1998) Pipe Hangers and Supports -

Fabrication and Installation Practices

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54 (1996) National Fuel Gas Code

1.2 RELATED REQUIREMENTS

Section 15050N, "Basic Mechanical Materials and Methods," applies to this section, with additions and modifications specified herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-03 Product Data

Pressure regulator; G.

Gas equipment connectors; G.

Valves; G.

Identification tape; G.

SD-07 Certificates

Welder's qualifications

Welder's identification symbols

Submit a copy of a certified ASME B31.8 qualification test report for each welder and welding operator. Submit the assigned number, letter, or symbol that will be used in identifying the work of each welder.

1.4 QUALITY ASSURANCE

1.4.1 Welder's Oualifications

Comply with ASME B31.8. The steel welder shall have a copy of a certified ASME B31.8 qualification test report. Contractor shall also conduct a qualification test. Submit each welder's identification symbols, assigned number, or letter, used to identify work of the welder. Affix symbols immediately upon completion of welds. Welders making defective welds after passing a qualification test shall be given a requalification test and, upon failing to pass this test, shall not be permitted to work this contract.

1.4.2 Safety Standards

49 CFR 192.

1.5 DELIVERY, STORAGE, AND HANDLING

Plug or cap pipe ends during transportation or storage to minimize dirt and moisture entry. Do not subject to abrasion or concentrated external loads.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Conform to NFPA 54 and with requirements specified herein. Supply piping to appliances or equipment shall be at least as large as the inlets thereof.

2.2 PIPE AND FITTINGS

2.2.1 Aboveground and Within Buildings

a. Pipe: Black steel in accordance with ASTM A 53 Grade B, Schedule 40, threaded ends for sizes 2 inches and smaller; otherwise, plain end beveled for butt welding.

- b. Threaded Fittings: ASME B16.3, black malleable iron.
- c. Butt-Welding Fittings: ASME B16.9.
- d. Unions: ASME B16.39, black malleable iron.
- e. Flanges and Flanged Fittings: ASME B16.5 steel flanges.

2.3 VALVES, ABOVEGROUND

2.3.1 Shutoff Valves, Sizes Larger Than 2 Inches

Cast-iron body plug valve in accordance with ASME B16.38, nonlubricated, wedge-mechanism or tapered lift plug, and flanged ends.

2.3.2 Shutoff Valves, Sizes 2 Inches and Smaller

Bronze body ball valve in accordance with ANSI B16.33, full port pattern, reinforced PTFE seals, threaded ends, and PTFE seat.

2.3.3 Pressure Regulator

Pilot-operated with spring-loaded diaphragm pressure regulator, pressure operating range as required for the pressure reduction indicated, volume capacity not less than indicated, and threaded ends for sizes 2 inches and smaller, otherwise flanged.

2.4 GAS EQUIPMENT CONNECTORS

- a. Flexible Connectors: ANSI Z21.45.
- b. Quick Disconnect Couplings: ANSI Z21.41.
- c. Semi-Rigid Tubing and Fittings: ANSI Z21.69.

2.5 HANGERS AND SUPPORTS

MSS SP-58, as required by MSS SP-69.

2.6 WELDING FILLER METAL

ASME B31.8.

2.7 PIPE-THREAD TAPE

Antiseize and sealant tape of polytetrafluoroethylene (PTFE).

2.8 BOLTING (BOLTS AND NUTS)

Stainless steel bolting; ASTM A 193/A 193M, Grade B8M or B8MA, Type 316, for bolts; and ASTM A 194/A 194M, Grade 8M, Type 316, for nuts. Dimensions of bolts, studs, and nuts shall conform with ANSI B18.2.1 and ASME B18.2.2 with coarse threads conforming to ASME B1.1, with Class 2A fit for bolts and studs and Class 2B fit for nuts. Bolts or bolt-studs shall extend through the nuts and may have reduced shanks of a diameter not less than the diameter at root of threads. Bolts shall have American Standard regular

square or heavy hexagon heads; nuts shall be American Standard heavy semifinished hexagonal.

2.9 GASKETS

Fluorinated elastomer, compatible with flange faces.

2.10 IDENTIFICATION FOR ABOVEGROUND PIPING

ANSI A13.1 for legends and type and size of characters. For pipes 3/4 inch od and larger, provide printed legends to identify contents of pipes and arrows to show direction of flow. Color code label backgrounds to signify levels of hazard. Make labels of plastic sheet with pressure-sensitive adhesive suitable for the intended application. For pipes smaller than 3/4 inch od, provide brass identification tags 1 1/2 inches in diameter with legends in depressed black-filled characters.

PART 3 EXECUTION

3.1 INSTALLATION

Install gas piping, appliances, and equipment in accordance with NFPA 54.

3.1.1 Piping

Cut pipe to actual dimensions and assemble to prevent residual stress. Within buildings, run piping parallel to structure lines. Terminate each vertical supply pipe to burner or appliance with tee, nipple, shutoff valve, and plug to form a sediment trap.

3.1.1.1 Cleanliness

Clean inside of pipe and fittings before installation. Blow lines clear using 80 to 100 psig clean dry compressed air. Rap steel lines sharply along entire pipe length before blowing clear. Cap or plug pipe ends to maintain cleanliness throughout installation.

3.1.1.2 Aboveground Steel Piping

Determine and establish measurements for piping at the job site and accurately cut pipe lengths accordingly. For 2 inch diameter and smaller, use threaded joints. For 2 1/2 inch diameter and larger, use flanged or butt-welded joints.

- a. Threaded Joints: Where possible use pipe with factory-cut threads, otherwise cut pipe ends square, remove fins and burrs, and cut taper pipe threads in accordance with ASME B1.20.1. Provide threads smooth, clean, and full-cut. Apply anti-seize paste or tape to male threads portion. Work piping into place without springing or forcing. Backing off to permit alignment of threaded joints will not be permitted. Engage threads so that not more than three threads remain exposed. Use unions for connections to valves for which a means of disconnection is not otherwise provided.
- b. Welded Joints: Weld by the shielded metal-arc process, using covered electrodes and in accordance with procedures established and qualified in accordance with ASME B31.8.

- c. Flanged Joints: Use flanged joints for connecting welded joint pipe and fittings to valves to provide for disconnection. Install joints so that flange faces bear uniformly on gaskets. Engage bolts so that there is complete threading through the nuts and tighten so that bolts are uniformly stressed and equally torqued.
- d. Pipe Size Changes: Use reducing fittings for changes in pipe size. Size changes made with bushings will not be accepted.
- e. Painting: Paint new ferrous metal piping, including supports, in accordance with Section 09900, "Paints and Coatings." Do not apply paint until piping tests have been completed.
- f. Identification of Piping: Identify piping aboveground in accordance with MIL-STD-101, using adhesive-backed or snap-on plastic labels and arrows. In lieu of labels, identification tags may be used. Apply labels or tags to finished paint at intervals of not more than 50 feet. Provide two copies of the piping identification code framed under glass and install where directed.

3.1.1.3 Connections to Existing Pipeline

When making connections to live gas mains, use pressure tight installation equipment operated by workmen trained and experienced in making hot taps.

3.1.2 Valves

Install valves approximately at locations indicated. Orient stems vertically, with operators on top, or horizontally.

3.1.2.1 Pressure Regulator

Provide plug cock or ball valve ahead of regulator. Install regulator inside building and extend a full-size vent line from relief outlet on regulator to a point outside of building. On outlet side of regulator, provide a union and a 3/8 inch gage tap with plug.

3.1.2.2 Stop Valve and Shutoff Valve

Provide stop valve on service branch at connection to main.

3.1.3 Piping Hangers and Supports

Selection, fabrication, and installation of piping hangers and supports shall conform with MSS SP-69 and MSS SP-89, unless otherwise indicated.

3.1.4 Final Connections

Make final connections to equipment and appliances using rigid pipe and fittings, except for the following:

3.1.4.1 Burners

Install AGA-Approved gas equipment connectors. Connectors shall be long enough to permit movement of equipment.

3.2 FIELD QUALITY CONTROL

3.2.1 Metal Welding Inspection

Inspect for compliance with NFPA 54. Replace, repair, and then re-inspect defective welds.

3.2.2 Pressure Tests

Use test pressure of $1 \, 1/2$ times maximum working pressure, but in no case less than 50 psig. Do not test until every joint has set and cooled at least 8 hours at temperatures above 50 degrees F. Test system gas tight in accordance with NFPA 54. Use clean dry air or inert gas, such as nitrogen or carbon dioxide, for testing. Systems which may be contaminated by gas shall first be purged as specified. Make tests on entire system or on sections that can be isolated by valves. After pressurization, isolate entire piping system from sources of air during test period. Maintain test pressure for at least 8 hours between times of first and last reading of pressure and temperature. Take first reading at least one hour after test pressure has been applied. Do not take test readings during rapid weather changes. There shall be no reduction in the applied test pressure other than that due to a change in ambient temperature. Allow for ambient temperature change in accordance with the relationship PF + 14.7 = (P1 + 14.7) (T2 + 460) / T1 + 460), in which "T" and "PF" represent Fahrenheit temperature and gage pressure, respectively, subscripts "1" and "2" denote initial and final readings, and "PF" is the calculated final pressure. If "PF" exceeds the measured final pressure (final gage reading) by 1/2 psi or more, isolate sections of the piping system, retest each section individually, and apply a solution of warm soapy water to joints of each section for which a reduction in pressure occurs after allowing for ambient temperature change. Repair leaking joints and repeat test until no reduction in pressure occurs. In performing tests, use a test gage calibrated in one psi increments and readable to 1/2 psi.

3.2.3 System Purging

After completing pressure tests, and before testing a gas contaminated line, purge line with nitrogen at junction with main line to remove all air and gas. Clear completed line by attaching a test pilot fixture at capped stubin line at building location and let gas flow until test pilot ignites. Procedures shall conform to NFPA 54.

-CAUTION-

Failure to purge may result in explosion within line when air-to-gas is at correct mixture.

-- End of Section --



SECTION 15211N

LOW PRESSURE COMPRESSED AIR PIPING (NON-BREATHING AIR TYPE)

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI B16.24	(1991; Errata 1991) Cast Copper Alloy Pipe
	Flanges and Flanged Fittings Class 150, 300,
	400, 600, 900, 1500, and 2500

ASME INTERNATIONAL (ASME)

ASME B1.20.1	(1983; R 1992) Pipe Threads, General Purpose (Inch)
ASME B16.3	(1992) Malleable Iron Threaded Fittings
ASME B16.9	(1993) Factory-Made Wrought Steel Buttwelding Fittings
ASME B16.11	(1996) Forged Fittings, Socket-Welding and Threaded
ASME B16.22	(1995) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(1988) Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B16.34	(1996) Valves - Flanged, Threaded, and Welding End
ASME B16.39	(1986; R 1994) Malleable Iron Threaded Pipe Unions Classes 150, 250, and 300
ASME B31.1	(1995) Power Piping
ASME B40.1	(1991; Special Notice 1992) Gauges - Pressure Indicating Dial Type - Elastic Element
ASME B46.1	(1995) Surface Texture (Surface Roughness, Waviness, and Lay)
ASME BPVC SEC VIII D1	(1995; Addenda 1995 and 1996) Boiler and Pressure Vessel Code: Section VIII Pressure Vessels, Division 1

04018/EM

ASME BPVC SEC IX (1995; Addenda 1995 and 1996) Boiler and

Pressure Vessel Code: Section IX

Qualification Standard for Welding and Brazing Procedures, Welders, Brazers, and

Welding and Brazing Operators

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 (1996) Pipe, Steel, Black and Hot-Dipped,

Zinc-Coated Welded and Seamless

ASTM A 193/A 193M (1996; Rev. A) Alloy-Steel and Stainless

Steel Bolting Materials for High-Temperature

Service

ASTM A 194/A 194M (1996) Carbon and Alloy Steel Nuts for Bolts

for High-Pressure and High-Temperature

Service

ASTM B 88 (1996) Seamless Copper Water Tube

ASTM D 1330 (1985; R 1995) Rubber Sheet Gaskets

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (1996) Structural Welding Code - Steel

AWS Z49.1 (1994) Safety in Welding, Cutting and Allied

Processes

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.219 Mechanical Power Transmission Apparatus

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS A-A-1689 (Rev. B) Tape, Pressure-Sensitive Adhesive,

(Plastic Film)

FS F-F-351 (Rev. D) Filters and Filter Elements, Fluid

Pressure: Lubricating Oil, Bypass and Full

Flow

FS WW-U-516 (Rev. B) Unions, Brass or Bronze, Threaded

Pipe Connections and Solder-Joint Tube

Connections

FS QQ-B-654 (Rev. A) Brazing Alloys, Silver

FS XX-C-2816 Compressor, Air, Reciprocating or Rotary,

Electric Motor Driven, Stationary, 10 HP and

Larger

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-T-27730 (Rev. A) Tape, Antiseize,

Polytetrafluoroethylene, with Dispenser

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(1993) Pipe Hangers and Supports - Materials Design and Manufacture
MSS SP-69	(1996) Pipe Hangers and Supports - Selection and Application
MSS SP-80	(1997) Bronze Gate, Globe, Angle and Check Valves
MSS SP-84	(1990) Valves - Socket Welding and Threaded Ends
MSS SP-89	(1991) Pipe Hangers and Supports - Fabrication and Installation Practices

NATIONAL FLUID POWER ASSOCIATION (NFLPA)

NFP(A) T3.12.3 R2 (1992) Pressure Regulator - Industrial Type

PIPE FABRICATION INSTITUTE (PFI)

PFI ES 22 (1995) Color Coding of Piping Materials

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 10 (1994) Near-White Blast Cleaning

1.2 RELATED REQUIREMENTS

Section 15050N, "BASIC MECHANICAL MATERIALS AND METHODS," applies to this section, with the additions and modifications specified herein.

Section 02220, "DEMOLITION" applies to this section, with additions and modifications herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. Submit the following in accordance with Section 01330, "SUBMITTAL PROCEDURES."

SD-03 Product Data

Air compressor; G.

Air receivers G.

Desiccant air dryer; G;

Desiccant

Pipe G.

Fittings; G.

Valves; G.

Pressure gages; G.

Hangers and supports; G.

Filters; G.

Traps; G.

Flexible connections; G.

Dielectric unions; G.

Identification labels for piping; G.

Tubing; G.

For desiccant air dryer and air receivers, include Manufacturer's Data Report Form U-1 or U-1A $\,$

SD-06 Test Reports

Air compressor

Air receiver

Desiccant air dryer

Leak tightness tests

SD-07 Certificates

Welding and brazing procedures

Welding procedure qualifications

Brazing procedure qualifications

Welder and brazer qualifications

Cleaning and flushing procedures

SD-08 Manufacturer's Instructions

Air receiver

Include manufacturer's recommended certification test procedure and recommended procedure for cleaning, external painting, and delivery preparation.

SD-10 Operation and Maintenance Data

Air compressor, Data Package 4

Desiccant air dryer, Data Package 4

Submit in accordance with Section 01781, "OPERATION AND MAINTENANCE MANUALS."

SD-11 Closeout Submittals

Posted operating instructions for air compressor

Posted operating instructions desiccant air dryer

1.4 QUALITY ASSURANCE

Design, fabrication, installation, and testing of compressed air systems shall conform to ASME B31.1, ASME BPVC SEC VIII D1, and ASME BPVC SEC IX, except as specified otherwise. In ASME B31.1, ASME BPVC SEC VIII D1, and ASME BPVC SEC IX, the advisory provisions shall be considered mandatory, as though the word "shall" had been substituted for "should" wherever it appears; reference to the "authority having jurisdiction" and "owner" shall be interpreted to mean the Contracting Officer.

1.4.1 Welding Procedure Qualifications

Section 15216N, "Welding Pressure Piping," and the following.

1.4.1.1 Butt Welded Joints

Butt welded joints shall be full penetration joints.

1.4.2 Brazing Procedure Qualifications

Qualification of the brazing procedures is required for each group of materials to be brazed as indicated in ASME BPVC SEC IX. Record in detail and qualify the "Brazing Procedure Specifications" for every brazing procedure proposed. Include provisions for repairs. Qualification for each brazing procedure shall conform to the requirements of ASME B31.1and to this specification. The brazing procedures shall specify end preparation for brazed joints, including cleaning, alignments, and fit-up clearances. Submit copies of the brazing procedure specifications for each type of brazing required in accordance with the paragraph "Submittals." Approval of any procedure does not relieve the Contractor of the sole responsibility for producing acceptable brazes. This information shall be submitted on the forms printed in ASME BPVC SEC IX, or their equivalent. Brazing procedure qualifications shall be identified individually and shall be referenced on the shop drawings or suitably keyed to the contract drawings.

1.4.3 Brazing Operator and Welder and Brazer Qualifications

Qualify each brazer and brazing operator assigned to work covered by this specification by performance tests using equipment, positions, procedures, base metals, and filler metal from the same specification, classification, or group number that will be encountered on his assignment. Brazers or brazing operators who make acceptable procedure qualification tests will be considered performance-qualified for the brazing procedure used. Determine performance qualification in accordance with ASME B31.1 and as specified.

1.4.3.1 Certification

Before assigning brazers or brazing operators to the work, provide the Contracting Officer with their names, together with certification that each individual is performance-qualified as specified. No brazing work shall start prior to procedure qualification. The certification shall state the type of brazing and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the firm and individual certifying the qualification tests. When requested by the Contracting Officer, provide copies of qualification records and laboratory test reports.

1.4.3.2 Renewal of Qualification

Requalification of a brazer or brazing operator shall be required under any of the following conditions:

- a. When a brazer or brazing operator has not used the specific brazing process for a period of 6 months.
- b. There is specific reason to question his ability to make brazes that will meet the requirements of the specifications.
- 1.4.4 Qualification of Pressure Vessel (receiver) Inspectors

State Certification of Competency and active commission from the National Board of Boiler and Pressure Vessel Inspectors (NBBI), Columbus, Ohio.

1.4.5 Equipment Data

Submit the following data for equipment listed for "Operation and Maintenance Instructions, Parts and Testing."

- a. Name and address of authorized branch or service department.
- b. Characteristic curves.
- c. Following applicable data completely filled in:

Manufacturer and model number
Operating speed
Capacity CFM
Type of bearings in unit
Type of lubrication
Type and adjustment of drive
Capacity of tank
Electric motor: Manufacturer, frame and type
Motor speed RPM

Current characteristics and hp of motor _____

Thermal cut-out switch: Manufacturer, type and model _____

Starter: Manufacturer: Type and model

1.5 SAFETY PRECAUTIONS

1.5.1 Temperature Restriction

Compressors or other equipment shall not discharge compressed air to the piping systems above 100 degrees F unless approved by the Contracting Officer. Air aftercoolers or other devices shall be provided to comply with the temperature restriction.

1.5.2 Rotating Equipment

Fully guard couplings, motor shafts, gears and other exposed rotating or rapidly moving parts in accordance with OSHA 29 CFR 1910.219. Provide rigid and suitably secured guard parts readily removable without disassembling guarded unit.

1.5.3 Welding and Brazing

Safety in welding, cutting, and brazing of pipe shall conform to AWS Z49.1.

1.6 SCOPE OF WORK

1.6.1 Instrument Air Compressor

Replace an existing instrument air compressor with the air compressor specified herein and shown on the contract drawings.

1.6.2 Instrument Air Desiccant Air Dryer

Replace an existing desiccant air dryer with the desiccant air dryer specified herein and shown on the contract drawings.

1.6.3 Air Receivers

1.6.3.1 Instrument Air Receiver

Replace an existing instrument air receiver with the air receiver specified herein and shown on the contract drawings.

1.6.3.2 Plant Air Receiver

Add an air receiver as specified herein and shown on the contract drawings.

1.6.4 Piping

1.6.4.1 Compressed Air Piping

Furnish and install compressed air piping to interconnect, air compressors, desiccant air dryer and air receivers as specified herein and shown on the contract drawings.

1.6.4.2 Instrument Air Piping

Furnish and install instrument air piping to provide instrument air service to the gas burner retractor equipment, air heater bypass operators, ash unloader knife gate valve operator, and ash unloader water control valve as specified herein and shown on the contract drawings.

PART 2 PRODUCTS

2.1 LOW PRESSURE AIR COMPRESSOR, 10 TO 300 HP

10 to 300 hp, up to 125 psig. Configuration and dimensions of the air compressor shall be compatible with the indicated space allocated. Sound level shall not exceed 84 dBA one meter from compressor unit. Conform to FS XX-C-2816 and following ordering data thereof:

- a. Specification title, number and date: As listed hereinbefore under "REFERENCES."
- b. Type: III -Rotary screw.
- c. Issue date of applicable specifications and standards: As specified.
- d. Packaged assembly requirement: Packaged Unit required.
- e. First article inspection: Not required; furnish certified test report.
- f. Capacity: At least scfm: 83.

Discharge working pressure: psig: 125.

- g. Type bearings: Manufacturer's standard.
- h. Inlet air filter conformance: Provide manufacturer's standard.
- i. Safety valve in discharge line for air separator: Required, ASME BPVC SEC VIII D1 Code stamped safety relief valve.
- j. Shut-off valve on compressor discharge: Not required.
- k. Oil Filter compliance with FS F-F-351: Provide manufacturer's standard.
- 1. Drain plug: Provide manufacturer's standard.
- m. Electric, thermostatically controlled immersion oil heater: Not required.
- n. Compressor regulation method: Dual control: Alternative constant speed or automatic start-stop.
- o. Timed stop control for constant speed control: Required.
- p. Optional safety controls required: As specified on Table A.

TABLE A. OPTIONAL SAFETY CONTROLS

Type III
Shut
Alarm Down
————

Low coolant Level x High discharge-air temperature x x
Excessively high motor temp. x x

- q. Gages and visual I.D. lights mounting: Panel-mounted.
- r. Aftercooler: Required; air-cooled, tube-and-fin type. A moisture separator is also required.
- s. Compressor and motor: Required.
- t. Compressor housing (III units): Required.
- u. Motor: As specified in FS XX-C-2816.
- v. Electrical power supply characteristics: 460 volt, three phase, 60 Hz
- w. Motor starter: As specified in FS XX-C-2816
- x. Type Drive: Direct, gear or multi-V-Belt drive with adjustable tension.
- y. Cleaning, treatment, and painting: As specified in FS XX-C-2816.
- z. Color of finish coat: Manufacturer's standard.
- aa. Initial lubrication: Factory lubrication service required.
- bb. Base (Type III): Required.
- cc. Lifting attachments and tiedown device: Lifting attachments as required. Tiedown devices required.
- dd. Spare parts and maintenance tools: Four (4) replacement oil filters, four (4) replacement fan filters, and one (1) charge of replacement coolant/lubricant.
- ee. Level of preservation packaging, and packing: Level C or better (Delivery of unit to project site in undamaged condition is Contractor's responsibility.)

2.2 LOW PRESSURE AIR RECEIVERS

2.2.1 Instrument Air Receiver

ASME BPVC SEC VIII D1, labeled and rated for 400 gallons and 165 psig, equipped with required valves and trimmings, including gage and drain valve and ASME BPVC SEC VIII D1 and pressure safety relief valve. Sandblast

exterior and interior to SSPC SP 10, near-white. Lining shall be a factory applied 8 mil minimum white epoxy coating. Exterior finish shall be two coats of rust inhibitor primer and one coat epoxy enamel.

2.2.2 Plant Air Receiver

ASME BPVC SEC VIII D1, labeled and rated for 1060 gallons and 165 psig, equipped with required valves and trimmings, including gage and drain valve and ASME BPVC SEC VIII D1 and pressure safety relief valve. Sandblast exterior and interior to SSPC SP 10, near-white. Lining shall be a factory applied 8 mil minimum white epoxy coating. Exterior finish shall be two coats of rust inhibitor primer and one coat epoxy enamel.

2.3 DESICCANT AIR DRYERS

2.3.1 General

Desiccant air dryer shall be fully automatic, regenerative, twin-tower type. Desiccant air dryer shall be designed for an ambient temperature range of 40-104 degrees Fahrenheit and ambient humidity range of 0-99%. Desiccant air dryer and accessories shall be completely shop assembled on a skid, piped, wired, and charged with desiccant, and connected to the terminal points at the site. Desiccant shall be activated alumina. Desiccant charge in each tower shall be adequate to provide a minimum of 170 scfm outlet flow and a dew point of -40F throughout the entire adsorption period at the pressure and temperature of the air compressor outlet under saturated conditions. Desiccant used shall not breakdown upon contact with water in liquid form. Desiccant life shall not be less than three (3) years for operation under the design conditions specified. Interconnecting piping shall include necessary manual valves for individual isolation and bypass of the prefilter, desiccant air dryer, and after filter. Instrumentation shall be mounted and piped for the desiccant air dryer and shall include air inlet and outlet thermometers, purge flow indicator, tower pressure gages, and necessary pressure and temperature switches. Airflow velocities through the desiccant column shall be sufficiently low to avoid displacement of the desiccant.

2.3.2 Towers

Each tower shall be 150 psig design pressure ASME labeled conforming to ASME BPVC Sec VIII D1 with flanged or threaded fittings and manual drain valve. Each tower shall be designed to contain a desiccant column. The tower shall permit easy filling and draining of the desiccant without disturbing the piping. A pressure relief valve shall be provided on each tower in accordance with the ASME BPVC Sec Viii Div 1.

2.3.3 Prefilter and After Filter

Desiccant air dryer shall be equipped with a prefilter and an after filter mounted on the dryer skid. Prefilter shall be replaceable coalescing cartridge type and after filter shall be replaceable coalescing/particulate cartridge type. A condensate trap with inlet isolation valve shall be provided for each filter. A differential pressure gage shall be provided for each filter. Filtration efficiency shall not be less than 98 percent (by weight) for particles 3 micrometers in size. Filters shall be sized so that pressure drops in clean condition shall not exceed 1.5 psid for the prefilter and 1.0 psid for the after filter.

2.3.4 Skid

A structural skid shall be provided for the desiccant air dryer. Lifting lugs shall be provided to facilitate handling during installation, maintenance, and removal.

2.3.5 Electrical Equipment

The desiccant air dryer shall be supplied by one (1) 110 volt, 60 Hz AC feeder. All electrical functions shall be self contained at the desiccant air dryer. Electrical equipment and accessories to be furnished shall include, but not necessarily be limited to, the following: pushbutton stations, contactors, selector switches and control stations, automatic control devices and necessary control boards and cabinets. Voltage and current rating of control devices shall commensurate with the application and in accordance with NEMA ICS 1. Rigid conduit shall be zinc-coated steel or aluminum. Flexible conduit shall have a galvanized steel core and a liquid tight extruded PVC outer covering. Power and control cable shall be 600 volt, flame retardant, and heat resistant, thermoplastic-insulated nonshielded, single or multi-conductors with an overall nylon jacket. Instrumentation and low level signal cable shall be rated 600 volt #18 AWG, unless specifically required otherwise by the instrument manufacturer. Control panels and cabinets shall be NEMA ICS Type 12 construction. Contractor shall provide molded case type circuit breaker rated for 40 C ambient temperature. Circuit breaker shall be equipped with external operating handles with open, close and trip indicators.

2.3.6 Controls and Supervisory Devices

Contractor shall provide a separate local control panel for the desiccant air dryer, complete with switches, timers, interlocks, alarms, lights, contactors, and appropriate instruments mounted inside. At a minimum, controls shall be provided for manual tower switching and adjustably-timed tower switching. Desiccant air dryer shall be furnished with pilot-light indicators having three positions: a) power off; b) left tower regenerating; c) right tower regenerating. Depressurization of a tower shall be concurrent with regeneration and shall be controlled to prevent upset of the desiccant. Desiccant air dryer shall be furnished with a means of repressurizing the regenerated tower to line pressure prior to changeover. A demand cycle control shall be included to ensure near full utilization of the moisture holding capacity of the tower in use before initiating its regeneration. The control system shall ensure that the desiccant air dryer outlet dew point is maintained at or below -40F. Desiccant air dryer shall be provided with a high dew point alarm. Contacts wired to terminals shall activate a remote trouble alarm. failure to the desiccant air dryer shall not result in interruption of the airflow through the dryer.

2.3.7 Special Tools and Spare Parts

Contractor shall supply one (1) complete set of necessary special tools required for maintenance of the desiccant air dryer and accessories. Contractor shall provide a supply of desiccant for initial operations in unbroken shipping containers equal to not less than four charges. Contractor shall supply the following complete sets of spare parts for each desiccant air dryer:

Prefilter media cartridge
After filter media cartridge

2.4 LOW PRESSURE COMPRESSED AIR PIPING AND ACCESSORIES

Low pressure compressed air piping and accessories 125 psig at 150 degrees F, shall conform to the following:

2.4.1 Steel Piping

- a. Pipe: ASTM A 53, seamless or electric resistance welded carbon steel, Schedule 40, black.
- b. Fittings, size 2 inches and larger: ASME B16.9, carbon steel, butt welding, schedule 40, or ASME B46.1, carbon steel welding neck flanges, Class 150, ASME B46.1, flanged fittings, carbon steel, Class 150, gaskets 1/16 inch oil resistant synthetic rubber ASTM D 1330, bolts ASTM A 193/A 193M, Grade B7, and nuts, ASTM A 194/A 194M, Grade 7. Butt welded joints shall be full penetration consumable insert or backing ring type.
- c. Fittings, size 1 1/2 inches and smaller: ASME B16.3, threaded malleable iron, Class 150, or ASME B16.11, forged carbon steel Class 3000 socket welding or Class 2000 threaded. Joints may also be butt welded or flanged, as specified for sizes 2 inches and larger.
- d. Flat-faced steel flanges: Where connections are made to Class 125 cast iron flanges with steel flanges, use only flat-faced Class 150 steel flanges.
- e. Unions: ASME B16.39, Class 1 300 psig WOG).

2.4.2 Copper Tubing

- a. Tubing: ASTM B 88, Type K or L, hard drawn, Class 1.
- b. Fittings: ASME B16.22 wrought copper or bronze, with silver brazed joints.
- c. Brazing filler metal: FS QQ-B-654, Class III.
- d. Unions: bronze, FS WW-U-516, brazed joint type.
- e. Flanges and flanged fittings: ANSI B16.24, bronze, Class 150, gaskets, oil resistant synthetic rubber, ASTM D 1330, bolts ASTM A 193/A 193M, Grade B7, and nuts ASTM A 194/A 194M, Grade 7.
- f. Flared fittings: ASTM B 88, Type K or L, annealed, with ASME B16.26 or SAE J513 flared fittings.

2.4.3 Valves

2.4.3.1 Gate Valves

- a. Bronze Gate Valves: MSS SP-80, Class 150, 2 inches and smaller, wedge disc, rising stem, inside screw type, with brazed joints ends when used with copper tubing.
- b. Steel Gate Valves: MSS SP-84, 2 inches and smaller, ASME B16.34, over 2 inches, flanged ends, outside screw and yoke type with solid wedge or flexible wedge disc, Class 150.

2.4.3.2 Globe and Angle Valves

- a. Bronze globe and angle valves: MSS SP-80, Class 150, 2 inches and smaller, Class 200, except that Class 150 valves with brazed ends may be used for copper tubing. Valves shall have renewable seats and discs except brazed-end valves which shall have integral seats.
- b. Steel globe and angle valves: MSS SP-84, 2 inches and smaller, ASME B16.34, over 2 inches, flanged ends, Class 150.

2.4.3.3 Pressure Reducing Valves

NFP(A) T3.12.3 R2, with nominal pressure rating of not less than inlet system pressure indicated. Provide pressure reducing valves capable of being adjusted to specified flow and pressure, and suitable for intended service. Provide pilot valve for dome loaded type if required for proper operation.

2.4.3.4 Safety Valves

ASME BPVC SEC VIII D1 Code stamped safety valve, 125 psig, for unfired pressure vessels, bronze, with threaded or flanged connections; factory set and sealed.

2.4.3.5 Check Valves

MSS SP-80, Bronze body with brazed joint or threaded ends or steel body with flanged end, ASME B16.34, or threaded ends, MSS SP-84. The check valve shall have a perforated piston with closed downstream end, in line with the pipe and held closed by a steel poppet return spring.

2.4.3.6 Pressure Regulators

Diaphragm type, air loaded, tight closing single seat, brass body with integral or separate filter and bowl with screwed drain plug. Pressure setting shall be spring adjustable and provided with a lock nut. Pressure regulator shall be suitable for 125 psig and be provided with internal overpressure relief. Provide outlet set pressure indicator.

2.4.3.7 Speed Control Valves

One-piece bodies with integral or screwed bonnet, stems of hardened stainless steel with fine thread for metering and ease of adjusting, Teflon packing; and shall be of the pressure balanced type. Valves shall be of the slow opening type.

2.4.4 Pressure Gages

ASME B40.1, Accuracy Grade A, for air, with steel or brass case, and nonshatterable safety glass, and a pressure blowout back to prevent glass from flying out in case of an explosion. Gages shall have a 3 1/2 inch minimum diameter dial and a dial range of approximately twice working pressure. Pressure gages shall be provided with adjustable restrictors to prevent needle bounce during rapid pressure fluctuations.

2.4.5 Hangers and Supports

Provide pipe hangers and supports conforming to MSS SP-58, MSS SP-69, and ASME B31.1, except as specified or indicated otherwise. Furnish zinc plated pipe hangers and supports except for copper plated inserts for copper piping. Provide tubing supports of U-shaped steel bolts and nuts firmly secured to adequately support structures such as walls, columns, floors, or brackets. Clips shall fit closely around piping but shall have sufficient clearance to permit longitudinal movement of piping during normal expansion and contraction. Provide supports at valves, fittings, branch lines, outlets, changes in direction, equipment, and accessories.

2.4.6 Single Cartridge Type Filters

125 psig operating pressure and filter housing of brass or bronze. Provide cellulose cartridge filters of graded density construction capable of removing liquids and solids of 5 microns and larger. Filter capacity shall be compatible with rated flow of equipment or pressure reducing valves provided. Filters shall be provided with screwed drain plug.

2.4.7 Traps

Traps to drain water and other liquids from system. Traps shall have variable, adjustable opening time and frequency of operation, and rated working pressure not less than maximum system operating pressure.

2.4.8 Dielectric Unions

Steel female pipe thread end and copper solder-joint ends, conforming to dimensional, strength and pressure requirements of ASME B16.39, Class 1. Steel parts shall be galvanized or plated. Union shall have a water-impervious insulation barrier capable of limiting galvanic current to one percent of the short-circuit current in a corresponding bimetallic joint. When dry, it shall also be able to withstand a 600-volt breakdown test.

2.4.9 Tetrafluoroethylene Tape

MIL-T-27730 for screw-jointed pipe.

2.5 IDENTIFICATION LABELS FOR PIPING

Labels for pipes 3/4 inch o.d. and larger shall bear printed legends to identify contents of pipes and arrows to show direction of flow. Except that of pipes smaller than 3/4 inch o.d., labels shall have color coded backgrounds to signify levels of hazard in accordance with PFI ES 22. Legends and type and size or characters shall also conform to PFI ES 22. Labels shall be made of plastic sheet in conformance with FS A-A-1689 with pressure-sensitive adhesive suitable for the intended applications or they may be premolded of plastic to fit over specific pipe outside diameters 3/4 inch and larger. For pipes smaller than 3/4 inch o.d., furnish brass

identification tags $1\ 1/2$ inches in diameter with legends in depressed black-filled characters.

2.6 SOURCE QUALITY CONTROL

Test air compressors and desiccant air dryers at the factory to assure proper operation. Certify satisfactory accomplishment of tests.

PART 3 EXECUTION

3.1 INSTALLATION

Install materials and equipment as indicated and in accordance with manufacturer's recommendations.

3.1.1 Piping

Unless specifically stated to the contrary, fabrication, assembly, welding, and brazing shall conform to ASME B31.1 for all piping of the air system. Piping shall follow the general arrangement shown. Cut piping accurately to measurements established for the work. Work piping into place without springing or forcing, except where cold-springing is specified. Piping and equipment within buildings shall be entirely out of the way of lighting fixtures and doors, windows, and other openings. Locate overhead piping in buildings in the most inconspicuous positions. Do not conceal piping until it has been inspected, tested, and approved. Where pipe passes through building structure, pipe joints shall not be concealed, but shall be located where they may be readily inspected and building structure shall not be weakened. Avoid interference with other piping, conduit, or equipment. Except where specifically shown otherwise, vertical piping shall run plumb and straight and parallel to walls. Piping connected to equipment shall be installed to provide flexibility for vibration. Adequately support and anchor piping so that strain from weight of piping is not imposed on the equipment.

3.1.1.1 Fittings

Use long radius ells where appropriate to reduce pressure drops. Pipe bends in lieu of fittings may be used for low pressure piping where space permits. Pipe bends shall have a uniform radius of at least five times the pipe diameter and must be free from any appreciable flattening, wrinkling, or thinning of the pipe. Mitering of pipe to form elbows, notching straight runs to form full sized tees, or any similar construction shall not be used. Make branch connections with welding tees, except factory made forged welding branch outlets or nozzles having integral reinforcements conforming to ASME B31.1 may be used.

3.1.1.2 Clearances for Welding

Provide clearances from walls, ceilings, and floors to permit the installation of joints. The clearances shall be at least 6 inches for pipe sizes 4 inches and less, 10 inches for pipe sizes over 4 inches, and sufficient in corners. However, the specified clearances shall not waive requirements for welders to be qualified for the positions to be welded.

3.1.1.3 Cleaning and Flushing Procedures

Before jointing and erection of piping or tubing, thoroughly clean interiors of pipe sections, tube, and components. In steel pipe, loosen scale and other foreign matter by rapping sharply and expel by wire brush and swab. Blow out both steel pipe and copper tube and components with compressed air at 100 psig or more. Maintain cleanliness by closure of pipe/tube openings with caps or plugs. Before making final terminal connections, blow out complete system with compressed air at 100 psig or more.

3.1.1.4 Changes in Pipe Size

Use reducing fittings for changes in pipe size. The use of bushings will not be permitted. In horizontal lines, $2\ 1/2$ inches and larger, reducing fittings shall be of the eccentric type to maintain the bottom of the lines in the same plane.

3.1.1.5 Drainage and Flexibility

Compressed air piping shall be free of unnecessary pockets and pitched approximately 3 inches per 100 feet in the direction of flow to low points. Where pipes must be sloped so that condensate flows in opposite direction to air flow, slope 6 inches per 100 feet or greater. Provide flexibility by use of fittings, loops, and offsets in piping. Install branches at top of a main to prevent carryover of condensate and foreign matter.

3.1.2 Threaded Joints

Where possible use pipe with factory cut threads, otherwise cut pipe ends square, remove fins and burrs, and cut taper pipe threads in accordance with ASME B1.20.1. Threads shall be smooth, clean, and full cut. Apply thread tape to male threads only. Work piping into place without springing or forcing. Backing off to permit alignment of threaded joints will not be permitted. Engage threads so that not more than three threads remain exposed.

3.1.3 Welding and Brazing Procedures

Perform welding and brazing in accordance with qualified procedures using qualified welders and welding operators and brazers. Do not perform welding and brazing when the quality of the completed weld or braze could be impaired by the prevailing working or weather conditions. The Contracting Officer will determine when weather or working conditions are unsuitable for welding. Welding of hangers, supports, and plates to structural members shall be in accordance with AWS D1.1/D1.1M.

3.1.3.1 Cleaning for Welding and Brazing

Surfaces to be welded or brazed shall be free from loose scale, slag, rust, paint, oil, and other foreign material. Joint surfaces shall be smooth and free from defects which might affect proper welding. Clean each layer of weld metal thoroughly by wire brushing, grinding, or chipping prior to inspection or deposition of additional weld metal.

3.1.3.2 Stress Cracking During Brazing

For material susceptible to stress corrosion cracking from molten brazing filler metal, avoid applying stress during brazing.

3.1.3.3 Welding or Brazing of Valves

Welding or Brazing of Valves: Disassemble valves subject to damage from heat during welding or brazing and reassemble after installation. Open valves two or three turns off the seat when not subject to heat damage during welding or brazing; do not backseat valve.

3.1.4 Flare Fittings

Provide flare fittings only where necessary to connect copper tubing to equipment. Use short sections of annealed tubing soldered or brazed to hard drawn tubing using couplings on expanded ends on the annealed tubing made with special tools designed for that purpose. Make flares with the appropriate flaring tools. Cut annealed tubing only with cutting wheel tool. Do not ream out inside burr or lip left by the cutting wheel but fold back lip with flare tool to form seal/gasket inside flare. When new, the flare should cover not more than 75 percent of the flare seating surface of either the male or female flare fittings. Put the flare nut on the tube before making the flare.

3.1.5 Valves

ASME B31.1. Install valves at the locations indicated and elsewhere as required for the proper functioning of the system.

3.1.5.1 Gate Valves

Provide gate valves unless otherwise directed. Install valves in positions accessible for operation and repair. Install valve with stem horizontal or above.

3.1.5.2 Globe Valves

Install globe valves so that the pressure will be below the disk. Install globe valves with the stems vertical.

3.1.5.3 Pressure-Reducing Valves

Provide compressed air entering each pressure-reducing valve with a filter. Provide each pressure-reducing valve unit with two block valves and with a globe or angle bypass valve and bypass pipe. Provide a bypass around a reducing valve of reduced size to restrict its capacity to approximately that of the reducing valve. Provide each pressure reducing valve unit with an indicating gage to show the reduced pressure, and a safety valve on the low pressure side. These requirements do not apply to small pressure regulating valves used to adjust pressure for pneumatic equipment.

3.1.6 Hangers and Supports

Selection, fabrication and installation of piping hangers and supports shall conform to MSS SP-58, MSS SP-69, and MSS SP-89.

1/2	5'-0"	5'-0"	3 ' – 9 "	3'-6"
 3/4	5'-9"	5'-9"	4'-3"	4'-3"
 1	6'-6"	6'-6"	5'-0"	4'-9"

 1 1/2	7'-6"	7'-9"	5'-9"	5'-6"
 2	8'-6"	8'-6"	6'-6"	6'-6"
 2 1/2	9'-3"	9'-6"	7'-3"	7'-0"
 3	10'-3"	10'-6"	7'-9"	7'-6"
 3 1/2	11'-0"	11'-0"	8'-3"	8'-3"
 4	11'-6"	11'-9"	9'-0"	8'-9"
 5	12'-9"	13'-0"	10'-0"	9'-6"
 6	13'-9"	14'-0"	10'-9"	10'-6"
 8	15'-6"	16'-0"		
10	17'-0"	17'-6"		
 12	18'-3"	19'-0"		

3.1.7 Pressure Gages

Provide pressure gages with a shut-off valve or petcock installed between the gage and the line.

3.1.8 Filters

Provide filters with meshes suitable for the services where indicated, or where dirt might interfere with the proper operation of valve parts, orifices, or moving parts of equipment.

3.1.9 Equipment Foundations

Provide equipment foundations for the air compressor, desiccant air dryer and air receivers in accordance with Section 03307A, "Concrete for Minor Structures" and the contract drawings. Provide equipment foundations of sufficient size and weight and of proper design to preclude shifting of equipment under operating conditions or under any abnormal conditions which could be imposed upon the equipment. Provide foundations which meet the requirements of the equipment manufacturer, and when required by the Contracting Officer, obtain from the equipment manufacturer approval of the foundation design and construction for the equipment involved. If possible, re-use existing foundations. Equipment vibration shall be maintained within acceptable limits, and shall be suitably dampened and isolated.

3.1.10 Equipment Installation

Install equipment strictly in accordance with these specifications, and the manufacturers' installation instructions. Shim and grout equipment mounted on concrete foundations before piping is installed. Install piping in a manner that does not place a strain on any of the equipment. Do not bolt flanged joints tight unless the joints match properly. Extend expansion

bends adequately before installation. Grade, anchor, guide and support piping without low pockets.

3.1.11 Cleaning of System

Clean the various system components before final closing as the installations are completed. Remove foreign matter from equipment and surrounding areas. Preliminary or final tests will not be permitted until the cleaning is approved by the Contracting Officer.

3.1.12 Unions and Flanges

Provide unions and flanges where necessary to permit easy disconnection of piping and apparatus, and as indicated. Provide a union for each connection having a screwed-end valve. Provide unions on piping under 2 inches in diameter, and provide flanges on piping 2 inches and over in diameter. Install dielectric unions or flanges between ferrous and non-ferrous piping, equipment, and fittings; except that bronze valves and fittings may be used without dielectric couplings for ferrous-to-ferrous or non-ferrous to non-ferrous connections.

3.1.13 Painting of Piping and Equipment

Paint piping and equipment in accordance with Section 09900, "Paints and Coatings."

3.1.14 Identification of Piping

Identify piping in accordance with PFI ES 22. Use commercially manufactured piping identification labels. Space identification marking on runs not farther apart than 50 feet. Provide two copies of the piping identification code framed under glass and install where directed.

3.2 FIELD QUALITY CONTROL

3.2.1 Examinations

3.2.1.1 Welding Examinations

In accordance with Section 15216N, "Welding Pressure Piping," and the following: The Contractor shall perform visual examinations to detect surface and internal discontinuities in completed welds. Visually examine all welds. When examination indicates defects in a weld joint, the weld shall be repaired by a qualified welder. Remove and replace defects as specified in ASME B31.1, unless otherwise specified. Repair defects discovered between weld passes before additional weld material is deposited. Whenever a defect is removed, and repair by welding is not required, blend the affected area into the surrounding surface, eliminating sharp notches, crevices, or corners. After defect removal is complete and before rewelding, examine the area by the same methods which first revealed the defect to ensure that the defect has been eliminated. After rewelding, reexamine the repaired area by the same test methods originally used for that area. Any indication of a defect shall be regarded as a defect unless reevaluation by surface conditioning and reexamination shows that no unacceptable defects are present. The use of any foreign material to mask, fill in, seal, or disguise welding defects will not be permitted.

3.2.1.2 Brazing Examinations

The Contractor shall perform brazing examinations. Visually examine all compressed air systems as follows:

- a. Check brazed joint fit-up. Diametrical clearances shall conform to brazing procedure requirements.
- b. Check base material of pipe and fitting for conformance to the applicable drawing or specification.
- c. Check grade of brazing alloy for conformance to the brazing procedure before fit-up or brazing.
- d. Check completed brazed joint for a complete ring of brazing alloy between the outside surface of the pipe and the face of the fitting, and for a visible fillet.
- e. Check stainless steel and other susceptible material for evidence of stress cracks. Check inside of joint if possible with borescope or other aids.

Defective joints may be repaired. However, no more than two attempts to repair by reheating and additional face feeding of brazing filler metal will be permitted, after which the defective joint shall be unsweated, reprepared as a new joint, examined for defects on pipe and fittings, and rebrazed.

3.2.2 Testing

3.2.2.1 General Requirements, Testing

Perform testing after cleaning. Contractor shall provide everything required for tests. Tests shall be subject to the approval of the Contracting Officer. Calibrate the test pressure gages with a dead weight tester within 15 days before use and certify by initial and date on a sticker applied to dial face. Pressurize each piping system individually and check to assure that there are no cross-connections between different systems prior to operational tests.

3.2.2.2 Leak Tightness

a. Preliminary Preparation

Remove or isolate from the system and vent the compressor, desiccant air dryer, filters, instruments, and equipment which would be damaged during tests and reinstall after successful completion of tests.

b. Compressed Air Leak Test

Test with clean, dry air at design working pressure. Brush joints with soapy water solution to check for leaks. Install a calibrated test pressure gage in piping system to observe any loss in pressure. maintain required test pressure for a sufficient length of time to enable an inspection of joints and connections.

3.2.2.3 Operational Tests

Test equipment as in service to determine compliance with contract requirements and warranty. During the tests, test equipment under every condition of operation. Brush joints with soapy water solution to check for leaks. Test safety controls to demonstrate performance of their required function. Completely test system for compliance with specifications.

INSTRUCTION TO GOVERNMENT PERSONNEL

Provide 2 man-days of instruction to Government personnel in accordance with Section 15050N, "BASIC MECHANICAL MATERIALS AND METHODS".

-- End of Section --



SECTION 15216N

WELDING PRESSURE PIPING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASME INTERNATIONAL (ASME)

ASME B31.1	(1995) Power Piping
ASME B31.3	(1996) Process Piping
ASME B31.4	(1992) Liquid Transportation Systems for Hydrocarbons, Liquid Petroleum Gas, Anhydrous Ammonia, and Alcohols
ASME BPVC SEC I	(1995; Addenda 1995 and 1996) Boiler and Pressure Vessel Code: Section I Power Boilers
ASME BPVC SEC II-C	(1995; Addenda 1995 and 1996) Boiler and Pressure Vessel Code: Section II Material Part C - Welding Rods, Electrodes, and Filler Metals
ASME BPVC SEC V	(1995; Addenda 1995 and 1996) Boiler and Pressure Vessel Code: Section V Nondestructive Examination
ASME BPVC SEC IX	(1995; Addenda 1995 and 1996) Boiler and Pressure Vessel Code: Section IX Qualification Standard for Welding and Brazing Procedures, Welders, Brazers, and Welding and Brazing Operators
AMERICAN SOCIETY FOR NO	ONDESTRUCTIVE TESTING (ASNT)
ASNT RP SNT-TC-1A	(1992) Recommended Practice
AMERICAN WELDING SOCIET	TY (AWS)
AWS A2.4	(1993) Symbols for Welding, Brazing and Nondestructive Examination
AWS A3.0	(1994) Welding Terms and Definitions Including Terms for Brazing, Soldering Thermal Spraying and Thermal Cutting

AWS D1.1/D1.1M (1996) Structural Welding Code - Steel

04018/EM Central Heating Plant Application of Low Emissions Tech.

AWS D10.9 (1980) Qualification of Welding Procedures

and Welders for Piping and Tubing

AWS QC1 (1988) AWS Certification of Welding

Inspectors

AWS Z49.1 (1994) Safety in Welding, Cutting and Allied

Processes

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1926 Safety and Health Regulations for

Construction

1.2 RELATED REQUIREMENTS

Section 15050N, "BASIC MECHANICAL MATERIALS AND METHODS" applies to this section with the additions and modifications specified herein.

1.3 DEFINITIONS

AWS A3.0 and applicable ANSI piping documents.

1.4 SUBMITTALS

Submit the following in accordance with Section 01330, "SUBMITTAL PROCEDURES."

SD-02 Shop Drawings

Welding pressure piping

SD-07 Certificates

Welding procedures qualification

Nondestructive examination (NDE) procedures

NDE personnel certification procedures

Inspector certification

Submit inspector certification and NDE personnel certification for record.

SD-11 Closeout Submittals

Weld identifications

1.5 QUALITY ASSURANCE

1.5.1 Welding Pressure Piping

Show location, length, and type of welds, and indicate postweld heat treatment and nondestructive testing as required.

1.5.2 Procedures

Develop and qualify procedures for welding metals included in the work. Do not start welding until welding procedures, welders, and welding operators have been qualified. Perform qualification testing by an approved testing laboratory, or by the Contractor if approved by the Contracting Officer in accordance with the qualified procedures. Notify the Contracting Officer at least 24 hours in advance of the time and place of the tests. When practicable, perform the qualification tests at or near the work site. Maintain current records of the test results obtained in welding procedure, welding operator/welder performance qualifications, and nondestructive examination (NDE) procedures. These records shall be readily available at the site for examination by the Contracting Officer. Qualify the procedures for making transition welds between different materials or between plates or pipes of different wall thicknesses. ANSI Piping requirements for branch connections may be used in lieu of detailed designs. Unless otherwise specified, the choice of welding process shall be the responsibility of the Contractor.

1.5.2.1 Previous Oualifications

Welding procedures, welders, and welding operators previously qualified by test may be accepted for the work without requalification provided that the following conditions are fulfilled:

- a. Copies of welding procedures, procedure qualification test records, and welder and welding operator performance qualification test records are submitted and approved in accordance with the paragraph entitled "Submittals."
- b. Testing was performed by an approved testing laboratory or technical consultant or by the Contractor's approved quality control organization.
- c. The welding procedures, welders, and welding operators were qualified in accordance with ASME BPVC SEC IX or AWS D10.9, AR-2 level; and base materials, filler materials, electrodes, equipment, and processes conformed to the applicable requirements of this specification.
- d. The requirements of paragraph entitled "Welder and Welding Operator Performance Qualification" for renewal of qualification were met, and records showing name of employer and period of employment using the process for which qualified are submitted as evidence of conformance.

1.5.2.2 Performance

The Contractor shall be responsible for the quality of joint preparation, welding, and examination. Clearly identify and record materials used in the welding operations. The examination and testing defined in this specification are minimum requirements. Provide additional examination and testing as necessary to achieve the quality required.

1.5.3 Welding Procedures Qualification

Qualification of the welding procedures for each group of materials to be welded is required as indicated in ASME BPVC SEC IX. Record in detail and qualify the "Welding Procedure Specifications" for every welding procedure proposed. Qualification for each welding procedure shall conform to the requirements of ANSI Standards and to this specification. The welding procedures shall specify end preparation for welds, including cleaning, alignments, and root openings. Preheat, interpass temperature control, and postheat treatment of welds shall be as required by ANSI Piping documents, unless otherwise indicated or specified. Describe the type of backing rings or consumable inserts, if used, and, if they are to be removed, the removal process. Welding procedure qualifications shall be identified individually and referenced on the shop drawings or suitably keyed to the contract drawings.

1.5.4 Welder and Welding Operator Performance Qualification

Qualify each welder and welding operator assigned to work covered by this specification by performance tests using equipment, positions, procedures, base metals, and electrodes or bare filler wires from the same specification, classification, or group number that will be encountered on his assignment. Welders or welding operators who make acceptable procedure qualification tests will be considered performance-qualified for the welding procedure used. Determine performance qualification in accordance with ASME BPVC SEC IX, ANSI Piping Standards and as specified.

1.5.5 Renewal of Qualification

Requalification of a welder or welding operator shall be required under one or any combination of the following conditions:

- a. When a welder or welding operator has not used the specific welding process for a period of 3 months. The period may be extended to 6 months if the welder has been employed on another welding process.
- b. There is specific reason to question the welder's ability to make welds that will meet the requirements of the specifications.
- c. The welder or welding operator was qualified by an employer other than those firms performing work under this contract and a qualification test has not been taken within the preceding 12 months. Renewal of qualification under this condition need be made on only a single test joint or pipe of any thickness, position, or material to reestablish qualification for any thickness, position, or material for which the welder or welding operator had qualified previously.

1.5.6 Qualification of Inspection and (NDE) Personnel

Qualification of Inspection and Nondestructive Examination (NDE) Personnel: Qualify inspection and nondestructive examination personnel in accordance with the following requirements:

1.5.6.1 Inspector Certification

Qualify welding inspectors in accordance with AWS QC1.

1.5.6.2 NDE Personnel Certification Procedures

Certify NDE personnel and establish a written procedure for the control and administration of NDE personnel training, examination, and certification. Base procedures on appropriate specific and general guidelines of training and experience recommended by ASNT RP SNT-TC-1A, Supplement A-Radiographic, Supplement B-Magnetic particle, and Supplement D-Liquid Penetrant.

1.5.6.3 Welding Procedures and Qualifications

- a. Specifications and Test Results: Submit copies of the welding procedure specifications and procedure qualification test results for each type of welding required. Approval of any procedure does not relieve the Contractor of the responsibility for producing acceptable welds. Submit this information on the forms printed in ASME BPVC SEC IX or their equivalent.
- b. Certification: Before assigning welders or welding operators to the work, submit their names, together with certification that each individual is performance qualified as specified. Do not start welding work prior to procedure qualification. The certification shall state the type of welding and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the firm and individual certifying the qualification tests.

1.5.7 Symbols

Conform to AWS A2.4.

1.5.7.1 Weld Identifications

Submit a list of the welders' names and symbol for each welder. To identify welds, submit written records indicating the location of welds made by each welder or welding operator.

1.5.8 Safety

Conform to AWS Z49.1, 29 CFR 1910-SUBPART Q, "Welding, Cutting, and Brazing," 29 CFR 1926-SUBPART J, "Welding and Cutting."

1.6 ENVIRONMENTAL

Do not perform welding when the quality of the completed weld could be impaired by the prevailing working or weather conditions. The Contracting Officer will determine when weather or working conditions are unsuitable for welding.

1.7 DELIVERY AND STORAGE

Deliver filler metals, electrodes, fluxes and other welding materials to the site in manufacturers' original packages and store in a dry space until used. Label and design packages properly to give maximum protection from moisture and to assure safe handling.

PART 2 PRODUCTS

2.1 WELDING MATERIALS

Comply with ASME BPVC SEC II-C. Welding equipment, electrodes, welding wire, and fluxes shall be capable of producing satisfactory welds when used by a qualified welder or welding operator using qualified welding procedures.

PART 3 EXECUTION

3.1 WELDING

Do not deviate from applicable codes, approved procedures and approved shop drawings without prior written approval from the Contracting Officer.

Materials or components with welds made off the site will not be accepted if the welding does not conform to the requirements of this specification unless otherwise specified. Assign each welder or welding operator an identifying number, letter, or symbol that shall be used to identify his welds. Each welder or welding operator shall apply his mark adjacent to his weld using an approved rubber stamp or felt-tipped marker with permanent, weatherproof ink or other approved methods that do not deform the metal. For seam welds, place identification marks adjacent to the welds at 3 foot intervals. Confine identification by die stamps or electric etchers to the weld reinforcing crown, preferably in the finished crater.

3.2 WELDING OPERATORS

Perform welding in accordance with qualified procedures using qualified welders and welding operators.

3.3 SUPPORTS

Welding of hangers, supports, and plates to structural members shall conform to AWS D1.1/D1.1M.

3.4 EXAMINATIONS AND TESTS

Visual and nondestructive examinations shall be performed by the Contractor to detect surface and internal discontinuities in completed welds. Employ the services of a qualified commercial inspection or testing laboratory or technical consultant approved by the Contracting Officer. Visually examine welds. Radiographic, liquid penetrant, or magnetic particle examination shall be required as indicated in Tables IV and V attached to this section or in accordance with other sections where detailed requirements are specified. Random NDE testing applies to ASME B31.3 and ASME B31.4 piping unless specified otherwise. When examination and testing indicates defects in a weld joint, a qualified welder shall repair the weld in accordance with the paragraph entitled "Corrections and Repairs" of this section.

3.4.1 Random NDE Testing

When radiographic, liquid penetrant, or magnetic particle examination is required, test a minimum of 10 percent of the total length or number of piping welds. Randomly select the welds examined, but include an examination of welds made by each welding operator or welder. If random testing reveals that a weld fails to meet minimum quality requirements, examine an additional 10 percent of the welds in that same group. If the additional welds examined meet the quality requirements, the entire group of

welds represented shall be accepted and the defective welds shall be repaired. If any of the additional welds examined also fail to meet the quality requirements, that entire group of welds shall be rejected. Remove and reweld rejected welds or examine rejected welds 100 percent and remove and reweld defects.

3.4.2 Visual Examination

Visually examine welds as follows:

- a. Before welding -- for compliance with requirements for joint preparation, placement of backing rings or consumable inserts, alignment and fit-up, and cleanliness.
- b. During welding -- for conformance to the qualified welding procedure.
- c. After welding -- for cracks, contour and finish, bead reinforcement, undercutting, overlap, and size of fillet welds.

3.4.3 Nondestructive Examination

NDE shall be in accordance with written procedures. Procedures for radiographic, liquid penetrant, or magnetic particle tests and methods shall conform to ASME BPVC SEC V. The approved procedure shall be demonstrated to the satisfaction of the Contracting Officer's QA personnel. In addition to the information required in ASME BPVC SEC V, the written procedures shall include:

- a. Timing of the nondestructive examination in relation to the welding operations.
- b. Safety precautions.

3.4.4 Examinations and Tests by the Government

Examinations and tests will conform to paragraphs "Visual Examination" and "Nondestructive Examination" of this section, except that destructive tests may be required also. When destructive tests are made, qualified welders or welding operators shall make repairs using welding procedures which will develop the full strength of the members cut. Welding shall be subject to examination and tests in the mill, shop, and field.

3.5 ACCEPTANCE STANDARDS

3.5.1 Visual

The following indications are unacceptable:

- a. Cracks--external surface.
- b. Undercut on surface which is greater than 1/32 inch deep provided that the remaining wall thickness is not less than the minimum design thickness.
- c. Weld reinforcement:

(1) ASME B31.1, conform to Table I.

TABLE I REINFORCEMENT OF GIRTH AND LONGITUDINAL BUTT WELDS

Maximum Thickness of Reinforcement for Design Temperature

	Greater than 750oF	350oF-750oF	Less Than 350oF
Thickness of Base Metal, inches	inch	inch	inch
Up to 1/8, incl.	1/16	3/32	3/16
Over 1/8 to 3/16, incl.	1/16	1/8	3/16
Over 3/16 to 1/2, incl.	1/16	5/32	3/16
Over 1/2 to 1, incl.	3/32	3/16	3/16
Over 1 to 2, incl.	1/8	1/4	1/4
Over 2	5/32	The greate	er of 1/4
		in. or 1/8	times the
		width of tinches.	the weld in

NOTES:

- 1. For double welded butt joints, this limitation on reinforcement given above shall apply separately to both inside and outside surfaces of the joint.
- 2. For single welded butt joints, the reinforcement limits given above shall apply to the outside surface of the joint only.
- 3. The thickness of weld reinforcement shall be based on the thickness of the thinner of the materials being joined.
- 4. The weld reinforcement thicknesses shall be determined from the higher of the abutting surfaces involved.
 - 5. Weld reinforcement may be removed if so desired.

3.5.2 Magnetic Particle Examination

The following relevant indications are unacceptable:

- a. Any cracks and linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface, with the major dimension of this area not to exceed 6 inches, with the area taken in the most unfavorable location relative to the indications being evaluated.

3.5.3 Liquid Penetrant Examination

Indications whose major dimensions are greater than 1/16 inch shall be considered relevant. The following relevant indications are unacceptable:

- a. Any cracks or linear indications.
- b. Rounded indications with dimensions greater than 3/16 inch.
- c. Four or more rounded indications in a line separated by 1/16 inch or less edge-to-edge.
- d. Ten or more rounded indications in any 6 square inches of surface, with the major dimension of this area not to exceed 6 inches, with the area taken in the most unfavorable location relative to the indications being evaluated.

3.5.4 Radiography

Welds that are shown by radiography to have any of the following discontinuities are unacceptable:

- a. Any type of crack or zone of incomplete fusion or penetration.
- b. Any other elongated indication which has a length greater than:
 - (1) 1/4 inch for t up to 3/4 inch, inclusive;
 - (2) 1/3 t for t from 3/4 inch to 2 1/4 inches, inclusive;
 - (3) 3/4 inch for t over 2 1/4 inches where t is the thickness of the thinner portion of the weld.

("t" pertains to the thickness of the weld being examined. If a weld joins two members having different thickness at the weld, "t" is the thinner of these two thicknesses.)

- c. Any group of indications in line that have an aggregate length greater than t in a length of 12t, except where the distance between the successive indications exceeds 6L where L is the longest indication in the group.
- d. Porosity in excess of that shown acceptable in Appendix A-250, Acceptance Standard for Radiographically Determined Rounded Indications in Welds, ASME BPVC SEC I.

3.6 CORRECTIONS AND REPAIRS

Remove defects and replace welds as specified in ANSI Piping Standards, unless otherwise specified. Repair defects discovered between weld passes before additional weld material is deposited. Wherever a defect is removed, and repair by welding is not required, the affected area shall be blended into the surrounding surface eliminating sharp notches, crevices, or corners. After defect removal is complete and before rewelding, reexamine the area by the same test methods which first revealed the defect to ensure that the defect has been eliminated. After rewelding, reexamine the repaired area by the same test methods originally used for that area. For

repairs to base material, the minimum examination shall be the same as required for butt welds. Indication of a defect shall be regarded as a defect unless reevaluation by NDE or by surface conditioning shows that no unacceptable indications are present. The use of foreign material to mask, fill in, seal, or disguise welding defects will not be permitted.

TABLE IV EXAMINATIONS AND TESTS FOR VARIOUS MATERIALS AND SERVICES

Examinations or Tests Required

	aterial or pplication	Visual	Radiographic	Magnetic Particle or Liquid Penetrant
Ca syst	rbon steel piping			
a.	Tack welds	Yes	No	No
b.	Root passes	Yes	No	Yes MT
c.	Intermediate passes	s Yes	No	No
d.	Completed weld	Yes	Random	Yes MT

TABLE V
MANDATORY MINIMUM NONDESTRUCTIVE EXAMINATIONS FOR ASME B31.1 PIPING

	Temperatures over 750 degrees F and at all pressures.	Temperatures between 350 degrees F and 750 degrees F inclusive and at all pressures over 1052 psig gage	All others
Buttwelds (Girth and Longitudinal)	RT for NPS over 2 inches MT or PT for NPS 2 inches and less.	RT for over 2 inch NPS with thickness over 3/4 inch. Visual for all sizes with thickness 3/4 inch or less.	Visual for all sizes and thicknesses.
Welded Branch Connections (Size indicated is Branch Size)	RT for NPS over 2 inch MT or PT for NPS 2 inch and less.	RT for branch over 4 inch NPS and thickness of branch over 3/4 inch. Visual for all sizes with branch thickness 3/4 inch or less.	Visual for all sizes and thicknesses.
Fillet, Socket Welds	PT or MT for all sizes and thicknesses.	Visual for all sizes and thicknesses.	Visual for all sizes and thicknesses.

NOTES:

- 1. Thickness refers to pressure boundary wall thickness (such as pipe wall, fitting wall, or nozzle wall thickness).
- 2. All welds must be given a visual examination in addition to type of specific nondestructive examination specified.
 - 3. NPS-Nominal Pipe Size.
- 4. RT-Radiographic examination; MT-magnetic particle examination; PT-liquid penetrant examination.
- 5. RT of branch welds shall be performed before any nonintegral reinforcing material is applied.
- 6. The thickness of buttwelds is defined as the thicker of the two abutting ends after end preparation.
 - 7. Temperatures and pressures shown are design.
- 8. In lieu of radiography of welded branch connections when required above, liquid penetrant or magnetic particle examination is acceptable and, when used, shall be performed at the lesser of one-half of the weld thickness or each 1/2 inch of weld thickness and all accessible final weld surfaces.
- 9. For nondestructive examination of the pressure retaining component, refer to the standards listed in applicable code or the manufacturing specifications.
 - -- End of Section --



SECTION 15555A

MODIFICATIONS TO CENTRAL HIGH TEMPERATURE WATER (HTW) GENERATING PLANT AND AUXILIARIES

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ASME INTERNATIONAL (ASME)

ASME B31.1	(2001) Power Piping
ASME BPVC SEC I	(2001) Boiler and Pressure Vessel Code; Section I, Power Boilers
ASME BPVC SEC IX	(2001) Boiler and Pressure Vessel Code; Section IX, Welding and Brazing Qualifications
ASME BPVC SEC VIII D1	(2001) Boiler and Pressure Vessel Code; Section VIII, Pressure Vessels Division 1 - Basic Coverage
ASME PTC 4.1	(1964; Addenda: 1968, 1969; R 1991) Steam Generating Units ++
ASME PTC 4.1	(1964; Addenda: 1968, 1969; R 1991) Steam Generating Units ++
ASTM INTERNATIONAL (AS	TM)
ASTM INTERNATIONAL (AS	TM) (2001) Carbon Structural Steel
ASTM A 36/A 36M	(2001) Carbon Structural Steel (1997el) Steel, Sheet, Carbon, Cold-Rolled,
ASTM A 36/A 36M ASTM A 366/A 366M	<pre>(2001) Carbon Structural Steel (1997el) Steel, Sheet, Carbon, Cold-Rolled, Commercial Quality ** (2001) Steel, Sheet, Carbon, and High- Strength, Low-Alloy, Hot-Rolled and Cold-</pre>
ASTM A 36/A 36M ASTM A 366/A 366M ASTM A 568/A 568M	<pre>(2001) Carbon Structural Steel (1997el) Steel, Sheet, Carbon, Cold-Rolled, Commercial Quality ** (2001) Steel, Sheet, Carbon, and High- Strength, Low-Alloy, Hot-Rolled and Cold- Rolled (2001a) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by</pre>

Brick

04018/EM Central Heating Plant Application of Low Emissions Tech.

ASTM C 34 (1996) Structural Clay Load-Bearing Wall Tile

ASTM C 401 (1991; R 2000) Alumina and Alumina-Silicate

Castable Refractories

ASTM C 62 (2001) Building Brick (Solid Masonry Units

Made from Clay or Shale)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 85 (2001) Boiler and Combustion Systems Hazard

Code

UNDERWRITERS LABORATORIES (UL)

UL 795 (1999) Commercial-Industrial Gas Heating

Equipment

1.2 GENERAL REQUIREMENTS

1.2.1 Standard Products

Material and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of the products and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Equipment shall be supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site.

1.2.2 Nameplates

Each major item of equipment shall have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment.

1.2.3 Prevention of Rust

Unless otherwise specified, surfaces of ferrous metal subject to corrosion shall be factory prime painted with a rust inhibiting coating and subsequently factory finish painted in accordance with the manufacturer's standard practice. Equipment exposed to high temperature when in service shall be prime and finish painted with the manufacturer's standard heat resistant paint to a minimum thickness of 1 mil.

1.2.4 Equipment Guards and Access

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact shall be fully enclosed or guarded. High temperature equipment and piping exposed to contact by personnel or where it creates a fire hazard shall be properly guarded or covered with insulation of a type specified.

1.2.5 Use of Asbestos Products

Products which contain asbestos are prohibited. This prohibition includes items such as packings or gaskets, even though the item is encapsulated or the asbestos fibers are impregnated with binder material.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Gas Burners; G.

Detail drawings consisting of schedules, performance charts, brochures, diagrams, drawings, and instructions necessary for installation of equipment, and for piping, wiring, and devices. Complete setting plans certified by the equipment manufacturers. Drawings shall indicate clearances required for maintenance and operation and shall contain complete wiring and schematic diagrams, equipment layout and anchorage, and any other details required to demonstrate that the system has been coordinated and will properly function as a unit.

Combustion Air Ductwork; G. Flue Gas Breeching; G. Replacement Tubes; G.

Detail drawings describing materials of construction, dimensions, weights, support, and layout in both plan and elevation.

SD-03 Product Data

Spare Parts;

Spare parts data for each item of equipment provided, after approval of the drawings and not later than 3 months before the date of beneficial occupancy. The data shall include a complete list of spare parts and supplies, with current unit prices and source of supply, and a list of the parts recommended by the manufacturer to be replaced after 1 and 3 years of service.

Manufacturer's Instructions;

Proposed diagrams, instructions, and other sheets, before posting. Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, shall be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system shall be prepared in typed form, framed as specified above for the wiring and control diagrams, and posted beside the diagrams. The framed instructions shall be posted before acceptance testing of the systems.

Welding Qualifications;

A copy of qualified welding procedures and a list of names and identification symbols of qualified welders and welding operators.

Field Training;

Proposed schedule for field training, at least 2 weeks prior to the start of related training.

SD-06 Test Reports

Test Schedule; G.

A written schedule, 7 days before tests are performed. Schedule will be approved by the Contracting Officer.

Proposed Test Procedure;

A proposed performance test procedure, 30 days prior to the proposed test date. The submittal shall contain a complete description of the proposed test with calibration curves or test results furnished by an independent testing laboratory of each instrument, meter, gauge, and thermometer to be used in the tests. The test shall not commence until the procedure has been approved.

Boiler Emissions Report; G.

Boiler emissions report of air pollutants showing compliance with the limits established in the environmental permit and as specified herein.

Adjusting, Balancing, Testing and Inspecting; .

Test reports in booklet form showing field tests performed to adjust each component and field tests performed to prove compliance with the specified performance criteria, upon completing and testing the installed system. Each test report shall indicate the final position of controls. A written statement from the manufacturer's representative certifying that combustion control equipment has been properly installed and is in proper operating condition, upon completion of the installation. The action settings for automatic controls in the form of a typed, tabulated list indicating the type of control, location, setting, and function shall be included.

Startup Test Hardcopy Printout; .

Printed report of control system startup test.

SD-07 Certificates

Environmental Permit Compliance; Experience; G.

Evidence of the Contractor's prior experience in installing similar equipment, including a list of 5 co-firing (simultaneous

natural gas & coal) and stoker applications combustion control installations (Bailey/ABB INFI-90) on boilers of equal or larger size that have been in satisfactory operation for 2 years prior to bid opening. Provide the location of the combustion control installations.

Certificates of Inspection, Test, and Calibration

Certificate of inspection, test, and calibration of instrumentation to be used during acceptance testing. Certificate of compliance with applicable codes after installation.

SD-10 Operation and Maintenance Data

Gas Burners;

Operating instructions, prior to the field training course. Six copies of operating instructions outlining the step-by-step procedures required for system startup, operation, and shutdown. The instructions shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Maintenance instructions, prior to the field training course. Six complete copies of maintenance instructions listing routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guides. The instructions shall include piping layout, equipment layout, and simplified wiring and control diagrams of the system as installed. The manuals shall also include equipment lubrication requirements and schedules, recommended spare parts list, index, instruction book binders with hard back covers and printing to identify the name of the facility, Government entity operating the facility, Contractor, shop order, equipment, and volume number if required. Operation and maintenance manuals shall be approved prior to the training course.

1.4 WELDING QUALIFICATIONS

Piping shall be welded in accordance with qualified procedures using performance qualified welders and welding operators. Procedures and welders shall be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. The Contracting Officer shall be notified 24 hours in advance of tests and the tests shall be performed at the work site if practicable. The welder or welding operator shall apply his assigned symbol near each weld he makes as a permanent record.

1.5 DELIVERY AND STORAGE

All equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variation, dirt and dust, or other contaminants.

1.6 VERIFICATION OF DIMENSIONS

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

PART 2 PRODUCTS

2.1 MODIFICATIONS TO HIGH TEMPERATURE WATER GENERATORS

Existing HTW generator (boiler) No. 1 is capable of operation on coal or natural gas. Existing Boiler No. 2 is capable of operation on natural gas only. Existing Boiler No. 3 is capable of operation on coal only. Boiler No. 1 and No. 3 each have an input capacity of 106 MMBtu/hr and an output capacity of 85 MMBtu/hr when operating on coal, when operating with 305 degrees F entering water temperature and 414 degrees F leaving water temperature with a water flow of 736,249 pounds per hour. Boiler No. 1 and No. 2 each have a capacity of approximately 30 MMBtu/hr when operating on natural gas only. Each boiler has a design pressure of 500 psig.

Modifications shall include all controls, piping, insulation, miscellaneous plant equipment, and other accessories indicated or necessary for the following major work elements:

- a. Removal of gas burner from HTW generator No. 1 and associated new tubes straightening and boiler wall repair.
- b. Addition of two 25 MMBtu/hr input to each gas burner on each HTW generator. No. 1 and No. 3, one on each side of boiler and associated tube bending and boiler wall work.
- c. Addition of combustion air bypass and flue gas bypass around existing air heater on HTW generator No. 1 and No. 3.
- d. Replacement of baskets and seals in Ljungstrom air heaters for Generators No. 1 and No. 3.

The equipment design and accessory locations shall permit accessibility for maintenance and service. Design conditions shall be as follows:

- a. Site elevation, 3,527 feet.
 - b. Combustion air temperature, 80 degrees F.

The HTW generators shall be capable of operating continuously at maximum specified capacity without damage or deterioration to the generator, its setting, or firing equipment or auxiliaries. The generator shall be operable automatically while burning the fuel specified.

2.1.1 Electrical Equipment

Electric motor-driven equipment shall be provided complete with motors and necessary motor control devices. Motors and motor control devices shall be as specified in Division 16 specifications including requirements for hazardous area locations. Motors shall have electrical characteristics and enclosure type as shown. Unless otherwise indicated, motors of 1 hp and above shall be high efficiency type.

2.1.1.1 Motor Ratings

Motors shall be suitable for the voltage and frequency provided. Motors 1/2 horsepower and larger shall be three phase, unless otherwise indicated. Ratings shall be adequate for the duty imposed, but shall not be less than indicated.

2.1.1.2 Motor Starters

Where a motor starter is not indicated in a motor control center on the electrical drawings, a motor starter shall be provided under this section of the specifications. Motor starters shall be provided complete with properly sized thermal overload protection and other equipment at the specified capacity including an allowable service factor, and other appurtenances necessary. Manual or automatic control and protective or signal devices required for the operation specified, and any wiring required to such devices, shall be provided whether indicated or not. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers may be provided to accomplish the same function.

2.1.2 HTW Generator Design Requirements

2.1.2.1 Furnace Dimentions

Existing furnace dimensions are as follows:

- a. Width (new burner firing direction), 12.46 feet.
- b. Depth, 13.13 feet.
- c. Height, 22 feet.

2.1.2.2 Burners

Burners shall conform to requirements of NFPA 85, except as otherwise specified. Flame safeguard controls shall be equipped with repetitive self-checking circuits.

2.2 HIGH TEMPERATURE WATER GENERATOR MODIFICATION DETAILS

2.2.1 HTW Generators and Components

Watertube, waterwall type HTW generating units shall be modified for the installation of gas burners, with the associated modifications to the existing over fire air system. Walls of the HTW generating units shall be modified to accommodate the installation, removal and rearrangement of gas burner using similar materials of construction and as shown on the contractors drawings.

2.2.1.1 Headers

Existing HTHW generator namplate data is as follows:

HTHW Generator No. 1: International Boiler Works Co. Model TJW-VC-85 Serial No. 14891 IBW Job No. 2068-69-70 Heating Surface:

> Boiler: 5,975 square feet Waterwall: 1,284 square feet

HTHW Generator No. 3:
International Boiler Works Co.
Model TJW-VC-85
Serial No. 14892
IBW Job No. 2068-69-70
Heating Surface:

Boiler: 5,975 square feet Waterwall: 1,284 square feet

2.2.1.2 Tubes

Replacement tubes for tube sections shown on the contract drawings shall be provided by International Boiler, Inc. Tubes shall be electric welded or seamless steel. Boilers shall have water-cooled furnace walls of a design suitable for the application. Tubes located in the primary furnace shall be designed for inclined or upflow of water. The water shall be distributed to the heating surface in proportion to the heat absorbing capacities of these surfaces. Tube heat absorbing surfaces shall be located so that radiant and convection sections provide for series flow of water, from generator inlet to outlet, to ensure uniform water distribution and uniform temperature rise from inlet to outlet.

2.2.1.3 Furnace

Furnace side walls and rear wall shall be water-cooled by vertical tubes with center-to-center spacing not to exceed twice the tube diameter, and shall be furnished with cast-iron, water-cooled armor block at the grate line to a height of not less than 18 inches above the grate line. The armor block shall be keyed and held in place without the use of bolts, pins, or mastic. The armor block shall be readily replaceable without the use of special tools.

2.2.2 HTW Generator Setting Materials

Materials shall conform to the following:

- a. Firebrick: ASTM C 27, class shall be as recommended by the HTW generator manufacturer.
- b. Insulating Brick: ASTM C 155, Class A.
- c. Castable Refractory: ASTM C 401. The minimum modulus of rupture for transverse strength shall not be less than 600 psi after being heat-soaked for 5 hours or more at a temperature in excess of 3200 degrees F.
- d. Mortar, Air-Setting, Refractory: As recommended by the HTW generator manufacturer.
- e. Brick, Common: ASTM C 62.

- f. Tile, Load-Bearing, Hollow: ASTM C 34, Grade LBX.
- g. Iron and Steel Sheets: Galvanized, ASTM A 653/A 653M; gauge numbers specified refer to United States Standard gauge. Uncoated, black: ASTM A 568/A 568M, ASTM A 366/A 366M, or ASTM A 36/A 36M.

2.2.2.1 HTW Generator Casing

HTW generator walls shall be steel-encased wall construction with fabrication details as recommended by the HTW generator manufacturer. HTW generator wall lining shall consist of a continuous screen of closely spaced water tubes. Casing for HTW generators shall be double wall construction. Reinforced, welded, gas-tight inner casing shall be constructed of not lighter than 10 gauge black steel sheets. Outer casing shall be constructed of not less than 10 gauge steel sheets. Outer casing may be either bolted or welded. Inner casing shall be reinforced with structural steel to provide rigidity and prevent buckling. Inner casing in furnace section shall abut furnace tubes with no foreign sealer between the tube steel and the casing steel. Casing shall not be attached to tubes. The inner casing shall be applied so as to form expansion joints at the point of tube support. Welded joints and openings shall be checked by a pressure test. Any casing leakage shall be repaired and made pressure-tight. The maximum deflection of the reinforced panels shall not exceed 1/360 of the length of the maximum span. Block insulation shall be applied between the inner and outer casings and held securely with insulating pins. The casing tested shall be capable of holding a pressure of 1-1/2 times the predicted maximum furnace operating pressure.

2.2.2.2 Walls

Refractory behind the waterwall tubes shall be high-duty refractory not less than 2-1/2 inches thick conforming to manufacturer's requirements. High temperature block and mineral wool blanket shall be provided between the refractory backup and steel casing or between an inner and outer casing. Thickness of insulation shall be such that an average casing temperature in the furnace area will not exceed 130 degrees F with a surface air velocity of 100 fpm, and an ambient air temperature of 80 degrees F when operating at full capacity.

2.2.2.3 Firebrick

Firebrick shall be laid up in air-setting mortar. Each brick shall be dipped in mortar, rubbed, shoved into its final place, and then tapped with a wooden mallet until it touches the adjacent bricks. Mortar thick enough to lay with a trowel shall not be permitted. Maximum mortar joint thickness shall not exceed 1/8 inch and average joint thickness shall not exceed 1/16 inch.

2.2.2.4 Plastic Refractory

Plastic refractory shall be installed in accordance with the manufacturer's recommendation and by workmen skilled in its application.

2.2.3 Boiler Fittings and Appurtenances

HTW generator fittings and appurtenances suitable for a HTW design pressure of 500 psig and 470 degrees F shall be installed with each HTW generator in accordance with ASME BPVC SEC I.

2.3 NATURAL GAS FUEL BURNING EQUIPMENT

MW Output	(Size) Type of Grate and Stoker
735 - 5860	Single retort, stationary grate, underfeed stokers
5860 - 8800	Single retort, moving grate, underfeed stoker
1465 - 22000 stoker	Reciprocating grate, front continuous ash discharge
1465 - 29500 discharge stoker	Vibrating conveyor grate, front continuous ash
5860 - 36500 grate stoker	Water-cooled, incline grate, hopper fed vibrating
8800 - 120,000	Spreader stoker, continuous front ash discharge
(MBtuh Output	(Size) Type of Grate and Stoker
2,500 - 20,000	Single retort, stationary grate, underfeed stokers
20,000 - 30,000	Single retort, moving grate, underfeed stoker
5,000 - 75,000 stoker	Reciprocating grate, front continuous ash discharge
5,000 - 100,000 discharge stoker	Vibrating conveyor grate, front continuous ash
20,000 - 125,000 grate stoker	Water-cooled, incline grate, hopper fed vibrating
30,000 - 400,000	Spreader stoker, continuous front ash discharge)

Natural gas fuel burning equipment shall be provided complete with flame safeguard system, forced draft low NO<SBS> $x^{\text{</SBS}}$ burner, combustion air windbox, piping, fuel train and instrumentation. Fuel burning equipment shall be designed for a maximum allowable working pressure of 40 psig. Each burner shall be capable of firing at a continuous rating of 25 MMBtu/hr input when the boiler is firing natural gas only, using natural gas at 9 psi. When co-firing with coal, each burner shall not run higher than 15 MMBtu/hr input. Provisions shall be incorporated for withdrawing and shielding the gas burner from over heating while firing coal only.

Emissions guarantees shall apply through specified turndown range. Flue gas recirculation shall not be utilized. Burner shall have a stable flame over the turndown range. Primary air spinner zone, zone divider and main burner shall be removable without removing the entire register or windbox. Register front plate shall have a swivel scanner and observation port. Natural gas fuel burning equipment shall limit emissions to 0.15 pounds of NOx/MMBtu of heat input.

2.3.1 Pilot

- a. Pilot burner shall be natural gas-electric type with the capacity required to reliably light off the boiler. A high voltage secondary side ignition transformer shall be supplied and mounted backside of the windbox.
- b. Provision shall be made in the burner housing for inspection of the pilot flame.
- c. Pilot shall be provided with individual manual shut-off valve, pressure gauge, strainer, pressure regulation separate from the main burner, self closing solenoid valve and vent valve in accordance with FM P7825a, FM P7825b and UL 795. Pilot and valving shall be in accordance with NFPA 85.

2.3.2 Burner Refractory Throat

Burner refractory throat shall be made of high quality castable refractory suitable for 3200 degrees F. The precast refractory in a steel retaining ring with stainless steel anchors shall be shipped separately for field mounting on the boiler. Burner refractory throat shall be concentric with the burner, contoured to ensure complete mixing of air and natural gas, and designed to assist in complete combustion by radiating heat to the fuel. Burner shall be so positioned that the flame parallels the contour of the burner refractory throat but avoids striking the refractory.

2.3.3 Windbox

Windbox shall provide even airflow. Windbox shall not interfere with boiler smoke box door operation and shall have a flange bottom for easy firm mounting on a support structure.

2.3.4 Combustion Air Fan

Combustion air fan shall be centrifugal type with backwardly inclined air foil bladed wheel. Combustion air-fan wheel shall be directly driven by a TEFC NEMA frame motor and shall be complete with inlet cone and screen and flange outlet. Combustion air fan shall be bottom flanged to be mounted on same structural member as windbox. Combustion air-fan shall be minimum sized to provide sufficient static pressure to overcome system losses when providing 15 percent excess air at maximum firing rate.

2.3.5 Combustion Air Damper and Jack Shaft Control

Combustion air damper shall be flanged and located between combustion air fan and windbox. Combustion air damper shall be mechanically linked with an adjustable jack shaft that automatically adjusts the amount of combustion air supply required for the specified burner capacity turndown.

2.3.6 Natural Gas Burner

Natural gas burner shall be a multi-spud burner with gas feed pipe in center of air register for easy removal. Natural gas burner shall be forced draft type and shall be suitable for efficiently burning natural gas having a calorific value of 1,000 Btu per cubic foot when supplied at a pressure of approximately 9 psig. Natural gas shall be discharged in burner throat area. Natural gas-air premix or natural gas discharged outside of burner throat are not acceptable. Main natural gas burner shall be capable of firing the boiler to maximum capacity with a turndown of five (5) to one (1) with a 4-20 mA signal.

2.3.7 Natural Gas Burner Retract Equipment

Each natural gas burner shall be provided with a pneumatic actuator for retracting the gas burner nozzle from the refractory burner throat and a guillotine refractory damper with a pneumatic actuator that seals the refractory burner throat opening after the natural gas burner nozzle is retracted. The damper shall be provided with a seal air fan that limits the amount of air inleakage to the furnace to a maximum of 55 scfm when the damper is in the closed position. Refractory for the guillotine damper shall be as specified herein. Pneumatic actuators shall be piston and cylinder type, sealed and double acting. Cylinders and pistons shall be sized for operating 125 percent of the required load with an instrument air pressure range of 70-120 psig. Piping for each pneumatic actuator shall be complete including tubing, fittings, filter regulator set, four-way 120 VAC solenoid valve, speed control valves, isolation and bypass valves and a single point connected with the instrument air system. The assembly shall include mechanically operated position switches, DPDT, to indicate inserted and retracted positions for the gas burner nozzle retract actuator and open and closed positions for the guillotine damper actuator. The natural gas burner retract equipment shall be manually controlled from the burner management system control panels next to the new burner platforms. Controls shall be provided with appropriate interlocks for safe operation of the burner retract equipment. As a minimum, the burner shall not be capable of being retracted with natural gas flow. The burner management system control panel shall be provided with position indicating lights for burner nozzle inserted and retracted and guillotine damper closed and open.

2.3.8 Flame Safeguard System

- a. The flame safeguard system per burner shall be manufactured by burner manufacturer and mounted near the boiler as a panel. Flame safeguard system components shall be UL listed. Complete and automatic flame safeguard system shall be provided in accordance with NFPA requirements for safe start-up, on-line operation and shut-down of package burner.
- b. Flame safeguard system shall be micro-processor per boiler based system including, but not limited to, automatic burner sequencing, flame supervision, status indication, fire-out annunciation and self diagnostics.
- c. Flame safeguard system cabinet shall house overcurrent protective devices and motor starters for the combustion air fan motor and

- burner damper motor. Control transformers and an RS-232C serial communication port shall also be included.
- d. Flame scanner shall not require a separate purge air supply. Flame scanner output signal shall be connected to flame amplifier module in microprocessor based unit. Within four seconds after loss of flame, flame safeguard controller shall shut the automatic safety shut-off fuel valves and open the gas automatic vent valve. Flame failure signal shall be displayed on flame safeguard display or burner control panel.
- e. A separate adjustable coal grate flange scanner shall be provided for each boiler above the grate as shown on the contract drawings.
- f. Logic provided with flame safeguard system shall:
 - 1. Prevent introduction of ignitor flame (pilot) or main fuel flame to furnace until furnace, boiler passes, breeching and stack have been purged of combustible gases.
 - 2. Prevent opening of automatic fuel shut-off valves in main fuel line until ignitor flame is proven.
 - 3. Limit trial for main fuel ignition to ten (10) seconds from time ignitor flame is proven.
 - 4. In event of burner failure, operator intervention shall be required to manually reset flame safeguard controller prior to restart.
 - 5. Allow gas burner startup without a pre-purge cycle, when coal is being combusted as detected by the coal grate flame scanner.
- g. First-out annunciation per burner shall be provided by an expansion module. Alarms and flame-outs shall be individually annunciated at panel front and transmitted along with other process points monitored by the panel to existing Bailey DCS for graphic display. The following points, at a minimum, shall be individually annunciated by flame safeguard system:
 - 1. High outlet water temperature (from DCS).
 - 2. High and low natural gas pressure.
 - 3. Low oxygen concentration (from DCS).
 - 4. Low water flow (from DCS).
 - 5. Combustion airflow.
 - 6. Ignitor failure.
 - 7. Main flame failure.
 - 8. Furnace pressure (from DCS).

- h. Flame safeguard system cabinet shall be provided for natural gas fuel.
- i. Indicating lights shall also be provided for following:
 - 1. Limits satisfied.
 - 2. Purging.
 - 3. Pilot ON.
 - 4. Main flame ON.
 - 5. Flame failure.
 - 6. Natural gas ON.
- j. Indicating pilot lights shall be industrial, oil-tight construction with push-to-test feature or "All-Pilot Lights" test button.

2.3.9 Boiler Piping Trains

Piping train shall be completely prepiped, wired and mounted on boiler. Natural gas train shall be in accordance with NFPA and FM standards and requirements and shall include but not be limited to following items:

2.3.9.1 Natural Gas Trains

- a. NFPA 54 and ANSI Z83.3.
- b. Natural gas flow control valve with characterizing adjustments to match airflow.
- c. Y-type strainer supplied in ignitor natural gas line.
- d. Two (NC) solenoid safety shut-off valves, in series, in ignitor line with one (NO) solenoid vent valve located between safety shut-off valves, piped independently to atmosphere through the roof.
- e. Two motorized shut-off valves with proof of closure, piped in series in main gas line with one (NO) solenoid vent valve located between safety shut-off valves, piped independently to atmosphere through the roof.
- f. One pressure regulating valve in ignitor natural gas line to regulate natural gas pressure to ignitor.
- g. Pressure gauge, with shut-off valve for main natural gas at burner.
- h. Pressure gauge, with shut-off valve for natural gas ignitor.
- i. Low natural gas pressure switch.
- j. High natural gas pressure switch.

2.4 WASTE HEAT RECOVERY EQUIPMENT

Each existing boiler is equipped with an air preheater, separate from the boiler, which preheats combustion air that is delivered beneath the grate when firing coal. Bypasses, complete with opposed or parallel blade dampers as shown on the contract drawings, shall be added on both the combustion air and flue gas sides of the existing air heaters serving Boiler No. 1 and No. 3.

2.5 OVERFIRE AIR DUCT MODIFICATIONS

Overfire air ducts shall be relocated to accommodate burner installation on the right side of HTHW Generators No. 1 & 3. In addition, cast iron fly ash reinjection nozzles (three per generator) shall be removed and a new 2 inch diameter overfire air duct shall be installed from the existing overfire air header to the new Detroit Stoker overfire air nozzles in the rear wall of HTHW Generators No. 1 & 3. Size of overfire air nozzles shall match existing.

2.6 UNDER GRATE AIR DUCT MODIFICATIONS

Under grate air duct bypasses around air heaters shall be constructed of 3/16 inch thick steel plate conforming to ASTM A 36/A 36M. Ductwork shall be adequately reinforced and braced with structural steel angles not smaller than 2 x 2 x 3/16 inches on no more than 10 foot spacing, and all joints and seams in the sheets and angles shall be welded. Expansion joints shall be installed as indicated and as required to suit the installation and shall be flexible type requiring no packing. Ductwork shall have angle flanges and gaskets for connection to equipment. Ductwork connections shall be gastight and caulked-tight all around and sealed with cement to form an airtight joint. Clean-out openings of suitable size and at approved locations shall be provided for access to all sections of the breeching and shall have tight-fitting, hinged, cast-iron doors with cast-iron frames.

2.7 BREECHING MODIFICATIONS

Breeching bypasses around air heaters shall be constructed of 3/16 inch thick steel plate conforming to ASTM A 36/A 36M. Breeching shall be adequately reinforced and braced with structural steel angles not smaller than 2 x 2 x 5/16 inches on no more than 2 foot spacing, and all joints and seams in the sheets and angles shall be welded. Expansion joints shall be installed as indicated and as required to suit the installation and shall be flexible type requiring no packing. Breeching shall have angle flanges and gaskets for connection to equipment. Breeching connections shall be gastight and caulked-tight all around and sealed with cement to form an airtight joint. Clean-out openings of suitable size and at approved locations shall be provided for access to all sections of the breeching and shall have tight-fitting, hinged, cast-iron doors with cast-iron frames.

2.8 FABRIC EXPANSION JOINTS

2.8.1 General

Fabric expansion joints shall be integral flanged, U-belt design consisting of flexible element, backing bars, flow baffle, nuts, bolts and washers. Flexible element, backing bars, and flow baffle shall be drilled to match adjacent breeching or equipment flanges. In open sections of breeching, the maximum spacing between hole centers shall be 6 inches. Design shall allow fit between adjacent breeching or equipment without disassembly of the

adjoining breeching or equipment. Flow direction shall be marked on the expansion joint.

2.8.2 Flexible Element

The flexible element shall be designed to uncouple the forces and moments between adjoining sections due to thermal expansion while maintaining structural integrity. Design shall simultaneously allow .5 inches of compression, 1 inch of laterial relative motion and 1 degree of rotation in any plane. The flexible element material shall be suitable for the design temperature and contact with flue gas from coal combustion with a 2.50 to 3.81 percent sulfur coal.

2.8.3 Backing Bars

Backing bars shall be provided for the full width and circumference of the seal. Backing bars shall be ASTM A 36, a minimum of 2 inches wide by 3/8 thick, free of burrs and sharp edges, and coated with a rust-resistant primer.

2.8.4 Flow Baffle

The flow baffle shall be ASTM A 36 carbon steel, 1/8 inch thick, bolt-in design, fastened between flexible element and adjacent breeching or equipmment flange, designed to protect flexible element from particulate abrasion throughout the range of thermal movements.

2.8.5 Fasteners

Fasteners shall be 5/8 inch bolts with flat washer between the bolt head and the backing bar, and a lock washer between the nut and adjacent breeching or equipment flange. Bolts shall be of adequate length to expose a minimum of two (2) threads beyond the nut after tightening. Bolts dimensions shall be in accordance with ASME B18.2.1 and be threaded in accordance with ASME B1.1, Class 2A. Bolts shall be ASTM A 307, Grade B, zinc-coated for operating temperatures up to 550 degrees F and ASTM A 193, Grade B7, heavy hex for operating temperatures above 550 degrees F. Nut dimensions shall be in accordance with ASME B18.2.2 and threaded in accordance with ASME B1.1, Class 2B. Nuts shall be ASTM A 563, Grade A, zinc-coated, heavy hex for operating temperatures up to 550 degrees F and ASTM A 194 Grade 7, heavy hex for operating temperatures above 550 degrees F.

2.9 LOUVER DAMPERS

2.9.1 General

Louver dampers shall be balanced weight, multiple blade type. Blades shall be opposed blade (combustion air) or parallel blade (flue gas and minimum leak,) as indicated on the Contract Drawings. Opposed blade dampers shall be designed for throttling service. Blade dampers shall be designed for shut-off service and shall be provided with sealed blade ends. Dampers shall be designed for a maximum shut-off pressure of 5 inches of water and a maximum temperature of 550 degrees F. Design shall allow fit between adjacent breeching or equipment without disassembly of the adjoining breeching or equipment.

2.9.2 Detailed Requirements

The frame shall be 12 inch, 20.7 pound channel with 5/8 inch diameter holes on a maximum of 6 inch center spacing. Blades shall be 10 gauge carbon (minimum) steel, bolted, double skin, air foil design. Blade seals shall be overlap and stepped. Shafts shall extend the total length of the blades and be Type 304 stainless steel. Brackets, linkages, bearings and packing shall be located and be serviceable from outside the gas stream. Bearings shall be graphite, self-lubricated, rated for 1000 degrees F service. The brackets and linkages shall be carbon steel. Non-machined, metal surfaces shall be power tool cleaned, solvent washed, and coated with a primer suitable for 500 degrees F.

2.9.3 Operator

The dampers shall be provided with a pneumatic actuator integrally mounted on the frame. The design shall be sized to operate satisfactorily with a 60 to 80 psig instrument air supply. Dampers designed for modulating service shall be provided with positioners suitable for 4 to 20 mA signal, with direct or reverse feedback. The selection and arrangement of the spring, solenoid, positioner, if required for modulating service, and the wiring and pneumatic connections shall meet the air and electrical failure positions specified on the Contract Drawings. Speed control on damper to open to closed in 1.0 minutes and closed to open in 1.0 minutes.

2.10 INSULATION

Shop and field applied insulation shall be as specified in Section 15080A "THERMAL INSULATION FOR MECHANICAL SYSTEMS".

2.11 TOOLS

Special tools only shall be furnished and shall include all uncommon tools necessary for the operation and maintenance of controls, meters and other equipment. Small hand tools shall be furnished with a suitable cabinet, mounted where directed.

2.12 ASH HANDLING SYSTEM

2.12.1 Boiler Room Ash Handling System

The existing ash handling system is of the dry pneumatic type. This system gathers ash from the boiler under grate and bottom ash hoppers, mechanical dust collector, and the baghouse hoppers, and discharges to the ash storage silo located outside of the building. An existing ash dust control conditioner is used to reduce fugitive dust emissions during discharge of ash from the storage silo. This ash dust conditioner (dustless unloader) shall be replaced with a new ash unloader system in accordance with Section 14710, "Ash Unloader System."

2.13 MODIFICATIONS TO EXISTING LJUNGSTROM AIR HEATERS (GENERATORS NO. 1 & 3)

Existing air heaters are the regenerative type constructed of materials adequate to withstand the corrosion effects of the flue gases.

Modifications shall preclude cold-end corrosion of the air heater under any load condition. Temperatures of all metals in contact with flue gas shall

be above the flue gas maximum dewpoint temperature for the fuel being fired under all load conditions. Control shall be by automatic bypass and shall be integrated with the combustion control system.

The existing air heaters were manufactured by:

Air Preheater Company Alstom Power Inc. 3020 Traux Road, P.O. Box 372 Wellsville, NY 14895 Contrat No. LAP-4128 Size 3-13 FIK Serial Nos. 6992, 6993 or 6994

2.13.1 Modifications to Reduce the Heat Transfer Rate and Meet the Following Operating Conditions

Contractor shall replace existing hot end and cold end gasketed heating elements with new having reduced heat transfer capabilities. Seals and mounting hardware installation shall also be replaced to reduce leakage from air side to flue gas side.

Combustion air and flue gas bypasses shall be installed around the air heater to provide further reduction of heat transfer. With HTHW generator loads above approximately 80 percent, spray dryer absorber (SDA) inlet temperature shall be controlled using modulating dampers on the combustion air side of the air heater (D-1 & D-2). This mormal mode of operation shall maintain a flue gas temperature of 350 degrees F to the SDA, allowing the SDA to operate at its optimum efficiency. A special consition will exist when HTHW generator outlet flue gas temperature drops below 414 degrees F. At this time the combustion air side shall track in full bypass, and the flue gas side shall go into bypass. As the load increases and the HTHW generator outlet flue gas temperature increases above 430 degrees F, the flue gas bypass dampers (D-3 & D-4) shall go out of bypass mode. The combustion air side shll be released from track and allowed to modulate, controlling the SDA inlet temperature at 350 degrees F.

Load	100%	90%	80%
Flow Rates Lbs/Hr			
Air Entering	80,066	56,100	25,500
Air Leaving	65,266	42,000	13,000
Gas Entering	92,693	88,270	80,872
Gas Leaving	107,493	102,370	93,372
Cold Air Bypassed	7,495	26,583	50,889
Leakage	14,800	14,100	12,500
	15.97%	15.97%	15.46%
Temperatures Deg. F			
Air Entering	68	68	68
Air Leaving	152	157	226
Mixed Air to Grate	143	121	100
Gas Entering	448	431	414
Gas Leaving w/o Leakage	e 393	392	391
Gas Leaving w/ Leakage	351	350	350
Average Cold End	231	230	230

Pressure Diff.	In. W.G.		
Pressure Drop Air	0.15	0.10	0.05
Pressure Drop Gas	0.35	0.30	0.30
Hot End Diff.	8.90	8.20	7.10
Cold End Diff.	9.40	8.60	7.45

2.13.2 Material List of Changes

Material list of changes shall include:

Item No.	Description	Qty	U/M
1	Cold End Full Sector Baskets	2	Sets
2	Hot End Full Sector Baskets	2	Sets
3	Hot & Cold Radial Seals;	2	Sets
	Holding Strips; Heavy Fasteners	2	Sets
4	Hot & Cold Circ. Seals;	2	Sets
	Holding Strips; Fasteners	2	Sets
5	Hot & Cold Post Seals	2	Sets
6	Hot End Circ. Clamp Assy.	2	Sets

2.13.3 Service Engineer

Service engineer from manufacturer shall perform:

Inspection during field construction and approval of construction methods/quality.

Field performance test on each unit in operation over operating load range.

PART 3 EXECUTION

3.1 MODIFICATION OF BOILER AND AUXILIARY EQUIPMENT

Equipment shall be installed as indicated and in accordance with manufacturers' instructions.

Alternations to HTHW generators shall be made in accordance with the established standards, procedures, and applicable codes. Maintenance of the HTHW generator ASME stamp certification shall be ensured. Alternations to HTHW generators shall not be initiated without authorization of a special boiler inspector authorized by the State of Montana and the National Board of Boiler and Pressure Vessel Inspectors. Contractor performing alterations to HTHW generators shall hold a National Board "R" stamp. Special boiler inspector shall inspect HTHW generator alterations.

3.2 FIELD PAINTING AND COATING

Except as otherwise specified, ferrous metal shall be cleaned, prepared, and painted as specified in Section 09900 PAINTS AND COATINGS. Exposed pipe covering shall be painted as specified in Section 09900 PAINTS AND COATINGS. Aluminum sheath over insulation shall not be painted.

3.3 TESTS

3.3.1 Hydrostatic Tests

Following modification of tubes, HTW generator No. 1 and No. 3 shall be tested hydrostatically and proved tight under a gauge pressure of 1-1/2 times the specified working pressure. Following the installation of all piping and boiler house equipment, but before the application of any insulation, hydrostatic tests shall be made and the system proved tight under gauge pressures of 1-1/2 times the specified working pressure. Tests shall be made under the direction of, and subject to, the approval of the Contracting Officer. The Contractor shall adjust all equipment and controls before the scheduled operational test. A testing schedule shall be submitted at least 15 days before scheduled test.

3.3.1.1 Water Sides Including Fittings and Accessories

Water sides shall be hydrostatically tested in accordance with the requirements of ASME BPVC SEC I and ASME BPVC SEC VIII D1 as applicable.

3.3.1.2 Generator Casing, Air Casings, and Ducts

Air casing and ducts exterior to the generators shall be tested pneumatically at the maximum working pressure. The soap bubble or smoke bomb method shall be used to verify tightness. Gas sides of the generators normally operated under pressure shall be tested for tightness at 1-1/2 times the predicted operating pressure in the furnace at maximum predicted output. For this test the generator shall be tightly sealed with a suitable means to blank off all openings. Air shall be admitted to the generator until the test pressure is reached and then shall be held. If in a 10-minute period the pressure drop does not exceed 5 inches water gauge, the casing shall be regarded as tight and accepted. Air pressure and smoke bomb or comparative CO(2) readings shall be used for induced draft generators.

3.3.2 Capacity and Efficiency Tests, Burners Only

The capacity and efficiency at the specified capacity of the generator shall be determined in accordance with the ASME PTC 4.1 for steam generating units adjusted for High Temperature Hot Water units. The efficiency shall be determined by the direct input-output method and shall be checked with the loss method computation. Test runs shall be made at the maximum capacity for 4 hours; at the minimum capacity and at 50 percent capacity for 2 hours each, respectively. Test reports and performance curves shall be submitted to the Contracting Officer. Before any operational tests are conducted, the system shall be correctly balanced within 5 percent of that indicated. Corrections and adjustments shall be made as necessary to produce the required conditions. Approved methods shall be used to measure all rates of flow. The efficiency and general performance tests on the boilers shall be conducted by a qualified test engineer furnished by the Contractor, and observed by a representative of the Contracting Officer. Testing apparatus shall be set up, calibrated, tested, and readied for testing the boiler before the arrival of the representative of the Contracting Officer. Calibration curves or test results furnished by an independent testing laboratory for each instrument, meter, gauge, and thermometer to be used in efficiency and capacity test shall be furnished before the test. A test report including logs, heat balance calculations, and tabulated results together with conclusions shall be delivered in quadruplicate. An analysis of the fuel being burned on the test shall be submitted to the Contracting Officer. The analysis shall

include all pertinent data tabulated in the ASME PTC 4.1abbreviated efficiency test. The Contractor shall provide and install all necessary piping, valves, controls, and heat exchanger to provide a load for testing each HTW generator. If any system load is available, the Contracting Officer will provide for loading the heating system for the test, but full-load capability will probably require the supplementary heat exchanger for the test.

3.3.3 Operating Tests, Burners Only

After adjustment and achievement of stable operation of the HTW generators, each shall be tested continuously for 12 hours, minimum, to demonstrate control and operational conformance to the requirements of this specification under varying load conditions ranging from the specified capacity to the minimum burner turndown ratio without on-off cycling. In each case, the operating tests shall cover the periods for the capacities tabulated below:

Waterwall Watertube Boilers

Time (minimum)	Percent of Capacity
First 2 hours	20
Next 2 hours	50
Next 2 hours	75
Next 6 hours*	100

- * The efficiency tests may be conducted either concurrently with the operating tests or separately at the option of the Contractor.
- 3.3.4 Operating Tests, Burners and Stoker

After adjustment and achievement of stable operation of the HTW generators, each shall be tested continuously for 12 hours, minimum, to demonstrate control and operational conformance to the requirements of this specification under varying load conditions ranging from the specified capacity to the minimum burner and stoker turndown ratio without on-off cycling. In each case, the operating tests shall cover the periods for the capacities tabulated below:

Waterwall Watertube Boilers

Time (minimum)	Boiler Percent of Capacity	Burners Heat Input
First 2 hours	35	10 x 10 MMBtu/hr
Next 2 hours	50	$10 \times 10 \text{ MMBtu/hr}$
Next 2 hours	75	12 x 10 MMBtu/hr
Next 6 hours*	100	$15 \times 10 \text{ MMBtu/hr}$

- * The efficiency tests may be conducted either concurrently with the operating tests or separately at the option of the Contractor.
- 3.3.5 Test of Natural Gas Fuel Burning Equipment
 - a. Test of fuel burning equipment shall demonstrate that equipment installed will meet requirements of specifications, and that

overall efficiency is as specified, with not over 15 percent excess air, can be obtained with burners operating at 100 percent capacity without flame impingement on any combustion chamber wall, floor, baffle or watertube. Protect the grates from overheating.

- b. Test shall include all boiler and burner interlocks, safety interlocks, combustion controls, actuators, valves, controllers, gauges, thermometers, pilot lights, switches, etc. prior to combustion testing. All malfunctioning components shall be replaced. Submit an itemized data record sheet of this component testing.
- c. Each boiler control system and all boiler appurtenances shall be calibrated and set to ensure the specified performance. The fuel burner, forced-draft fan, controls, etc. shall be fully coordinated, manually capable, and automatically controllable to hold the required settings. The boiler fuel burning system shall be continuously variable throughout the specified operating range without manual adjustment of burner, register or nozzle, and turndown shall be achieved without manual adjustment. Testing apparatus shall be set up, calibrated, tested and ready for use prior to final combustion testing. Calibration certificates for all test instruments shall be furnished with test data.

3.3.5.1 Sequencing

The HTW generator shall start, operate, and stop in accordance with the specified operating sequence.

3.3.5.2 Flame Safeguard

The operation of the flame safeguard control on gas-fired burners shall be verified by simulated flame and ignition failures. Burners having continuous or intermittent pilots shall be tested by simulating main flame failure while the pilot is burning. The trial-for-pilot ignition, trial-for-main-flame ignition, combustion control reaction, and valve closing times shall be verified by stop watch.

- a. Immunity to Hot Refractory: The burner shall be operated at high fire until the combustion chamber refractory reaches maximum temperature. The main fuel valve shall then be closed manually. The combustion safeguard shall drop out immediately causing the safety shutoff valves to close within the specified control reaction and valve closing times.
- b. Pilot Intensity Required: The fuel supply to the pilot flame shall be gradually reduced to the point where the combustion safeguard begins to drop out (sense "no flame") but holds in until the main fuel valve opens. At this point of reduced pilot fuel supply, the pilot flame shall be capable of safely igniting the main burner. If the main fuel valve can be opened on a pilot flame of insufficient intensity to safely light the main flame, the generator shall be rejected.
- c. Turndown Ratio: The specified turndown ratio shall be verified by firing at the minimum firing rate.

- d. HTW Generator Limit and Fuel Safety Interlocks: Safety shutdown shall be caused by simulating interlock actuating conditions for each generator limit and fuel and safety interlock. Safety shutdowns shall occur in the specified manner.
- e. Combustion Controls: The accuracy range and smoothness of operation of the combustion controls shall be demonstrated by varying the demand throughout the entire firing range required by the turndown ratio specified for the burner and stoker and in the case of automatic sequenced burners by further varying the firing rate to require on-off cycling. The control accuracy shall be as specified.
- f. Safety Valves: Safety valves on HTW generators shall not be tested under operating conditions.

3.4 CLEANING OF HTW GENERATORS AND PIPING

3.4.1 HTW Generator Cleaning

After the hydrostatic tests have been made, and before performance of the operating tests, the boilers shall be thoroughly and effectively cleaned of foreign materials. Wherever possible, surfaces in contact with water shall be wire brushed to remove loose material. The Contractor may use the following procedure or may submit his own standard procedure for review and approval by the Contracting Officer. HTW generators shall be filled with a solution consisting of the following proportional ingredients for every 1000 gallons of water, and operated at approximately 30 to 50 psig for a period of 24 to 48 hours:

24 lb. caustic soda; 8 lb. sodium nitrate; 24 lb. disodium phosphate, anhydrous; and 1/2 lb. approved wetting agent.

Chemicals in the above proportions, or as otherwise approved, shall be thoroughly dissolved in the water before being placed in the HTW generator. After the specified boiling period, the boilers shall be allowed to cool, and then drained and thoroughly flushed. Piping shall be cleaned by operating the HTW generators for a period of approximately 48 hours.

3.5 MANUFACTURER'S SERVICES

Services of a manufacturer's representative who is experienced in the installation, adjustment, and operation of the equipment specified shall be provided. The representative shall supervise the installing, adjusting, and testing of the equipment. Contractor shall provide a minimum of two (2) weeks of burner manufacturer's representative on site for combustion control adjustment. Scheduling shall coincide with the visit of the manufacturer's representative for the variable frequency drives for the ID fan motors. Service engineers shall startup, calibrate and place in automatic operation the following:

- 1. Burner & Burner Management System
- 2. Bypass dampers and controls
- 3. I.D. fan motor VFD

The following additional field services shall be provided:

- 1. One day of field labor to witness loop testing of burner management field wiring. Indicated in writing if not satisfied with all field wiring at the end of this period, or wiring will be treated as acceptable.
- 2. Three days of field assistance during boiler "ASME power code test". The above assistance time periods are not necessarily contiguous. Field service engineer shall oversee and manage the boiler "boil-out", burner adjustment, ASME power code test, and Demonstration. This field service engineer will not be replaced during startup without prior written notice and consent of the Contracting Officer. If any phase of startup or commissioning is delayed because additional parts are required, the burner and burner management service engineer shall remain in the field.
- 3. System will be acceptable when the system is in automatic control operating at 10 MMBtu/hour load changes in one (1) minute from low load to high load or high to low load, or intermittent swing loads without noticable pulsation, and the manufacturer's service engineer is not adjusting controllers on natural gas. The following performance guarantees shall be demonstrated to the Contracting Officer. The system shall be operated within the range of 10 MMBtu/hour to 50 MMBtu/hour (heat input) with load swings of 10 MMBtu/hour/minute in either direction. All measurements will be made using 15 minute averaging. The system shall be operated in the automatic mode only without burner and combustion controls adjustment. The following guarantees shall be demonstrated:

Natural Gas Only 0.005 lbs/10 million Btu

Particulate Matter EPA Method 1-5

(270 degrees F Filter)

Particulate Matter Less than 10%

(Opacity EPA Method 9)

Nitrogen Oxides 0.15 lbs/million Btu Heat Input

(NO & NO2)

EPA Method 7E

Carbon Monoxide 0.11 lbs/million Btu Heat Input

EPA Method 10B

From Minimum Load, 20%

Heat Input

To Maximum Load, 100%

Heat Input

4. Provide five (5) man days of operational training by the burner service engineer. The service shall not adjust the system during this week. This week will also be used as the operational acceptance test. If the service engineer needs to adjust the burner or controls, then this week will be repeated at no additional cost.

5. Retain the services of the HTHW generator manufacturer (International Boiler, Inc.) to inspect and approve all generator tube work and casing/insulation work.

3.5.1 Field Training

A field training course shall be provided for designated operating staff members. Training shall be provided for a total period of 2 weeks of normal working time and shall start after the system is functionally complete and adjusted, but prior to final acceptance tests. Field training shall cover all of the items contained in the approved operating and maintenance instructions. Field taining time is separate from startup and adjustment.

-- End of Section --



SECTION 15895

OPACITY MONITOR PURGE AIR SUPPLY SYSTEM

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR CONDITIONING AND REFRIGERATION INSTITUTE (ARI)

ARI Guideline D (1996) Application and Installation of Central Station Air-Handling Units

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

AMCA 210 (1999) Laboratory Methods of Testing Fans for

Aerodynamic Performance Rating

AMCA 300 (1996) Reverberant Room Method for Sound

Testing of Fans

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9 (1990; R 2000) Load Ratings and Fatigue Life

for Ball Bearings

ABMA 11 (1990; R 1999) Load Ratings and Fatigue Life

for Roller Bearings

ASTM INTERNATIONAL (ASTM)

ASTM A 123/A 123M (2001a) Zinc (Hot-Dip Galvanized) Coatings on

Iron and Steel Products

ASTM A 924/A 924M (1999) General Requirements for Steel Sheet,

Metallic-Coated by the Hot-Dip Process

ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus

ASTM D 520 (2000) Zinc Dust Pigment

ASTM D 1654 (1992; R 2000) Evaluation of Painted or

Coated Specimens Subjected to Corrosive

Environments

ASTM D 3359 (1997) Measuring Adhesion by Tape Test

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.1 (1992) Gravimetric and Dust-Spot Procedures

for Testing Air-Cleaning Devices Used in General Ventilation for Removing Particulate

Matter

INSTITUTE OF ENVIRONMENTAL SCIENCES AND TECHNOLOGY(IEST)

IEST RP-CC-001.3 (1997) HEPA and ULPA Filters

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (1998) Motors and Generators

SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA HVAC Duct Const Stds (1995; Addenda Nov 1997; 6th Printing 2001)

HVAC Duct Construction Standards - Metal and

Flexible

SMACNA Leakage Test Mnl (1985; 6th Printing 1997) HVAC Air Duct

Leakage Test Manual

UNDERWRITERS LABORATORIES (UL)

UL 214 (1997; Rev thru Aug 2001) Tests for Flame-

Propagation of Fabrics and Films

UL 586 (1996; Rev thru Apr 2000) High-Efficiency,

Particulate, Air Filter Units

UL 900 (1994; Rev thru Oct 1999) Air Filter Units

UL Bld Mat Dir (1999) Building Materials Directory

1.2 COORDINATION OF TRADES

Ductwork, fittings, and accessories shall be furnished as required to provide a complete installation and to eliminate interference with other construction.

1.3 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.4 FIELD MEASUREMENTS

After becoming familiar with all details of the work, the Contractor shall verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. The following shall be submitted in accordance with <HLS>Section 01330, "SUBMITTAL PROCEDURES</HLS>":

SD-02 Shop Drawings

Drawings; G.

Installation.

Drawings showing equipment layout, including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications. Drawings shall include any information required to demonstrate that the system has been coordinated and will properly function as a unit and shall show equipment relationship to other parts of the work, including clearances required for operation and maintenance.

SD-03 Product Data

Components and Equipment; G.

Manufacturer's catalog data included with the detail drawings for the following items. The data shall be highlighted to show model, size, options, etc., that are intended for consideration. Data shall be adequate to demonstrate compliance with contract requirements for the following:

- a. Ductwork Components
- b. Air Systems Equipment

Test Procedures.

Proposed test procedures for ductwork leak test, and performance tests of systems, at least 2 weeks prior to the start of related testing.

Diagrams; G.

Proposed diagrams, at least 2 weeks prior to start of related testing. System diagrams that show the layout of equipment and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for safely starting and stopping the system shall be framed under glass or laminated plastic. After approval, these items shall be posted where directed.

Manufacturer's Experience.

Statement demonstrating successful completion of similar services on at least 5 projects of similar size and scope, at least 2 weeks prior to submittal of other items required by this section.

Performance Tests.

Proposed test schedules for ductwork leak test, and performance tests, at least 2 weeks prior to the start of related testing.

Field Training.

Proposed schedule for field training, at least 2 weeks prior to the start of related training.

SD-06 Test Reports.

Performance Tests.
Testing, Adjusting, and Balancing.

Test reports for the ductwork leak test and performance tests in booklet form, upon completion of testing. Reports shall document phases of tests performed including initial test summary, repairs/adjustments made, and final test results.

SD-10 Operation and Maintenance Data

Operating and Maintenance Instructions.

Six manuals listing step-by-step procedures required for system startup, operation, shutdown, and routine maintenance, at least 2 weeks prior to field training. The manuals shall include the manufacturer's name, model number, parts list, list of parts and tools that should be kept in stock by the owner for routine maintenance including the name of a local supplier, simplified wiring and controls diagrams, troubleshooting guide, and recommended service organization (including address and telephone number) for each item of equipment. Each service organization submitted shall be capable of providing 24 hour onsite response to a service call on an emergency basis.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Components and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. The standard products shall have been in satisfactory commercial or industrial use for 2 years before bid opening. The 2-year manufacturer's experience shall include applications of components and equipment under similar circumstances and of similar size. The 2 years must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. The equipment items shall be supported by a service organization.

2.2 ASBESTOS PROHIBITION

Asbestos and asbestos-containing products shall not be used.

2.3 NAMEPLATES

Equipment shall have a nameplate installed by the manufacturer that identifies the manufacturer's name, address, type or style, model or serial number, and catalog number.

2.4 EQUIPMENT GUARDS AND ACCESS

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact shall be fully enclosed or guarded according to OSHA requirements.

2.5 ELECTRICAL WORK

Electrical motor-driven equipment specified shall be provided complete with motor. Unless otherwise specified, electric equipment, including wiring and motor efficiencies, shall be according to Division 16 specifications. Electrical characteristics and enclosure type shall be as shown. Unless otherwise indicated, motors of 1 hp and above shall be high efficiency type. Motor starters shall be provided complete with thermal overload protection and other appurtenances necessary. Each motor shall be according to NEMA MG 1 and shall be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor.

2.6 DUCTWORK COMPONENTS

2.6.1 Metal Ductwork

All aspects of metal ductwork construction, including all fittings and components, shall comply with SMACNA HVAC Duct Const Stds unless otherwise specified. Elbows shall be radius type with a centerline radius of 1.5 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes may be used. Static pressure 1/2, 1, and 2 inch w.g. ductwork shall meet the requirements of Seal Class C. 3 through 10 inch w. q. shall meet the requirements of Seal Class A. Sealants shall conform to fire hazard classification specified in Section 15080A, "THERMAL INSULATION FOR MECHANICAL SYSTEMS", and shall be suitable for the range of air distribution and ambient temperatures that it will be exposed to. Pressure sensitive tape shall not be used as a sealant. Spiral lock seam duct, and flat oval shall be made with duct sealant and locked with not less than 3 equally spaced drive screws or other approved methods indicated in SMACNA HVAC Duct Const Stds. The sealant shall be applied to the exposed male part of the fitting collar so that the sealer will be on the inside of the joint and fully protected by the metal of the duct fitting. One brush coat of the sealant shall be applied over the outside of the joint to at least 2 inch band width covering all screw heads and joint gap. Dents in the male portion of the slip fitting collar will not be acceptable.

2.6.1.1 Transitions

Diverging air flow transitions shall be made with each side pitched out a maximum of 15 degrees, for an included angle of 30 degrees. Transitions for converging air flow shall be made with each side pitched in a maximum of 30 degrees, for an included angle of 60 degrees, or shall be as indicated.

2.6.1.2 General Service Duct Connectors

A flexible duct connector approximately 6 inches in width shall be provided where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, the flexible material shall be secured by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, the flexible material locked to metal collars shall be installed using normal duct construction methods. The composite connector system shall comply with UL 214 and be classified as "flame-retarded fabrics" in UL Bld Mat Dir.

2.6.2 Ductwork Accessories

2.6.2.1 Duct Access Doors

Access doors shall be provided in ductwork where indicated and at all automatic dampers and other apparatus requiring service and inspection in the duct system, and unless otherwise shown, shall conform to SMACNA HVAC Duct Const Stds. Doors shall be minimum 15×18 inches, unless otherwise shown. Where duct size will not accommodate this size door, the doors shall be made as large as practicable. Doors 24×24 inches or larger shall be provided with fasteners operable from both sides. Doors in insulated ducts shall be the insulated type.

2.6.2.2 Splitters and Manual Balancing Dampers

Splitters and manual balancing dampers shall be furnished with accessible operating mechanisms. Splitters shall be operated by quadrant operators or 3/16 inch rod brought through the side of the duct with locking setscrew and bushing. Two rods are required on splitters over 8 inches. Manual volume control dampers shall be operated by locking-type quadrant operators. Dampers and splitters shall be 2 gauges heavier than the duct in which installed. Unless otherwise indicated, multileaf dampers shall be opposed blade type with maximum blade width of 12 inches. Access doors or panels shall be provided for all concealed damper operators and locking setscrews. Unless otherwise indicated, the locking-type quadrant operators for dampers, when installed on ducts to be thermally insulated, shall be provided with stand-off mounting brackets, bases, or adapters to provide clearance between the duct surface and the operator not less than the thickness of the insulation. Stand-off mounting items shall be integral with the operator or standard accessory of the damper manufacturer. Volume dampers shall be provided where indicated.

2.7 AIR SYSTEMS EQUIPMENT

2.7.1 Fans

Fans shall be tested and rated according to AMCA 210. Fans may be connected to the motors either directly or indirectly with V-belt drive. V-belt drives shall be designed for not less than 150 percent of the connected driving capacity. Motor sheaves shall be variable pitch for 15 hp and below and fixed pitch as defined by ARI Guideline D. Variable pitch sheaves shall be selected to drive the fan at a speed which will produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, a replaceable sheave shall be provided when needed to achieve system air balance. Motors for V-belt

drives shall be provided with adjustable rails or bases. Removable metal guards shall be provided for all exposed V-belt drives, and speed-test openings shall be provided at the center of all rotating shafts. Fans shall be provided with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Fan and motor assemblies shall be provided with vibration-isolation supports or mountings as indicated. Vibration-isolation units shall be standard products with published loading ratings. Each fan shall be selected to produce the capacity required at the fan static pressure indicated. Sound power level shall be as indicated. The sound power level values shall be obtained according to AMCA 300. Standard AMCA arrangement, rotation, and discharge shall be as indicated.

2.7.1.1 Centrifugal Fans

Centrifugal fans shall be fully enclosed, single-width single-inlet, AMCA Pressure Class I, II, or III as required or indicated for the design system pressure. Impeller wheels shall be rigidly constructed, accurately balanced both statically and dynamically. Fan blades may be backward-inclined or backward-inclined airfoil design in wheel sizes up to 30 inches. Fan blades for wheels over 30 inches in diameter shall be backward-inclined airfoil design. Fan wheels over 36 inches in diameter shall have overhung pulleys and a bearing on each side of the wheel. Fan wheels 36 inches or less in diameter may have one or more extra long bearings between the fan wheel and the drive. Bearings shall be sleeve type, self-aligning and self-oiling with oil reservoirs, or precision self-aligning roller or ball-type with accessible grease fittings or permanently lubricated type. Grease fittings shall be connected to tubing and serviceable from a single accessible point. Bearing life shall be L50 rated at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Fan shafts shall be steel, accurately finished, and shall be provided with key seats and keys for impeller hubs and fan pulleys. Each fan outlet shall be of ample proportions and shall be designed for the attachment of angles and bolts for attaching flexible connections. Automatically operated outlet dampers shall be provided. Motors, unless otherwise indicated, shall not exceed 1800 rpm and shall have totally enclosed enclosures.

2.7.2 Air Filters

Air filters shall be listed according to requirements of UL 900, except high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test method shall be as listed under the Label Service and shall meet the requirements of UL 586.

2.7.2.1 Extended Surface Pleated Panel Filters

Filters shall be 2 inch depth, sectional, disposable type of the size indicated and shall have an average efficiency of 25 to 30 percent when tested according to ASHRAE 52.1. Initial resistance at 500 feet per minute shall not exceed 0.36 inches water gauge. Final resistance shall not exceed 1.0 inch water gauge. Filters shall be UL Class 2. Media shall be nonwoven cotton and synthetic fiber mat. A wire support grid bonded to the media shall be attached to a moisture resistant fiberboard frame. All four edges of the filter media shall be bonded to the inside of the frame to prevent air bypass and increase rigidity.

2.7.2.2 High-Efficiency Particulate Air (HEPA) Filters

HEPA filters shall meet the requirements of IEST RP-CC-001.3 and shall be individually tested and certified to have an efficiency of not less than 95 percent on 0.3 micron particles. Initial resistance at 150 feet per minute shall not exceed 0.20 inches water gauge. Final resistance shall not exceed 1.0 inch water gauge. Filters shall be constructed by pleating a continuous sheet of filter medium into closely spaced pleats separated by corrugated aluminum or mineral-fiber inserts, strips of filter medium, or by honeycomb construction of the pleated filter medium. Interlocking, dovetailed, molded neoprene rubber gaskets of 5-10 durometer shall be cemented to the perimeter of the upstream face of the filter cell sides. Adhesive sealer shall be of self-extinguishing rubber-base type or other materials conforming to fire hazard classification specified in Section 15080A, "THERMAL INSULATION FOR MECHANICAL SYSTEMS". Filter cell sides shall be galvanized steel assembled in a rigid manner. Overall cell side dimensions shall be correct to 1/16inch, and squareness shall be maintained to within 1/8 inch. Each holding frame shall use spring loaded fasteners or other devices to seal the filter tightly within it and to prevent any bypass leakage around the filter during its installed life. Air capacity and the nominal depth of the filter shall be as indicated. Each filter shall be installed in a factory preassembled side access housing or a factory-made sectional supporting frame as indicated. Prefilters of the type, construction and efficiency indicated, shall be provided.

2.7.2.3 Holding Frames

Frames shall be fabricated from not lighter than 16 gauge sheet steel with rust-inhibitor coating. Each holding frame shall be equipped with suitable filter holding devices. Holding frame seats shall be gasketed. All joints shall be airtight.

2.7.2.4 Filter Gauges

Filter gauges shall be dial type, diaphragm actuated draft and shall be provided for all filter stations. Gauges shall be at least 3-7/8 inches in diameter, shall have white dials with black figures, and graduations 0.01 inch of water, and shall have a minimum range of 1 inch of water beyond the specified final resistance for the filter bank on which each gauge is applied. Each gauge shall incorporate a screw operated zero adjustment and shall be furnished complete with two static pressure tips with integral compression fittings, two molded plastic vent valves, two 5 foot minimum lengths of 1/4 inch diameter vinyl tubing, and all hardware and accessories for gauge mounting.

2.8 FACTORY PAINTING

Units which are not of galvanized construction according to ASTM A 123/A 123M or ASTM A 924/A 924M shall be factory painted with a corrosion resisting paint finish. Internal and external ferrous metal surfaces shall be cleaned, phosphatized and coated with a paint finish which has been tested according to ASTM B 117, ASTM D 1654, and ASTM D 3359. Evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors shall be submitted. Rating of failure at the scribe mark shall be not less than 6, average creepage not greater than 1/8 inch. Rating of the inscribed area shall not be less than 10, no failure. On units constructed of galvanized

steel which have been welded, exterior surfaces of welds or welds that have burned through from the interior shall receive a final shop docket of zincrich protective paint according to ASTM D 520 Type I.

PART 3 EXECUTION

3.1 INSTALLATION

Work shall be installed as shown and according to the manufacturer's diagrams and recommendations.

3.1.1 Equipment and Installation

Frames and supports shall be provided for fans, dampers, and other similar items requiring supports. Fans shall be floor mounted. The method of anchoring and fastening shall be as detailed. Floor-mounted equipment, unless otherwise indicated, shall be set on not less than 6 inch concrete pads or curbs doweled in place. Foundation drawings, bolt-setting information, and foundation bolts shall be furnished prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Concrete for foundations shall be as specified in Section 03307A, "CONCRETE FOR MINOR STRUCTURES".

3.1.2 Metal Ductwork

Installation shall be according to SMACNA HVAC Duct Const Stds unless otherwise indicated. Duct supports for sheet metal ductwork shall be according to SMACNA HVAC Duct Const Stds, unless otherwise specified. Friction beam clamps indicated in SMACNA HVAC Duct Const Stds shall not be used. Risers on high velocity ducts shall be anchored in the center of the vertical run to allow ends of riser to move due to thermal expansion. Supports on the risers shall allow free vertical movement of the duct. Supports shall be attached only to structural framing members and concrete slabs. Supports shall not be anchored to metal decking unless a means is provided and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, suitable intermediate metal framing shall be provided. Where C-clamps are used, retainer clips shall be provided.

3.1.3 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, temporary dust control protection shall be provided. The distribution system shall be protected with temporary seal-offs at all inlets and outlets at the end of each day's work. Temporary protection shall remain in place until system is ready for startup.

3.1.4 Insulation

Thickness and application of insulation materials for ductwork and equipment shall be according to Section 15080A, "THERMAL INSULATION FOR MECHANICAL SYSTEMS".

3.1.5 Duct Test Holes

Holes with closures or threaded holes with plugs shall be provided in ducts as indicated or where necessary for the use of pitot tube in balancing the

air system. Extensions, complete with cap or plug, shall be provided where the ducts are insulated.

3.1.6 Power Transmission Components Adjustment

V-belts and sheaves shall be tested for proper alignment and tension prior to operation and after 72 hours of operation at final speed. Belts on drive side shall be uniformly loaded, not bouncing. Alignment of direct driven couplings shall be to within 50 percent of manufacturer's maximum allowable range of misalignment.

3.2 FIELD PAINTING AND IDENTIFICATION SYSTEMS

3.2.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number shall be installed on all dampers. Tags shall be 1-3/8 inch minimum diameter and marking shall be stamped or engraved. Indentations shall be black for reading clarity. Tags shall be attached to dampers with No. 12 AWG, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

3.2.2 Finish Painting and Pipe Color Code Marking

Finish painting of items only primed at the factory and surfaces not specifically noted otherwise shall be as specified in Section 09900, "PAINTS AND COATINGS".

3.3 DUCTWORK LEAK TEST

Ductwork leak test shall be performed for the entire air distribution system, including fans, filters, etc. Test procedure, apparatus, and report shall conform to SMACNA Leakage Test Mnl. The maximum allowable leakage class at a test pressure equal to the design duct pressure class rating shall be equal to or less than leakage Class 6 as defined in SMACNA Leakage Test Mnl. Ductwork leak test shall be completed with satisfactory results prior to applying insulation to ductwork exterior.

3.4 TESTING, ADJUSTING, AND BALANCING

Testing, adjusting, and balancing shall begin only when the air supply and distribution, including controls, has been completed, with the exception of performance tests.

3.5 PERFORMANCE TESTS

After testing, adjusting, and balancing has been completed as specified, each system shall be tested as a whole to see that all items perform as integral parts of the system. Corrections and adjustments shall be made as necessary to produce the conditions indicated or specified. Capacity tests and general operating tests shall be conducted by an experienced engineer. Tests shall demonstrate that the entire system is functioning according to the specifications.

3.6 CLEANING AND ADJUSTING

Inside of ducts shall be thoroughly cleaned of debris and blown free of small particles of rubbish and dust and then shall be vacuum cleaned before installing outlet faces. Equipment shall be wiped clean, with traces of oil, dust, dirt, or paint spots removed. Temporary filters shall be provided prior to startup of all fans that are operated during construction, and new filters shall be installed after all construction dirt has been removed from the building, and the ducts and other items specified have been vacuum cleaned. System shall be maintained in this clean condition until final acceptance. Bearings shall be properly lubricated with oil or grease as recommended by the manufacturer. Belts shall be tightened to proper tension. Dampers and other miscellaneous equipment requiring adjustment shall be adjusted to setting indicated or directed. Fans shall be adjusted to the speed indicated by the manufacturer to meet specified conditions.

3.7 FIELD TRAINING

The Contractor shall conduct a training course for operating and maintenance personnel as designated by the Contracting Officer. Training shall be provided for a period of 4 hours of normal working time and shall start after the system is functionally complete but prior to the performance tests. The field instruction shall cover all of the items contained in the approved Operating and Maintenance Instructions.

-- End of Section --



SECTION 16050N

BASIC ELECTRICAL MATERIALS AND METHODS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 709 (2001) Laminated Thermosetting Materials

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147 Control of Hazardous Energy (Lock Out/Tag Out)

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE Std 100 (2000) Dictionary of Electrical and Electronics Terms (IEEE)

IEEE C2 (2002) National Electrical Safety Code (IEEE)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA C57.12.28

(1999) Pad-Mounted Equipment - Enclosure
Integrity

NEMA ICS 6

(1993; R 2001) Industrial Control and Systems
Enclosures

NEMA MG 1

(1998; R 2002) Motors and Generators

NEMA MG 10 (2001) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC

and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors

NEMA MG 11 (1977; R 2001) Energy Management Guide for Selection and Use of Single-Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

1.2 RELATED REQUIREMENTS

This section applies to certain sections of Division 13, "Special Construction," and Division 15, "Mechanical". This section applies to all sections of Division 16, "Electrical," of this project specification unless specified otherwise in the individual sections.

1.3 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE Std 100.
- b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.
- c. The technical paragraphs referred to herein are those paragraphs in PART 2 PRODUCTS and PART 3 EXECUTION of the technical sections that describe products, systems, installation procedures, equipment, and test methods.

1.4 ELECTRICAL CHARACTERISTICS

Electrical characteristics for this project are existing conditions. All materials and equipment shall be suitable and compatible with the existing conditions.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

Submittals required in the sections which refer to this section must also conform to the following additional requirements. Submittals shall include the manufacturer's name, trade name, place of manufacture, catalog model or number, nameplate data, size, layout dimensions, capacity, project specification and technical paragraph reference. Submittals shall also include applicable federal, military, industry, and technical society publication references, and years of satisfactory service, and other information necessary to establish contract compliance of each item to be provided. Photographs of existing installations are unacceptable and will be returned without approval.

1.5.1 Manufacturer's Catalog Data

Submittals for each manufactured item shall be current manufacturer's descriptive literature of cataloged products, equipment drawings, diagrams, performance and characteristic curves, and catalog cuts. Handwritten and typed modifications and other notations not part of the manufacturer's preprinted data will result in the rejection of the submittal. Should manufacturer's data require supplemental information for clarification, the supplemental information shall be submitted as specified for certificates of compliance.

1.5.2 Drawings

Submit drawings a minimum of 14 by 20 inches in size using a minimum scale of 1/8 inch per foot, except as specified otherwise. Include wiring

diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

1.5.3 Instructions

Where installation procedures or part of the installation procedures are required to be in accordance with manufacturer's instructions, submit printed copies of those instructions prior to installation. Installation of the item shall not proceed until manufacturer's instructions are received. Failure to submit manufacturer's instructions shall be cause for rejection of the equipment or material.

1.5.4 Certificates

Submit manufacturer's certifications as required for products, materials, finishes, and equipment as specified in the technical sections. Certificates from material suppliers are not acceptable. Preprinted certifications and copies of previously submitted documents will not be acceptable. The manufacturer's certifications shall name the appropriate products, equipment, or materials and the publication specified as controlling the quality of that item. Certification shall not contain statements to imply that the item does not meet requirements specified, such as "as good as"; "achieve the same end use and results as materials formulated in accordance with the referenced publications"; or "equal or exceed the service and performance of the specified material." Certifications shall simply state that the item conforms to the requirements specified. Certificates shall be printed on the manufacturer's letterhead and shall be signed by the manufacturer's official authorized to sign certificates of compliance.

1.5.4.1 Reference Standard Compliance

Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), American Society for Testing and Materials (ASTM), National Electrical Manufacturers Association (NEMA), Underwriters Laboratories (UL), and Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance.

1.5.4.2 Independent Testing Organization Certificate

In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.5 Operation and Maintenance Manuals

Comply with the requirements of Section 01781, "Operation and Maintenance Data" and the technical sections.

1.5.5.1 Operating Instructions

Submit text of posted operating instructions for each system and principal item of equipment as specified in the technical sections.

1.6 QUALITY ASSURANCE

1.6.1 Material and Equipment Qualifications

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section.

1.6.2 Regulatory Requirements

Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70.

1.6.3 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.6.4 Service Support

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.6.5 Manufacturer's Nameplate

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.6.6 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer.

1.6.7 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

1.7 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by operation and maintenance personnel. The operating instructions shall include the following:

- a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.
- b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.
- c. Safety precautions.
- d. The procedure in the event of equipment failure.
- e. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Operating instructions shall not fade when exposed to sunlight and shall be secured to prevent easy removal or peeling.

1.8 NAMEPLATES

ASTM D 709. Provide laminated plastic nameplates for each panelboard, equipment enclosure, relay, switch, and device; as specified in the technical sections or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inch thick, white with black center core. Provide red laminated plastic label with black center core for emergency power systems, and yellow with a black core for systems which are normally de-energized and may become energized during testing or emergencies. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inch high normal block style.

1.9 WARNING SIGNS

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

a. When the enclosure integrity of such equipment is specified to be in accordance with NEMA C57.12.28, such as for pad-mounted transformers and pad-mounted SF6 switches, provide self-adhesive warning signs on the outside of the high voltage compartment door(s). Sign shall be a decal and shall have nominal dimensions of 7 by 10 inches with the legend "DANGER HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. The word "DANGER" shall be in white letters on a red background and the words "HIGH

VOLTAGE" shall be in black letters on a white background. Decal shall be Panduit No. PPSO710D72 or approved equal.

1.10 ELECTRICAL REQUIREMENTS

Electrical installations shall conform to IEEE C2, NFPA 70, and requirements specified herein.

1.10.1 Motors and Equipment

Provide electrical components of mechanical equipment, such as motors, motor starters, control or push-button stations, float or pressure switches, solenoid valves, and other devices functioning to control mechanical equipment, including control wiring and conduit for circuits rated 100 volts or less, to conform with the requirements of the section covering the mechanical equipment. Extended voltage range motors shall not be permitted. The interconnecting power wiring and conduit, control wiring rated 120 volts (nominal) and conduit, the motor control equipment forming a part of motor control centers, and the electrical power circuits shall be provided under Division 16.

Provide motors, controllers, integral disconnects, and contactors with their respective pieces of equipment, except controllers specified as being supplied by other contractors. Motors, controllers, integral disconnects, and contactors shall conform to Section 16415A, "Electrical Work, Interior". Extended voltage range motors shall not be permitted. Control voltage for controllers and contactors shall not exceed 120 volts nominal. When motors and equipment furnished are larger than sizes indicated, the cost of additional electrical service and related work shall be included under the section that specified that motor or equipment. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.

1.10.2 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment, and motor control equipment forming part of motor control centers or switchgear assemblies, the conduit and wiring connecting such centers, assemblies, or other power sources to equipment under Section 16415A, "Electrical Work, Interior." Power wiring and conduit shall conform to Section 16415A, "Electrical Work, Interior." Control wiring and conduit shall be provided under, and conform to the requirements of the section specifying the associated equipment.

1.10.3 New Work

Provide electrical components of mechanical equipment, such as motors, motor starters (except starters/controllers which are indicated as being supplied by other contractors), control or push-button stations, float or pressure switches, solenoid valves, integral disconnects, and other devices functioning to control mechanical equipment, as well as control wiring and conduit for circuits rated 100 volts or less, to conform with the requirements of the section covering the mechanical equipment. Extended voltage range motors shall not be permitted. The interconnecting power wiring and conduit, control wiring rated 120 volts (nominal) and conduit, and the electrical power circuits shall be provided under Division 16,

except internal wiring for components of packaged equipment shall be provided as an integral part of the equipment. When motors and equipment furnished are larger than sizes indicated, provide any required changes to the electrical service as may be necessary and related work as a part of the work for the section specifying that motor or equipment.

1.10.4 Modifications to Existing Systems

Where existing mechanical systems and motor-operated equipment require modifications, provide electrical components under Division 16.

1.10.5 High Efficiency Motors

1.10.5.1 High Efficiency Single-Phase Motors

Unless otherwise specified, single-phase fractional-horsepower alternating-current motors shall be high efficiency types corresponding to the applications listed in NEMA MG 11. All motors shall be "inverter rated" to permit operation connected to VFD's without loss of motor life.

1.10.5.2 High Efficiency Polyphase Motors

Unless otherwise specified, polyphase motors shall be selected based on high efficiency characteristics relative to the applications as listed in NEMA MG 10. Additionally, polyphase squirrel-cage medium induction motors with continuous ratings shall meet or exceed energy efficient ratings in accordance with Table 12-10 of NEMA MG 1. All motors shall be "inverter rated" to permit operation connected to VFD's without loss of motor life.

1.10.6 Three-Phase Motor Protection

Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

1.11 INSTRUCTION TO GOVERNMENT PERSONNEL

Where specified in the technical sections, furnish the services of competent instructors to give full instruction to designated Government personnel in the adjustment, operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work. Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instructions to acquaint the operating personnel with the changes or modifications.

1.12 LOCKOUT REQUIREMENTS

Provide disconnecting means capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147. Mechanical isolation of machines and other equipment shall be in accordance with requirements of Division 15, "Mechanical."

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 PAINTING OF EQUIPMENT

3.1.1 Factory Applied

Electrical equipment shall have factory-applied painting systems which shall, as a minimum, meet the requirements of NEMA ICS 6 corrosion-resistance test and the additional requirements specified in the technical sections.

3.1.2 Field Applied

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting shall be as specified in Section 09900, "Paints and Coatings" or the section specifying the associated electrical equipment.

3.2 NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

-- End of Section --

SECTION 16120A

INSULATED WIRE AND CABLE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

AEIC CS5 (Oct 1987; 9th Ed) Thermoplastic and

Crosslinked Polyethylene Insulated Shielded

Power Cables Rated 5 Through 35 kV

AEIC CS6 (Oct 1987; 5th Ed; Rev Mar 1989) Ethylene

Propylene Insulated Shielded Power Cables

Rated 5 Through 69 kV

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA WC 7 (1988) Cross-Linked-Thermosetting-

Polyethylene-Insulated Wire and Cable for the Transmission and Distribution of Electrical

Energy

NEMA WC 8 (1988) Ethylene-Propylene-Rubber- Insulated

Wire and Cable for the Transmission and

Distribution of Electrical Energy

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-03 Product Data

Installation Instructions; G.

The Contractor shall submit cable manufacturing data as requested.

SD-06 Test Reports

Tests, Inspections, and Verifications; G.

Six (6) certified copies of test reports shall be submitted by the contractor.

1.3 DELIVERY, STORAGE, AND HANDLING

Furnish cables on reels or coils. Each cable and the outside of each reel or coil, shall be plainly marked or tagged to indicate the cable length, voltage rating, conductor size, and manufacturer's lot number and reel number. Each coil or reel of cable shall contain only one continuous cable without splices. Cables for exclusively dc applications, as specified in paragraph HIGH VOLTAGE TEST SOURCE, shall be identified as such. Shielded cables rated 2,001 volts and above and shall be reeled and marked in accordance with Section I of AEIC CS5 or AEIC CS6, as applicable. Reels shall remain the property of the Contractor.

1.4 PROJECT/SITE CONDITIONS

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Wire Table

Wire and cable shall be furnished in accordance with the requirements of the detailed requirements specified herein.

2.1.2 Rated Circuit Voltages

All wire and cable shall have minimum rated circuit voltages in accordance with Table 3-1 of NEMA WC 7 or NEMA WC 8.

2.1.3 Conductors

2.1.3.1 Material

Conductors shall conform to all the applicable requirements of Section 2 of NEMA WC 7 or Part 2 of NEMA WC 8 as applicable and shall be annealed copper. Copper conductors may be bare, or tin- or lead-alloy-coated, if required by the type of insulation used.

2.1.3.2 Size

Minimum wire size shall be No. 12 AWG for power and lighting circuits; No. 10 AWG for current transformer secondary circuits; No. 14 AWG for potential transformer, relaying, and control circuits; No. 16 AWG for annunciator circuits; and No. 19 AWG for alarm circuits. Minimum wire sizes for rated circuit voltages of 2,001 volts and above shall not be less than those listed for the applicable voltage in Table 3-1 of Section 3 of NEMA WC 7 or Part 3 of NEMA WC 8, as applicable.

2.1.3.3 Stranding

Conductor stranding classes cited herein shall be as defined in Appendix L of NEMA WC 7 or NEMA WC 8, as applicable. Lighting conductors No. 10 AWG and smaller shall be solid or have Class B stranding. Any conductors used between stationary and moving devices, such as hinged doors or panels, shall have Class H or K stranding. All other conductors shall have Class B or C stranding, except that conductors shown on the drawings, or in the schedule, as No. 12 AWG may be 19 strands of No. 25 AWG, and conductors shown as No. 10 AWG may be 19 strands of No. 22 AWG.

2.1.4 Insulation

2.1.5 Cabling

Individual conductors of multiple-conductor cables shall be assembled with flame-and moisture-resistant fillers, binders, and a lay conforming to Part 5 of NEMA WC 8, except that flat twin cables will not be permitted. Fillers shall be used in the interstices of multiple-conductor round cables with a common covering where necessary to give the completed cable a substantially circular cross section. Fillers shall be non-hygroscopic material, compatible with the cable insulation, jacket, and other components of the cable. The rubber-filled or other approved type of binding tape shall consist of a material that is compatible with the other components of the cable and shall be lapped at least 10 percent of its width.

2.1.6 Dimensional Tolerance

The outside diameters of single-conductor cables and of multiple-conductor cables shall not vary more than 5 percent and 10 percent, respectively, from the manufacturer's published catalog data.

2.2 INSTALLATION INSTRUCTIONS

The following information shall be provided by the cable manufacturer for each size, conductor quantity, and type of cable furnished:

- a. Minimum bending radius, in inches For multiple-conductor cables, this information shall be provided for both the individual conductors and the multiple-conductor cable.
- b. Pulling tension and sidewall pressure limits, in pounds.
- c. Instructions for stripping semiconducting insulation shields, if furnished, with minimum effort without damaging the insulation.
- d. Upon request, compatibility of cable materials and construction with specific materials and hardware manufactured by others shall be stated. Also, if requested, recommendations shall be provided for various cable operations, including installing, splicing, terminating, etc.

2.3 TESTS, INSPECTIONS, AND VERIFICATIONS

2.3.1 Cable Data

Manufacture of the wire and cable shall not be started until all materials to be used in the fabrication of the finished wire or cable have been approved by the Contracting Officer. Cable data shall be submitted for approval including dimensioned sketches showing cable construction, and sufficient additional data to show that these specifications will be satisfied.

2.3.2 Inspection and Tests

Inspection and tests of wire and cable furnished under these specifications shall be made by and at the plant of the manufacturer, and shall be

witnessed by the Contracting Officer or his authorized representative, unless waived in writing. The Government may perform further tests before or after installation. Testing in general shall comply with Section 6 of NEMA WC 7 or Part 6 of NEMA WC 8. Specific tests required for particular materials, components, and completed cables shall be as specified in the sections of the above standards applicable to those materials, components, and cable types. Tests shall also be performed in accordance with the additional requirements specified below.

2.3.2.1 Reports

Results of tests made shall be furnished. No wire or cable shall be shipped until authorized. Lot number and reel or coil number of wire and cable tested shall be indicated on the test reports.

PART 3 EXECUTION (NOT APPLICABLE)

-- End of Section --

16261N

VARIABLE FREQUENCY DRIVE SYSTEMS UNDER 600 VOLTS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 15 Radio Frequency Devices

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE Std 519 (1992) Harmonic Control in Electrical Power

Systems

IEEE C62.41 (1991) Surge Voltages in Low-Voltage AC Power

Circuits

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-461 (Rev. D) Control of Electromagnetic

Interference Emissions and Susceptibility

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1991) Enclosures for Electrical Equipment

(1000 Volts Maximum)

NEMA ICS 1 (1993) Industrial Control and Systems

NEMA ICS 3.1 (1990) Construction and Guide for Selection,

Installation and Operation of Adjustable-

Speed Drive Systems

NEMA ICS 6 (1993) Industrial Control and Systems

Enclosures

NEMA ICS 7 (1993) Industrial Control and Systems

Adjustable-Speed Drives

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (1999) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 489 (1996; R 1998) Molded-Case Circuit Breakers

and Circuit-Breaker Enclosures

UL 508C (1996) Power Conversion Equipment

1.2 RELATED REQUIREMENTS

Section 16050N, "Basic Electrical Materials and Methods", applies to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

1.3.1 Performance Requirements

1.3.1.1 Electromagnetic Interference Suppression

Computing devices, as defined by 47 CFR 15, MIL-STD-461 rules and regulations, shall be certified to comply with the requirements for class A computing devices and labeled as set forth in part 15.

1.3.1.2 Electromechanical and Electrical Components

Electrical and electromechanical components of the Variable Frequency Drive (VFD) shall not cause electromagnetic interference to adjacent electrical or electromechanical equipment while in operation.

1.3.2 Electrical Requirements

1.3.2.1 Power Line Surge Protection

IEEE C62.41, IEEE Std 519 Control panel shall have surge protection, included within the panel to protect the unit from damaging transient voltage surges. Surge arrestor shall be mounted near the incoming power source and properly wired to all three phases and ground. Fuses shall not be used for surge protection.

1.3.2.2 Sensor and Control Wiring Surge Protection

I/O functions as specified shall be protected against surges induced on control and sensor wiring installed outdoors and as shown. The inputs and outputs shall be tested in both normal mode and common mode using the following two waveforms:

- a. A 10 microsecond by 1000 microsecond waveform with a peak voltage of 1500 volts and a peak current of 60 amperes.
- b. An 8 microsecond by 20 microsecond waveform with a peak voltage of 1000 volts and a peak current of 500 amperes.

1.4 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Schematic diagrams; G

Interconnecting diagrams; G

Installation drawings; G

Submit drawings for government approval prior to equipment construction or integration. Modifications to original drawings made during installation shall be immediately recorded for inclusion into the as-built drawings.

SD-03 Product Data

Variable frequency drives; G

Wires and cables

Equipment schedule

Include data indicating compatibility with motors being driven.

SD-06 Test Reports

VFD Test

Performance Verification Tests

Endurance Test

SD-08 Manufacturer's Instructions

Installation instructions

SD-09 Manufacturer's Field Reports

VFD Factory Test Plan; G

Factory test results

SD-10 Operation and Maintenance Data

Variable frequency drives, Data Package 4

Submit operation and maintenance manuals in accordance with Section 01781, "Operation and Maintenance Data." Provide service and maintenance information including preventive maintenance, assembly, and disassembly procedures. Include electrical drawings from electrical general sections. Submit additional information necessary to provide complete operation, repair, and maintenance information, detailed to the smallest replaceable unit. Include copies of as-built submittals. Provide routine preventative maintenance instructions, and equipment required. Provide instructions on how to modify program settings, and modify the control program. Provide instructions on drive adjustment, trouble-shooting, and configuration. Provide instructions on process tuning and system calibration.

1.5 QUALITY ASSURANCE

1.5.1 Schematic Diagrams

Show circuits and device elements for each replaceable module. Schematic diagrams of printed circuit boards are permitted to group functional

assemblies as devices, provided that sufficient information is provided for government maintenance personnel to verify proper operation of the functional assemblies.

1.5.2 Interconnecting Diagrams

Show interconnections between equipment assemblies, and external interfaces, including power and signal conductors. Include for enclosures and external devices.

1.5.3 Installation Drawings

Show floor plan of each site, with VFD's and motors indicated. Indicate ventilation requirements, adequate clearances, and cable routes.

1.5.4 Equipment Schedule

Provide schedule of equipment supplied. Schedule shall provide a cross reference between manufacturer data and identifiers indicated in shop drawings. Schedule shall include the total quantity of each item of equipment supplied. For complete assemblies, such as VFD's, provide the serial numbers of each assembly, and a sub-schedule of components within the assembly. Provide recommended spare parts listing for each assembly or component.

1.5.5 Installation instructions

Provide installation instructions issued by the manufacturer of the equipment, including notes and recommendations, prior to shipment to the site. Provide operation instructions prior to acceptance testing.

1.5.6 Factory Test Results

Document test results and submit to government within 7 working days after completion of test.

1.6 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.7 WARRANTY

The complete system shall be warranted by the manufacturer for a period of one year, or the contracted period of any extended warrantee agreed upon by the contractor and the Government, after successful completion of the acceptance test. Any component failing to perform its function as specified and documented shall be repaired or replaced by the contractor at no additional cost to the Government. Items repaired or replaced shall be warranted for an additional period of at least one year from the date that it becomes functional again, as specified in the FAR CLAUSE 52.246-21.

1.8 MAINTENANCE

1.8.1 Spare Parts

Manufacturers provide spare parts in accordance with recommended spare parts list.

1.8.2 Maintenance Support

During the warranty period, the Contractor shall provide on-site, on-call maintenance services by Contractor's personnel on the following basis: The service shall be on a per-call basis with 36 hour response. Contractor shall support the maintenance of all hardware and software of the system. Various personnel of different expertise shall be sent on-site depending on the nature of the maintenance service required. Costs shall include travel, local transportation, living expenses, and labor rates of the service personnel while responding to the service request. The provisions of this Section are not in lieu of, nor relieve the Contractor of, warranty responsibilities covered in this specification. Should the result of the service request be the uncovering of a system defect covered under the warranty provisions, all costs for the call, including the labor necessary to identify the defect, shall be borne by the Contractor.

PART 2 PRODUCTS

2.1 VARIABLE FREQUENCY DRIVES (VFD)

Provide frequency drive to control the speed of induction motor(s). The VFD shall include the following minimum functions, features and ratings.

- a. Input circuit breaker per UL 489 with a minimum of 10,000 amps symmetrical interrupting capacity and door interlocked external operator.
- b. A converter stage per UL 508C shall change fixed voltage, fixed frequency, ac line power to a fixed dc voltage. The converter shall utilize a full wave bridge design incorporating diode rectifiers. Silicon Controlled Rectifiers (SCR) are not acceptable. The converter shall be insensitive to three phase rotation of the ac line and shall not cause displacement power factor of less than .95 lagging under any speed and load condition.
- c. An inverter stage shall change fixed dc voltage to variable frequency, variable voltage, ac for application to a standard NEMA design B squirrel cage motor. The inverter shall be switched in a manner to produce a sine coded pulse width modulated (PWM) output waveform.
- d. The VFD shall be capable of supplying 120 percent of rated full load current for one minute at maximum ambient temperature.
- e. The VFD shall be designed to operate from a 460 volt, + or 10 percent, three phase, 60 Hz supply, and control motors with a corresponding voltage rating.
- f. Acceleration and deceleration time shall be independently adjustable from one second to 60 seconds.
- g. Adjustable full-time current limiting shall limit the current to a preset value which shall not exceed 120 percent of the controller rated current. The current limiting action shall maintain the V/Hz

- ratio constant so that variable torque can be maintained. Short time starting override shall allow starting current to reach 175 percent of controller rated current to maximum starting torque.
- h. The controllers shall be capable of producing an output frequency over the range of 3 Hz to 60 Hz (20 to one speed range), without low speed cogging. Over frequency protection shall be included such that a failure in the controller electronic circuitry shall not cause frequency to exceed 110 percent of the maximum controller output frequency selected.
- i. Minimum and maximum output frequency shall be adjustable over the following ranges: 1) Minimum frequency 3 Hz to 50 percent of maximum selected frequency; 2) Maximum frequency 40 Hz to 60 Hz.
- j. The controller efficiency at any speed shall not be less than 96 percent.
- k. The controllers shall be capable of being restarted into a motor coasting in the forward direction without tripping.
- 1. Protection of power semiconductor components shall be accomplished without the use of fast acting semiconductor output fuses.

 Subjecting the controllers to any of the following conditions shall not result in component failure or the need for fuse replacement:
 - 1. Short circuit at controller output
 - 2. Ground fault at controller output
 - 3. Open circuit at controller output
 - 4. Input undervoltage
 - 5. Input overvoltage
 - 6. Loss of input phase
 - 7. AC line switching transients
 - 8. Instantaneous overload
 - 9. Sustained overload exceeding 115 percent of controller rated current
 - 10. Over temperature
 - 11. Phase reversal
- m. Solid state motor overload protection shall be included such that current exceeding an adjustable threshold shall activate a 60 second timing circuit. Should current remain above the threshold continuously for the timing period, the controller will automatically shut down.
- n. A slip compensation circuit shall be included which will sense changing motor load conditions and adjust output frequency to

provide speed regulation of NEMA B motors to within + / - 0.5 percent of maximum speed without the necessity of a tachometer generator.

- o. The VFD shall be factory set for manual restart after the first protective circuit trip for malfunction (overcurrent, undervoltage, overvoltage or overtemperature) or an interruption of power. The VFD shall be capable of being set for automatic restart after a selected time delay. If the drive faults again within a specified time period (adjustable 0-60 seconds), a manual restart will be required.
- p. The VFD shall include external fault reset capability. All the necessary logic to accept an external fault reset contact shall be included.
- q. Provide critical speed lockout circuitry to prevent operating at frequencies with critical harmonics that cause resonant vibrations. The VFD shall have a minimum of three user selectable bandwidths.
- r. Provide the following operator control and monitoring devices
 mounted on the front panel of the VFD:
 - 1. Manual speed potentiometer.
 - 2. Hand-Off-Auto (HOA) switch.
 - 3. Power on light.
 - 4. Drive run power light.
 - 5. Local display.
- s. Provide properly sized NEMA rated by-pass and isolation contactors to enable operation of motor in the event of VFD failure.

 Mechanical and electrical interlocks shall be installed between the by-pass and isolation contactors. Provide a selector switch and transfer delay timer. Motor overload protection for the bypass starter, shall be provided independently from the VFD motor protection.

2.2 ENCLOSURES

Provide equipment enclosures conforming to NEMA 250, NEMA ICS 7, NEMA ICS 6.

2.3 WIRES AND CABLES

All wires and cables shall conform to NEMA 250, NEMA ICS 7, NFPA 70.

2.4 NAMEPLATES

Nameplates external to NEMA enclosures shall conform with the requirements of Section 16050N, "Basic Electrical Materials and Methods." Nameplates internal to enclosures shall be manufacturer's standard, with the exception that they must be permanent.

2.5 SOURCE QUALITY CONTROL

2.5.1 VFD Factory Test Plan

To ensure quality, each VFD shall be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities. Provide test plans and test reports.

2.6 POWER LINE HARMONIC DISTORTION PROTECTION

2.6.1 Disturbance Requirements

The Variable Speed Drive shall incorporate either:

12/18 pulse design or 6 pulse deisgn with a harmonic eliminator to provide no more than the following disturbance levels at the connection to the substation, as defined by IEEE Std. 519-1992:

Current Total Harmonic Distortion (ITHD); less than 8% at full load operation. Current Total Demand Distortion (ITDD); less than 8% over entire operating range.

Contribution to Voltage Harmonic Distortion (VTHD); less than 5% total and 3% in any harmonic total harmonic distortion shall be based on supply from one Unit Substation Transformer rated 1000 kVA with 5.75% impedance. The complete drive package shall not become overloaded by any other upstream harmonic sources, not resonate with any other power system components, nor have compatibility problems with the existing Standby Generator Set.

PART 3 EXECUTION

3.1 INSTALLATION

Per NEMA ICS 3.1, install equipment in accordance with the approved manufacturer's printed installation drawings, instructions, wiring diagrams, and as indicated on project drawings and the approved shop drawings. A field representative of the drive manufacturer shall supervise the installation of all equipment, and wiring.

3.2 FIELD QUALITY CONTROL

Specified products shall be tested as a system for conformance to specification requirements prior to scheduling the acceptance tests. Contractor shall conduct performance verification tests in the presence of Government representative, observing and documenting complete compliance of the system to the specifications. Contractor shall submit a signed copy of the test results, certifying proper system operation before scheduling tests.

3.2.1 VFD Test

A proposed test plan shall be submitted to the contracting officer at least 28 calendar days prior to proposed testing for approval. The tests shall conform to NEMA ICS 1, NEMA ICS 7, and all manufacturer's safety regulations. The Government reserves the right to witness all tests and review any documentation. The contractor shall inform the Government at least 14 working days prior to the dates of testing. Contractor shall

provide video tapes, if available, of all training provided to the Government for subsequent use in training new personnel. All training aids, texts, and expendable support material for a self-sufficient presentation shall be provided, the amount of which to be determined by the contracting officer.

3.2.2 Performance Verification Tests

"Performance Verification Test" plan shall provide the step by step procedure required to establish formal verification of the performance of the VFD. Compliance with the specification requirements shall be verified by inspections, review of critical data, demonstrations, and tests. The Government reserves the right to witness all tests, review data, and request other such additional inspections and repeat tests as necessary to ensure that the system and provided services conform to the stated requirements. The contractor shall inform the Government 14 calendar days prior to the date the test is to be conducted.

3.2.3 Endurance Test

Immediately upon completion of the performance verification test, the endurance test shall commence. The system shall be operated at varying rates for not less than 192 consecutive hours, at an average effectiveness level of .9998, to demonstrate proper functioning of the complete PCS. Continue the test on a day-to-day basis until performance standard is met. During the endurance test, the contractor shall not be allowed in the building. The system shall respond as designed.

3.3 DEMONSTRATION

3.3.1 Training

Coordinate training requirements with the Contracting Officer.

3.3.1.1 Instructions to Government Personnel

Provide the services of competent instructors who will give full instruction to designated personnel in operation, maintenance, calibration, configuration, and programming of the complete control system. Orient the training specifically to the system installed. Instructors shall be thoroughly familiar with the subject matter they are to teach. The Government personnel designated to attend the training will have a high school education or equivalent. The number of training days of instruction furnished shall be as specified. A training day is defined as eight hours of instruction, including two 15-minute breaks and excluding lunch time; Monday through Friday. Provide a training manual for each student at each training phase which describes in detail the material included in each training program. Provide one additional copy for archiving. Provide equipment and materials required for classroom training. Provide a list of additional related courses, and offers, noting any courses recommended. List each training course individually by name, including duration, approximate cost per person, and location of course. Unused copies of training manuals shall be turned over to the Government at the end of last training session.

3.3.1.2 Operating Personnel Training Program

Provide one 2 hour training session at the site at a time and place mutually agreeable between the Contractor and the Government. Provide session to train 4 operation personnel in the functional operations of the system and the procedures that personnel will follow in system operation. This training shall include:

- a. System overview
- b. General theory of operation
- c. System operation
- d. Alarm formats
- e. Failure recovery procedures
- f. Troubleshooting

3.3.1.3 Engineering/Maintenance Personnel Training

Accomplish the training program as specified. Training shall be conducted on site at a location designated by the Government. Provide a one day training session to train 4 engineering personnel in the functional operations of the system. This training shall include:

- a. System overview
- b. General theory of operation
- c. System operation
- d. System configuration
- e. Alarm formats
- f. Failure recovery procedures
- g. Troubleshooting and repair
- h. Maintenance and calibration
- i. System programming and configuration
- -- End of Section --

SECTION 16403A

MOTOR CONTROL CENTERS, SWITCHBOARDS AND PANELBOARDS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ASME INTERNATIONAL (ASME)

ASME B1.1	(1989)	Unified	Inch	Screw	Threads	(UN	and	UNR
	Thread	Form)						

ASME B1.20.1 (1983; R 1992) Pipe Threads, General Purpose (Inch)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA AB I	(1993) Molded Case Circuit Breakers an	.a
	Molded Case Switches	

NEMA ICS 1 (1993) Industrial Control and Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (1993) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 44	(1991; Rev thru Jan 1995) Rubber- Insulated Wires and Cables
UL 489	(1991; Rev thru Dec 1994) Molded Case Circuit Breakers and Circuit Breaker Enclosures
UL 1063	(1993; Rev thru Oct 1994) Machine-Tool Wires and Cables

1.2 SYSTEM DESCRIPTION

These specifications include the design, fabrication, assembly, wiring, testing, and delivery of the items of equipment and accessories and spare parts listed in the Schedule and shown on the drawings.

1.2.1 Rules

The equipment shall conform to the requirements of NFPA 70 unless more stringent requirements are indicated herein or shown. NEMA rated and UL listed equipment has been specified when available. Equipment must meet NEMA and UL construction and rating requirements as specified. No equivalent will be acceptable. The contractor shall immediately notify the

Contracting Officer of any requirements of the specifications or contractor proposed materials or assemblies that do not comply with UL or NEMA. International Electrotechnical Commission (IEC) rated equipment will not be considered an acceptable alternative to specified NEMA ratings.

1.2.2 Coordination

The general arrangement of the motor control centers, switchboards and panelboards is shown on the contract drawings. Any modifications of the equipment arrangement or device requirements as shown on the drawings shall be subject to the approval of the Contracting Officer. If any conflicts occur necessitating departures from the drawings, details of and reasons for departures shall be submitted and approved prior to implementing any change. All equipment shall be completely assembled at the factory. The motor control centers and switchboards may be disassembled into sections, if necessary, for convenience of handling, shipping, and installation.

1.2.3 Standard Products

Material and equipment shall be standard products of a manufacturer regularly engaged in their manufacture and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. All materials shall conform to the requirements of these specifications. Materials shall be of high quality, free from defects and imperfections, of recent manufacture, and of the classification and grades designated. All materials, supplies, and articles not manufactured by the Contractor shall be the products of other recognized reputable manufacturers. If the Contractor desires for any reason to deviate from the standards designated in these specifications, he shall, after award, submit a statement of the exact nature of the deviation, and shall submit, for the approval of the Contracting Officer, complete specifications for the materials which he proposes to use.

1.2.4 Nameplates

Nameplates shall be made of laminated sheet plastic or of anodized aluminum approximately 4 millimeters (1/8 inch) thick, engraved to provide white letters on a black background. The nameplates shall be fastened to the panels in proper positions with anodized round-head screws. Lettering shall be minimum 15 millimeters (1/2 inch) high. Nameplate designations shall be in accordance with lists on the drawings, and as a minimum shall be provided for the following equipment:

- a. Motor Control Centers
- b. Individual items of equipment mounted in the Motor Control Centers
- c. Switchboards
- d. Individually-mounted circuit breakers in Switchboard
- e. Group-mounted circuit breakers in Switchboard
- f. Panelboards
- q. Individually-mounted circuit breakers in Panelboard

Equipment of the withdrawal type shall be provided with nameplates mounted on the removable equipment in locations visible when the equipment is in place.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES":

SD-02 Shop Drawings

Drawings; G, Shop Drawings; G.

The Contractor shall, within 30 calendar days after date of receipt by him of notice of award, submit for the approval of the Contracting Officer six (6) copies of outline drawings of all equipment to be furnished under this contract, together with weights and overall dimensions. Drawings shall show the general arrangement and overall dimensions of the motor control centers, switchboards, and panelboards. These drawings shall show space requirements, details of any floor supports to be embedded in concrete and provisions for conduits for external cables.

Motor Control Centers and Parts; G.

The Contractor shall, within 30calendar days after date of receipt by him of notice of award, submit for the approval of the Contracting Officer six (6) copies of electrical equipment drawings. An individual wiring diagram for each motor control center shall be submitted. Wiring diagrams shall be in a form showing physical arrangement of the control center with interconnecting wiring shown by lines or by terminal designations (wireless). A single-line diagram, equipment list and nameplate schedule shall be provided for each motor control center.

SD-03 Product Data

Equipment; G.

The Contractor shall within 30 calendar days after date of receipt by him of notice of award submit for approval six (6) copies of such descriptive cuts and information as are required to demonstrate fully that all parts of the equipment will conform to the requirements and intent of the specifications. Data shall include descriptive data showing typical construction of the types of equipment proposed, including the manufacturer's name, type of molded case circuit breakers or motor circuit protectors, performance capacities and other information pertaining to the equipment. Six (6) sets of characteristic curves of the individual breaker trip element shall be submitted.

1.4 DELIVERY, STORAGE, AND HANDLING

The equipment shall be shipped as completely assembled and wired as feasible so as to require a minimum of installation work. Each shipping section shall be properly match marked to facilitate reassembly, and shall be provided with removable lifting channels with eye bolts for attachment of crane slings to facilitate lifting and handling. Any relay or other device which cannot withstand the hazards of shipment when mounted in place on the equipment shall be carefully packed and shipped separately. These devices shall be marked with the number of the panel which they are to be mounted on and fully identified. All finished painted surfaces and metal work shall be wrapped suitably or otherwise protected from damage during shipment. All parts shall be prepared for shipment so that slings for handling may be attached readily while the parts are in a railway car or transport truck. All spare parts and accessories shall be carefully packaged and clearly marked.

1.5 MAINTENANCE

1.5.1 Accessories and Tools

A complete set of accessories and special tools unique to equipment provided and required for erecting, handling, dismantling, testing and maintaining the apparatus shall be furnished by the Contractor.

1.5.2 Spare Parts

Spare parts shall be furnished as specified below. All spare parts shall be of the same material and workmanship, shall meet the same requirements, and shall be interchangeable with the corresponding original parts furnished.

- a. 2 Fuses of each type and size.
- b. 1 Circuit breaker auxiliary switch.
- c. 2 Operating coils for each size ac contactor.
- d. 1 Operating coil for each size dc contactor.
- e. 2 Complete sets of 3-pole stationary and moving contact assemblies for each size ac contactor.
- f. 1 Complete set of 2-pole stationary and moving contact assemblies for each size dc contactor.
- g. 3 Contactor overload relays of each type and rating, each relay with a complete set of contact blocks.
- h. 1 spare set of heater elements for each heater rating provided.
- i. 2 Indicating lamp assemblies of each type.
- j. 1 Control transformer of each type and rating.
- k. 1 Control relay of each type and rating.
- 1. 1 Contactor auxiliary contact of each type.

- m. 4 One quart containers of finish paint for indoor equipment.
- ${\tt n.}$ 2 One quart containers of the paint used for the exterior surfaces of outdoor equipment.
- o. 4 Keys for motor control center door lock.

PART 2 PRODUCTS

2.1 CONNECTIONS

All bolts, studs, machine screws, nuts, and tapped holes shall be in accordance with ASME Bl.1. The sizes and threads of all conduit and fittings, tubing and fittings, and connecting equipment shall be in accordance with ASME Bl.20.1. All ferrous fasteners shall have rust-resistant finish and all bolts and screws shall be equipped with approved locking devices. Manufacturer's standard threads and construction may be used on small items which, in the opinion of the Contracting Officer, are integrally replaceable, except that threads for external connections to these items shall meet the above requirements.

2.2 MOLDED CASE CIRCUIT BREAKERS

Molded case circuit breakers shall conform to the applicable requirements of NEMA AB 1 and UL 489. The circuit breakers shall be manually-operated, shall be quick-make, quick-break, common trip type, and shall be of automatic-trip type unless otherwise specified or indicated on the drawings. All poles of each breaker shall be operated simultaneously by means of a common handle. The operating handles shall clearly indicate whether the breakers are in "On," "Off," or "Tripped" position and shall have provisions for padlocking in the "Off" position. Personnel safety line terminal shields shall be provided for each breaker. The circuit breakers shall be products of only one manufacturer, and shall be interchangeable when of the same frame size. Where indicated on the drawings, circuit breakers shall be provided with shunt trip devices.

2.2.1 Trip Units

Except as otherwise noted, the circuit breakers, of frame sizes and the trip unit ratings as shown on the drawings, shall be provided with combination thermal and instantaneous magnetic or solid state trip units. The Government reserves the right to change the indicated trip ratings, within frame limits, of the trip devices at the time the shop drawings are submitted for approval. The breaker trip units shall be interchangeable and the instantaneous magnetic trip units shall be adjustable on frame sizes larger than 150 amperes. Nonadjustable instantaneous magnetic trip units shall be set at approximately 10 times the continuous current ratings of the circuit breakers. Solid state trip units, where indicated, shall also have adjustable long time pick-up and delay, short time pick-up and delay, and ground fault pick-up and delay.

2.2.2 480-Volt AC Circuits

Circuit breakers for 480-volt or 277/480-volt ac circuits shall be rated 600 volts ac, and shall have an UL listed minimum interrupting capacity of 22,000 symmetrical amperes at 600 volts ac.

2.3 WIRING

All control wire shall be stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44 or Type MTW meeting UL 1063, and shall pass the VW-1 flame tests included in those standards. Hinge wire shall have Class K stranding. Current transformer secondary leads shall be not smaller than No. 10 AWG. The minimum size of control wire shall be No. 14 AWG. Power wiring for 480-volt circuits and below shall be of the same type as control wiring and the minimum size shall be No. 12 AWG. Special attention shall be given to wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.4 TERMINAL BLOCKS

Control circuit terminal blocks for control wiring shall be molded or fabricated type with barriers, rated not less than 600 volts. The terminals shall be removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts. The terminals shall be not less than No. 10 in size and shall have sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal. The terminal arrangement shall be subject to the approval of the Contracting Officer and not less than four (4) spare terminals or 10 percent, whichever is greater, shall be provided on each block or group of blocks. Modular, pull apart, terminal blocks will be acceptable provided they are of the channel or rail-mounted type. The Contractor shall submit data showing that the proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.4.1 Types of Terminal Blocks

2.4.1.1 Short-Circuiting Type

Short-circuiting type terminal blocks shall be furnished for all current transformer secondary leads and shall have provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks shall meet the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.

2.4.1.2 Load Type

Load terminal blocks rated not less than 600 volts and of adequate capacity shall be provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits except those for feeder tap units. The terminals shall be of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, screws shall have hexagonal heads. Conducting parts between connected terminals shall have adequate contact surface and cross-section to operate without overheating. Each connected terminal shall have the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.4.2 Marking Strips

White or other light-colored plastic marking strips, fastened by screws to each terminal block, shall be provided for wire designations. The wire numbers shall be made with permanent ink. The marking strips shall be reversible to permit marking both sides, or two marking strips shall be furnished with each block. Marking strips shall accommodate the two sets of wire numbers. Each device to which a connection is made shall be assigned a device designation in accordance with NEMA ICS 1 and each device terminal to which a connection is made shall be marked with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, additional wire and cable designations for identification of remote (external) circuits shall be provided for the Government's wire designations. Prints of drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

2.5 MOLDED CASE CIRCUIT BREAKERS AND WIRING FOR MCCS

2.5.1 Molded Case Circuit Breakers in Unit Compartments

Molded case circuit breakers for installation in unit compartments shall meet the requirements of paragraph MOLDED CASE CIRCUIT BREAKERS above.

2.5.2 ring for Motor Control Centers

All wiring shall meet the requirements of paragraph WIRING above. Heavy-duty clamp type terminals shall be provided by the Contractor for terminating all power cables entering the control centers.

2.5.2.1 Contractor's Wiring

The Contractor's wiring shall be formed into groups, suitably bound together, properly supported and run straight horizontally or vertically. There shall be no splices in the wiring. The manufacturer's standard pressure-type wire terminations for connections to internal devices will be acceptable. Terminal blocks shall be added for wiring to devices having leads instead of terminals. Ring tongue indented terminals shall be used on all wires terminated on control terminal blocks for external or interpanel connections and at shipping splits. All stud terminals shall have contact nuts and either locking nuts or lockwashers.

2.5.2.2 External Connections

Power and control cables will enter the control centers at the top.

2.5.2.3 Terminal Blocks

Terminal blocks shall meet the requirements of paragraph TERMINAL BLOCKS above. In no case shall the terminals provided for circuit breakers or contactors accommodate less than the number or size of conductors shown on the drawings. Special attention shall be given to wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

PART 3 EXECUTION (NOT APPLICABLE) SECTION B SUPPLIES/SERVICES AND PRICES

ITEM	DESCIPTION	EST QTY		UNIT PRICE	AMOUNT
0001	480-VOLT, 3-PHASE, UNIT MOTOR CONTROL CENTER (NO)	1	LS	EACH	\$
000x	480-VOLT, 3-PHASE, MOTOR CONTROL CENTER (NO)	1	LS	EACH	\$
000x	480-VOLT, 3 PHASE, POWER DISTRIBUTION SWITCHBOARD (NO)	1	LS	EACH	\$
000X	480-VOLT, 3-PHASE, POWER DISTRIBUTION PANELBOARD (NO)	1	LS	EACH	\$
000X	ACCESSORIES AND SPARE PARTS	1	LOT	XXXX	\$
000X	CONTRACT DATA (PART 1, THE SCHEDULE) (SEE DD FORM 1423, EXHIBIT B)	XXX	XXX	NSP	XXXXXXXXXX

⁻⁻ End of Section --

SECTION 16415A

ELECTRICAL WORK, INTERIOR

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C39.1	(1981; R 1992) Requirements for Electrical
	Analog Indicating Instruments

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM B 1	(1995) Hard-Drawn Copper Wire
ASTM B 8	(1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D 709	(2000) Laminated Thermosetting Materials
NATIONAL ELECTRICAL N	MANUFACTURERS ASSOCIATION (NEMA)
NEMA AB 1	(1993) Molded Case Circuit Breakers and Molded Case Switches
NEMA FU 1	(1986) Low Voltage Cartridge Fuses
NEMA ICS 1	(1993) Industrial Control and Systems
NEMA ICS 2	(1993) Industrial Controls and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC
NEMA ICS 3	(1993) Industrial Control and Systems Factory Built Assemblies
NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA MG 1	(1998) Motors and Generators
NEMA MG 10	(1994) Energy Management Guide for Selection and Use of Polyphase Motors
NEMA OS 1	(1996) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA WD 1	(1999) General Requirements for Wiring Devices

(1997) Wiring Devices - Dimensional NEMA WD 6 Requirements

NATIONAL FIRE PROTECTIO	N ASSOCIATION (NFPA)
NFPA 101	(2000) Life Safety Code
NFPA 70	(2002) National Electrical Code
UNDERWRITERS LABORATORI	ES (UL)
UL 1004	(1994; Rev thru Nov 1999) Electric Motors
UL 198B	(1995) Class H Fuses
UL 198C	(1986; Rev thru Feb 1998) High-Interrupting-Capacity Fuses, Current-Limiting Types
UL 198D	(1995) Class K Fuses
UL 198E	(1988; Rev Jul 1988) Class R Fuses
UL 198G	(1988; Rev May 1988) Fuses for Supplementary Overcurrent Protection
UL 198H	(1988; Rev thru Nov 1993) Class T Fuses
UL 198L	(1995; Rev May 1995) D-C Fuses for Industrial Use
UL 20	(1995; Rev thru Oct 1998) General-Use Snap Switches
UL 467	(1993; Rev thru Apr 1999) Grounding and Bonding Equipment
UL 486A	(1997; Rev thru Dec 1998) Wire Connectors and Soldering Lugs for Use with Copper Conductors
UL 486B	(1997; Rev Jun 1997) Wire Connectors for Use with Aluminum Conductors
UL 486C	(1997; Rev thru Aug 1998) Splicing Wire Connectors
UL 486E	(1994; Rev thru Feb 1997) Equipment Wiring Terminals for Use with Aluminum and/or Copper Conductors
UL 489	(1996; Rev thru Dec 1998) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit- Breaker Enclosures
UL 50	(1995; Rev thru Nov 1999) Enclosures for Electrical Equipment

04018/EM	Central Heating	g Plant Application of Low Emissions Tech.
UL 508		(1999) Industrial Control Equipment
UL 510		(1994; Rev thru Apr 1998) Polyvinyl Chloride, Polyethylene, and Rubber Insulating Tape
UL 512		(1993; Rev thru Mar 1999) Fuseholders
UL 514A		(1996; Rev Dec 1999) Metallic Outlet Boxes
UL 514B		(1997; Rev Oct 1998) Fittings for Cable and Conduit
UL 6		(1997) Rigid Metal Conduit
UL 674		(1994; Rev thru Oct 1998) Electric Motors and Generators for Use in Division 1 Hazardous (Classified) Locations
UL 698		(1995; Rev thru Mar 1999) Industrial Control Equipment for Use in Hazardous (Classified) Locations
UL 83		(1998; Rev thru Sep 1999) Thermoplastic- Insulated Wires and Cables
UL 845		(1995; Rev thru Nov 1999) Motor Control Centers
UL 877		(1993; Rev thru Nov 1999) Circuit Breakers and Circuit-Breaker Enclosures for Use in Hazardous (Classified) Locations
UL 886		(1994; Rev thru Apr 1999) Outlet Boxes and Fittings for Use in Hazardous (Classified) Locations
UL 98		(1994; Rev thru Jun 1998) Enclosed and Dead- Front Switches
UL Elec Const	Dir	(1999) Electrical Construction Equipment Directory

1.2 GENERAL

1.2.1 Rules

The installation shall conform to the requirements of NFPA 70 and NFPA 101, unless more stringent requirements are indicated or shown.

1.2.2 Coordination

The drawings indicate the extent and the general location and arrangement of equipment, conduit, and wiring. The Contractor shall become familiar with all details of the work and verify all dimensions in the field so that the outlets and equipment shall be properly located and readily accessible.

Lighting fixtures, outlets, and other equipment and materials shall be carefully coordinated with mechanical or structural features prior to installation and positioned according to architectural reflected ceiling plans; otherwise, lighting fixtures shall be symmetrically located according to the room arrangement when uniform illumination is required, or asymmetrically located to suit conditions fixed by design and shown.

Raceways, junction and outlet boxes, and lighting fixtures shall not be supported from sheet metal roof decks. If any conflicts occur necessitating departures from the drawings, details of and reasons for departures shall be submitted and approved prior to implementing any change. The Contractor shall coordinate the electrical requirements of the mechanical work and provide all power related circuits, wiring, hardware and structural support, even if not shown on the drawings.

1.2.3 Special Environments

1.2.3.1 Weatherproof Locations

Wiring, Fixtures, and equipment in designated locations shall conform to NFPA 70 requirements for installation in damp or wet locations.

1.2.3.2 Ducts, Plenums and Other Air-Handling Spaces

Wiring and equipment in ducts, plenums and other air-handling spaces shall be installed using materials and methods in conformance with NFPA 70 unless more stringent requirements are indicated in this specification or on the contract drawings.

1.2.4 Standard Products

Material and equipment shall be a standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.2.5 Nameplates

1.2.5.1 Identification Nameplates

Major items of electrical equipment and major components shall be permanently marked with an identification name to identify the equipment by type or function and specific unit number as indicated. Designation of motors shall coincide with their designation in the motor control center or panel. Unless otherwise specified, identification nameplates shall be made of laminated plastic in accordance with ASTM D 709 with black outer layers and a white core. Edges shall be chamfered. Plates shall be fastened with black-finished round-head drive screws, except motors, or approved nonadhesive metal fasteners. When the nameplate is to be installed on an irregular-shaped object, the Contractor shall devise an approved support suitable for the application and ensure the proper installation of the supports and nameplates. In all instances, the nameplate shall be installed in a conspicuous location. At the option of the Contractor, the equipment manufacturer's standard embossed nameplate material with black paint-filled letters may be furnished in lieu of laminated plastic. The front of each panelboard, motor control center, switchgear, and switchboard shall have a nameplate to indicate the phase letter, corresponding color and arrangement

of the phase conductors. The following equipment, as a minimum, shall be provided with identification nameplates:

Minimum 1/4 inch High Letters Minimum 1/8 inch High Letters

Panelboards
Starters
Safety Switches
Motor Control Centers
Transformers
Equipment Enclosures
Switchgear
Switchboards
Motors

Control Power Transformers Control Devices Instrument Transformers

Each panel, section, or unit in motor control centers, switchgear or similar assemblies shall be provided with a nameplate in addition to nameplates listed above, which shall be provided for individual compartments in the respective assembly, including nameplates which identify "future," "spare," and "dedicated" or "equipped spaces."

1.2.6 As-Built Drawings

Following the project completion or turnover, within 30 days the Contractor shall furnish 2 sets of as-built drawings to the Contracting Officer.

1.2.7 Recessed Light Fixtures (RLF) Option

The Contractor has the option to substitute inch-pound (I-P) RLF to metric RLF.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330, "SUBMITTAL PROCEDURES"

SD-02 Shop Drawings

Interior Electrical Equipment; G,

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams, and other information necessary to define the installation. Detail drawings shall show the rating of items and systems and how the components of an item and system are assembled, function together, and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission. Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall show physical arrangement, construction details, connections,

finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. Optional items shall be clearly identified as included or excluded. Detail drawings shall as a minimum include:

- a. Transformers.
- b. Switchgear.
- c. Battery system including calculations for the battery and charger.
 - d. Voltage regulators.
 - e. Grounding resistors.
 - f. Motors and rotating machinery.
 - g. Motor control centers.
 - h. Busway systems.
- i. Single line electrical diagrams including primary, metering, sensing and relaying, control wiring, and control logic.
 - j. Sway bracing for suspended luminaires.

Structural drawings showing the structural or physical features of major equipment items, components, assemblies, and structures, including foundations or other types of supports for equipment and conductors. These drawings shall include accurately scaled or dimensioned outline and arrangement or layout drawings to show the physical size of equipment and components and the relative arrangement and physical connection of related components. Weights of equipment, components and assemblies shall be provided when required to verify the adequacy of design and proposed construction of foundations or other types of supports. Dynamic forces shall be stated for switching devices when such forces must be considered in the design of support structures. The appropriate detail drawings shall show the provisions for leveling, anchoring, and connecting all items during installation, and shall include any recommendations made by the manufacturer.

Electrical drawings including single-line and three-line diagrams, and schematics or elementary diagrams of each electrical system; internal wiring and field connection diagrams of each electrical device when published by the manufacturer; wiring diagrams of cabinets, panels, units, or separate mountings; interconnection diagrams that show the wiring between separate components of assemblies; field connection diagrams that show the termination of wiring routed between separate items of equipment; internal wiring diagrams of equipment showing wiring as actually provided for this project. Field wiring connections shall be clearly identified.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures, including changes in related portions of the project and the reasons why, shall be submitted with the detail drawings. Approved departures shall be made at no additional cost to the Government.

SD-03 Product Data

Fault Current and Protective Device Coordination Study; G.

The study shall be submitted along with protective device equipment submittals. No time extensions or similar contract modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed shall be based on recommendations of this study, The Government shall not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered and/or procured prior to approval of the study.

Manufacturer's Catalog; G.

Data composed of catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Material, Equipment, and Fixture Lists; G.

A complete itemized listing of equipment and materials proposed for incorporation into the work. Each entry shall include an item number, the quantity of items proposed, and the name of the manufacturer of each item.

Installation Procedures.

Installation procedures for rotating equipment, transformers, switchgear, battery systems, voltage regulators, and grounding resistors. Procedures shall include diagrams, instructions, and precautions required to install, adjust, calibrate, and test devices and equipment.

As-Built Drawings; G

The as-built drawings shall be a record of the construction as installed. The drawings shall include all the information shown on the contract drawings, deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be kept at the job site and updated daily. The as-built drawings shall be a full-sized set of prints marked to reflect all deviations, changes, and modifications. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the

submission of each monthly pay estimate. Upon completion of the work, the Contractor shall submit three full sized sets of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction. The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within ten calendar days from the time the drawings are returned to the Contractor.

Onsite Tests; G,

A detailed description of the Contractor's proposed procedures for on-site tests.

SD-06 Test Reports

Factory Test Reports; G.

Six copies of the information described below in $8\ 1/2\ x\ 11$ inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

Field Test Plan; G.

A detailed description of the Contractor's proposed procedures for onsite test submitted 20 days prior to testing the installed system. No field test will be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Field Test Reports; G.

Six copies of the information described below in $8\ 1/2\ x\ 11$ inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

a. A list of equipment used, with calibration certifications.

- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.
- h. Final position of controls and device settings.

SD-07 Certificates

Materials and Equipment

The label or listing of the Underwriters Laboratories, Inc., will be accepted as evidence that the materials or equipment conform to the applicable standards of that agency. In lieu of this label or listing, a statement from a nationally recognized, adequately equipped testing agency indicating that the items have been tested in accordance with required procedures and that the materials and equipment comply with all contract requirements will be accepted. However, materials and equipment installed in hazardous locations must bear the UL label unless the data submitted from other testing agency is specifically approved in writing by the Contracting Officer. Items which are required to be listed and labeled in accordance with Underwriters Laboratories must be affixed with a UL label that states that it is UL listed. No exceptions or waivers will be granted to this requirement. Materials and equipment will be approved based on the manufacturer's published data.

For other than equipment and materials specified to conform to UL publications, a manufacturer's statement indicating complete compliance with the applicable standard of the American Society for Testing and Materials, National Electrical Manufacturers Association, or other commercial standard, is acceptable.

1.4 WORKMANSHIP

Materials and equipment shall be installed in accordance with NFPA 70, recommendations of the manufacturer, and as shown.

PART 2 PRODUCTS

Products shall conform to the respective publications and other requirements specified below. Materials and equipment not listed below shall be as specified elsewhere in this section. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.1 CABLES AND WIRES

Conductors No. 8 AWG and larger diameter shall be stranded. Conductors No. 10 AWG and smaller diameter shall be solid, except that conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3, shall be

stranded unless specifically indicated otherwise. Conductor sizes and ampacities shown are based on copper, unless indicated otherwise. All conductors shall be copper.

2.1.1 Equipment Manufacturer Requirements

When manufacturer's equipment requires copper conductors at the terminations or requires copper conductors to be provided between components of equipment, provide copper conductors or splices, splice boxes, and other work required to meet manufacturer's requirements.

2.1.2 Aluminum Conductors

Aluminum conductors shall not be used except where extending existing aluminum circuits.

2.1.3 Insulation

Unless indicated otherwise, or required by NFPA 70, power and lighting wires shall be 600-volt, Type THHN/THWN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits shall be Type THW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.1.4 Bonding Conductors

ASTM B 1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B 8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.1.5 Tray Cable or Power Limited Tray Cable

UL listed; Type TC or PLTC.

2.2 CIRCUIT BREAKERS

2.2.1 MOLDED-CASE CIRCUIT BREAKERS

Molded-case circuit breakers shall conform to NEMA AB 1 and UL 489and UL 877for circuit breakers and circuit breaker enclosures located in hazardous (classified) locations. Circuit breakers may be installed in panelboards, switchboards, enclosures, motor control centers, or combination motor controllers.

2.2.1.1 Construction

Circuit breakers shall be suitable for mounting and operating in any position. Lug shall be listed for copper and aluminum conductors in accordance with UL 486E. Single-pole circuit breakers shall be full module size with not more than one pole per module. Multi-pole circuit breakers shall be of the common-trip type having a single operating handle such that an overload or short circuit on any one pole will result in all poles opening simultaneously. Sizes of 100 amperes or less may consist of single-pole breakers permanently factory assembled into a multi-pole unit having an internal, mechanical, nontamperable common-trip mechanism and external handle ties. All circuit breakers shall have a quick-make, quick-break

overcenter toggle-type mechanism, and the handle mechanism shall be trip-free to prevent holding the contacts closed against a short-circuit or sustained overload. All circuit breaker handles shall assume a position between "ON" and "OFF" when tripped automatically. All ratings shall be clearly visible.

2.2.1.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. The interrupting rating of the circuit breakers shall be at least equal to the available short-circuit current at the line terminals of the circuit breaker and correspond to the UL listed integrated short-circuit current rating specified for the panelboards and switchboards. Molded-case circuit breakers shall have nominal voltage ratings, maximum continuous-current ratings, and maximum short-circuit interrupting ratings in accordance with NEMA AB 1. Ratings shall be coordinated with system X/R ratio.

2.2.1.3 Cascade System Ratings

Circuit breakers used in series combinations shall be in accordance with UL 489. Equipment, such as switchboards and panelboards, which house series-connected circuit breakers shall be clearly marked accordingly. Series combinations shall be listed in the UL Recognized Component Directory under "Circuit Breakers-Series Connected."

2.2.1.4 Thermal-Magnetic Trip Elements

Thermal magnetic circuit breakers shall be provided as shown. Automatic operation shall be obtained by means of thermal-magnetic tripping devices located in each pole providing inverse time delay and instantaneous circuit protection. The instantaneous magnetic trip shall be adjustable and accessible from the front of all circuit breakers on frame sizes above 150 amperes.

2.2.2 Solid-State Trip Elements

Solid-state circuit breakers shall be provided as shown. All electronics shall be self-contained and require no external relaying, power supply, or accessories. Printed circuit cards shall be treated to resist moisture absorption, fungus growth, and signal leakage. All electronics shall be housed in an enclosure which provides protection against arcs, magnetic interference, dust, and other contaminants. Solid-state sensing shall measure true RMS current with error less than one percent on systems with distortions through the 13th harmonic. Peak or average actuating devices are not acceptable. Current sensors shall be torodial construction, encased in a plastic housing filled with epoxy to protect against damage and moisture and shall be integrally mounted on the breaker. Where indicated on the drawings, circuit breaker frames shall be rated for 100 percent continuous duty. Circuit breakers shall have tripping features as shown on the drawings and as described below:

- a. Long-time current pick-up, adjustable from 50 percent to 100 percent of continuous current rating.
- b. Adjustable long-time delay.

- c. Short-time current pick-up, adjustable from 1.5 to 9 times longtime current setting.
- d. Adjustable short-time delay.
- e. Short-time I square times t switch.
- f. Instantaneous current pick-up, adjustable from 1.5 to 9 times long-time current setting.
- g. Ground-fault pick-up, adjustable from 20 percent to 60 percent of sensor rating, but not greater than 1200 amperes. Sensing of ground-fault current at the main bonding jumper or ground strap will not be permitted. Zone-selective interlocking shall be provided as shown.
- h. Fixed or Adjustable ground-fault delay.
- i. Ground-fault I square times t switch.
- j. Overload and short-time and ground-fault trip indicators shall be provided.
- 2.3 CONDUIT AND TUBING
- 2.3.1 Rigid Metal Conduit

UL 6.

- 2.4 CONDUIT AND DEVICE BOXES AND FITTINGS
- 2.4.1 Boxes, Metallic Outlet

NEMA OS 1 and UL 514A.

- 2.4.2 Boxes, Outlet for Use in Hazardous (Classified) Locations UL 886.
- 2.4.3 Boxes, Switch (Enclosed), Surface-Mounted

UL 98.

2.4.4 Fittings for Conduit and Outlet Boxes

UL 514B.

2.4.5 Fittings For Use in Hazardous (Classified) Locations

UL 886.

- 2.5 CONNECTORS, WIRE PRESSURE
- 2.5.1 For Use With Copper Conductors

UL 486A.

2.5.2 For Use With Aluminum Conductors

UL 486B.

2.6 ELECTRICAL GROUNDING AND BONDING EQUIPMENT

UL 467.

2.7 ENCLOSURES

NEMA ICS 6 or UL 698 for use in hazardous (classified) locations, unless otherwise specified.

2.7.1 Cabinets and Boxes

Cabinets and boxes with volume greater than 100 cubic inches shall be in accordance with UL 50, hot-dip, zinc-coated, if sheet steel.

2.7.2 Circuit Breaker Enclosures

UL 489.

2.7.3 Circuit Breaker Enclosures for Use in Hazardous (Classified) Locations

UL 877.

- 2.8 LOW-VOLTAGE FUSES AND FUSEHOLDERS
- 2.8.1 Fuses, Low Voltage Cartridge Type

NEMA FU 1.

2.8.2 Fuses, High-Interrupting-Capacity, Current-Limiting Type

Fuses, Class G, J, L and CC shall be in accordance with UL 198C.

2.8.3 Fuses, Class K, High-Interrupting-Capacity Type

UL 198D.

2.8.4 Fuses, Class H

UL 198B.

2.8.5 Fuses, Class R

UL 198E.

2.8.6 Fuses, Class T

UL 198H.

2.8.7 Fuses for Supplementary Overcurrent Protection

UL 198G.

2.8.8 Fuses, D-C for Industrial Use

UL 198L.

2.8.9 Fuseholders

UL 512.

2.9 INSTRUMENTS, ELECTRICAL INDICATING

ANSI C39.1.

2.10 MOTORS, AC, FRACTIONAL AND INTEGRAL

Motors, ac, fractional and integral horsepower, 500 hp and smaller shall conform to NEMA MG 1 and UL 1004for motors; NEMA MG 10 for energy management selection of polyphase motors; and UL 674 for use of motors in hazardous (classified) locations. In addition to the standards listed above, motors shall be provided with efficiencies as specified in the table "MINIMUM NOMINAL EFFICIENCIES" below and all motors shall be "inverter duty" rated, to allow use with VFD's without loss of motor life.

2.10.1 Rating

The horsepower rating of motors should be limited to no more than 125 percent of the maximum load being served unless a NEMA standard size does not fall within this range. In this case, the next larger NEMA standard motor size should be used.

2.10.2 Motor Efficiencies

All permanently wired polyphase motors of 1 hp or more shall meet the minimum full-load efficiencies as indicated in the following table, and as specified in this specification. Motors of 1 hp or more with open, drip proof or totally enclosed fan cooled enclosures shall be high efficiency type, unless otherwise indicated. Motor efficiencies indicated in the tables apply to general-purpose, single-speed, polyphase induction motors. Applications which require definite purpose, special purpose, special frame, or special mounted polyphase induction motors are excluded from these efficiency requirements. Motors provided as an integral part of motor driven equipment are excluded from this requirement if a minimum seasonal or overall efficiency requirement is indicated for that equipment by the provisions of another section.

MINIMUM NOMINAL MOTOR EFFICIENCIES OPEN DRIP PROOF MOTORS

<u>kW</u>	1200 RPM	1800 RPM	3600 RPM
0.746	82.5	85.5	80.0
1.12	86.5	86.5	85.5
1.49	87.5	86.5	86.5
2.24	89.5	89.5	86.5
3.73	89.5	89.5	89.5
5.60	91.7	91.0	89.5
7.46	91.7	91.7	90.2
11.2	92.4	93.0	91.0

14.9	92.4	93.0	92.4
18.7	93.0	93.6	93.0
22.4	93.6	93.6	93.0
29.8	94.1	94.1	93.6
37.3	94.1	94.5	93.6
44.8	95.0	95.0	94.1
56.9	95.0	95.0	94.5
74.6	95.0	95.4	94.5
93.3	95.4	95.4	95.0
112.0	95.8	95.8	95.4
149.0	95.4	95.8	95.4
187.0	95.4	96.2	95.8
224.0	95.4	95.0	95.4
261.0	94.5	95.4	95.0
298.0	94.1	95.8	95.0
336.0	94.5	95.4	95.4
373.0	94.5	94.5	94.5

TOTALLY ENCLOSED FAN-COOLED MOTORS

k <u>w</u>	1200 RPM	1800 RPM	3600 RPM
0.746	82.5	85.5	78.5
1.12	87.5	86.5	85.5
1.49	88.5	86.5	86.5
2.24	89.5	89.5	88.5
3.73	89.5	89.5	89.5
5.60	91.7	91.7	91.0
7.46	91.7	91.7	91.7
11.2	92.4	92.4	91.7
14.9	92.4	93.0	92.4
18.7	93.0	93.6	93.0
22.4	93.6	93.6	93.0
29.8	94.1	94.1	93.6
37.3	94.1	94.5	94.1
44.8	94.5	95.0	94.1
56.9	95.0	95.4	94.5
74.6	95.4	95.4	95.0
93.3	95.4	95.4	95.4
112.0	95.8	95.8	95.4
149.0	95.8	96.2	95.8
187.0	95.6	96.2	95.9
224.0	95.4	96.1	95.8
261.0	94.5	96.2	94.8
298.0	94.5	95.8	94.5
336.0	94.5	94.5	94.5
373.0	94.5	94.5	94.5

MINIMUM NOMINAL MOTOR EFFICIENCIES OPEN DRIP PROOF MOTORS

600 RPM
80.0
85.5
86.5
86.5
(

5	89.5	89.5	89.5
7.5	91.7	91.0	89.5
10	91.7	91.7	90.2
15	92.4	93.0	91.0
20	92.4	93.0	92.4
25	93.0	93.6	93.0
30	93.6	93.6	93.0
40	94.1	94.1	93.6
50	94.1	94.5	93.6
60	95.0	95.0	94.1
75	95.0	95.0	94.5
100	95.0	95.4	94.5
125	95.4	95.4	95.0
150	95.8	95.8	95.4
200	95.4	95.8	95.4
250	95.4	96.2	95.8
300	95.4	95.0	95.4
350	94.5	95.4	95.0
400	94.1	95.8	95.0
450	94.5	95.4	95.4
500	94.5	94.5	94.5

TOTALLY ENCLOSED FAN-COOLED MOTORS

HP	1200 RPM	1800 RPM	3600 RPM
1	82.5	85.5	78.5
1.5	87.5	86.5	85.5
2	88.5	86.5	86.5
3	89.5	89.5	88.5
5	89.5	89.5	89.5
7.5	91.7	91.7	91.0
10	91.7	91.7	91.7
15	92.4	92.4	91.7
20	92.4	93.0	92.4
25	93.0	93.6	93.0
30	93.6	93.6	93.0
40	94.1	94.1	93.6
50	94.1	94.5	94.1
60	94.5	95.0	94.1
75	95.0	95.4	94.5
100	95.4	95.4	95.0
125	95.4	95.4	95.4
150	95.8	95.8	95.4
200	95.8	96.2	95.8
250	95.6	96.2	95.9
300	95.4	96.1	95.8
350	94.5	96.2	94.8
400	94.5	95.8	94.5
450	94.5	94.5	94.5
500	94.5	94.5	94.5

2.11 MOTOR CONTROLS AND MOTOR CONTROL CENTERS

2.11.1 General

NEMA ICS 1, NEMA ICS 2, NEMA ICS 3 and NEMA ICS 6, and UL 508 and UL 845. Panelboards supplying non-linear loads shall have neutrals sized for 200 percent of rated current.

2.11.2 Motor Starters

Combination starters shall be provided with circuit breakers.

2.11.3 Thermal-Overload Protection

Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating.

2.11.4 Low-Voltage Motor Overload Relays

2.11.4.1 General

Thermal and magnetic current overload relays shall conform to NEMA ICS 2 and UL 508. Overload protection shall be provided either integral with the motor or motor controller, and shall be rated in accordance with the requirements of NFPA 70. Standard units shall be used for motor starting times up to 7 seconds. Slow units shall be used for motor starting times from 8 to 12 seconds. Quick trip units shall be used on hermetically sealed, submersible pumps, and similar motors.

2.11.4.2 Construction

Manual reset type thermal relay shall be bimetallic construction. Automatic reset type thermal relays shall be bimetallic construction. Magnetic current relays shall consist of a contact mechanism and a dash pot mounted on a common frame.

2.11.4.3 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. Trip current ratings shall be established by selection of the replaceable overload device and shall not be adjustable. Where the controller is remotely-located or difficult to reach, an automatic reset, non-compensated overload relay shall be provided. Manual reset overload relays shall be provided otherwise, and at all locations where automatic starting is provided. Where the motor is located in a constant ambient temperature, and the thermal device is located in an ambient temperature that regularly varies by more than minus 18 degrees F, an ambient temperature-compensated overload relay shall be provided.

2.11.5 Automatic Control Devices

2.11.5.1 Direct Control

Automatic control devices (such as thermostats, float or pressure switches) which control the starting and stopping of motors directly shall be designed for that purpose and have an adequate horsepower rating.

2.11.5.2 Pilot-Relay Control

Where the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit.

2.11.5.3 Manual/Automatic Selection

- a. Where combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch (marked MANUAL-OFF-AUTOMATIC) shall be provided for the manual control.
- b. Where combination manual and automatic control is specified and the automatic-control device actuates the pilot control circuit of a magnetic starter, the magnetic starter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC.
- c. Connections to the selector switch shall be such that; only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low-or high-pressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

2.12 RECEPTACLES

2.12.1 Heavy Duty Grade

NEMA WD 1. Devices shall conform to all requirements for heavy duty receptacles.

2.13 SPLICE, CONDUCTOR

UL 486C.

2.14 SNAP SWITCHES

UL 20.

2.15 TAPES

2.15.1 Plastic Tape

UL 510.

2.15.2 Rubber Tape

UL 510.

2.16 WIRING DEVICES

NEMA WD 1 for wiring devices, and NEMA WD 6 for dimensional requirements of wiring devices.

PART 3 EXECUTION

3.1 GROUNDING

Grounding shall be in conformance with NFPA 70, the contract drawings, and the following specifications.

3.2 WIRING METHODS

Wiring shall conform to NFPA 70, the contract drawings, and the following specifications. Unless otherwise indicated, wiring shall consist of insulated conductors installed in rigid zinc-coated steel conduit. Where cables and wires are installed in cable trays, they shall be of the type permitted by NFPA 70 for use in such applications. Wire fill in conduits shall be based on NFPA 70 for the type of conduit and wire insulations specified. Wire fill in conduits located in Class I or II hazardous areas shall be limited to 25 percent of the cross sectional area of the conduit.

3.2.1 Conduit and Tubing Systems

Conduit and tubing systems shall be installed as indicated. Conduit sizes shown are based on use of copper conductors with insulation types as described in paragraph WIRING METHODS. Minimum size of raceways shall be 1/2 inch. Only metal conduits will be permitted when conduits are required for shielding or other special purposes indicated, or when required by conformance to NFPA 70. Penetrations of above grade floor slabs, time-rated partitions and fire walls shall be firestopped in accordance with Section 07840A, "FIRESTOPPING". Except as otherwise specified, IMC may be used as an option for rigid steel conduit in areas as permitted by NFPA 70. Raceways shall not be installed under the firepits of boilers and furnaces and shall be kept 6 inches away from parallel runs of flues, steam pipes and hot-water pipes. Raceways shall be concealed within finished walls, ceilings, and floors unless otherwise shown. Raceways crossing structural expansion joints or seismic joints shall be provided with suitable expansion fittings or other suitable means to compensate for the building expansion and contraction and to provide for continuity of grounding. Wiring installed in underfloor raceway system shall be suitable for installation in wet locations.

3.2.1.1 Pull Wires

A pull wire shall be inserted in each empty raceway in which wiring is to be installed if the raceway is more than 50 feet in length and contains more than the equivalent of two 90-degree bends, or where the raceway is more than 150 feet in length. The pull wire shall be of No. 14 AWG zinc-coated steel, or of plastic having not less than 200 pounds per square inch tensile strength. Not less than 10 inches of slack shall be left at each end of the pull wire.

3.2.1.2 Conduit Stub-Ups

Where conduits are to be stubbed up through concrete floors, a short elbow shall be installed below grade to transition from the horizontal run of conduit to a vertical run. A conduit coupling fitting, threaded on the inside shall be installed, to allow terminating the conduit flush with the finished floor. Wiring shall be extended in rigid threaded conduit to equipment, except that where required, flexible conduit may be used 6 inches above the floor. Empty or spare conduit stub-ups shall be plugged flush with the finished floor with a threaded, recessed plug.

3.2.1.3 Changes in Direction of Runs

Changes in direction of runs shall be made with symmetrical bends or castmetal fittings. Field-made bends and offsets shall be made with an approved hickey or conduit-bending machine. Crushed or deformed raceways shall not be installed. Trapped raceways in damp and wet locations shall be avoided where possible. Lodgment of plaster, dirt, or trash in raceways, boxes, fittings and equipment shall be prevented during the course of construction. Clogged raceways shall be cleared of obstructions or shall be replaced.

3.2.1.4 Supports

Metallic conduits and tubing, and the support system to which they are attached, shall be securely and rigidly fastened in place to prevent vertical and horizontal movement at intervals of not more than 10 feet and within 3 feet of boxes, cabinets, and fittings, with approved pipe straps, wall brackets, conduit clamps, conduit hangers, threaded C-clamps, beam clamps, or ceiling trapeze. Loads and supports shall be coordinated with supporting structure to prevent damage or deformation to the structure. Loads shall not be applied to joist bridging. Attachment shall be by wood screws or screw-type nails to wood; by toggle bolts on hollow masonry units; by expansion bolts on concrete or brick; by machine screws, welded threaded studs, heat-treated or spring-steel-tension clamps on steel work. Nail-type nylon anchors or threaded studs driven in by a powder charge and provided with lock washers and nuts may be used in lieu of expansion bolts or machine screws. Raceways or pipe straps shall not be welded to steel structures. Cutting the main reinforcing bars in reinforced concrete beams or joists shall be avoided when drilling holes for support anchors. Holes drilled for support anchors, but not used, shall be filled. In partitions of light steel construction, sheet-metal screws may be used. Raceways shall not be supported using wire or nylon ties. Raceways shall be independently supported from the structure. Upper raceways shall not be used as a means of support for lower raceways. Supporting means shall not be shared between electrical raceways and mechanical piping or ducts. Cables and raceways shall not be supported by ceiling grids. Except where permitted by NFPA 70, wiring shall not be supported by ceiling support systems. Conduits shall be fastened to sheet-metal boxes and cabinets with two locknuts where required by NFPA 70, where insulating bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, a single locknut and bushing may be used. Threadless fittings for electrical metallic tubing shall be of a type approved for the conditions encountered. Additional support for horizontal runs is not required when EMT rests on steel stud cutouts.

3.2.1.5 Exposed Raceways

Exposed raceways shall be installed parallel or perpendicular to walls, structural members, or intersections of vertical planes and ceilings. Raceways under raised floors and above accessible ceilings shall be considered as exposed installations in accordance with NFPA 70 definitions.

3.2.1.6 Communications Raceways

Communications raceways indicated shall be installed in accordance with the previous requirements for conduit and tubing and with the additional requirement that no length of run shall exceed 50 feet for 1/2 inch and 3/4 inch sizes, and 100 feet for 1 inch or larger sizes, and shall not contain more than two 90-degree bends or the equivalent. Additional pull or junction boxes shall be installed to comply with these limitations whether or not indicated. Inside radii of bends in conduits of 1 inch size or larger shall not be less than ten times the nominal diameter.

3.2.2 Cables and Conductors

Installation shall conform to the requirements of NFPA 70. Covered, bare or insulated conductors of circuits rated over 600 volts shall not occupy the same equipment wiring enclosure, cable, or raceway with conductors of circuits rated 600 volts or less.

3.2.2.1 Sizing

Unless otherwise noted, all sizes are based on copper conductors and the insulation types indicated. Sizes shall be not less than indicated. Branch-circuit conductors shall be not smaller than No. 12 AWG. Conductors for branch circuits of 120 volts more than 100 feet long and of 277 volts more than 230 feet long, from panel to load center, shall be no smaller than No. 10 AWG. Class 1 remote control and signal circuit conductors shall be not less than No. 14 AWG. Class 2 remote control and signal circuit conductors shall be not less than No. 16 AWG. Class 3 low-energy, remote-control and signal circuits shall be not less than No. 22 AWG.

3.2.2.2 Use of Aluminum Conductors in Lieu of Copper

Aluminum conductors shall not be used, except where extending existing aluminum wiring.

3.2.2.3 Cable Splicing

Splices shall be made in an accessible location. Crimping tools and dies shall be approved by the connector manufacturer for use with the type of connector and conductor.

a. Copper Conductors, 600 Volt and Under: Splices in conductors No. 10 AWG and smaller diameter shall be made with an insulated, pressure-type connector. Splices in conductors No. 8 AWG and larger diameter shall be made with a solderless connector and insulated with tape or heat-shrink type insulating material equivalent to the conductor insulation.

3.2.2.4 Conductor Identification and Tagging

Power, control, and signal circuit conductor identification shall be provided within each enclosure where a tap, splice, or termination is made. Where several feeders pass through a common pull box, the feeders shall be tagged to indicate clearly the electrical characteristics, circuit number, and panel designation. Phase conductors of low voltage power circuits shall be identified by color coding. Phase identification by a particular color shall be maintained continuously for the length of a circuit, including junctions.

a. Color coding shall be provided for service, feeder, branch, and ground conductors. Color shall be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in the same raceway or box, other neutral shall be white with colored (not green) stripe. The color coding for 3-phase and single-phase low voltage systems shall be as follows:

120/208-volt, 3-phase: Black(A), red(B), and blue(C).
277/480-volt, 3-phase: Brown(A), orange(B), and yellow(C).
120/240-volt, 1-phase: Black and red.

- b. Conductor phase and voltage identification shall be made by color-coded insulation for all conductors smaller than No. 6 AWG. For conductors No. 6 AWG and larger, identification shall be made by color-coded insulation, or conductors with black insulation may be furnished and identified by the use of half-lapped bands of colored electrical tape wrapped around the insulation for a minimum of 3 inches of length near the end, or other method as submitted by the Contractor and approved by the Contracting Officer.
- c. Control and signal circuit conductor identification shall be made by color-coded insulated conductors, plastic-coated self-sticking printed markers, permanently attached stamped metal foil markers, or equivalent means as approved. Control circuit terminals of equipment shall be properly identified. Terminal and conductor identification shall match that shown on approved detail drawings. Hand lettering or marking is not acceptable.

3.3 BOXES AND SUPPORTS

Boxes shall be provided in the wiring or raceway systems where required by NFPA 70 for pulling of wires, making connections, and mounting of devices or fixtures. Pull boxes shall be furnished with screw-fastened covers. Indicated elevations are approximate, except where minimum mounting heights for hazardous areas are required by NFPA 70. Unless otherwise indicated, boxes for wall switches shall be mounted 48 inches above finished floors. Switch and outlet boxes located on opposite sides of fire rated walls shall be separated by a minimum horizontal distance of 24 inches. The total combined area of all box openings in fire rated walls shall not exceed 100 square inches per 100 square feet. Maximum box areas for individual boxes in fire rated walls vary with the manufacturer and shall not exceed the maximum specified for that box in UL Elec Const Dir. Only boxes listed in UL Elec Const Dir shall be used in fire rated walls.

3.3.1 Box Applications

Each box shall have not less than the volume required by NFPA 70 for number of conductors enclosed in box. Boxes for metallic raceways shall be listed for the intended use when located in normally wet locations, when flush or surface mounted on outside of exterior surfaces, or when located in hazardous areas. Boxes installed in wet locations and boxes installed flush with the outside of exterior surfaces shall be gasketed. Boxes for mounting lighting fixtures shall be not less than 4 inches square, or octagonal, except smaller boxes may be installed as required by fixture configuration, as approved. Cast-metal boxes with 3/32 inch wall thickness are acceptable. Large size boxes shall be NEMA 12 or as shown. Boxes in other locations shall be sheet steel except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit and tubing or nonmetallic sheathed cable system, when permitted by NFPA 70. Boxes for use in masonry-block or tile walls shall be square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers.

3.3.2 Brackets and Fasteners

Boxes and supports shall be fastened to wood with wood screws or screw-type nails of equal holding strength, with bolts and metal expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screw or welded studs on steel work. Threaded studs driven in by powder charge and provided with lockwashers and nuts, or nail-type nylon anchors may be used in lieu of expansion shields, or machine screws. Penetration of more than 1-1/2 inches into reinforced-concrete beams or more than 3/4 inch into reinforced-concrete joists shall avoid cutting any main reinforcing steel. The use of brackets which depend on gypsum wallboard or plasterboard for primary support will not be permitted. In partitions of light steel construction, bar hangers with 1 inch long studs, mounted between metal wall studs or metal box mounting brackets shall be used to secure boxes to the building structure. When metal box mounting brackets are used, additional box support shall be provided on the side of the box opposite the brackets. This additional box support shall consist of a minimum 12 inch long section of wall stud, bracketed to the opposite side of the box and secured by two screws through the wallboard on each side of the stud. Metal screws may be used in lieu of the metal box mounting brackets.

3.3.3 Mounting in Walls, Ceilings, or Recessed Locations

In walls or ceilings of concrete, tile, or other non-combustible material, boxes shall be installed so that the edge of the box is not recessed more than 1/4 inch from the finished surface. Boxes mounted in combustible walls or ceiling material shall be mounted flush with the finished surface. The use of gypsum or plasterboard as a means of supporting boxes will not be permitted. Boxes installed for concealed wiring shall be provided with suitable extension rings or plaster covers, as required. The bottom of boxes installed in masonry-block walls for concealed wiring shall be mounted flush with the top of a block to minimize cutting of the blocks, and boxes shall be located horizontally to avoid cutting webs of block. Separate boxes shall be provided for flush or recessed fixtures when required by the fixture terminal operating temperature, and fixtures shall be readily removable for access to the boxes unless ceiling access panels are provided.

3.3.4 Installation in Overhead Spaces

In open overhead spaces, cast-metal boxes threaded to raceways need not be separately supported except where used for fixture support; cast-metal boxes having threadless connectors and sheet metal boxes shall be supported directly from the building structure or by bar hangers. Hangers shall not be fastened to or supported from joist bridging. Where bar hangers are used, the bar shall be attached to raceways on opposite sides of the box and the raceway shall be supported with an approved type fastener not more than 24 inches from the box.

3.4 DEVICE PLATES

One-piece type device plates shall be provided for all outlets and fittings. Plates on unfinished walls and on fittings shall be of zinc-coated sheet steel, cast-metal, or impact resistant plastic having rounded or beveled edges. Plates on finished walls shall be of steel with baked enamel finish or impact-resistant plastic and shall be satin finish corrosion resistant steel or satin finish chromium plated brass. Screws shall be of metal with countersunk heads, in a color to match the finish of the plate. Plates shall be installed with all four edges in continuous contact with finished wall surfaces without the use of mats or similar devices. Plaster fillings will not be permitted. Plates shall be installed with an alignment tolerance of 1/16 inch. The use of sectional-type device plates will not be permitted. Plates installed in wet locations shall be gasketed and provided with a hinged, gasketed cover, unless otherwise specified.

3.5 RECEPTACLES

3.5.1 Single and Duplex, 15 or 20-ampere, 125 volt

Single and duplex receptacles shall be rated 20 amperes, 125 volts, two-pole, three-wire, grounding type with polarized parallel slots. Bodies shall be of ivory to match color of switch handles in the same room or to harmonize with the color of the respective wall, and supported by mounting strap having plaster ears. Contact arrangement shall be such that contact is made on two sides of an inserted blade. Receptacle shall be side- or back-wired with two screws per terminal. The third grounding pole shall be connected to the metal mounting yoke. Switched receptacles shall be the same as other receptacles specified except that the ungrounded pole of each suitable receptacle shall be provided with a separate terminal. Only the top receptacle of a duplex receptacle shall be wired for switching application. Receptacles with ground fault circuit interrupters shall have the current rating as indicated, and shall be UL Class A type unless otherwise shown. Ground fault circuit protection shall be provided as required by NFPA 70 and as indicated on the drawings.

3.6 WALL SWITCHES

Wall switches shall be of the totally enclosed tumbler type. The wall switch handle and switch plate color shall be ivory. Wiring terminals shall be of the screw type or of the solderless pressure type having suitable conductor-release arrangement. Not more than one switch shall be installed in a single-gang position. Switches shall be rated 20-ampere 277-volt for use on alternating current only. Pilot lights indicated shall consist of yoke-mounted candelabra-base sockets rated at 75 watts, 125 volts, and fitted with glass or plastic jewels. A clear 6-watt lamp shall be furnished and installed in each pilot switch. Jewels for use with switches controlling motors shall be green, and jewels for other purposes shall be

red. Dimming switches shall be solid-state flush mounted, sized for the loads.

3.7 FUSES

Equipment provided under this contract shall be provided with a complete set of properly rated fuses when the equipment manufacturer utilize fuses in the manufacture of the equipment, or if current-limiting fuses are required to be installed to limit the ampere-interrupting capacity of circuit breakers or equipment to less than the maximum available fault current at the location of the equipment to be installed. Fuses shall have a voltage rating of not less than the phase-to-phase circuit voltage, and shall have the time-current characteristics required for effective power system coordination. Time-delay and non-time-delay options shall be as specified.

3.7.1 Cartridge Fuses; Current-Limiting Type

Cartridge fuses, current-limiting type, Class J RK1 RK5 shall have tested interrupting capacity not less than 100,000 amperes. Fuse holders shall be the type that will reject all Class H fuses.

3.7.2 Continuous Current Ratings (Greater than 600 Amperes)

Service entrance and feeder circuit fuses (greater than 600 amperes) shall be Class L, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.7.3 Motor and Transformer Circuit Fuses

Motor, motor controller, transformer, and inductive circuit fuses shall be Class RK1 or RK5, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.8 MOTORS

Each motor shall conform to the hp and voltage ratings indicated, and shall have a service factor and other characteristics that are essential to the proper application and performance of the motors under conditions shown or specified. Three-phase motors for use on 3-phase 208-volt systems shall have a nameplate rating of 200 volts. Unless otherwise specified, all motors shall have open frames, and continuous-duty classification based on a 40 degree C ambient temperature reference. Polyphase motors shall be squirrel-cage type, having normal-starting-torque and low-starting-current characteristics, unless other characteristics are specified in other sections of these specifications or shown on contract drawings. The Contractor shall be responsible for selecting the actual horsepower ratings and other motor requirements necessary for the applications indicated. When electrically driven equipment furnished under other sections of these specifications materially differs from the design, the Contractor shall make the necessary adjustments to the wiring, disconnect devices and branchcircuit protection to accommodate the equipment actually installed.

3.9 MOTOR CONTROL

Each motor or group of motors requiring a single control and not controlled from a motor-control center shall be provided under other sections of these specifications with a suitable controller and devices that will perform the

functions as specified for the respective motors. Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overloadprotection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating. Automatic control devices such as thermostats, float or pressure switches may control the starting and stopping of motors directly, provided the devices used are designed for that purpose and have an adequate horsepower rating. When the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit. When combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch shall be provided for the manual control; when the automatic-control device actuates the pilot control circuit of a magnetic starter, the latter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC. Connections to the selector switch shall be such that only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low- or highpressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

3.9.1 Contacts

Unless otherwise indicated, contacts in miscellaneous control devices such as float switches, pressure switches, and auxiliary relays shall have current and voltage ratings in accordance with NEMA ICS 2 for rating designation B300.

3.9.2 Safety Controls

Safety controls for boilers shall be connected to a 2-wire, 120 volt grounded circuit supplied from the associated boiler-equipment circuit. Where the boiler circuit is more than 120 volts to ground, safety controls shall be energized through a two-winding transformer having its 120 volt secondary winding grounded. Overcurrent protection shall be provided in the ungrounded secondary conductor and shall be sized for the load encountered.

3.10 MOTOR-DISCONNECT MEANS

Each motor shall be provided with a disconnecting means when required by NFPA 70 even though not indicated. For single-phase motors, a single or double pole toggle switch, rated only for alternating current, will be acceptable for capacities less than 30 amperes, provided the ampere rating of the switch is at least 125 percent of the motor rating. Switches shall disconnect all ungrounded conductors.

3.11 EQUIPMENT CONNECTIONS

Wiring not furnished and installed under other sections of the specifications for the connection of electrical equipment as indicated on the drawings shall be furnished and installed under this section of the specifications. Connections shall comply with the applicable requirements of paragraph WIRING METHODS. Flexible conduits 6 feet or less in length shall be provided to all electrical equipment subject to periodic removal, vibration, or movement and for all motors. All motors shall be provided with separate grounding conductors. Liquid-tight conduits shall be used in damp or wet locations.

3.11.1 Motors and Motor Control

Motors, motor controls, and motor control centers shall be installed in accordance with NFPA 70, the manufacturer's recommendations, and as indicated. Wiring shall be extended to motors, motor controls, and motor control centers and terminated.

3.11.2 Installation of Government-Furnished Equipment

Wiring shall be extended to the equipment and terminated.

3.12 PAINTING AND FINISHING

Field-applied paint on exposed surfaces shall be provided under Section 09900, "PAINTS AND COATINGS".

3.13 REPAIR OF EXISTING WORK

The work shall be carefully laid out in advance, and where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceiling, or other surfaces is necessary for the proper installation, support, or anchorage of the conduit, raceways, or other electrical work, this work shall be carefully done, and any damage to building, piping, or equipment shall be repaired by skilled mechanics of the trades involved at no additional cost to the Government.

3.14 FIELD TESTING

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 7 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspection recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. All field test reports will be signed and dated by the Contractor.

3.14.1 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.14.2 Cable Tests

The Contractor shall be responsible for identifying all equipment and devices that could be damaged by application of the test voltage and ensuring that they have been properly disconnected prior to performing insulation resistance testing. An insulation resistance test shall be performed on all low and medium voltage cables after the cables are installed in their final configuration and prior to energization. The test voltage shall be 500 volts DC applied for one minute between each conductor and ground and between all possible combinations of conductors. The minimum value of resistance shall be:

R in megohms = (rated voltage in kV + 1) x 1000/(length of cable in feet)

Each cable failing this test shall be repaired or replaced. The repaired cable system shall then be retested until failures have been eliminated.

3.14.2.1 Low Voltage Cable Tests

- a. Continuity test.
- b. Insulation resistance test.

3.14.3 Motor Tests

- a. Phase rotation test to ensure proper directions.
- b. Operation and sequence of reduced voltage starters.
- c. High potential test on each winding to ground.
- d. Insulation resistance of each winding to ground.
- e. Vibration test.
- f. Dielectric absorption test on motor and starter.

3.14.4 Circuit Breakers, Molded Case

- a. Insulation resistance test phase-to-phase, all combinations.
- b. Insulation resistance test phase-to-ground, each phase.
- c. Closed breaker contact resistance test.
- d. Manual operation of the breaker.

3.15 OPERATING TESTS

After the installation is completed, and at such time as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the specified requirements. An operating test report shall be submitted in accordance with paragraph FIELD TEST REPORTS.

3.16 FIELD SERVICE

3.16.1 Onsite Training

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 16 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The course instruction shall cover pertinent points involved in operating, starting, stopping, servicing the equipment, as well as all major elements of the operation and maintenance manuals. Additionally, the course instructions shall demonstrate all routine maintenance operations. A VHS format video tape of the entire training shall be submitted.

3.16.2 Installation Engineer

After delivery of the equipment, the Contractor shall furnish one or more field engineers, regularly employed by the equipment manufacturer to supervise the installation of equipment, assist in the performance of the onsite tests, oversee initial operations, and instruct personnel as to the operational and maintenance features of the equipment.

3.17 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

-- End of Section --

